Training Guide: Administering Windows Server® 2012 R2

Orin Thomas
## Contents at a glance

*Introduction xvi i

<table>
<thead>
<tr>
<th>CHAPTER</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Deploying and updating Windows Server 2012 R2</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Managing account policies and service accounts</td>
<td>65</td>
</tr>
<tr>
<td>3</td>
<td>Configuring name resolution</td>
<td>123</td>
</tr>
<tr>
<td>4</td>
<td>Administering Active Directory</td>
<td>181</td>
</tr>
<tr>
<td>5</td>
<td>Managing Group Policy application and infrastructure</td>
<td>241</td>
</tr>
<tr>
<td>6</td>
<td>Group Policy settings and preferences</td>
<td>281</td>
</tr>
<tr>
<td>7</td>
<td>Administering network policies</td>
<td>345</td>
</tr>
<tr>
<td>8</td>
<td>Administering remote access</td>
<td>417</td>
</tr>
<tr>
<td>9</td>
<td>Managing file services</td>
<td>501</td>
</tr>
<tr>
<td>10</td>
<td>Monitoring and auditing</td>
<td>591</td>
</tr>
</tbody>
</table>

*Index 659*
This page intentionally left blank
Contents

Introduction xvii

Chapter 1: Deploying and updating Windows Server 2012 R2 1

Before you begin. .................................................. 1
Lesson 1: Configuring and servicing Windows Server images. ........... 1
  Understanding Windows images 2
  Configuring Windows images 3
  Servicing Windows images 4
  Lesson summary 10
  Lesson review 10
Lesson 2: Automatically deploying Windows Server images. ......... 11
  Automating installation 12
  Configuring answer files 12
  Windows Deployment Services 14
  WDS requirements 15
  Managing images 17
  Configuring WDS 19
  Configuring transmissions 24
  Driver groups and packages 25
  Lesson summary 26
  Lesson review 26
Lesson 3: Servicing and updating deployed servers. ................. 27
  Automated update deployment with WSUS 28
  New WSUS features 28

What do you think of this book? We want to hear from you!
Microsoft is interested in hearing your feedback so we can continually improve our books and learning resources for you. To participate in a brief online survey, please visit:

www.microsoft.com/learning/booksurvey/
Lesson review | 85
Lesson 3: Mastering Group Managed Service Accounts | 87
GMSAs | 87
Kerberos delegation | 91
Kerberos policies | 92
Service principal name management | 94
Lesson summary | 94
Lesson review | 94
Practice exercises | 95
Exercise 1: Configure password and account lockout policies | 96
Exercise 2: Configure account lockout policies | 101
Exercise 3: Group Policy Modeling | 104
Exercise 4: Locate non-expiring passwords | 107
Exercise 5: Create fine-grained password policies | 110
Exercise 6: Prepare MEL-DC and ADL-DC | 113
Exercise 7: Create and configure GMSAs | 114
Suggested practice exercises | 116
Answers | 117
Lesson 1 | 117
Lesson 2 | 118
Lesson 3 | 119

**Chapter 3: Configuring name resolution** | 123
Before you begin | 123
Lesson 1: Understanding DNS zones and forwarders | 123
DNS zone types | 124
Zone delegation | 129
Split DNS | 131
Forwarders and conditional forwarders | 131
Stub zones | 134
Lesson summary | 136
Lesson review | 136
Lesson 2: Configuring WINS and managing GlobalNames zones | 138
WINS | 138
# Contents

<table>
<thead>
<tr>
<th>Topic</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>GlobalNames zones</td>
<td>142</td>
</tr>
<tr>
<td>Peer Name Resolution Protocol (PNRP)</td>
<td>144</td>
</tr>
<tr>
<td>Lesson summary</td>
<td>145</td>
</tr>
<tr>
<td>Lesson review</td>
<td>146</td>
</tr>
<tr>
<td>Lesson 3: Understanding advanced DNS options</td>
<td>147</td>
</tr>
<tr>
<td>Resource records</td>
<td>147</td>
</tr>
<tr>
<td>Zone aging and scavenging</td>
<td>151</td>
</tr>
<tr>
<td>DNSSEC</td>
<td>153</td>
</tr>
<tr>
<td>Lesson summary</td>
<td>157</td>
</tr>
<tr>
<td>Lesson review</td>
<td>157</td>
</tr>
<tr>
<td>Practice exercises</td>
<td>158</td>
</tr>
<tr>
<td>Exercise 1: Manage DNS zones</td>
<td>159</td>
</tr>
<tr>
<td>Exercise 2: Configure partition-based replication</td>
<td>161</td>
</tr>
<tr>
<td>Exercise 3: DNS delegation and secondary zones</td>
<td>163</td>
</tr>
<tr>
<td>Exercise 4: Configure a secondary zone</td>
<td>168</td>
</tr>
<tr>
<td>Exercise 5: Single-label name resolution</td>
<td>171</td>
</tr>
<tr>
<td>Exercise 6: Configure and manage DNSSEC</td>
<td>173</td>
</tr>
<tr>
<td>Suggested practice exercises</td>
<td>176</td>
</tr>
<tr>
<td>Answers</td>
<td>177</td>
</tr>
<tr>
<td>Lesson 1</td>
<td>177</td>
</tr>
<tr>
<td>Lesson 2</td>
<td>178</td>
</tr>
<tr>
<td>Lesson 3</td>
<td>179</td>
</tr>
</tbody>
</table>

## Chapter 4: Administering Active Directory  181

Before you begin. ................................................ 181
Lesson 1: Managing domain controllers ........................ 181
  Managing operations masters ................................. 182
  Global Catalog servers                                187
  Universal group membership caching                    189
  Read-only domain controllers                          190
  Domain controller cloning                             197
  Lesson summary                                        198
  Lesson review                                         199
Lesson 2: Maintaining domain controllers .................................................. 200
  Active Directory database optimization 200
  Active Directory metadata cleanup 203
  Active Directory snapshots 204
  Lesson summary 206
  Lesson review 207

Lesson 3: Recovering Active Directory ...................................................... 208
  Active Directory Recycle Bin 208
  Active Directory backup 210
  Active Directory recovery 212
  Lesson summary 215
  Lesson review 216

Practice exercises ................................................................. 217
  Exercise 1: Domain controller installation 217
  Exercise 2: RODC deployment 225
  Exercise 3: Transfer operations master roles 230
  Exercise 4: Active Directory Recycle Bin 234

Suggested practice exercises ......................................................... 236

Answers ............................................................ 237
  Lesson 1 237
  Lesson 2 238
  Lesson 3 239

Chapter 5: Managing Group Policy application and infrastructure 241

Before you begin ................................................................. 241

Lesson 1: Maintaining Group Policy Object ........................................... 241
  Managing Group Policy Objects 242
  Migrate Group Policy Objects 247
  Delegate GPO management 248
  Lesson summary 251
  Lesson review 252

Lesson 2: Managing Group Policy application ...................................... 253
  Policy processing precedence 253
Chapter 6: Group Policy settings and preferences 281

Before you begin. ................................................ 281

Lesson 1: Folder redirection, software installation, and scripts ........ 281
  Folder Redirection ........................................... 282
  Software installation ....................................... 285
  Scripts .......................................................... 291
  Lesson summary ............................................. 293
  Lesson review ................................................ 293

Lesson 2: Administrative templates .................................... 296
  Administrative templates .................................. 296
  Administrative template settings .......................... 297
  Central store ................................................ 297
  ADMX Migrator ............................................. 299
  Filter property settings .................................... 300
  Lesson summary ............................................. 302
  Lesson review ................................................ 302
Chapter 8: Administering remote access

Before you begin .................................................. 417

Lesson 1: Configuring RADIUS ...................................... 417
RADIUS servers .................................................. 418
RADIUS proxies .................................................. 421
RADIUS clients .................................................. 426
RADIUS accounting ............................................. 429
Lesson summary .................................................. 433
Lesson review ...................................................... 433

Lesson 2: Configuring VPN and routing ................................. 434
Deploy Routing and Remote Access ................................ 435
Configure VPN settings .......................................... 437
Configure routing ................................................ 446
Network address translation (NAT) ................................ 448
Web Application Proxy in pass-through mode ..................... 451
Lesson summary .................................................. 453
Lesson review ...................................................... 453

Lesson 3: Configuring DirectAccess .................................. 454
Understanding DirectAccess ...................................... 455
DirectAccess infrastructure ..................................... 455
Configure DirectAccess ......................................... 462
Lesson summary .................................................. 473
Lesson review ...................................................... 474

Practice exercises .................................................. 475
Exercise 1: Configure a RADIUS server ......................... 475
Exercise 2: Configure a remote RADIUS server group ........ 477
Exercise 3: Configure a RADIUS client ......................... 479
Exercise 4: Set up RADIUS accounting ......................... 480
Exercise 5: Install a VPN server ................................ 481
Exercise 6: Configure a VPN server ............................. 482
Exercise 7: Prepare for Web Application Proxy .................. 483
Exercise 8: Configure AD FS to support Web Application Proxy 489
<table>
<thead>
<tr>
<th>Practice exercises</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exercise 1: Install the File Server Resource Manager role service and create a shared folder</td>
<td>536</td>
</tr>
<tr>
<td>Exercise 2: Configure file quotas</td>
<td>541</td>
</tr>
<tr>
<td>Exercise 3: Configure file screen</td>
<td>545</td>
</tr>
<tr>
<td>Exercise 4: Configure file expiration</td>
<td>549</td>
</tr>
<tr>
<td>Exercise 5: Configure storage reports</td>
<td>552</td>
</tr>
<tr>
<td>Exercise 6: Install DFS</td>
<td>555</td>
</tr>
<tr>
<td>Exercise 7: Create a DFS namespace and add a namespace server</td>
<td>558</td>
</tr>
<tr>
<td>Exercise 8: Configure DFS replication</td>
<td>560</td>
</tr>
<tr>
<td>Exercise 9: Install Enterprise CA</td>
<td>566</td>
</tr>
<tr>
<td>Exercise 10: Configure certificate templates</td>
<td>571</td>
</tr>
<tr>
<td>Exercise 11: Configure certificate enrollment</td>
<td>574</td>
</tr>
<tr>
<td>Exercise 12: Configure EFS-related Group Policies</td>
<td>578</td>
</tr>
<tr>
<td>Exercise 13: Configure BitLocker-related policies</td>
<td>582</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Suggested practice exercises</th>
<th>Page</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Answers</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lesson 1</td>
<td>585</td>
</tr>
<tr>
<td>Lesson 2</td>
<td>586</td>
</tr>
<tr>
<td>Lesson 3</td>
<td>588</td>
</tr>
</tbody>
</table>

**Chapter 10: Monitoring and auditing**

<table>
<thead>
<tr>
<th>Before you begin</th>
<th>Page</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Lesson 1: Monitoring servers</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Configuring data collector sets</td>
<td>592</td>
</tr>
<tr>
<td>Managing alerts</td>
<td>597</td>
</tr>
<tr>
<td>Monitoring events with viewer</td>
<td>599</td>
</tr>
<tr>
<td>Configuring event subscriptions</td>
<td>603</td>
</tr>
<tr>
<td>Attaching event-driven tasks</td>
<td>606</td>
</tr>
<tr>
<td>Performing network monitoring</td>
<td>609</td>
</tr>
<tr>
<td>Lesson summary</td>
<td>612</td>
</tr>
<tr>
<td>Lesson review</td>
<td>613</td>
</tr>
</tbody>
</table>
Introduction

When Microsoft Learning puts together exam objectives for an exam, it doesn’t randomly select pages from TechNet. Instead, in conjunction with subject matter experts and representatives of the product team, it puts together a list of tasks and areas of knowledge that represents what someone in a specific job role would do and need to know on a day-to-day, a weekly, or even a monthly basis.

Each exam maps to a different job role. The objectives for the 70-411 exam are a list of tasks and areas of knowledge that describe what an administrator of the Windows Server 2012 and Windows Server 2012 R2 operating systems with several years of on-the-job experience (managing other server operating systems as well as Windows Server 2012 and Windows Server 2012 R2) does and understands. The objectives don’t cover everything that a Windows Server systems administrator would know, and there will be tasks and areas that will be relevant to one person’s real world role and not another, but the exam objectives provide a reasonable approximation of that role.

This book covers the majority of the topics and skills that are the subject of the Microsoft certification exam 70-411. The idea behind this book is that by reading it, you can learn how to perform tasks you may need to perform on a day-to-day basis in your role as a Windows Server administrator. Using the exam objectives as a working definition of that role has the additional benefit of giving you a better understanding of the topics and tasks listed on the 70-411 exam objectives. This book will assist you in preparing for the exam, but it’s not a complete exam preparation solution. If you are preparing for the exam, you should use additional study materials, such as practice tests and Exam Ref 70-411: Administering Windows Server 2012 R2 (Microsoft Press, 2014) to help bolster your real-world experience. For your reference, a mapping of the topics in this book to the exam objectives is included in the back of the book in the Objectives Map.

By using this training guide, you will learn how to do the following:
- Deploy, manage, and maintain servers
- Configure file and print services
- Configure network services and access
- Configure a network policy server infrastructure
- Configure and manage Active Directory
- Configure and manage Group Policy
System requirements

The following are the minimum system requirements your computer needs to meet to complete the practice exercises in this book. This book is designed assuming you will be using Hyper-V—either the client version available with some editions of Windows 8, Windows 8.1 or the version available in Windows Server 2012 or Windows Server 2012 R2. You can use other virtualization software instead, such as VirtualBox or VMWare Workstation, but the practice setup instructions assume that you are using Hyper-V.

Hardware and software requirements

This section presents the hardware requirements for Hyper-V and the software requirements.

Virtualization hardware requirements

If you choose to use virtualization software, you need only one physical computer to perform the exercises in this book. That physical host computer must meet the following minimum hardware requirements:

- x64-based processor that includes both hardware-assisted virtualization (AMD-V or Intel VT) and hardware data execution protection. (On AMD systems, the data execution protection feature is called the No Execute or NX bit. On Intel systems, this feature is called the Execute Disable or XD bit.) These features must also be enabled in the BIOS. (Note: You can run Windows Virtual PC without Intel-VT or AMD-V.) If you want to use Hyper-V on Windows 8 or Windows 8.1, you need a processor that supports Second Level Address Translation (SLAT).
- 8 GB of RAM (more is recommended).
- 80 GB of available hard disk space.
- Internet connectivity.

Software requirements

The following software is required to complete the practice exercises:

Virtual Machine setup instructions

The instructions for building the virtual machine environment that allow you to perform the exercises in this book are located here.

This set of exercises contains abbreviated instructions for setting up the SYD-DC, MEL-DC, ADL-DC, and CBR-DC computers used in the practice exercises in all chapters of this training guide. To perform these exercises, first install Windows Server 2012 R2 Standard edition using the default configuration, setting the administrator password to Pa$$w0rd.

Exercise 1: SYD-DC to function as a Windows Server 2012 R2 domain controller

1. Log on to the first computer on which you have installed Windows Server 2012 R2 using the Administrator account and the password Pa$$w0rd.
2. Open an elevated PowerShell prompt and issue the following commands:
   New-NetIPAddress -InterfaceAlias Ethernet -IPAddress 10.10.10.10 -PrefixLength 24
   Rename-Computer SYD-DC
   Restart-Computer
3. Restart the computer and log back on using the Administrator account.
4. Open an elevated PowerShell prompt and issue the following command:
   Install-WindowsFeature AD-Domain-Services -IncludeManagementTools
5. Open the Server Manager console. Click the Refresh icon.
6. Click on the Notifications icon and then click Promote This Server to Domain Controller.
7. On the Deployment Configuration page, choose Add a New Forest. Enter Contoso.com as the root domain name and then click Next.
8. On the Domain Controller Options page, configure the following settings and then click Next:
   ■ Forest Functional Level: Windows Server 2012 R2
   ■ Domain Functional Level: Windows Server 2012 R2
   ■ Domain Name System (DNS) Server: Enabled
   ■ Global Catalog: Enabled
   ■ DSRM Password: Pa$$w0rd
9. On the DNS Options page, click Next.
10. On the Additional Options page, click Next.
11. Accept the default settings for the Database, Log Files, and SYSVOL locations and click Next.
13. On the Prerequisites Check page, click Install.
14. The computer will restart automatically.

Exercise 2: Prepare Active Directory Domain Server (AD DS)
1. Log on to server SYD-DC using the Administrator account.
2. Using Active Directory Users and Computers, create a user account named don_funk in the Users container and assign the account the password Pa$$w0rd. Configure the password to never expire. Add this user account to the Enterprise Admins, Domain Admins, and Schema Admins groups.
3. Open the DNS console and create a primary IPv4 Reverse Lookup Zone for the subnet 10.10.10.x. Ensure that the zone is stored within AD DS and is replicated to all DNS servers running on domain controllers in the forest and allows only secure dynamic updates.
4. Open an elevated PowerShell prompt and issue the following command:
   ```powershell
   Set-DNSClientServerAddress -InterfaceAlias Ethernet -ServerAddresses 10.10.10.10
   ```

Exercise 3: Prepare ADL-DC
1. Ensure that computer SYD-DC is turned on and connected to the network or virtual network to which the second computer is connected.
2. Log on to the second computer on which you have installed Windows Server 2012 R2 using the Administrator account and the password Pa$$w0rd.
3. Open an elevated PowerShell prompt and issue the following commands:
   ```powershell
   New-NetIPAddress -InterfaceAlias Ethernet -IPAddress 10.10.10.20 -PrefixLength 24
   Set-DNSClientServerAddress -InterfaceAlias Ethernet -ServerAddresses 10.10.10.10
   Rename-Computer ADL-DC
   Restart-Computer
   ```
4. After the computer restarts, log on again using the Administrator account.
5. Shut down the computer.
Exercise 4: Prepare CBR-DC

1. Ensure that computer SYD-DC is turned on and connected to the network or virtual network to which the second computer is connected.

2. Log on to the third computer on which you have installed Windows Server 2012 R2 using the Administrator account and the password Pa$$w0rd.

3. Open an elevated PowerShell prompt and issue the following commands:
   
   ```
   New-NetIPAddress -InterfaceAlias Ethernet -IPAddress 10.10.10.30 -PrefixLength 24
   Set-DNSClientServerAddress -InterfaceAlias Ethernet -ServerAddresses 10.10.10.10
   Rename-Computer CBR-DC
   Restart-Computer
   ```

4. Restart the computer and then log on again using the Administrator account.

5. Shut down the computer.

Exercise 5: Prepare MEL-DC

1. Ensure that computer SYD-DC is turned on and connected to the network or virtual network to which the second computer is connected.

2. Log on to the third computer on which you have installed Windows Server 2012 R2 using the Administrator account and the password Pa$$w0rd.

3. Open an elevated PowerShell prompt and issue the following commands:

   ```
   New-NetIPAddress -InterfaceAlias Ethernet -IPAddress 10.10.10.40 -PrefixLength 24
   Set-DNSClientServerAddress -InterfaceAlias Ethernet -ServerAddresses 10.10.10.10
   Rename-Computer MEL-DC
   Restart-Computer
   ```

4. Restart the computer and then log on again using the Administrator account.

5. Shut down the computer.

Exercise 6: Checkpoint all virtual machines

1. Checkpoint all virtual machines. This is the state that they need to be in prior to performing exercises. Checkpoints were termed snapshots in prior versions of Hyper-V.

Acknowledgments

I'd like to thank the following people for their dedication and help in getting this book written: Karen Szall, Troy Mott, Telmo Sampaio and Christina Rudloff.
Errata, updates, & book support

We’ve made every effort to ensure the accuracy of this book. You can access updates to this book—in the form of a list of submitted errata and their related corrections—at:

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CHAPTER 5

Managing Group Policy application and infrastructure

There is far more to managing Group Policy than knowing the location of specific policy items. After your environment has more than a couple of Group Policy Objects (GPOs), you have to start thinking about issues such as how they apply, who can edit them, what to do if substantive changes in policy need to be rolled back, and how you can track changes in Group Policy over time. In this chapter, you’ll learn how to back up, restore, import, and export GPOs. You’ll learn how to delegate the process of editing and applying GPOs, and how to resolve configuration problems related to the application of Group Policy.

Lessons in this chapter:

- Lesson 1: Maintaining Group Policy Object  241
- Lesson 2: Managing the application of Group Policy  253

Before you begin

To complete the practice exercises in this chapter:

- You need to have deployed computers SYD-DC, MEL-DC, and ADL-DC, as described in the Introduction, using the evaluation edition of Windows Server 2012 R2.

Lesson 1: Maintaining Group Policy Object

As an experienced systems administrator pursuing certification, you have a reasonable idea of how to use Group Policy. The administration of Group Policy doesn’t just occur at the level of configuring individual policies. In large organizations with many policies, it’s necessary to have a maintenance strategy. Ensuring that important Group Policy Objects (GPOs) are backed up and recoverable is as important as backing up and recovering other critical services such as DNS and Dynamic Host Configuration Protocol (DHCP). In this lesson, you’ll learn how to back up, restore, import, and copy GPOs. You’ll also learn how to delegate the management of GPOs.
Managing Group Policy Objects

As an experienced systems administrator, you are aware that GPOs enable you to configure settings for multiple users and computers. After you get beyond editing GPOs to configure settings, you need to start thinking about issues such as GPO maintenance. For example, if an important document is lost, you need to know how to recover it from backup. Do you know what to do if someone accidentally deletes a GPO that has hundreds of settings configured over a long period of time?

The main tool you’ll use for managing GPOs is the Group Policy Management Console (GPMC), shown in Figure 5-1. You can use this console to back up, restore, import, copy, and migrate. You can also use this console to delegate GPO management tasks.

There are also a substantial number of cmdlets available in the Windows PowerShell Group Policy module, including the following:

- **Get-GPO** Enables you to view GPOs. The output of this cmdlet is shown in Figure 5-2.
- **Backup-GPO** Enables you to back up GPOs.
- **Import-GPO** Enables you to import a backed-up GPO into a specified GPO.
- **New-GPO** Enables you to create a new GPO.
- **Copy-GPO** Enables you to copy a GPO.
- **Rename-GPO** Enables you to change a GPO’s name.
- **Restore-GPO** Enables you to restore a backed-up GPO to its original location.
- **Remove-GPO** Enables you to remove a GPO.

![Figure 5-2](Image)

**FIGURE 5-2** Output of the Get-GPO cmdlet

Backing up a GPO enables you to create a copy of a GPO as it exists at a specific point in time. A user must have read permission on a GPO to back it up. When you back up a GPO, the backup version of the GPO is incremented. It is good practice to back up GPOs prior to editing them so that if something goes wrong, you can revert to the unmodified GPO.

**REAL WORLD BACKING UP GPOS**

If your organization doesn’t have access to the Microsoft Desktop Optimization Pack (MDOP), you should back up GPOs before you or other people modify them. If a problem occurs, it’s quicker to restore a backup than it is to reconfigure the modified GPO with the existing settings. MDOP provides the ability to use GPO versioning as well as other advanced functionality.

To back up a GPO, perform the following steps:
1. Open the GPMC.

2. Right-click the GPO that you want to back up, and click Back Up. In the Back Up Group Policy Object dialog box, shown in Figure 5-3, enter the location of the backup and a description for the backup.

![FIGURE 5-3 Backing up a GPO](image)

You can restore a GPO using the Restore-GPO cmdlet. Restoring a GPO overwrites the current version of the GPO if one exists or re-creates the GPO if the GPO has been deleted. To restore a GPO, right-click the Group Policy Objects node in the GPMC, and click Manage Backups. In the Manage Backups dialog box, shown in Figure 5-4, select the GPO that you want to restore and click Restore. If multiple backups of the same GPO exist, you can select which version of a GPO to restore.
Import and copy GPOs

Importing a GPO enables you to take the settings in a backed-up GPO and import them into an existing GPO. To import a GPO, perform the following steps:

1. Right-click an existing GPO in the GPMC and click Import Settings.
2. In the Import Settings Wizard, you are given the option of backing up the destination GPO’s settings. This enables you to roll back the import.
3. Specify the folder that hosts the backed-up GPO.
4. On the Source GPO page of the Import Settings Wizard, shown in Figure 5-5, select the source GPO. You can view the settings that have been configured in the source GPO prior to importing it. Complete the wizard to finish importing the settings.
Remember that when you import settings from a backed-up GPO, the settings in the backed-up GPO overwrite the settings in the destination GPO.

Copying a GPO creates a new GPO and copies all configuration settings from the original to the new. You can copy GPOs from one domain to another. You can also use a migration table when copying a GPO to map security principals referenced in the source domain to security principals referenced in the destination domain.

To copy a GPO, perform the following steps:
1. Right-click the GPO that you want to copy and click Copy.
2. Right-click the location that you want to copy the GPO to and click Paste.
3. In the Copy GPO dialog box, choose between using the default permissions and preserving the existing permissions assigned to the GPO (see Figure 5-6).

Fixing GPO problems
Windows Server 2012 and Windows Server 2012 R2 include command line utilities that allow you to repair GPO after you perform a domain rename or recreate default GPOs. If you need to recreate the default GPOs for a domain, use the DCGPOFix.exe command. If you perform
a domain rename, you can use the GPFixup.exe command to repair name dependencies in GPOs and Group Policy links.

**Migrate Group Policy Objects**

When moving GPOs between domains or forests, you need to ensure that any domain-specific information is accounted for, so locations and security principals in the source domain aren’t used in the destination domain. You can account for these locations and security principals using migration tables. You use migration tables when copying or importing GPOs.

Migration tables enable you to alter references when moving a GPO from one domain to another, or from one forest to another. An example is when you are using GPOs for software deployment and need to replace the address of a shared folder that hosts a software installation file so that it is relevant to the target domain. You can open the Migration Table Editor (MTE), shown in Figure 5-7, by right-clicking Domains in the GPMC, and clicking Open Migration Table Editor.

![Migration Table Editor](image)

**FIGURE 5-7** Opening the MTE

When you use the MTE, you can choose to populate from a GPO that is in the current domain, or choose to populate the MTE from a backed-up GPO. When you perform this action, the MTE will be populated with settings that reference local objects. If, when you perform this action, there are no results, then no local locations are referenced in the GPO that you are going to migrate.

**MORE INFO WORKING WITH MIGRATION TABLES**

Delegate GPO management

In larger environments, there is more than one person in the IT department. In very large organizations, one person’s entire job responsibility might be creating and editing GPOs. Delegation enables you to grant the permission to perform specific tasks to a specific user or group of users. You can delegate some or all of the following Group Policy management tasks:

- GPO creation
- GPO modification
- GPO linking to specific sites, organizational units (OUs), or domains
- Permission to perform Group Policy Modeling analysis at the OU or domain level
- Permission to view
- Group Policy Results information at the OU, or domain level
- Windows Management Instrumentation (WMI) filter creation

Users in the Domain Admins and Enterprise Admins groups can perform all Group Policy management tasks. Users that are members of the Group Policy Creator Owners domain group can create GPOs. They also have the right to edit and delete any GPOs that they have created.

You can delegate permissions to GPOs directly using the GPMC, as shown in Figure 5-8.

**FIGURE 5-8** Group Policy permissions
Creating GPOs

If you want to delegate the ability for users to create GPOs, you can add them to the Group Policy Creator Owners group. You can also explicitly grant them permission to create GPOs using the GPMC. To do this, perform the following steps:

1. Open the GPMC from the Tools menu of Server Manager.
2. Expand the domain in which you want to delegate the ability to create GPOs, click Group Policy Objects, and click the Delegation tab.
3. Click Add and select the group or user that you want to give the ability to create GPOs in that domain.

Quick check

■■ What group should you add users to if you want to enable them to create GPOs in the domain, but not add them to the Domain Admins or Enterprise Admins groups?

Quick check answer

■■ Add them to the Group Policy Creator Owner group.

Editing GPOs

To edit a GPO, users must be either a member of the Domain Admins or Enterprise Admins group. They can edit a GPO if they created it. They can also edit a GPO if they have been given Read/Write permissions on the GPO through the GPMC.

To grant a user permission to edit a GPO, perform the following steps:

1. Click the GPO in the GPMC.
2. Click the Delegation tab, as shown in Figure 5-9.
3. Click Add, specify the user or group that should have permission to edit the GPO, and then specify the permissions that you want to give this user or group. You can choose from one of the following permissions:
   ■■ Read
   ■■ Edit Settings
   ■■ Edit Settings, Delete, Modify Security
Linking GPOs

To enable a user to link a GPO to a specific object, you need to edit the permission on that object. You can perform this task in the GPMC, as shown in Figure 5-10. For example, to grant a user or group permission to link a GPO to an OU, select the OU in the GPMC, select the Delegation tab, click Add, and then select the user or group to which you want to grant this permission.
Modeling, results, and WMI filters

Delegating permissions to perform tasks related to Group Policy Modeling and Group Policy Results is performed at the domain level, as shown in Figure 5-11. You can delegate the ability to create WMI filters by selecting the WMI Filters node in the GPMC and granting the permission on the Delegation tab.

Lesson summary

- Each time you back up a GPO, it creates a copy of that GPO at a particular point in time.
- Restoring a GPO overwrites the existing GPO if it still exists, or recovers it if it has been deleted.
- Importing a GPO overwrites the settings in the destination GPO with the settings from the imported GPO.
- Copying a GPO creates a duplicate of the GPO.
- You use migration tables when moving GPOs between domains and forests to account for local references in the source domain.
- You can delegate the permission to create, edit, and link using the GPMC. Non-administrative users can then perform some Group Policy tasks, such as editing policies, without giving them unnecessary privileges.
Lesson review

Answer the following questions to test your knowledge of the information in this lesson. You can find the answers to these questions and explanations of why each answer choice is correct or incorrect in the “Answers” section at the end of this chapter.

1. You have 200 individual GPO settings in a backed-up GPO named Melbourne-2012 that you want to include in an existing GPO named Sydney-2013. Which of the following Windows PowerShell cmdlets should you use to accomplish this goal?
   A. Backup-GPO
   B. Import-GPO
   C. Restore-GPO
   D. Copy-GPO

2. Prior to editing a Group Policy, your assistant makes a backup of the GPO that she is going to edit. Unfortunately, she makes a mistake in configuring the GPO. You need to revert the GPO to the state it was in prior to your assistant’s edits. Which of the following Windows PowerShell cmdlets should you use to accomplish this goal?
   A. Copy-GPO
   B. Restore-GPO
   C. Import-GPO
   D. Backup-GPO

3. You want to copy a GPO from one domain to another in a forest. Which tool should you use to ensure that references to objects in the source domain updated are relevant to the destination domain? (Choose all that apply.)
   A. Active Directory Sites and Services
   B. Active Directory Users and Computers
   C. Migration Table Editor
   D. Group Policy Management Editor

4. Which of the following security groups have the right to create GPOs by default? (Choose all that apply.)
   A. Group Policy Creator Owners
   B. Enterprise Admins
   C. Domain Admins
   D. Domain Controllers

5. You are about to make substantial modifications to the default domain GPO. You want to ensure that you can return to the current state of the GPO if the modifications cause problems. Which of the following Windows PowerShell cmdlets should you use?
   A. Copy-GPO
Lesson 2: Managing Group Policy application

For environments in which you need to apply more than one Group Policy, understanding the rules of precedence is critical. Not only do you need to understand that where you apply a Group Policy determines its overall influence but also that GPOs may or may not apply due to inheritance blocks, security filtering, or loopback processing. In this lesson, you’ll learn the rules on Group Policy application and how to determine which Group Policy settings have precedence in complex environments.

After this lesson, you will be able to:

- Determine policy processing order and precedence.
- Configure policy enforcement and blocking.
- Perform Group Policy security filtering.
- Configure WMI filtering.
- Enable loopback processing.
- Configure slow-link processing.

Estimated lesson time: 45 minutes

Policy processing precedence

In organizations with large Group Policy deployments, multiple GPOs might apply to a single user account or computer account; or when a user is signed on to a specific computer, to both. Group Policy processing precedence is the set of rules that determines which Group Policy items apply when multiple GPOs are configured.

Group Policies are processed in the following manner:

- **Local**  Settings configured at the local level apply first. If multiple local policies apply, settings in machine policies apply first, settings in admin and nonadmin local policies override them, and settings in per-user policies override any configured at the machine and admin/nonadmin level.

- **Site**  Policies based on location apply next. Any settings configured at the site level override settings configured at the local level. You can link multiple GPOs at the site level. When you do this, policies with a lower numerical link order override policies with a higher numerical link order. For example in Figure 5-12, settings in
the Melbourne-Computer policy override settings configured in the Melbourne-User policy.

![FIGURE 5-12 GPO link order](image)

- **Domain**  Settings applied at the domain level override settings applied at the site and local levels. You can link multiple GPOs at the domain level. The Default Domain Policy is linked at this level.

- **Organizational unit (OU)**  Settings applied at the organizational unit level override settings applied at the domain, site, and local levels. When an account is a member of a child OU, policies applied at the child OU level override policies applied at the parent OU level. You can apply multiple GPOs at the OU level. Policies with a lower numerical link order override policies with a higher numerical link order.

  Group Policy processing precedence is relevant only when there are conflicts in policies. If policy A applies at the domain level, and policy B applies at the OU level, both policy A and policy B apply.

**Policy enforcement and blocking**

When configuring a Group Policy, you can choose to enforce that policy. To enforce a Group Policy, right-click that policy at the location in which you link the policy and then click Enforced. When you choose to enforce a policy, that policy will apply and override settings configured at other levels. For example, normally a policy linked at the OU level would override a policy linked at the domain level. If you configure the policy at the domain level as Enforced, it instead overrides the policy linked at the OU level.
The *Block Inheritance* function enables you to block policies applied at earlier levels. For example, you can use Block Inheritance at the OU level to block policies applied at the domain and site level. Block Inheritance does not stop the application of policies configured as Enforced. For example, Figure 5-13 shows the Research OU configured with the Block Inheritance setting. The Melbourne-Computer policy, applied at the domain level as Enforced, still applies because a setting of Enforced overrides a setting of Block Inheritance.

**FIGURE 5-13** Override versus Enforced

**Group Policy security filtering**

*Security filtering* enables you to configure permissions on GPOs. By default, Group Policies apply to the Authenticated Users group. By changing the default permissions, you can make the Group Policy apply only to a specific group. For example, if you remove the Authenticated Users group and add another security group such as the Melbourne-Users group (shown in Figure 5-14), the Group Policy applies to only that configured security group.
When considering whether to use security filtering, keep the following in mind:

- A security filter applies to the GPO, so it applies wherever the GPO is linked. You can’t have one security filter apply to the GPO when linked at the domain level, and another security filter apply to the GPO when linked at the OU level.

- Filtered policies still need to be checked during the Group Policy processing process, which can increase the amount of time spent on Group Policy processing. Startup and logon times may increase.

It is also possible to apply a Deny permission on the basis of security account or group. Deny permissions override Allow permissions. You block a particular security group from receiving a Group Policy by setting the Apply Group Policy (Deny) advanced permission, as shown for the Sydney-Users group for the Melbourne-General GPO in Figure 5-15. You can do this on the Delegation tab of a GPO’s properties instead of the Scope tab.
Quick check

- How would you block a GPO from applying to members of a particular security group?

Quick check answer

- Configure an Apply Group Policy (Deny) advanced permission on the Delegation tab of a GPO's properties.

Group Policy WMI filtering

WMI filtering enables you to filter the application of policy based on the results of a WMI query. For example, you might write a WMI query to determine whether a computer has an x86 or x64 processor, or whether there is more than a certain amount of disk space available. WMI queries are often used with policies related to software deployment to determine whether the target computer has the appropriate system resources to support the installation of the application.

The drawback of WMI queries is that they are complicated for systems administrators who are unfamiliar with programming beyond simple scripting. WMI queries also cause significant delays in Group Policy processing. In environments in which sophisticated logic needs to be applied to targeted application distribution, products such as Microsoft System Center 2012 Configuration Manager are more appropriate. System Center 2012 Configuration Manager enables administrators performing software deployment to configure ways of checking hardware configuration prior to software deployment that do not require writing queries in WMI Query Language (WQL).
You can create WMI filters by using the New WMI Filter dialog box (shown in Figure 5-16).

**FIGURE 5-16** Creating a WMI filter

**MORE INFO  WMI QUERIES**


### Loopback processing

As you are aware, each GPO has two distinct sections: Computer Configuration and User Configuration (see Figure 5-17). The resultant policies for a user are based on the cumulative user configuration settings in GPOs that apply to the user’s accounts at the site, domain, and OU setting. The resultant computer policies are applied based on the cumulative computer configuration settings in GPOs that apply to the computer’s account at the site, domain, and OU level.

**FIGURE 5-17** GPO structure
In some situations, you’ll want only the GPOs that apply to the computer account to apply. You might want to do this with conference room computers, for which you want people to be able to sign on with domain accounts but to have a very controlled configuration. When you enable loopback processing, user settings are determined based on the settings in the User Configuration settings area of GPOs that apply to the computer account.

There are two types of loopback processing that you can configure by setting the Group Policy loopback processing mode policy, shown in Figure 5-18, and located under Computer Configuration\Administrative Templates\System\Group Policy: Replace And Merge.

- **Replace** When you configure Replace, only the GPOs that apply to the computer account will apply. Settings in the User Configuration area of the GPOs that apply to the computer account will apply.

- **Merge** The settings in the User Configuration area of GPOs that apply to the user account will still apply, but will be overridden by settings in the User Configuration area of GPOs that apply to the computer account.

![Figure 5-18 Loopback processing policy](image)
Slow-link processing enables you to configure Group Policy application to be performed in a different manner, depending on the speed of the connection from the client to the domain controller. It enables you to block activities such as software deployment when the connection between Active Directory and the client is detected as falling below a particular threshold. You configure slow link detection by configuring the Group Policy slow link detection policy, as shown in Figure 5-19. This policy is located under Computer Configuration\Administrative Templates\System\Group Policy. When a slow link is detected, registry settings from administrative templates, security policies, EFS recovery policy, and IPSec policies are applied. Policies related to application deployment, scripts, folder redirection, and disk quotas will not be applied.

**FIGURE 5-19** Slow link detection

**Group Policy caching**

Group Policy caching reduces the amount of time taken to process Group Policy during computer startup and user sign on. Rather than retrieve the Group Policies that apply to the computer from a domain controller when a computer starts up or a user signs on, the client will use a cached copy of the last Group Policies downloaded from the domain controller. After this initial application of the cached policies during startup and user sign on, policies will
be retrieved and applied normally from a domain controller. You enable Group Policy caching by configuring the Configure Group Policy Caching policy as shown in Figure 5-20. This policy is located under Computer Configuration\Policies\Administrative Templates\System\Group Policy. Group Policy caching applies only to computers running Windows Server 2012 R2, Windows 8.1, or Windows RT 8.1.

**FIGURE 5-20** Configure Group Policy caching

**MORE INFO GROUP POLICY CACHING**
You can learn more about Group Policy caching by reading this blog post by Group Policy MVP Darren Mar-Elia at http://sdmsoftware.com/group-policy-blog/group-policy/understanding-group-policy-caching-in-windows-8-1/.

**Force Group Policy update**
Windows Server 2012 and later support remote Group Policy update. Remote Group Policy update allows you to force a remote computer to perform a Group Policy update without having to sign on to the computer and run the GPUpdate.exe command. Remote Group
Policy update will work on clients running the Windows Vista and later operating system. Remote Group Policy requires the following firewall rules be enabled on clients:

- Remote Scheduled Tasks Management (RPC)
- Remote Scheduled Tasks Management (RPC-EPMAP)
- Windows Management Instrumentation (WMI-In)

You can run remote Group Policy update from the Group Policy Management Console by right-clicking on a container or OU. An update will run on all computers within the container or OU as well as on any computer accounts stored within child OUs. Figure 5-21 shows the result of running remote Group Policy update on the Domain Controllers container. You can also use the Invoke-GPUpdate Windows PowerShell cmdlet to trigger a remote Group Policy update. The advantage of the Windows PowerShell cmdlet is that you can target a specific computer rather than all computer accounts in an OU.

FIGURE 5-21 Remote Group Policy update
MORE INFO  USING REMOTE GPUPDATE


Lesson summary

- Group Policies are processed in the following order: local, site, domain, and OU. Policies processed later override policies processed earlier.
- When there are parent and child OUs, and the user or computer account is a member of the child OU, the policy applied at the child OU overrides policies applied at the parent OU.
- Policy processing order is important only when policies conflict.
- A policy with the Override setting will override other policies in the processing order, including when Block Inheritance has been configured.
- Security filtering applies on a GPO, no matter where it is linked.
- Loopback processing enables GPO settings applied to the computer account to override GPO settings applied to the user account.
- Slow-link processing enables you to configure policies not to be processed when low bandwidth connections to Active Directory are detected.
- Group Policy caching allows cached copies of GPOs that apply to users and computers to be applied at startup and sign on.
- Remote Group Policy update allows you to force a Group Policy update on a remote client. Remote Group Policy update requires that 3 firewall rules be configured on clients.

Lesson review

Answer the following questions to test your knowledge of the information in this lesson. You can find the answers to these questions and explanations of why each answer choice is correct or incorrect in the “Answers” section at the end of this chapter.

1. You want to ensure that a Group Policy applies only to computers that have more than 2 gigabytes (GB) of disk space. Which of the following should you configure to accomplish this goal?
   A. Security filtering
   B. WMI filtering
   C. Loopback processing
   D. Slow-link processing
2. A Group Policy named Alpha applies at the site level. A Group Policy named Beta is assigned link order 2 at the domain level. A Group Policy named Gamma is assigned link order 1 at the domain level. A Group Policy named Delta is assigned to the Research OU. A computer account is located in the Research OU. If the same setting is configured differently in the Alpha, Beta, Gamma, and Delta GPOs, which GPO’s version of this setting will apply to the computer?

A. Alpha  
B. Beta  
C. Gamma  
D. Delta

3. A Group Policy named Alpha applies at the site level. A Group Policy named Beta is assigned link order 2 at the domain level. A Group Policy named Gamma is assigned link order 1 at the domain level. A Group Policy named Delta is assigned to the Research OU. GPO Gamma is configured with the No Override setting. If the same setting is configured differently in the Alpha, Beta, Gamma, and Delta GPOs, which GPO’s version of this setting will apply to the computer?

A. Alpha  
B. Beta  
C. Gamma  
D. Delta

4. A Group Policy named Alpha applies at the site level. A Group Policy named Beta is assigned link order 2 at the domain level. A Group Policy named Gamma is assigned link order 1 at the domain level. A Group Policy named Delta is assigned to the Research OU. A computer account is located in the Research OU. GPO Beta is configured with the No Override setting. OU Research is configured with the Block Inheritance setting. If the same setting is configured differently in GPOs Alpha, Beta, Gamma, and Delta, which GPO’s version of this setting will apply to the computer?

A. Alpha  
B. Beta  
C. Gamma  
D. Delta

5. You have a policy applied at the domain level that you don’t want applied to five computers in your organization. Which of the following should you configure to accomplish this goal?

A. Security filtering  
B. WMI filtering  
C. Loopback processing  
D. Slow-link processing
Practice exercises

The goal of this section is to provide you with hands-on practice with the following:

■ Creating, backing up, and restoring GPOs
■ Delegating GPO permissions
■ Enabling loopback processing
■ Configuring blocking and enforcement
■ Configuring GPO security filtering

To perform the exercises in this section, you need access to an evaluation version of Windows Server 2012 R2. You should also have access to virtual machines SYD-DC, MEL-DC, CBR-DC, and ADL-DC, the setup instructions for which are described in the Introduction. You should ensure that you have a checkpoint of these virtual machines that you can revert to at the end of the practice exercises. You should revert the virtual machines to this initial state prior to beginning these exercises.

Exercise 1: Prepare GPOs, security groups, and OUs

In this exercise, you prepare GPOs. To complete this exercise, perform the following steps:

1. Sign in to SYD-DC with the Contoso\Administrator account.
2. In Server Manager, click the Tools menu, and click Group Policy Management.
3. Expand the Forest: Contoso.com\Domains\Contoso.com node and click Group Policy Objects, as shown in Figure 5-22.

![Figure 5-22 Clicking Group Policy Objects](image-url)
4. On the Action menu, click New.
5. In the New GPO dialog box, type Melbourne, as shown in Figure 5-23, and click OK.

![New GPO dialog box](image)

**FIGURE 5-23** New GPO dialog box

6. Repeat steps 4 and 5 to create new GPOs named Sydney and Adelaide.
7. Verify that there are five GPOs listed, as shown in Figure 5-24.

![Group Policy Management](image)

**FIGURE 5-24** Three new GPOs

8. In Server Manager, click Active Directory Administrative Center.
9. In Active Directory Administrative Center, click Contoso (Local), and then click Users, as shown in Figure 5-25.
10. In the Tasks pane, click New, and click Group.

11. In the Create Group dialog box, type the group name **Melbourne_GPO_Editors**; click Security, Global, and Protect From Accidental Deletion, as shown in Figure 5-26; then click OK.
12. Repeat steps 10 and 11 to create the Adelaide_Computers security group.
13. In the Active Directory Administrative Center, in the Tasks pane, under Contoso (Local), click New, and then click Organizational Unit.
14. In the Create Organizational Unit dialog box, type the name **Melbourne_Computers**, as shown in Figure 5-27, and click OK.

![Create Organizational Unit: Melbourne_Computers](image)

**FIGURE 5-27** Create Organizational Unit dialog box

15. Close the Active Directory Administrative Center.
16. On the taskbar, click File Manager.
17. In File Manager, click Computer, and then double-click Local Disk (C:).
18. On the title bar of the Local Disk (C:) window, click the New Folder icon.
19. Name the new folder **GPO_Backup**.
20. Close the Local Disk (C:) window.

**Exercise 2: Manage GPOs**

In this exercise, you perform several Group Policy management-related tasks. To complete this exercise, perform the following steps:

1. In the GPMC, click the Melbourne GPO.
2. When the Melbourne GPO is selected, click the Delegation tab, as shown in Figure 5-28.

4. In the Select User, Computer, Or Group dialog box, type **Melbourne_GPO_Editors**, click Check Names, and click OK.

5. In the Add Group Or User dialog box, use the drop-down menu to select Edit Settings, Delete, Modify Security, as shown in Figure 5-29, and click OK.

6. In the GPMC, click the Sydney GPO.


8. In the Back Up Group Policy Object dialog box, type **C:\GPO_Backup** as the location, as shown in Figure 5-30, and click Back Up.
9. In the Backup dialog box, click OK.
10. In the GPMC, click the Sydney GPO.
11. On the Action menu, click Delete.
12. In the Group Policy Management dialog box, click Yes.
13. Verify that the Sydney GPO is no longer listed under Group Policy Objects, as shown in Figure 5-31.

15. In the Manage Backups dialog box, click the Sydney GPO, as shown in Figure 5-32, and click Restore.

![Manage Backups dialog box](image)

**FIGURE 5-32** Manage Backups dialog box

16. In the Group Policy Management dialog box, click OK.

17. In the Restore dialog box, click OK.

18. In the Manage Backups dialog box, click Close.

19. Verify the presence of the Sydney GPO in the list of Group Policy Objects.

**Exercise 3: Manage Group Policy processing**

In this exercise, you perform Group Policy management tasks related to Group Policy processing. To complete this exercise, perform the following steps:

1. In the GPMC, click the Adelaide GPO.

2. On the Action menu, click Edit.

3. In the Group Policy Management Editor, expand the Computer Configuration\Administrative Templates\System\Group Policy node and select the Configure User Group Policy loopback processing mode policy, as shown in Figure 5-33.
4. On the Action menu, click Edit.

5. In the Configure User Group Policy Loopback Processing Mode dialog box, click Enabled. Set the mode to Replace, as shown in Figure 5-34, and click OK.
6. Close the Group Policy Management Editor.
7. In the GPMC, click the Adelaide GPO, and click the Scope tab.
8. On the Scope tab, click the Authenticated Users group, and click Remove.
9. In the Group Policy Management dialog box, click OK.
11. In the Select User, Computer, Or Group dialog box, type Adelaide_Computers, click Check Names, and click OK.
12. Verify that the security filtering properties of the Adelaide GPO match those in Figure 5-35.

![FIGURE 5-35 Configuring security filtering properties](image)

13. In the GPMC, click Contoso.com, and click the Linked Group Policy Objects tab.
14. Click Contoso.com. On the Action menu, click Link An Existing GPO.
15. In the Select GPO dialog box, click Adelaide, as shown in Figure 5-36, and click OK.
16. In the GPMC, verify that the Adelaide GPO and the Default Domain Policy GPO are linked to the domain, as shown in Figure 5-37.

Exercise 4: Group Policy inheritance and enforcement

In this exercise, you will perform Group Policy management tasks related to Group Policy processing. To complete this exercise, perform the following steps:
1. In the GPMC, click the Melbourne_Computers OU.
2. On the Action menu, click Block Inheritance.
3. In the GPMC, click Contoso.com.
4. On the Action menu, click Link An Existing GPO.
5. In the Select GPO dialog box, click Melbourne, and then click OK.
6. Click the Melbourne GPO under Contoso.com.
7. On the Action menu, click Enforced.
8. Verify that the GPMC shows the Melbourne policy as Enforced and the Melbourne_Computers OU set to Block Inheritance, as shown in Figure 5-38.

![Figure 5-38: Block Inheritance and Enforced GPOs](image)

9. In the GPMC, click the Group Policy Modeling node.
13. On the User And Computer Selection page, click Browse next to Container in the Computer Information section.
14. In the Choose Computer Container dialog box, click Melbourne_Computers, and click OK.
15. Verify that the User And Computer Selection page matches Figure 5-39, and click Next.
16. On the Summary Of Selections page, click Next, and then click Finish.
17. In the Warning dialog box, click OK.
18. Verify that the report for the Melbourne_Computers OU matches Figure 5-40, and that only the Melbourne GPO is listed.
Suggested practice exercises

The following additional practice exercises are designed to give you more opportunities to practice what you've learned and to help you successfully master the lessons presented in this chapter.

- **Exercise 1** Configure GPO settings in the Melbourne GPO. Import these settings into the Sydney GPO.
- **Exercise 2** Configure the Melbourne GPO so that it will not apply to members of the Adelaide_Computers group.
Answers

This section contains the answers to the lesson review questions in this chapter.

Lesson 1

1. **Correct answer: B**
   A. **Incorrect.** You use the Backup-GPO cmdlet to back up an existing GPO.
   B. **Correct.** You use the Import-GPO cmdlet to import settings from a backed-up GPO to an existing target GPO.
   C. **Incorrect.** You use the Restore-GPO cmdlet to restore a backed-up GPO to a previous state.
   D. **Incorrect.** You use the Copy-GPO cmdlet to create a copy of an existing GPO.

2. **Correct answer: B**
   A. **Incorrect.** You use the Copy-GPO cmdlet to create a copy of an existing GPO.
   B. **Correct.** You use the Restore-GPO cmdlet to restore a backed-up GPO to a previous state.
   C. **Incorrect.** You use the Import-GPO cmdlet to import settings from a backed-up GPO to an existing target GPO. Although it would import the settings from the backed-up GPO, it is possible that other settings not included in the original backed-up GPO were configured by your assistant.
   D. **Incorrect.** You use the Backup-GPO cmdlet to back up an existing GPO.

3. **Correct answer: C**
   A. **Incorrect.** You use the Active Directory Sites and Services console to manage Active Directory sites. You can’t use this console to configure GPO migration settings.
   B. **Incorrect.** You use this console to manage Active Directory security principals and containers. You can’t use this console to configure GPO migration settings.
   C. **Correct.** You use this tool to configure the migration table, which is necessary when migrating objects from one domain or forest to another.
   D. **Incorrect.** You use this to edit GPOs. You can’t use this console to configure GPO migration settings.

4. **Correct answers: A, B, and C**
   A. **Correct.** Members of the Group Policy Creator Owners group can create GPOs by default.
   B. **Correct.** Members of the Enterprise Admins group can create GPOs by default.
   C. **Correct.** Members of the Domain Admins group can create GPOs by default.
D. Incorrect. The Domain Controllers group is a group for the accounts of domain controllers. It does not grant any permissions on GPOs.

5. **Correct answer: D**
   
   A. Incorrect. You use the Copy-GPO cmdlet to create a copy of an existing GPO. It does not allow you to revert the default domain GPO to its original state.
   
   B. Incorrect. You use the Restore-GPO cmdlet to restore a backed-up GPO to a previous state. You need to create the backup first.
   
   C. Incorrect. You use the Import-GPO cmdlet to import settings from a backed-up GPO to an existing target GPO.
   
   D. Correct. You use the Backup-GPO cmdlet to back up an existing GPO.

**Lesson 2**

1. **Correct answer: B**
   
   A. Incorrect. You use Security Filtering to filter GPO application based on security group membership.
   
   B. Correct. You can use a WMI query to filter GPO application based on the properties of a target computer, such as how much disk space it has available.
   
   C. Incorrect. You use loopback processing to enforce settings that apply to the computer account rather than the user account.
   
   D. Incorrect. You use slow-link processing to configure Group Policy not to apply across low-bandwidth connections.

2. **Correct answer: D**
   
   A. Incorrect. In this scenario, GPO Delta has precedence over the other GPOs.
   
   B. Incorrect. In this scenario, GPO Delta has precedence over the other GPOs.
   
   C. Incorrect. In this scenario, GPO Delta has precedence over the other GPOs.
   
   D. Correct. In this scenario, GPO Delta has precedence over the other GPOs.

3. **Correct answer: C**
   
   A. Incorrect. In this scenario, the No Override setting on GPO Gamma means that it has precedence.
   
   B. Incorrect. In this scenario, the No Override setting on GPO Gamma means that it has precedence.
   
   C. Correct. In this scenario, the No Override setting on GPO Gamma means that it has precedence.
   
   D. Incorrect. In this scenario, the No Override setting on GPO Gamma means that it has precedence.
4. **Correct answer: B**
   
   A. **Incorrect.** No Override settings override Block Inheritance, so the setting in GPO Beta applies to the computer.
   
   B. **Correct.** No Override settings override Block Inheritance, so the setting in GPO Beta applies to the computer.
   
   C. **Incorrect.** No Override settings override Block Inheritance, so the setting in GPO Beta applies to the computer.
   
   D. **Incorrect.** No Override settings override Block Inheritance, so the setting in GPO Beta applies to the computer.

5. **Correct answer: A**
   
   A. **Correct.** You use Security Filtering to filter GPO application based on security group membership. In this case, you configure the Apply Group Policy (Deny) advanced permission.
   
   B. **Incorrect.** You can use a WMI query to filter GPO application based on the properties of a target computer, such as how much disk space it has available.
   
   C. **Incorrect.** You use loopback processing to enforce settings that apply to the computer account rather than the user account.
   
   D. **Incorrect.** You use slow-link processing to configure Group Policy not to apply across low-bandwidth connections.
Monitoring and auditing

Properly monitoring servers is a critical component in administering them. If you monitor servers correctly, you’ll know well in advance if the server is under resource pressure from lack of disk space, RAM, or processor resources. You’ll be able to deal with those issues before they start to affect the people that use the servers on a day-to-day basis. Auditing servers enables you to track object access and configuration changes, from modifications to security settings, to users who are accessing a particularly sensitive spreadsheet.

In this chapter, you will learn how to monitor and configure auditing for computers running the Windows Server 2012 and Windows Server 2012 R2 operating system.

Lessons in this chapter:
- Lesson 1: Monitoring servers  591
- Lesson 2: Configuring advanced audit policies  614

Before you begin

To complete the practice exercises in this chapter:
- You need to have deployed computers SYD-DC, MEL-DC, and ADL-DC, as described in the Introduction, using the evaluation edition of Windows Server 2012 R2.

Lesson 1: Monitoring servers

Unwatched servers, like unwatched children, invariably end up in a chaotic state. Monitoring a server using data collector sets, alerts, and events enables you to keep an eye on the server’s performance and configuration. Although effective monitoring is unlikely to stop a server from ever experiencing problems, it often provides warning signs about developing problems, giving you a chance to resolve them before they cause a service disruption. In this lesson, you learn how to configure data collector sets, manage alerts, monitor events, and perform network monitoring.
After this lesson, you will be able to:

■ Configure data collector sets.
■ Manage alerts.
■ Monitor events.
■ Configure event subscriptions.
■ Attach event-driven tasks
■ Perform network monitoring.

Estimated lesson time: 45 minutes

Configuring data collector sets

*Data collector sets* enable you to collect performance data, system configuration information, and statistics into a single file. You can use Performance Monitor or other third-party tools to analyze this information to make a determination about how well a server is functioning against an assigned workload.

You can configure data collector sets to include the following:

■ **Performance counter data**  The data collector set not only includes specific performance counters but also the data generated by those counters.

■ **Event trace data**  Enables you to track events and system activities. Event trace data can be useful when troubleshooting misbehaving applications or services.

■ **System configuration information**  Enables you to track the state of registry keys and record any modifications made to those keys.

Windows Server 2012 and Windows Server 2012 R2 include the following built-in data collector sets, as shown in Figure 10-1.
**Active Directory diagnostics**  Available if you have installed the computer as a domain controller; it provides data on Active Directory health and reliability.

**System diagnostics**  Enables you to troubleshoot problems with hardware, drivers, and STOP errors.

**System performance**  Enables you to diagnose problems with sluggish system performance. You can determine which processes, services, or hardware may be causing performance bottlenecks.

To create a data collector set, perform the following steps:

1. Open Performance Monitor from the Tools menu of the Server Manager console.
2. Expand Data Collector Sets.
3. Click User Defined. On the Action menu, click New, and click Data Collector Set.
4. You are given the option of creating the data collector set from a template, which enables you to select from an existing data collector set, or to create a data collector set manually. If you choose to create a data collector set manually, you have the option of creating a data log, which can include a performance counter, event trace data, and system configuration information; or a performance counter alert. This choice is shown in Figure 10-2.
5. If you select Performance Counter, you then choose which performance counters to add to the data collector set. You also specify how often Windows should collect data from the performance counters. Figure 10-3 shows data being collected once every 15 seconds.
6. If you choose to include event trace data, you need to enable event trace providers. As Figure 10-4 shows, a large number of event trace providers are available with Windows Server 2012 R2. You use event trace providers when troubleshooting a specific problem. For example, the Microsoft Windows-AppLocker event trace provider helps you diagnose and troubleshoot issues related to AppLocker.

![Event Trace Providers](image)

**FIGURE 10-4** Event trace providers

7. If you choose to monitor system configuration information, you can select registry keys to monitor, as shown in Figure 10-5. Selecting a parent key enables you to monitor all registry changes that occur under that key while the data collector set is running.
8. You then specify where you want data collected by the data collector set to be stored. The default location is the %systemdrive%\PerfLogs\Admin folder. If you intend to run the data collector set for an extended period of time, you should store the data on a volume separate from the one that hosts the operating system.

9. The final step in setting up a data collector set is to specify the account under which the data collector set runs. The default is Local System, but you can configure the data collector set to use any account for which you have the credentials.

   You can schedule when a data collector set runs by configuring the Schedule tab of a data collector set’s properties as shown in Figure 10-6.

**FIGURE 10-5** Setting registry keys to record
FIGURE 10-6 Configure data collector set schedule

MORE INFO DATA COLLECTOR SETS


Managing alerts

Performance counter alerts enable you to configure a task to run when a performance counter, such as available disk space or memory, falls under or exceeds a specific value. To configure a performance counter alert, you create a new data collector set, choose the Create Manually option, and select the Performance Counter Alert option, as shown in Figure 10-7.
You add the performance counter, threshold value, and whether the alert should be triggered if the value exceeds or falls below this value. Figure 10-8 shows an alert that is triggered when the amount of available memory falls below 512 megabytes.
When you create an alert, all it does when triggered is to add an event to the event log. You can also configure an alert to run a scheduled task when triggered. You do this by editing the properties of the alert and specifying the name of the scheduled task on the Task tab, as shown in Figure 10-9.

![Figure 10-9: Running a scheduled task](image)

**Monitoring events with viewer**

*Event Viewer*, shown in Figure 10-10, enables you to access recorded event information. The Windows Server 2012 and Windows Server 2012 R2 Event Viewer differs from the Event Viewer in earlier versions of the Windows Server operating system, such as Windows Server 2003, in that it not only offers the application, security, setup, and system logs, but it also contains separate application and service logs. These logs are designed to provide information on a per-role or per-application basis, rather than having all application and role service-related events funneled into the application log. When searching for events related to a specific role service, feature, or application, check to see whether that role service, feature, or application has its own application log.
Event log filters

Filters and event logs enable you to view only those events that have specific characteristics. Filters apply only to the current Event Viewer session. If you constantly use a specific filter or set of filters to manage event logs, you should instead create a custom view. Filters apply only to a single event log. You can create filters on a log based on the following properties:

- **Logged** Enables you to specify the time range for the filter.
- **Event Level** Enables you to specify event levels. You can choose the following options: Critical, Warning, Verbose, Error, and Information.
- **Event Sources** Enables you to choose the source of the event.
- **Event IDs** Enables you to filter based on event ID. You can also exclude specific event IDs.
- **Keywords** Enables you to specify keywords based on the contents of events.
- **User** Enables you to limit events based on user.
- **Computer** Enables you to limit events based on the computer.
To create a filter, perform the following steps:

1. Open Event Viewer and select the log that you want to filter.
2. Determine the properties of the event that you want to filter.
3. On the Actions pane, click Filter Current Log.
4. In the Filter Current Log dialog box, shown in Figure 10-11, specify the filter properties.

![Filter Current Log dialog box](image)

**FIGURE 10-11** Specifying filter properties

**Event log views**

*Event log views* enable you to create customized views of events across any event log stored on a server, including events in the forwarded event log. Rather than looking through each event log for specific items of interest, you can create event log views that target only those specific items. Event Viewer includes a custom view named Administrative Events. This view displays critical, warning, and error events from a variety of important event logs such as the application, security, and system logs.

Views differ from filters in the following ways:

- **Persistent** You can use a view across multiple Event Viewer sessions. If you configure a filter on a log, it is not available the next time you open the Event Viewer.

- **Include multiple logs** A custom view can display events from separate logs. Filters are limited to displaying events from one log.

- **Exportable** You can import and export event log views between computers.
Creating an event log view is a similar process to creating a filter. The primary difference is that you can select events from multiple logs, and you give the event log view a name and choose a place to save it. To create an event log view, perform the following steps:

1. Open Event Viewer.
2. Click the Custom Views node, and then click Create Custom View from the Actions menu.
3. In the Create Custom View dialog box, shown in Figure 10-12, select the properties of the view, including:
   - When the events are logged
   - The event level
   - Which event log to draw events from
   - Event source
   - Task category
   - Keywords
   - User
   - Computer

![Create Custom View dialog box](image)

**FIGURE 10-12** Creating a custom view

4. In the Save Filter To Custom View dialog box, enter a name for the custom view and a location in which to save the view (see Figure 10-13). Click OK.
5. Verify that the new view is listed as its own separate node in the Event Viewer.

You can export a custom event log view by selecting the event log view and clicking Export Custom View. Exported views can be imported on other computers running Windows Server 2012 and Windows Server 2012 R2.

**MORE INFO** EVENT LOG VIEWS

For more information about event log views, consult the following TechNet article at http://technet.microsoft.com/en-us/library/cc766522.aspx.

**Configuring event subscriptions**

*Event log forwarding* enables you to centralize the collection and management of events from multiple computers. Rather than having to examine the event log of each computer by making a remote connection to that computer, event log forwarding enables you to do one of the following:

- Configure a central computer to collect specific events from source computers. Use this option in environments in which you need to consolidate events from only a small number of computers.

- Configure source computers to forward specific events to a collector computer. Use this option when you have a large number of computers from which you want to consolidate events. You configure this method using Group Policy.

Event log forwarding enables you to configure the specific events that are forwarded to the central computer. This enables the computer to forward important events. It isn’t
necessary to forward all events from the source computer. If you discover something that warrants further investigation from the forwarded traffic, you can log on to the original source computer and view all the events from that computer in a normal manner.

**REAL WORLD OPERATIONS MANAGER**

In large environments, you use Microsoft System Center 2012 R2 Operations Manager as a way of monitoring large numbers of computers for important events, instead of searching through the event log manually looking for events that require further investigation.

Event log forwarding uses Windows Remote Management (WinRM) and the Windows Event Collector (wecsvc). You need to enable these services on computers that function as event forwarders and event collectors. You configure WinRM using the `winrm quickconfig` command. You configure wecsvc using the `wecutil qc` command. If you want to configure subscriptions from the security event log, you need to add the computer account of the collector computer to the local Administrators group on the source computer.

To configure a collector-initiated event subscription, configure WinRM and Windows Event Collector on the source and collector computers. In the Event Viewer, configure the Subscription Properties dialog box, shown in Figure 10-14, with the following information:

- **Subscription Name** The name of the subscription.
- **Destination Log** The log where collected events will be stored.
- **Subscription Type And Source Computers: Collector Initiated** Use the Select Computers dialog box to add the computers that the collector will retrieve events from. The collector must be a member of the local Administrators group or the Event Log Readers group on each source computer, depending on whether access to the security log is required.
- **Events To Collect** Create a custom view to specify which events are retrieved from each of the source computers.
If you want to instead configure a source computer-initiated subscription, you need to configure the following group policies on the computers that will act as the event forwarders:

- **Configure Forwarder Resource Usage**  This policy determines the maximum event forwarding rate in events per second. If this policy is not configured, events will be transmitted as soon as they are recorded.

- **Configure Target Subscription Manager**  This policy enables you to set the location of the collector computer.

**MORE INFO  EVENT SUBSCRIPTIONS**


Both of these policies are located in the Computer Configuration\Policies\Administrative Templates\Windows Components\Event Forwarding node. When configuring the subscription, you must also specify the computer groups that hold the computer accounts of the computers that will be forwarding events to the collector. You do this in the Computer Groups dialog box, as shown in Figure 10-15.
Attaching event-driven tasks

Event Viewer enables you to attach tasks to specific events. A drawback to the process of creating event-driven tasks is that you need to have an example of the event that triggers the task already present in the event log. Events are triggered based on an event having the same log, source, and event ID.

To attach a task to a specific event, perform the following steps:

1. Open Event Viewer. Locate and select the event upon which you want to base the new task.

2. On the Event Viewer Actions pane, click Attach Task To This Event. The Create Basic Task Wizard displays.

3. On the Create A Basic Task page, review the name of the task that you want to create. By default, the task is named after the event. Click Next.
4. On the When An Event is Logged page, review the information about the event. This will list the log from which the event originates, the source of the event, and the event ID. Click Next.

5. On the Action page, shown in Figure 10-16, you can choose the task to perform. The Send An E-Mail and Display A Message tasks are deprecated, and you get an error if you try to create a task using these actions. Click Next.

![Create Basic Task Wizard](image)

**FIGURE 10-16** Attaching a task to a specific event

6. On the Start A Program page, shown in Figure 10-17, specify the program or script that should be automatically triggered as well as additional arguments.
7. After you complete task creation, you can modify the task to specify the security context under which the task executes. By default, event tasks run only when the user is signed on. You can configure the task to run whether the user is signed on or not, as shown in Figure 10-18.


**REAL WORLD**  **IT’S NOT ABOUT SENDING EMAIL, IT’S ABOUT SENDING A MESSAGE**

Even though the Send An Email task is deprecated, you can use the Start A Program option to execute a Windows PowerShell script that sends an email. In many cases, however, instead of sending a message to an administrator so the administrator can perform a task, it’s better to have the task directly called wherever possible. Creating automated tasks that resolve problems without requiring direct intervention saves time and money. You should send email messages only when you need to notify yourself about an issue that cannot be resolved by running a script.

Performing network monitoring

*Network monitoring* enables you to track how a computer interacts with the network. Through network monitoring, you can determine which services and applications are using specific network interfaces, which services are listening on specific ports, and the volume of traffic that exists. There are two primary tools through which you can perform network monitoring on computers running Windows Server 2012 and Windows Server 2012 R2:

- Resource Monitor
- Message Analyzer
Resource Monitor

Resource Monitor enables you to monitor how a computer running the Windows Server 2012 and Windows Server 2012 R2 operating system uses CPU, memory, disk, and network resources. Resource Monitor provides real time information. You can't use Resource Monitor to perform a traffic capture and review activity that occurred in the past. You can use Resource Monitor to view activity that is currently occurring. The Network tab of Resource Monitor is shown in Figure 10-19.

![Resource Monitor Network tab](image)

Resource Monitor provides the following information that is relevant to network monitoring:

- **Processes With Network Activity**  This view lists processes by name and ID; and provides information on bits sent per second, bits received per second, and total bits per second.

- **Network Activity**  Lists network activity on a per-process basis, but also lists the destination address, sent bits per second, received bits per second, and total bits per second.

- **TCP Connections**  Provides information on connections on the basis of local address, port, and remote address and port.

- **Listening Ports**  Lists the ports and addresses that services and applications are listening on. Also provides information about the firewall status for these roles and services.
Message Analyzer

Microsoft Message Analyzer is the successor to Network Monitor. You can use Message Analyzer to perform network traffic capture and analysis. Message Analyzer also functions as a replacement for LogParser, which enables you to manage system messages, events, and log files. When performing a capture, you select the scenario that best represents the type of event about which you are interested in capturing traffic. For example, the LAN scenario, shown in Figure 10-20, enables you to capture traffic on local area network (LAN) interfaces.

![Microsoft Message Analyzer](image)

FIGURE 10-20 LAN scenario

When performing certain types of network traffic capture, you need to run Message Analyzer using an account that is a member of the local Administrators group. After the capture has been performed, you can analyze the content of each message, as shown in Figure 10-21. By applying appropriate filters, you can locate network traffic that has specific characteristics, such as using a particular TCP port, source, or destination address.
Lesson summary

- Data collector sets enable you to collect performance counter data, event trace data, and system configuration information.
- Performance counter alerts enable an event to be written to the event log and a command to be run when a specified performance counter exceeds or falls below a configured value.
- Event log filters apply to a single event log and are not persistent.
- Event log views are persistent, can include items from multiple event logs, and can be imported and exported.
- Event subscriptions enable you to configure one computer to consolidate the event logs of multiple computers.
- Event-driven tasks enable you to configure a program or script to be run when a specific event is written to the event log.
— Message Analyzer, which is the successor to Network Monitor, enables you to capture and analyze network traffic.

Lesson review

Answer the following questions to test your knowledge of the information in this lesson. You can find the answers to these questions and explanations of why each answer choice is correct or incorrect in the “Answers” section at the end of this chapter.

1. You want to collect processor, memory, and network interface utilization data over the course of several hours. You need to be able to review the data at a later period in time. Which of the following tools should you use to accomplish this goal?
   A. Resource Monitor
   B. Task Manager
   C. Data collector set
   D. Message Analyzer

2. A particular network service on a computer running Windows Server 2012 R2 that you are responsible for managing is not functioning correctly. You suspect that the service is listening on a TCP port that Windows Firewall is configured to block, but you don’t know which TCP port the service uses. Which of the following tools should you use to determine this information?
   A. Task Manager
   B. Resource Monitor
   C. Message Analyzer
   D. Data collector set

3. Which of the following tools can you use to capture and analyze network traffic?
   A. Data collector set
   B. Message Analyzer
   C. Resource Monitor
   D. Task Manager

4. You are configuring event log subscriptions. Computer MEL-DC will function as the event log collector, and computers MEL-A, MEL-B, and MEL-C will function as the event log sources. You want MEL-DC to collect events from the security logs on computers MEL-A, MEL-B, and MEL-C. To which of the following security groups on MEL-A, MEL-B, and MEL-C should you add the computer account of MEL-DC?
   A. Backup operators
   B. Power users
   C. Event log readers
   D. Administrators
Lesson 2: Advanced audit policies

Auditing enables you to track both actual and attempted access and changes to objects and policies. Auditing enables you to verify that the policies that you’ve put in place to secure your organization’s network infrastructure are actually being enforced, from tracking modifications to sensitive user accounts through to access to sensitive files and folders. In this lesson, you will learn about advanced audit policy, how to configure expression-based audit policies, and how you can use auditpol.exe to manage auditing.

After this lesson, you will be able to:
- Understand advanced audit policies.
- Configure auditing using Group Policy.
- Use auditpol.exe to manage auditing.

Estimated lesson time: 45 minutes

Configuring advanced auditing

There are two sets of audit policies in a Group Policy Object (GPO): *traditional audit policies* and *advanced audit policies*. The traditional audit policies are located in the Computer Configuration\Policies\Windows Settings\Security Settings\Local Policies\Audit Policies node and are shown in Figure 10-22. They are the audit policies that have been available with the Windows Server operating system since Windows 2000. The drawback of these policies is that they are general, and you can’t be specific in the way you configure auditing. When you use these policies, you’ll not only audit the events that you’re interested in but you’ll also end up auditing many events that you don’t need to know about.
**REAL WORLD  NEEDLES AND HAYSTACKS**

The trick of implementing a successful audit policy is to reduce the size of the haystack so that finding the needles is easier. An audit policy that records only activity that you are interested in produces fewer events than a more general audit policy, in which interesting events can get lost in the clutter.

The advanced audit policies enable you to be more specific in the types of activity you audit. The advanced audit policies are located under the Computer Configuration\Policies\Windows Settings\Security Settings\Advanced Audit Policy Configuration node, as shown in Figure 10-23.

![Figure 10-23 Advanced audit policies](image)

There are 10 groups of audit policy settings and 58 individual audit policies available through Advanced Audit Policy Configuration. The audit policy groups contain the following settings:

- **Account Logon**  You can audit credential validation and Kerberos-specific operations.
- **Account Management**  You can audit account management operations, such as changes to computer accounts, user accounts, and group accounts.
- **Detailed Tracking**  You can audit encryption events, process creation, process termination, and RPC events.
- **DS Access**  You can audit Active Directory access and functionality.
- **Logon/Logoff**  You can audit logon, logoff, and other account activity events, including IPSec and Network Policy Server (NPS) events.
- **Object Access**  You can audit access to objects including files, folders, applications, and the registry.
■ **Policy Change** You can audit changes to audit policy.

■ **Privilege Use** You can audit the use of privileges.

■ **System** You can audit changes to the security subsystem.

■ **Global Object Access Auditing** You can configure expression-based audit policies for files and the registry.

---

**REAL WORLD  CONFIGURE AN AUDIT POLICY**

Determine what you want to audit first and then enable the policies to audit that type of activity. A mistake that many administrators make is that they aren’t entirely sure what they should be auditing, so they audit everything. They become frustrated with the process because the auditing events that they might be interested in get lost in the vast sea of auditing events that they are not interested in.

---

**Implementing expression-based audit policies**

Traditional object audit policies involve specifying a group and configuring the type of activities that will trigger an event to be written to the security log. Specifying that an audit event will be written each time a member of the Managers group accesses a file in a specific folder is a good example.

*Expression-based audit policies* enable you to go further. These policies enable you to put conditions as to when auditing might occur. For example, you might want to configure auditing so that members of the Managers group have access to sensitive files tracked only when they access files from computers that aren’t part of the Managers_Computers group.

Figure 10-24 shows auditing configured in this way. This way, you don’t bother tracking access when members of this group access sensitive files from within the office, but you do track all access to those sensitive files when members of this group are accessing them from an unusual location.
You can integrate expression-based audit policies with Dynamic Access Control (DAC) to create targeted audit policies that are based on user, computer, and resource claims. Instead of just adding claims based on user or device group membership, the claim can be based on document metadata such as confidentiality settings and site location. You can configure expression-based audit policies at the file or folder level, or apply them through Group Policy using policies in the Global Object Access Auditing node of Advanced Audit Policy Configuration.

**Quick check**

- What type of auditing should you configure if you want to audit file access by a specific group of people only when they aren’t signed on to a specific group of computers?

**Quick check answer**

- You configure an expression-based audit policy to audit file access by a specific group of people who are accessing files from computers other than those in a specific group.
Configuring file and folder auditing

After you configure auditing of object access, either through the traditional or advanced audit policies, you can configure auditing at the file and folder level. The simplest way to configure auditing is at the folder level because you can then configure all folders and subfolders to inherit those auditing settings. If you change the auditing settings at the folder level, you can use the Replace All Child Object Auditing Entries option to apply the new auditing settings to the folder’s child files and folders.

You can configure auditing for a specific file and folder through the Advanced button on the Security tab of the object’s properties. You can configure basic success and failure auditing, as shown in Figure 10-25. You can also configure expression-based auditing so that activity by members of a specific security group are audited only if other conditions, such as membership of other security groups, are also met.

The advantage of using Global Object Access Auditing is that when you have it configured, you can use file classification to apply metadata to files and then automatically have auditing enabled for those files. For example, using file classification and DAC, you can configure a Windows Server 2012 R2 file server so that all files that contain the phrase “code secret” are marked as Sensitive. You can then configure Global Object Access Auditing so that all access to files marked as Sensitive are automatically audited. Instead of having an administrator track down all the files that are sensitive and configuring auditing on those files, the process is automatic. All that needs to happen to trigger it is the inclusion of the phrase “code secret” in the file.
Using auditpol with auditing

Auditpol.exe is a command-line utility that you can use to configure and manage audit policy settings from an elevated command prompt. You can use auditpol.exe to perform the following tasks:

- View the current audit policy settings with the /Get subcommand
- Set audit policy settings with the /Set subcommand
- Display selectable policy elements with the /List subcommand
- Back up and restore audit policies using the /Backup and /Restore subcommands
- Delete all per-user audit policy settings and reset the system policy settings using the /Clear subcommand
- Remove all per-user audit policy settings and disable all system policy settings using the /Remove subcommand

For example, to enable success and failure auditing for the File System subcategory of Object Access, execute this command.

```
Auditpol.exe /set /subcategory:"File System" /success:Enable /failure:Enable
```

To view the current audit policy settings for all audit policies, issue this command.

```
Auditpol.exe /get /category:*
```

To view the current audit policy settings for a specific category, such as Object Access, issue this command.

```
Auditpol.exe /get /category:"Object Access"
```

MORE INFO  AUDITPOL.EXE


Lesson summary

- Advanced audit policies enable you to perform more granular auditing than is possible with the traditional auditing policies available in earlier versions of Windows server.
- Expression-based audit policies enable you to configure auditing based on object metadata. You can also use expression-based audit policies to perform conditional auditing.
- After you have enabled the auditing of object access, you can configure auditing at the file and folder level. File-level and folder-level auditing supports expression-based audit policies.
- You can use the auditpol.exe command-line utility from an elevated command prompt to configure and manage audit policy settings.
Lesson review

Answer the following questions to test your knowledge of the information in this lesson. You can find the answers to these questions and explanations of why each answer choice is correct or incorrect in the “Answers” section at the end of this chapter.

1. Which of the following commands should you use to enable success and failure auditing for all audit policies under the Object Access category on a computer running Windows Server 2012 R2?
   A. Auditpol.exe /set /subcategory: "File System" /success:Enable /failure:Enable
   B. Auditpol.exe /set /Category: "Object Access" /success:Enable /Failure:Enable
   C. Auditpol.exe /get /Category: "Object Access" /success:Disable /Failure:Disable
   D. Auditpol.exe /get /Category: "Object Access" /success:Disable /Failure:Enable

2. You want to enable failure auditing, but not success auditing, for all audit policies under the Object Access category on a computer running Windows Server 2012 R2. Which of the following commands should you use to accomplish this goal?
   A. Auditpol.exe /get /Category: "Object Access" /success:Disable /Failure:Enable
   B. Auditpol.exe /get /Category: "Object Access" /success:Disable /Failure:Disable
   C. Auditpol.exe /set /Category: "Object Access" /success:Enable /Failure:Enable

3. You want to enable success and failure auditing only for the File System subcategory. Which of the following commands should you use to accomplish this goal?
   A. Auditpol.exe /set /Category: "Object Access" /success:Enable /Failure:Enable
   B. Auditpol.exe /get /Category: "Object Access" /success:Disable /Failure:Enable
   C. Auditpol.exe /set /subcategory: "File System" /success:Enable /failure:Enable
   D. Auditpol.exe /get /Category: "Object Access" /success:Disable /Failure:Disable

4. You want to disable all success and failure auditing on all auditing subcategories under the Object Access category. Which of the following commands should you use to accomplish this goal?
   A. Auditpol.exe /get /Category: "Object Access" /success:Disable /Failure:Disable
   B. Auditpol.exe /get /Category: "Object Access" /success:Disable /Failure:Enable
   C. Auditpol.exe /set /Category: "Object Access" /success:Enable /Failure:Enable
Practice exercises

The goal of this section is to provide you with hands-on practice with the following:

- Configure data collector sets
- Configure alerts
- Manage event subscriptions
- Perform network monitoring
- Configure removable device auditing
- Configure logon auditing
- Configure expression-based audit policies
- Enable folder auditing

To perform the exercises in this section, you need access to an evaluation version of Windows Server 2012 R2. You should also have access to virtual machines SYD-DC, MEL-DC, CBR-DC, and ADL-DC, the setup instructions for which are described in the Introduction. You should ensure that you have a checkpoint of these virtual machines that you can revert to at the end of the practice exercises. You should revert the Virtual Machines (VMs) to this initial state prior to beginning these exercises.

Exercise 1: Configure data collector sets

In this exercise, you configure data collector sets. To complete this exercise, perform the following steps:

1. Start SYD-DC, and sign on as CONTOSO\Don_Funk.
2. On SYD-DC, click Performance Monitor in the Tools menu of Server Manager.
3. In the Performance Monitor console, expand the Performance\Data Collector Sets\User Defined, as shown in Figure 10-26.
4. On the Action menu, click New, and click Data Collector Set.

5. In the Create New Data Collector Set dialog box, type the name **SYD-DC-Performance-Measurement** and click Create Manually (Advanced), as shown in Figure 10-27. Click Next.
6. On the What Type Of Date Do You Want To Include? page, click Performance Counter, as shown in Figure 10-28, and click Finish.

![FIGURE 10-28 Selecting Performance Counter](image)

8. In the details pane, click DataCollector01.
10. In the DataCollector01 Properties dialog box, shown in Figure 10-29, click Add.
11. In the Available Counters dialog box, click Logical Disk, and click Add.
12. Click Memory, click the arrow, click Available Mbytes, and click Add.
13. Click Network Interface, and click Add.
14. Click Processor, and click Add.
15. Verify that the list of added counters matches Figure 10-30, and click OK.
16. In the DataCollector01 Properties dialog box, set the Sample Interval to 15 seconds (see Figure 10-31), and click OK.
18. On the Action menu, click Properties.
20. On the Folder Action dialog box, set a time of 3:00:00 AM, and click OK.
21. Verify that the Schedule tab appear similar to Figure 10-32, and click OK

![SYD-DC-Performance-Measurement Properties dialog box]

**FIGURE 10-32** Configure data collector set schedule

Exercise 2: Collect data

In this exercise, you collect data from the data collector set. To complete this exercise, perform the following steps:

1. In Performance Monitor, click Data Collector Sets\User Defined\SYD-DC-Performance-Measurement.
2. On the Action menu, click Start.
3. After 2 minutes, on the Action menu, click Stop.
5. Double-click the report listed in the details pane, as shown in Figure 10-33.
6. Click Change Graph Type, and click Report.
7. View the report, as shown in Figure 10-34.

FIGURE 10-34 Viewing the report
Exercise 3: Configure alerts

In this exercise, you configure a free disk space alert. To complete this exercise, perform the following steps:

1. In Performance Monitor, click User Defined under Data Collector Sets.
2. On the Action menu, click New, and click Data Collector Set.
3. On the Create New Data Collector Set page, type Disk Space Alert, click Create Manually (Advanced), and click Next.
4. On the Create New Data Collector Set page, click Performance Counter Alert, as shown in Figure 10-35, and click Next.

![Create new Data Collector Set](image)

**FIGURE 10-35** Choosing Performance Counter Alert

6. In the Available Counters dialog box, click LogicalDisk, click %Free Space, click C:, and click Add, as shown in Figure 10-36. Click OK.
7. Set the Alert When menu to Below.
8. Set the Limit value to 5, as shown in Figure 10-37, and click Next.
9. Click Finish.
Exercise 4: Prepare computers for event subscriptions

In this exercise, you configure computers to support event log subscriptions. To complete this exercise, perform the following steps:

1. On SYD-DC, right-click Windows PowerShell on the task bar, and click Run As Administrator.
2. Enter the following command and press Enter.
   `wecutil qc`
5. Sign on to MEL-DC as Administrator.
6. Open the Windows PowerShell prompt and type the following commands.
   `Add-Computer -DomainName contoso.com`
7. In the Windows PowerShell Credentials dialog box, type `don_funk@contoso.com` and `Pa$$w0rd`, and click OK.
8. Type the following command at the Windows PowerShell prompt to restart the computer.
   `Restart-Computer`
9. Sign on to MEL-DC as Contoso\don_funk.
10. On the Tools menu on Server Manager, click Computer Management.
11. In the Computer Management console, expand Local Users And Groups, click Groups, and then click Administrators, as shown in Figure 10-38.

13. In the "Administrators Properties" dialog box, click "Add."

14. In the "Select Users, Computers, Service Accounts, Or Groups" dialog box, click "Object Types."

15. In the "Object Types" dialog box, enable the "Computers" check box, as shown in Figure 10-39, and click "OK."

16. In the "Select Users, Computers, Service Accounts, Or Groups" dialog box, type "SYD-DC," click "Check Names," and click "OK."
17. Verify that the Administrators Properties dialog box matches Figure 10-40 and click OK.

**FIGURE 10-40** Administrators Properties dialog box

18. Restart MEL-DC.

**Exercise 5: Configure event subscriptions**

In this exercise, you configure event subscriptions. To complete this exercise, perform the following steps:

1. In the Server Manager console on SYD-DC, open the Tools menu, and click Event Viewer.

2. In Event Viewer, click the Subscriptions node, as shown in Figure 10-41.
3. On the Actions pane, click Create Subscription.
4. In the Subscription Properties dialog box, type the name as **Subscription-Alpha**, click Collector Initiated, and click Select Computers.
5. In the Computers dialog box, click Add Domain Computers.
6. In the Select Computer dialog box, type **MEL-DC**, click Check Names, and click OK.
7. Verify that the Computers dialog box matches Figure 10-42, and click Test.
8. In the Event Viewer dialog box, click OK.
9. In the Computers dialog box, click OK.
10. Click Select Events.
11. In the Query Filter dialog box, select Critical, Error, Warning, and Information.
12. Click the Event Logs menu, and click Windows Logs.
13. Verify that the Query Filter appears the same as Figure 10-43, and click OK.

![Query Filter dialog box](image)

**FIGURE 10-43** The Query Filter dialog box

15. In the Advanced Subscription Settings dialog box, click Minimize Latency, as shown in Figure 10-44, and click OK.
16. Verify that the Subscription Properties – Subscription-Alpha dialog box matches Figure 10-45, and then click OK.

17. Restart server MEL-DC.
18. Expand the Windows Logs node, and click Forwarded Events.
19. Verify the presence of items in the event log, as shown in Figure 10-46.
Exercise 6: Configure network monitoring

In this exercise, you monitor the processes and services that use network interfaces. To complete this exercise, perform the following steps:

2. On the Network tab, click the arrow next to TCP Connections, as shown in Figure 10-47.


FIGURE 10-46  Event log
3. Click the arrow next to Listening Ports to list the ports on which different services are listening (see Figure 10-48).

**FIGURE 10-47** Network tab of the Resource Monitor

**FIGURE 10-48** Listing the different ports.
Exercise 7: Using Message Analyzer

In this exercise, you use Message Analyzer to perform network monitoring. To perform this exercise, you need to download Message Analyzer from the following website: http://www.microsoft.com/en-au/download/details.aspx?id=40308 (or just use a search engine to locate the installer) and then install it on MEL-DC. Ensure that you do not run the program and that you sign off after installation. To complete this exercise, perform the following steps:

1. Ensure that you are signed on to MEL-DC as contoso\don_funk.
2. In the Server Manager on MEL-DC, click Local Server, and then select IE Enhanced Security Configuration.
3. In the Internet Explorer Enhanced Security Configuration dialog box, set the Administrators setting to Off, as shown in Figure 10-49, and click OK.

![Internet Explorer Enhanced Security Configuration](image)

FIGURE 10-49 Internet Explorer security

4. In the Search charm on MEL-DC, type Microsoft Message Analyzer.
5. Click Microsoft Message Analyzer in the results list.
6. On the Welcome To The Microsoft Message Analyzer dialog box, click Do Not Update Items, and click OK.
7. On the File menu, click Capture Trace, and click SMB2 Server Full PDU (Windows 8/Windows Server 2012 or later) as shown in Figure 10-50, and click Start With.
8. On the taskbar, click File Explorer.
9. In File Explorer, click Computer, and then double-click Local Disk (C:).
10. On the title bar, click New Folder. Name the new folder TEST.
11. Right-click the TEST folder, click Share With, and click Specific People.
12. In the File Sharing dialog box, click Share, and then click Done.
13. In Microsoft Message Analyzer, click Analysis Grid, and verify that messages have been recorded, and click the final message, as shown in Figure 10-51.

**FIGURE 10-50** SMB Server Full PDU

8. On the taskbar, click File Explorer.
9. In File Explorer, click Computer, and then double-click Local Disk (C:).
10. On the title bar, click New Folder. Name the new folder TEST.
11. Right-click the TEST folder, click Share With, and click Specific People.
12. In the File Sharing dialog box, click Share, and then click Done.
13. In Microsoft Message Analyzer, click Analysis Grid, and verify that messages have been recorded, and click the final message, as shown in Figure 10-51.
14. Use File Explorer to navigate to C:\TEST.

15. Create a text file in C:\TEST named secretfile.txt. The content of the file should be the words “secret secret.” Switch to SYD-DC.

16. On SYD-DC, in the Search charm, type `\MEL-DC\TEST\secretfile.txt` and click Secretfile.txt in the Results pane.

17. Switch to MEL-DC.

18. Verify that additional traffic has been recorded.

19. Examine the message data for network addresses, such as server MEL-DC (see Figure 10-52).

21. When prompted to save the captured trace, click No.

Exercise 8: Configure removable device auditing

In this exercise, you configure a GPO so that removable device usage is audited. To complete this exercise, perform the following steps:

1. On SYD-DC, click Group Policy Management on the Tools menu of Server Manager.

2. Expand Forest: Contoso.com\Domains\contoso.com\Group Policy Objects, and click Default Domain Policy, as shown in Figure 10-53.
3. On the Action menu, click Edit.

4. In the Group Policy Management Editor, navigate to the Computer Configuration\Policies\Windows Settings\Security Settings\Advanced Audit Policy Configuration\Audit Policies\Object Access node and click Audit Removable Storage, as shown in Figure 10-54.
5. Double-click Audit Removable Storage.
6. In the Audit Removable Storage Properties dialog box, select Configure The Following Audit Events, Success, and Failure; then click OK (see Figure 10-55).
7. Close the Group Policy Management Editor.

8. On the taskbar, right-click Windows PowerShell, and click Run As Administrator.

9. In the Windows PowerShell window, type the following command and press Enter.
   \r\n   Gpupdate /force

10. In the Windows PowerShell window, type the following command and press Enter.
    \r\n    Auditpol /get /category:"Object Access"

11. Verify that Removable Storage is configured for Success And Failure auditing, as shown in Figure 10-56.
**Exercise 9: Configure logon auditing**

In this exercise, you configure logon auditing. To complete this exercise, perform the following steps:

1. In the Group Policy Management Console (GPMC) on SYD-DC, right-click the Default Domain Policy, and click Edit.

2. In the Group Policy Management Editor, navigate to the Computer Configuration\Policies\Windows Settings\Security Settings\Advanced Audit Policy Configuration\Audit Policies\Logon/Logoff, and click Audit Logon, as shown in Figure 10-57.
3. On the Action menu, click Properties.

4. In the Audit Logon Properties dialog box, select Configure The Following Audit Events, Success, and Failure (see Figure 10-58). Click OK.
5. Close the Group Policy Management Editor.
7. In Active Directory Users And Computers, select Users, and then click Administrator.
8. On the Action menu, click Copy.
9. In the Copy Object – User dialog box, configure the following information, as shown in Figure 10-59, and click Next.
   ■ First Name: **Gabe**
   ■ Last Name: **Frost**
   ■ User Logon Name: **Gabe_Frost**
10. Type **Pa$$w0rd** in the Password and Confirm Password text boxes, ensure User Must Change Password At Next Logon is not selected, click Next, and click Finish.


12. In Windows PowerShell, type the following command and press Enter.

   Gpupdate /force

13. In Windows PowerShell, type the following command and press Enter.

   Auditpol /get /category:"Logon/Logoff"

14. Verify that Logon is configured for Success And Failure auditing, as shown in Figure 10-60.

15. Switch to MEL-DC.
16. Sign out and sign on as contoso\gabe_frost with the password **Pa$$w0rd**.
17. Switch to SYD-DC.
19. Expand Windows Logs\Security Logs and click the most recent event with Event ID 4624.
20. Click the Details pane and verify that the TargetUserName Gabe_Frost is listed, as shown in Figure 10-61. You may need to scroll through several events to find this TargetUserName.

![Figure 10-61 TargetUserName Gabe Frost](image)

**Exercise 10: Configure expression-based audit policies**

In this exercise, you configure expression-based audit policies in Group Policy. To complete this exercise, perform the following steps:

1. On SYD-DC, open Active Directory Users And Computers from the Tools menu of the Server Manager console.
2. Right-click the Users container, click New, and click Group.
3. In the New Object – Group dialog box, type the name **Jupiter**, as shown in Figure 10-62, and click OK.
4. Right-click the Users container, click New, and click Group.
5. In the New Object – Group dialog box, type the name **Saturn** and click OK.
6. Right-click the Users container, click New, and click Group.
7. In the New Object – Group dialog box, type the name **Neptune** and click OK.
8. Right-click the Users container, click New, and click Group.
9. In the New Object – Group dialog box, type the name **Mars** and click OK.
11. In the GPMC, right-click Default Domain Policy, and click Edit.
12. In the Group Policy Management Editor, navigate to the Computer Configuration\Policies\Windows Settings\Security Settings\Advanced Audit Policy Configuration\Audit Policies\Global Object Access Auditing and click File System, as shown in Figure 10-63.

14. In the File System Properties dialog box, click Define This Policy Setting, and click Configure.

15. In the Advanced Security Settings For Global File SACL dialog box, click Add.

16. In the Auditing Entry For Global File SACL dialog box, click Select A Principal Link.

17. In the Select User, Computer, Service Account, Or Group dialog box, type Jupiter, click Check Names, and click OK.

18. On the Type drop-down menu, click All.

19. Click the Add A Condition link.

20. Click the Add Items button.

21. In the Select User, Computer, Service Account, Or Group dialog box, type Saturn, click Check Names, and click OK.

22. Verify that the Auditing Entry For Global File SACL dialog box matches Figure 10-64 and click OK.

![Auditing Entry for Global File SACL](image)

*FIGURE 10-64 Auditing the Entry For Global File SACL dialog box*

23. In the Advanced Security Settings For Global File SACL dialog box, click Add.

24. In the Auditing Entry For Global File SACL dialog box, click Select A Principal Link.

25. In the Select User, Computer, Service Account, Or Group dialog box, type Mars, click Check Names, and click OK.

26. Set the Type drop-down menu to Fail.
27. Click the Add A Condition link.
28. Click the Member Of Each drop-down menu, and select Not Member Of Any.
29. Click the Add Items button.
30. In the Select User, Computer, Service Account, Or Group dialog box, type Neptune, click Check Names, and click OK twice.
31. Verify that the Advanced Security Settings For Global File SACL dialog box matches Figure 10-65, and click OK.

![Advanced Security Settings for Global File SACL dialog box](image)

**FIGURE 10-65** Advanced Security Settings For Global File SACL dialog box

32. Click OK to close the File System Properties dialog box and close the Group Policy Management Editor.

**Exercise 11: Configure folder auditing**

In this exercise, you configure expression-based audit policies at the folder level. To complete this exercise, perform the following steps:

1. Click File Explorer on the taskbar.
2. Click Computer and double-click Local Disk (C:).
3. On the title bar, click the New Folder icon.
4. Name the new folder Audited_Files.
5. Right-click the Audited_Files folder, and click Properties.

7. On the Auditing tab of the Advanced Security Settings For Audited_Files dialog box, shown in Figure 10-66, click Add.

![Advanced Security Settings for Audited Files](image)

**FIGURE 10-66** Auditing tab of the Advanced Security Settings For Audited Files dialog box

8. In the Auditing Entry For Audited_Files dialog box, click Select A Principal link.

9. In the Select User, Computer, Service Account, Or Group dialog box, type **Neptune**, click Check Names, and click OK.

10. Change the type from Success to Fail.

11. Click the Add A Condition link.

12. Click the Add Items button.

13. In the Select User, Computer, Service Account, Or Group dialog box, type **Saturn**, click Check Names, and click OK.

14. Verify that the Auditing Entry For Audited Files dialog box matches Figure 10-67, and click OK.
15. Click OK twice to close all dialog boxes.

**Suggested practice exercises**

The following additional practice exercises are designed to give you more opportunities to practice what you’ve learned and to help you successfully master the lessons presented in this chapter.

- **Exercise 1** Use auditpol.exe to enable File System, Registry, and File Share Success And Failure auditing on MEL-DC.
- **Exercise 2** Create a test share on SYD-DC and populate it with text files. Add user accounts to the Mars, Jupiter, Saturn, and Neptune groups. Sign on to MEL-DC and access the files across the network using different accounts. Verify that the expression-based audit policies record auditing information appropriately.
Answers

This section contains the answers to the lesson review questions in this chapter.

Lesson 1

1. **Correct answer: C**
   - A. **Incorrect.** Resource Monitor enables you to view point-in-time resource utilization information. You can't use this tool to record resource utilization information for later review.
   - B. **Incorrect.** Task Manager does enable you to view resource utilization information, but you can’t record that data for later review.
   - C. **Correct.** A data collector set can be used to capture performance counters and trace information related to resource utilization for later review.
   - D. **Incorrect.** Message Analyzer, the successor to Network Monitor, enables you to capture and analyze network traffic. Although it can capture and record network traffic, you can’t use this tool to record processor and memory utilization information.

2. **Correct answer: B**
   - A. **Incorrect.** Task Manager provides real-time information about network utilization, but doesn't provide information about port utilization and firewall configuration.
   - B. **Correct.** Resource Monitor provides information about services, the ports that they listen on, and firewall configuration.
   - C. **Incorrect.** Message Analyzer enables you to capture and analyze network traffic, but it can’t be used to determine port utilization and associated firewall configuration.
   - D. **Incorrect.** A data collector set can record performance information and system trace information, but it can’t be used to determine port utilization and associated firewall configuration.

3. **Correct answer: B**
   - A. **Incorrect.** A data collector set can be used to capture performance counters and trace information related to network traffic, but it can’t be used to capture network traffic.
   - B. **Correct.** Message Analyzer, the successor to Network Monitor, enables you to capture and analyze network traffic.
   - C. **Incorrect.** Resource Monitor enables you to view point-in-time network utilization information. You can’t use Resource Monitor to capture and analyze network traffic.
D. **Incorrect.** Task Manager does enable you to view network traffic, but doesn’t enable you to capture and analyze that traffic.

4. **Correct answer: D**

   A. **Incorrect.** Members of the Backup Operators group are enabled to perform backups; they do not have access to the Security event log.
   
   B. **Incorrect.** The Power Users group is included for backward compatibility; members of this group do not have access to the Security event log.
   
   C. **Incorrect.** Although members of the Event Log Readers group have access to the other event logs, they don’t have access to the Security event log. Only members of the local Administrators group have access to the Security event log.
   
   D. **Correct.** When configuring event log subscriptions involving events in the Security event log, it is necessary to add the account of the collector computer to the local Administrators group on the source computer.

**Lesson 2**

1. **Correct answer: B**

   A. **Incorrect.** This command enables success and failure auditing for the File System subcategory.
   
   B. **Correct.** This command enables success and failure auditing for all subcategories under the Object Access category.
   
   C. **Incorrect.** This command disables success and failure auditing for all subcategories under the Object Access category.
   
   D. **Incorrect.** This command enables only failure auditing, not success auditing, for all subcategories under the Object Access category.

2. **Correct answer: A**

   A. **Correct.** This command enables only failure auditing, not success auditing, for all subcategories under the Object Access category.
   
   B. **Incorrect.** This command disables success and failure auditing for all subcategories under the Object Access category.
   
   C. **Incorrect.** This command enables success and failure auditing for all subcategories under the Object Access category.
   
   D. **Incorrect.** This command enables success and failure auditing for the File System subcategory.

3. **Correct answer: C**

   A. **Incorrect.** This command enables success and failure auditing for all subcategories under the Object Access category.
   
   B. **Incorrect.** This command enables only failure auditing, not success auditing, for all subcategories under the Object Access category.
C. **Correct.** This command enables success and failure auditing for the File System subcategory.

D. **Incorrect.** This command disables success and failure auditing for all subcategories under the Object Access category.

4. **Correct answer: A**

   A. **Correct.** This command disables success and failure auditing for all subcategories under the Object Access category.

   B. **Incorrect.** This command enables only failure auditing, not success auditing, for all subcategories under the Object Access category.

   C. **Incorrect.** This command enables success and failure auditing for all subcategories under the Object Access category.

   D. **Incorrect.** This command enables success and failure auditing for the File System subcategory.
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Index

Symbols

802.1X enforcement, configuring for NAP 382–385
802.1X group policies, configuring authentication 363–367

A

A records 148
AAAA records 148
access-based enumeration 513
accidental deletion of objects, protecting from 212
accounting, RADIUS 429–432
Account Lockout Duration policy 71
practice exercise 101
account lockout policies 70, 74
practice exercise for configuring 101–104
Account Lockout Threshold policy 71
practice exercise 102
account management tasks 71–75
Active Directory
backing up 210–212
database optimization 200–203
defragmenting the database 200–203
domain naming master 184
metadata cleanup 203
operations masters
managing 182–187
practice exercise 230–234
recovering deleted objects 208–215
snapshots 204–206, 215
Active Directory Administrative Center
deploying an RODC 193
domain functional level, configuring 80
enabling Recycle Bin 208
fine-grained password policies
managing 81
practice exercise 110–113
non-expiring passwords, locating 72
practice exercise 107–110
password settings, determining 84
practice exercise 234
Active Directory BitLocker recovery 526
Active Directory Domain Services (AD DS) 66
Active Directory Domain Services Configuration Wizard
configuring a Global Catalog server 188
deploying an RODC 191–193
practice exercise 221–225
removing domain controllers 203
Active Directory integrated zones 124–126
practice exercise 159
Active Directory Recycle Bin 208–210
practice exercise 234
Active Directory Schema 183
Active Directory Schema snap-in 183
practice exercise 232
Active Directory Users And Computers
accessing snapshots 205
cleaning up metadata 204
operations masters, managing 182–186
practice exercise 230–232
Add-ADDSReadOnlyDomainControllerAccount cmdlet 193
Add-DnsServerConditionalForwarderZone PowerShell cmdlet 134
Add-DNSServerDirectoryPartition cmdlet 125
Add-DnsServerForwarder cmdlet 132
Add-DnsServerPrimaryZone cmdlet 127
Add-DnsServerResourceRecordA cmdlet 148
Add-DnsServerResourceRecordCName cmdlet 148
Add-DnsServerResourceRecordMX PowerShell cmdlet 149
Add-DnsServerResourceRecordPtr cmdlet 150
Add-DnsServerStubZone cmdlet 136
Add-DnsServerStubZone cmdlet

Add-DnsServerZoneDelegation cmdlet 130
/Add-Driver switch 6
Add-KDSRootKey cmdlet 88–90
/Add-Package switch 7
/Add-ProvisionedAppxPackage switch 8
Add Roles And Features Wizard 139
deploying an RODC 191
installing Windows Server Backup 210
practice exercise 218–221, 226
AD DS (Active Directory Domain Services) 66
Add-WsusComputer command 31
Administrative Events custom view 601
administrative templates 296–302
central store 297
  practice exercise 330
filtering 300
  practice exercise 330
importing in ADM format 299
ADMX format 296–300
ADMX Migrator tool 299
advanced audit policies 614–620
  practice exercises 641–654
Advanced Encryption Services (AES). See AES
aging and scavenging DNS zones 151
alerts, for monitoring servers 597–599
  practice exercise 628
alias (CNAME) records 148
  in GlobalNames zones 143
answer files 12–14
application directory partitions, creating 125
applications
  assigning 287
  publishing 288
  upgrading existing 290
/Apply-Image switch 10
app packages, adding to Server images 8
Approve-WsusUpdate command 31
assigning applications using Group Policy 287
auditpol.exe utility 619
audit policies, advanced 614–620
  practice exercises 641–654
authentication methods 357–359
  configuring with 802.1X group policies 363–367
  for network policies 372
authentication protocols for VPN 443–446
Authentication Requirements, configuring for HRA 349
authoritative restore for Active Directory objects 212–214
autoenrollment, enabling using EFS 531
automatic approval rules for WSUS 36–38
  practice exercise for 58
Automatic Update Detection Frequency policy 34
automating server operating system deployment 12–14
autonomous mode, configuring system deployment 12–14
Back up Active Directory 210–212
backing up GPOs 243
  practice exercise 269–271
Backup-GPO cmdlet 242
BitLocker 522–529
  DRA (data recovery agent) 527
  modes, configuring 523–525
  Network Unlock feature 528
  practice exercise 566–571
  recovering data 525–528
  TPM (Trusted Platform Module) 523–525
BitLocker, protecting RODC virtual machines 196
BitLocker To Go 525
blocking Group Policies 254
Block Inheritance function 255
  practice exercise 275
block-level replication, used by DFS 514–516
boot environment, protecting with BitLocker 522–529
boot images, used by WDS 17
boot options for WDS 21
Boot.wim file 2
build and capture process 9
BYOD (Bring Your Own Device) scenarios 388

C

caching Group Policies 260
capture images, used by WDS 18
/Capture-Image switch 10
capturing traffic using Message Analyzer 611–613
centralized deployment 12
central store
  creating 297
  practice exercise 330
certificate enrollment practice exercise 574–578
Certification Authority (CA)
  choosing 348
  practice exercise 484, 566–571
  using with an HRA 397
D

CHAP (Challenge Handshake Authentication Protocol) 444
Cisco Network Access Control (Cisco NAC) 346
client naming policy 20
cloning DFS replication databases 519
cloning domain controllers 197
CNAME records 148
in GlobalNames zones 143
collector-initiated event subscription, configuring 604
Computer Configuration settings 258–260
conditional forwarders, DNS 131–133
conditions
for connection request policies 353–356
for network policies 370–372
Configure Automatic Updates policy 34
Configure Forwarder Resource Usage policy 605
Configure Target Subscription Manager policy 605
connection request policies 350–363
adding conditions 353–356
creating 361–363
default policy 360
forwarding 356
network access servers, specifying type 351
realm name, applying 359
constraints, configuring for network policies 372
Control Panel settings, configuring with Group Policy preferences 319–321
Copy-GPO cmdlet 243
copying GPOs 246
corrupted DFS databases, recovering 520
counter alerts, for monitoring servers 597–599
practice exercise 628
Create Multicast Transmission Wizard 24
creating GPOs 249
credential roaming, enabling using EFS 531
cryptographic policies of an HRA 397

deleted Active Directory objects, recovering 208–215
Deny-WsusUpdate command 32
deployed servers, servicing and updating 27–39
deploying scripts to users and computers 291–293
practice exercise 329–332
deploying software using Group Policy 285–291
deploying Windows Server images 11–27
practice exercise for 43–47
Deployment Image Servicing and Management (DISM) tool 3
deployment topologies supported by DirectAccess 456
device drivers
adding to Server images 6
importing packages into WDS 25
DFS (Distributed File System)
cloning replication databases 519
configuring 511–521
domain-based namespaces 512
namespaces 511–513
practice exercise 558–560
practice exercise 555–558
recovering databases 520
remote differential compression 514–516
replication 514–520
practice exercise 560–566
targets, adding 516
staging folders 515
stand-alone namespaces 512
DHCP enforcement, configuring for NAP 377–380
practice exercise 401, 406–412
DirectAccess 454–473
application servers, configuring 473
benefits of 455
client requirements 461
configuring a DirectAccess server 457–460
DNS, configuring for 463
firewall rules, configuring 464
infrastructure 455–460
infrastructure servers, configuring 470–472
Network Location Server (NLS) 460, 463, 470
network topologies supported 456
remote access servers, configuring 469
Remote Access Setup diagram, configuring with 465–473
remote clients, configuring 467–469
Directory Services Restore Mode. See DSRM
discover images, used by WDS 15, 18
Dism.exe command-line utility 3–10

data collector sets, for monitoring servers 592–596
practice exercise 621–626
DCCloneConfig.xml file 198
DCGPOFix.exe command 246
Default Domain Policy GPO 67
defragmenting Active Directory database 200–203
deleagating GPO management 248–251
deleagating password settings permissions 78–80
Delegation Of Control Wizard 79
DISM tool. See Deployment Image Servicing and Management tool

Distributed File System (DFS). See DFS

DNS (Domain Name System)
- configuring, for DirectAccess 463
- preparing servers for DirectAccess 459

DNSKEY record 155

DNSSEC. See Domain Name System Security Extensions

DNSSEC Key Master 155

DNS zones 123–136
- Active Directory integrated zones 124–126
- aging and scavenging 151
- GlobalNames zones 142–144
- host records in 148
- mail exchanger (MX) records in 149
- pointer (PTR) records in 150
- practice exercise 159
- primary zones 127
- resource records in 147
- reverse lookup zones 128–130
- secondary zones 127
  - practice exercise 168–170
- signing a zone 153
- split DNS 131
- stub zones 134
- supporting dynamic updates 126
- types of 124–130
- using DNSSEC with 153–157
- zone delegation 129
  - practice exercise 163–168
- domain-based namespaces 512

Domain controllers
- cloning 197
- Global Catalog servers 187–189
- maintaining 200–207
- managing 181–200
- non-authoritative restore 214
- operations masters 182–187
- practice exercise 217–225
- removing 203
- RODC (read-only domain controller) 190–197
- snapshots, managing 204–206
- universal group membership caching (UGMC) 189

Domain functional level
- fine-grained password policies and 80
  - for GMSAs 88

Domain Name System (DNS). See DNS

Domain Name System Security Extensions (DNSSEC) 147, 153–157
event log views 601–603
event subscriptions 603–606
practice exercise 630–635
event trace providers 595
Event Viewer 599–609
EXE format, deploying files in 286
Export-DfsrClone Windows PowerShell cmdlet 519
expression-based audit policies 616
practice exercise 649–652
Extensible Authentication Protocols. See EAPs

F
file classification, FSRM 505
file expiration, practice exercise 549–552
file integrity check, performing 200
file-level auditing 618
practice exercise 652–654
file management tasks, FSRM 506
file quotas, FSRM 502
practice exercise 541–545
file screens, FSRM 504–506
practice exercise 545–549
File Server Resource Manager. See FSRM
filtering administrative templates 300
practice exercise 330
filtering policies for GPOs 255–257
filters for event logs 600
fine-grained password policies 66, 77–85
practice exercise 110–113
Flexible Single Master Operations. See FSMO
folder-level auditing 618
practice exercise 652–654
folder redirection 282–285
practice exercise 324–328
folder replication, DFS 514–520
forwarders, DNS 132
forwarding connection requests 356
forwarding event logs 603–606
FSRM (File Server Resource Manager)
configuring 501–508
practice exercise 536–541
file classification 505
file expiration, practice exercise 549–552
file management tasks 506
file screens 504–506
practice exercise 545–549
quotas 502
practice exercise 541–545
storage reports 507
practice exercise 552–556
Full Mesh topology 516
fully qualified domain names (FQDNs)
host records and 148
pointer (PTR) records and 150
resource record maps and 147
reverse lookup zones and 128

G
Get-ADDCCloningExcludedApplicationsList cmdlet 198
Get-ADDomain cmdlet 182
Get-ADForest cmdlet 182
Get-DfsrCloneState cmdlet 519
/Get-Features switch 8
Get-GPO cmdlet 242
/Get-ProvisionedAppxPackage switch 8
Get-Service cmdlet 91
/Get-wiminfo switch 5
Get-WsusClassification command 32
Get-WsusComputer command 32
Get-WsusProduct command 32
Get-WsusServer command 32
Global Catalog servers 187–189
global clouds 145
GlobalNames zones 142–144
Global Object Access Auditing node 617
Global Search function, Active Directory Administrative Center 84
GMSAs. See Group Managed Service Accounts
GPFixup.exe command 247
GPOs (Group Policy Objects) 66
Group Managed Service Accounts (GMSAs) 87–90
practice exercise 114
requirements 88
Group Policy Management Console (GPMC) 242
configuring password policies 96–100
delegating permissions 248–251
linking GPOs 250
policy processing precedence 253
practice exercise 268–271
practice exercise, using Group Policy Modeling 104–107
remote Group Policy update 262
Group Policy Modeling
delegating permissions 251
practice exercise 275
Group Policy Objects (GPOs) 66
administrative templates 296–302
Group Policy Modeling

advanced audit policies  614–620
  practice exercise  641–645
assigning applications  287
backing up  243
  practice exercise  269–271
BitLocker, configuring  525
  practice exercise  582–584
block inheritance  254
  practice exercise  275
caching  260
copying  246
creating  249
delegating management of  248–251
deploying applications  285–291
editing  249
enforcing  254
fixing problems  246
importing  245
linking  250
loopback processing  258–260
  practice exercise  271
maintaining  241–251
migrating  247
.msi files, installing applications from  286
permissions, delegating  248–251
practice exercise  265–268
processing precedence  253
publishing applications  288
redirecting folders  282–285
  practice exercise  324–328
restoring  244
scripts, deploying to users  291–293
  practice exercise  329–332
security filtering  255–257
  practice exercise  273
slow-link processing  260
upgrading deployed packages  290
.zap files, deploying installations with  286
Group Policy preferences  303–321
Control Panel settings  319–321
Internet Explorer, configuring  314
item-level targeting  305
  power options, configuring  309
  practice exercise  336–338
Local Users and Groups option  315
mapping network drives  306–308
mapping network printers  308
power options, configuring  309–313
  practice exercise  331–338
registry, configuring  314
settings commonly used  303
Windows settings  317–319
Group Policy Results, delegating permissions  251
Group Policy, using with WSUS  33

H

HCAP (Host Credential Authorization Protocol), installing  346–350
health policies, configuring for NAP  395
Health Registration Authority (HRA). See HRA
health validators, configuring for Network Access Protection  392–399
Host Credential Authorization Protocol (HCAP). See HCAP
host records in DNS zones  148
HRA (Health Registration Authority)  397
  installing  346–350
Hub And Spoke topology  516

I

IKEv2 tunneling protocol  444
Import-DfsrClone cmdlet  519
Import-GPO cmdlet  242
importing GPOs  245
inactive user accounts  75
-IncludeManagementTools switch  17
infrastructure master  186
Install-ADServiceAccount cmdlet  89
install images, used by WDS  17
Install.wim file  2
Install-WindowsFeatureWINS command  139
Internet Explorer, configuring with Group Policy preferences  314
Invoke-GPUpdate Windows PowerShell cmdlet  262
Invoke-WsusServerCleanup command  32
IP filters, configuring for Network Policy Server  367
IPSec enforcement, configuring for NAP  380–382
IP settings, configuring  368
item-level targeting  305
  power options, configuring  309
  practice exercise  336–338
K
Kerberos constrained delegation 91–94
Key distribution services root key, creating 88–90
Key Signing Key (KSK) 156
KRA (key recovery agent), using with EFS 533

L
L2TP/IPsec tunneling protocol 445
linking GPOs 250
link-local clouds 145
Local Users and Groups option 315
logoff scripts 291
logon auditing practice exercise 645–649
logon scripts 291
mapping network drives 306–308
loopback processing 258–260
practice exercise 271

M
mail exchanger (MX) records in DNS zones 149
mail gateways, locating 149
Managed Service Accounts 87
mapping network drives 306–308
mapping network printers 308
Maximum Lifetime For Service Ticket policy 93
Maximum Lifetime For User Ticket policy 93
Maximum Lifetime For User Ticket Renewal policy 93
Maximum password age policy 68
practice exercise 97
Maximum Tolerance For Computer Clock Synchronization policy 93
Merge (loopback processing) 259
Message Analyzer tool 611–613
practice exercise 638–641
metadata cleanup, Active Directory 203
migrating GPOs 247
Migration Table Editor (MTE) 247
Minimum password age policy 68
practice exercise 98
Minimum password length policy 69
practice exercise 99
monitoring servers 591–611
using data collector sets 592–596
practice exercise 621–626
using Event Viewer 599–609
using network monitoring 609–612
practice exercise 636–641
using performance counter alerts 597–599
/Mount-image switch 6
mounting Windows Server images 5
Move-ADDirectoryServerOperationMasterRole cmdlet 187
MS-CHAPv2 (Microsoft Challenge Handshake Authentication Protocol version 2) 443
.msi files, installing applications from 286
multicast transmissions 14

N
Name Resolution Policy Table (NRPT), creating 156
namespaces, DFS 511–513
practice exercise 558–560
NAP. See Network Access Protection
NAT (network address translation) 448–451
Network Access Protection (NAP)
802.1X enforcement, configuring 382–385
DHCP enforcement, configuring 377–380
practice exercise 401, 406–412
enforcement methods 376–390
health policies, configuring 395
health validators, configuring 392–399
IPsec enforcement, configuring 380–382
network policies, creating 369–373
RD Gateway enforcement, configuring 387–390
remediation server groups 398
practice exercise 405, 410
SHAs and SHVs, configuring 395
VPN enforcement, configuring 385–387
WSHV, configuring 392–394
practice exercise 403
network address translation (NAT) 448–451
Network Connectivity Assistant (NCA) 469
network drives, mapping 306–308
Network Location Server (NLS) 460, 463, 470
network monitoring, for monitoring servers 609–612
practice exercise 636–641
Network Policy Server (NPS) 345–376
authentication methods 357–359
configuring with 802.1X group policies 363–367
client configuration 363–367
connection request policies 350–353
Network Location Server (NLS)

adding conditions 353–356
creating 361–363
default policy 360
forwarding 356
network access servers, specifying type 351
realm name, applying 359
deploying 346–350
encryption, selecting types of 368
IP filters, configuring 367
IP settings, configuring 368
network policies
adding conditions 370–372
creating 369–373
practice exercise 402
RADIUS proxy and server 346
templates 374–376
Network Policy Service (NPS)
RADIUS accounting 429–432
practice exercise 480
RADIUS clients 426–429
practice exercise 479
RADIUS proxies 421–425
RADIUS servers 418–421
practice exercise 475–478
network printers, mapping 308
network topologies supported by DirectAccess 456
network traffic, capturing using Message Analyzer 611–613
Network Unlock feature, BitLocker 528
New-ADDCCloneConfig Windows PowerShell cmdlet 198
New-ADServiceAccount cmdlet 89
New Delegation Wizard 130
New-GPO cmdlet 243
New Zone Wizard 124, 135, 143
Next Secure (NSEC/NSEC3) record 155
non-authoritative restore for Active Directory objects 214
non-expiring passwords 71–73
practice exercise 107–110
NPS. See Network Policy Server
ntdsutil.exe command
creating Active Directory snapshots 204–206
defragmenting Active Directory database 200–203
performing authoritative restore 213
seizing FSMO roles 187

O
operations master
domain naming master 184
practice exercise 230–234
RID master 186
schema master 183
seizing FSMO roles 187
operations masters
managing 182–187
practice exercise 230–234

P
packages, upgrading using Group Policy 290
PAP (Password Authentication Protocol) 444
pass-through mode, Web Application Proxy in 451
Password must meet complexity requirements policy 69
practice exercise 100
Password Never Expires option 71
password policies
domain-based 66–70
fine-grained 76–84, 77–85
practice exercise 110–113
non-expiring passwords 71–73
practice exercise 107–110
practice exercise for configuring 96–100
Password Replication Policy for RODCs 194–196
Password Settings Container (PSC) 81
Password Settings Object (PSO)
configuring 82–84
creating 81
PDC emulator 185
Peer Name Resolution Protocol (PNRP) 144
performance counter alerts, for monitoring servers 597–599
practice exercise 628
Performance Monitor 592–595
practice exercise 621–626
permissions
setting for folder redirection 284
(PNRP) Peer Name Resolution Protocol 144
pointer (PTR) records in DNS zones 150
policy processing precedence 253
power options, configuring 309–313
Power Options (Windows XP) 309–311
Power Plans 312
Power Scheme (Windows XP) 311
PPTP tunneling protocol 445
Pre-boot Execution Environment. See PXE
precedence, Group Policy processing 253
prestaging an RODC account 193
primary zones 127
PSO. See Password Settings Object
publishing applications 288
push partners and pull partners, configuring WINS servers 140
PXE-compliant network adapters 14–16
PXE response settings, configuring WDS with 19

Q
quotas, FSRM 502
practice exercise 541–545

R
RADIUS accounting 429–432
practice exercise 480
RADIUS clients 426–429
practice exercise 479
RADIUS, configuring 417–434
RADIUS proxies 421–425
RADIUS proxy 346
connection request policies and 359
RADIUS server 346
connection request policies and 359
RADIUS servers 418–421
practice exercise 475–478
RD Gateway enforcement, configuring for NAP 387–390
read-only domain controller. See RODC
realm name, applying to connection request policies 359
recovering Active Directory objects 208–215
recovering data
from BitLocker-protected volumes 525–528
from corrupted DFS databases 520
from EFS-encrypted files 532
Recycle Bin, Active Directory 208–210
practice exercise 234
redirecting folders 282–285
practice exercise 324–328
registry keys, selecting to monitor 595
registry settings, configuring with Group Policy preferences 314
relative identifiers (RIDs) 186
remediation server groups 398
practice exercise 405, 410
Remote Access role service
configuring on VPN server 438–443
installing 435–437
Web Application Proxy 451
practice exercise 483–489
Remote Access Setup diagram 465–473
configuring application servers 473
configuring infrastructure servers 470–472
configuring remote access servers 469
configuring remote clients 467–469
Remote Authentication Dial-In User Service. See RADIUS
Remote Desktop Services. See RDS
remote differential compression, used by DFS 514–516
remote Group Policy update 261
Remote Server Administration Tools (RSAT) 89
managing WDS with 16
removable device auditing practice exercise 641–645
Remove-GPO cmdlet 243
/Remove-ProvisionedAppxPackage switch 8
Rename-GPO cmdlet 243
Replace (loopback processing) 259
replica mode, configuring WSUS 30
replication, DFS 514–520
cloning databases 519
practice exercise 560–566
targets, adding 516
replication partners, configuring WINS servers 140
replication scope
configuring 125–127
practice exercise 161–163
Reset Account Lockout Counter After policy 71
practice exercise 103
resetting password permissions 78–80
Resource Monitor tool 610
Resource Record Signature (RRSIG) records 154
resource records in DNS zones 147
Restore-GPO cmdlet 243
restoring Active Directory objects 208–215
restoring GPOs 244
reverse lookup zones 128–130
RID (relative identifier) master 186
RODC (read-only domain controller) 190–197
Password Replication Policy 194–196
practice exercise 225–230
Routing and Remote Access
configuring server routing 446–448
configuring VPN server 439–443
deploying 435–437
NAT (network address translation) 448–451
Routing Information Protocol v2 (RIP) 446
RSAT. See Remote Server Administration Tools

S
schedules, replication 517
schema master 183
scripts, deploying to users and computers 291–293
practice exercise 328–331, 329–332
secondary zones 127
practice exercise 168–170
security filtering for GPOs 255–257
practice exercise 273
security identifiers (SIDs) 186
security roles in WSUS 32
seizing FSMO roles 187
self-service password reset 79
semantic integrity check, performing 200
Server Core version of Windows Server
installing WDS on computers with 16
installing WSUS on computers with 28
Server images. See Windows Server images
servers, monitoring. See monitoring servers
service principal names (SPNs) 94
service tickets, determined by Kerberos policies 92
servicing Windows Server images 4–11
practice exercise for 42
Set-ADComputer cmdlet 92
Set-ADDomainMode Windows PowerShell cmdlet 80
Set-ADObject cmdlet 209
Set-ADServiceAccount cmdlet 89, 92
Set-ADUser cmdlet 92
Set-DnsServerScavenging cmdlet 152
SetSPN command-line utility 94
Set-WsusClassification cmdlet 32
Set-WsusProduct cmdlet 32
Set-WsusServerSynchronization cmdlet 32
shared folders, locating with DFS 511, 516
shared secret, configuring 424, 427
SHA (System Health Agent), configuring for NAP 395
shutdown scripts 291
SHV (System Health Validator), configuring for NAP 395
signing DNS zones 153
single-label name resolution solutions
GlobalNames zones 142–144
practice exercise 171
WINS 138–142
slow-link processing 260
snapshots, Active Directory 204–206
software deployment using Group Policy 285–291
SPAP (Shiva Password Authentication Protocol) 444
Specify Intranet Microsoft Update Service Location policy 34
split DNS 131
SQL Server logging, configuring 430–432
SSL certificate, obtaining for DirectAccess server 459, 463
SSTP tunneling protocol 445
staging folder, DFS 515
stale resource records in DNS zones 151
stand-alone namespaces 512
startup scripts 291
storage reports, FSRM 507
practice exercise 552–556
Store Passwords Using Reversible Encryption policy 69
stub zones 134
subscriptions, event 603–606
practice exercise 630–635
success and failure auditing, configuring 618–620
Sysprep.exe utility 9
System Health Agent (SHA), configuring for NAP 395
System Health Validator (SHV), configuring for NAP 395

T
targeting categories when applying Group Policy preferences 305, 309
practice exercise 336–338
tasks, event-driven 606–609
templates for Network Policy Server (NPS) 374–376
Ticket Granting Ticket (TGT) 93
time synchronization, performed by PDC emulator 185
tombstone lifetime setting 212
tombstone reanimation 214
topologies supported by DirectAccess 456
topology, replication 516
TPM (Trusted Platform Module), using with BitLocker 523–525
transport agent policies, configuring for an HRA 398
Trust anchors 155
tunneling protocols, VPN 444–446

two-factor authentication, configuring 458

U

Uninstall-ADDSDomainController cmdlet 203

universal group membership caching (UGMC) 189

/Unmount-Wim switch 9

update deployment using WSUS 28

update files, downloading 40–42

upgrading deployed packages 290

User Configuration settings 258–260

user password policies, domain-based 66–70

user tickets, determined by Kerberos policies 92

V

views of event logs 601–603

virtual service accounts 90

VPN authentication protocols 443–446

VPN enforcement, configuring for NAP 385–387

VPN servers

  configuring Remote Access role service on 438–443

  practice exercise 481–483

VPN settings, configuring 437–446

VPN tunneling protocols 444–446

W

Windows Assessment and Deployment Kit (Windows ADK) 12

Windows Deployment Services (WDS) 14–27

client naming policy for 20

configuring 19–23

  practice exercise for 47–51

driver packages, importing into 25

  practice exercise for 52–54

images, importing into 17–19

installing on Server Core versions 16

multicast transmissions 14, 22

  practice exercise for 43–47

requirements for 15

  transmissions, configuring 24

Windows Imaging (WIM) files 2

  practice exercise for servicing 42

Windows PE 17

Windows PowerShell

  importing 16

  support in WSUS 28, 31

Windows Security Health Validator (WSHV), configuring for NAP 392–394

Windows Server Backup, installing 210

Windows Server images

  adding device drivers to 6

  answer files and 12

  automating deployment of 12–14

  build and capture process 9

  committing 9

  configuring 3

  deploying automatically 11–27

  mounting 5

  payload-removed features of 8

  servicing 4–11

  software updates to 6

  understanding 2

Windows Server Update Services (WSUS) 27–39

  automatic approval rules for 36–38

  configuring, practice exercise for 56–58

  deploying 28–32

    practice exercise for 54–56

  deploying updates 35

  groups

    creating 33

    practice exercise for 58

  installing on Server Core versions 28

  new features of 28

  policies 33

  replica mode, configuring 30

  security roles in 32

  updating files 30

Windows PowerShell support in 28, 31

Windows settings, configuring with Group Policy preferences 317–319

Windows System Image Manager (Windows SIM) 12

WinRM (Windows Remote Management) 604

WINS, configuring 138–142

wired network policies, configuring 363–367

wired networks, configuring 802.1X enforcement for 383

wireless network policies, configuring 363

wireless networks, configuring 802.1X enforcement for 384
.zap files

WMI filters
  and Group Policies 257
  delegating ability to create 251
WSHV (Windows Security Health Validator), configuring
  for NAP 392–394
  practice exercise 403
WSUS. See Windows Server Update Services

Z

.zap files, deploying applications with 286
zone delegation 129
  practice exercise 163–168
Zone Signing Key (ZSK) 156
zone transfers
  managing 127
  practice exercise 168