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Orin Thomas
Ian McLean
This book is dedicated to my second grandchild, who is due to be born in early September 2011 and is currently known as Bump – also to Bump’s Mummy and Daddy, Harjit and Drew, and of course to Bump’s sister Freya, who is almost four and a big girl now.

—Ian McLean

To the awesome team at DDLS Melbourne, hope that we work together again soon!

—Orin Thomas
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Introduction

This training kit is designed for server and domain administrators who have two to three years of experience managing Windows servers and infrastructure in an environment that typically supports 250 to 5,000 (or even more) users in three or more physical locations and has three or more domain controllers. You will likely be responsible for supporting network services and resources such as messaging, database servers, file and print servers, a proxy server, a firewall, the Internet, an intranet, remote access, and clients. You also will be responsible for implementing connectivity requirements, such as connecting branch offices and individual users in remote locations to the corporate network and connecting corporate networks to the Internet.

**NOTE WINDOWS SERVER 2008 CERTIFICATION**

Exam 70-646 is one of three required exams for MCSA: Windows Server 2008 certification. For a limited time, it will also count towards the MCITP certification, which will be retired. Please visit the Microsoft Learning website for the most current information about Microsoft certifications: [http://www.microsoft.com/learning/](http://www.microsoft.com/learning/)

The material covered in this training kit and on Exam 70-646 relates to the technologies in a Windows Server 2008 or Windows Server 2008 R2 network that support distributed access to web content, media, operating systems, and applications. The topics in this training kit cover what you need to know for the exam as described on the Skills Measured tab for the exam, which is available at [http://www.microsoft.com/learning/en/us/exam.aspx?ID=70-646&locale=en-us](http://www.microsoft.com/learning/en/us/exam.aspx?ID=70-646&locale=en-us)

By using this training kit, you will learn how to do the following:

- Plan and implement the deployment of servers running Windows Server 2008 and Windows Server 2008 R2
- Plan and implement the management of servers running Windows Server 2008 and Windows Server 2008 R2
- Monitor, maintain, and optimize servers
- Plan application and data provisioning
- Plan and implement high-availability strategies and ensure business continuity

Refer to the objective mapping page in the front of this book to see where each exam objective is covered in the book.
System Requirements

The following are the minimum system requirements that your computer needs to meet to complete the practice exercises in this book and to run the companion CD. To minimize the time and expense of configuring physical computers for this training kit, it's recommended that you use Hyper-V, which is a feature of Windows Server 2008 and Windows Server 2008 R2. You can use third-party virtualization products, but the practice setup instructions in the book are written on the assumption that you are using Hyper-V.

Hardware Requirements

It is possible to complete almost all the practice exercises in this book using virtual machines rather than real server hardware. The system requirements for Windows Server 2008 R2 are listed on the following Web page:

If you intend to implement several virtual machines on the same computer (which is recommended), a higher specification will enhance your user experience. In particular, a computer with 8 GB of RAM and 150 GB of free disk space can host all the virtual machines specified for all the practice exercises in this book.

Software Requirements

The following software is required to complete all the practices:

- Windows Server 2008 R2 Enterprise edition
- Windows 7 Enterprise or Ultimate edition


Preparing the Computers Running Windows Server 2008 R2 Enterprise Edition

Practice Setup Instructions

The exercises in this training kit require a minimum of two computers or virtual machines, as follows:

- One server running Windows Server 2008 R2 Enterprise, configured as a domain controller
- One server running Windows Server 2008 R2 Enterprise, configured as a member server

If you want to carry out all the practices and suggested practices in Chapter 4, “Group Policy Strategies,” you need an additional client running Windows 7 Enterprise or Ultimate edition. All these computers can be virtual machines. You also need a second hard disk (internal or external) that is connected to your domain controller to carry out the practices in Chapter 13, “Backup and Recovery.” If you are using virtual machines, this can be a virtual hard disk.

All computers must be connected physically to the same network. We recommend that you use an isolated network that is not part of your production network to do the practice exercises in this book. To minimize the time and expense of configuring physical computers, we recommend that you use virtual machines. To run computers as virtual machines on a server running Windows Server 2008 or Windows Server 2008 R2, you need to install the Hyper-V server role. Alternatively, you can use supported third-party virtual machine products.

Using the CD

The companion CD included with this training kit contains the following:

- **Practice tests**  You can reinforce your understanding of how to configure Windows Server 2008 R2 by using electronic practice tests that you customize to meet your needs from the pool of Lesson Review questions in this book. Alternatively, you can practice for the 70-646 certification exam by using tests created from a pool of 200 realistic exam questions, which give you many opportunities to take practice exams to ensure that you are prepared.

- **An eBook**  An electronic version (eBook) of this book is included so that you do not always have to carry the printed book with you. The eBook is in Portable Document Format (PDF), and you can view it by using Adobe Acrobat or Adobe Reader.
How to Install the Practice Tests
To install the practice test software from the companion CD to your hard disk, perform the following steps:

1. Insert the companion CD into your CD-ROM drive and accept the license agreement. The CD menu appears.

**NOTE** IF THE CD MENU DOES NOT APPEAR
If the CD menu or the license agreement does not appear, AutoRun might be disabled on your computer. Refer to the Readme.txt file on the CD-ROM for alternate installation instructions.

2. Click Practice Tests and follow the instructions on the screen.

How to Use the Practice Tests
To start the practice test software, follow these steps:

1. Click Start, All Programs, and then Microsoft Press Training Kit Exam Prep. A window appears that shows all the Microsoft Press training kit exam prep suites that are installed on your computer.

2. Double-click the lesson review or practice test that you want to use.

**NOTE** LESSON REVIEWS VS. PRACTICE TESTS
Select the (70-646) Windows Server 2008 R2 Server Administrator lesson review to use the questions from the “Lesson Review” sections of this book. Select the (70-646) Windows Server 2008 R2 Server Administrator practice test to use a pool of 300 questions similar to those that appear on the 70-646 certification exam.

Lesson Review Options
When you start a lesson review, the Custom Mode dialog box appears so that you can configure your test. You can click OK to accept the defaults or you can customize the number of questions you want, how the practice test software works, which exam objectives you want the questions to relate to, and whether you want your lesson review to be timed. If you are retaking a test, you can select whether you want to see all the questions again or only the questions you missed or did not answer.

After you click OK, your lesson review starts.
To take the test, answer the questions and use the Next, Previous, and Go To buttons to move from question to question.

After you answer an individual question, if you want to see which answers are correct—along with an explanation of each correct answer—click Explanation.

If you prefer to wait until the end of the test to see how you did, answer all the questions and then click Score Test. You will see a summary of the exam objectives that you chose and the percentage of questions that you got right, both overall and per objective. You can print a copy of your test, review your answers, or retake the test.

Practice Test Options

When you start a practice test, you choose whether to take the test in Certification Mode, Study Mode, or Custom Mode, which are as follows:

- **Certification Mode**  This mode closely resembles the experience of taking a certification exam. The test has a set number of questions, it is timed, and you cannot pause and restart the timer.

- **Study Mode**  This mode creates an untimed test, in which you can review the correct answer and the explanations for all the answer choices after you answer each question.

- **Custom Mode**  This mode gives you full control over the test options so that you can customize them as you like.

The user interface when you are taking the test is basically the same in all the modes, but with different options enabled or disabled depending on the mode. The main options are discussed in the previous section, “Lesson Review Options.”

When you review your answer to an individual practice test question, a “References” section is provided, which lists where in the training kit you can find the information that relates to that question and provides links to other sources of information. After you click Test Results to score your entire practice test, you can click the Learning Plan tab to see a list of references for every objective.

How to Uninstall the Practice Tests

To uninstall the practice test software for a training kit, use the Program And Features option in the Control Panel.

**NOTE**  COMPANION CONTENT FOR DIGITAL BOOK READERS

If you bought a digital edition of this book, you can enjoy select content from the print edition's companion CD. Visit http://go.microsoft.com/fwlink/?Linkid=219765 to get your downloadable content.
Acknowledgments

The authors’ names appear on the cover of a book, but we are only two members of a much larger team. First of all, thanks to Jeff Koch, for allowing us to update the first edition of this book, and to Karen Szall, our developmental editor. During the writing process, we worked most closely with Rosemary Caperton and Susan McClung. Rosemary and Sue, thanks for your patience with us and for making this a great book. Mitch Tulloch was our technical reviewer, and he was far more committed to the project than any reviewer we’ve worked with in the past. We would also like to thank Christian Holdener, of S4Carlisle Publishing Services, for his invaluable assistance in orchestrating the diverse actors in the enterprise of putting this book together. Each of our editors contributed significantly to this book, and we hope to work with them all in the future.

Support & Feedback

The following sections provide information on errata, book support, feedback, and contact information.

Errata & Book Support

We’ve made every effort to ensure the accuracy of this book and its companion content. Any errors that have been reported since this book was published are listed on our Microsoft Press site:

http://go.microsoft.com/FWLink/?Linkid=219763

If you find an error that is not already listed, you can report it to us through the same page.

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Microsoft certification exams are a great way to build your resume and let the world know about your level of expertise. Certification exams validate your on-the-job experience and product knowledge. While there is no substitution for on-the-job experience, preparation through study and hands-on practice can help you prepare for the exam. We recommend that you round out your exam preparation plan by using a combination of available study materials and courses. For example, you might use the Training Kit and another study guide for your “at home” preparation, and take a Microsoft Official Curriculum course for the classroom experience. Choose the combination that you think works best for you.
Provisioning File and Print Servers

This chapter looks at the Print and Document Services and File Services server roles and describes how you can plan to meet your organization’s printing, file storage, and access security needs. It discusses printer publishing and availability and looks at access permissions for both printers and files. The chapter covers file quotas and availability and describes how you can plan the deployment of the BranchCache For Network Files feature in both Distributed and Hosted Cache mode.

Exam objectives in this chapter:
■ Plan file and print server roles.

Lessons in this chapter:
■ Lesson 1: Planning Print Services Management  301
■ Lesson 2: Planning File Servers  320

Before You Begin

To complete the exercises in the practice session in this chapter, you need to have done the following:
■ Installed a server called VAN-DC1 running Windows Server 2008 R2 Enterprise that is configured as a domain controller in the Adatum.com domain, as described in Exercise 1 of the Appendix, “Setup Instructions for Windows Server 2008 R2.”
■ Optionally installed a server called VAN-SRV1 running Windows Server 2008 R2 Enterprise that is configured as a member server in the Adatum.com domain, as specified in Exercise 2 of the Appendix. This server is not required to carry out the practices in this chapter, but you may want to use it if you are trying out the new BranchCache For Network Files feature.
■ Created a user account in the Adatum.com domain with the user name Kim Akers and password Pa$$w0rd, and added this account to the Domain Admins, Enterprise...
Admins, and Schema Admins groups. This procedure is described in Exercise 1 of the Appendix.

- We recommend that you use an isolated network that is not part of your production network to do the practice exercises in this book. Internet access is not required for the exercises, and you do not need to configure a default gateway. To minimize the time and expense of configuring physical computers, we recommend that you use virtual machines. For example, you can create virtual machines using the Hyper-V server role.

**REAL WORLD**

Ian McLean

In July 1993, Microsoft introduced the new technology file system (NTFS). This was a remarkable development in its time. With its advent, folders and files could be protected from interactive as well as network users, and protection could be implemented at file level rather than folder level. I won’t go into the many other developments that NTFS enabled—this isn’t a history book—but I know that I have lost data on NTFS disks far less often than on FAT disks.

However, NTFS was not unalloyed good news, particularly for a network engineer (me) who was studying for his first MCSE at the time. NTFS introduced a level of complexity in calculating user permissions that almost guaranteed examination failure to those who couldn’t quite understand how permissions interacted, particularly when the old No-Access permission was replaced by the more granular Deny.

Software was developed for determining resultant user permissions, but you can’t take that into the examination room. My solution was much simpler. I drew three rectangular boxes next to each other. I marked the right box “File,” the middle box “Folder,” and the left box “Share.”

Then I wrote in the NTFS permissions a user had on a file, and the permissions that the same user had on the folder that contained the file. File overrides folder, so I had my resultant NTFS permissions. If I were logged on locally, those were my permissions on the folder. I wrote the shared folder permission into the Share box. If I were accessing remotely, my permissions would be more restrictive between share and resultant NTFS. I had worked out my user permission.

I used this technique in exams and in my profession. When I became an MCT, I taught it to my students, and rectangular boxes appeared on whiteboards throughout the land. It’s a simple technique. Some have even called it dumb. It works. Try it.
Lesson 1: Planning Print Services Management

As far as the users in your organization are concerned, one of the major functions they require from a computer network is the ability to print files easily and without fuss. You need to publish printers so that your users can print to them, while at the same time controlling the use of expensive printing assets. You need to plan your print infrastructure so that urgent print jobs are completed quickly while large, non-urgent print jobs are done outside normal working hours. This lesson looks at the Print and Document Services server role and how you manage availability and access permissions and publish printers.

After this lesson, you will be able to:

- Install the Print and Document Services server role and install and manage printers and print drivers.
- Manage printer access permissions and printer availability.

Estimated lesson time: 35 minutes

Planning the Print and Document Services Server Role

As an experienced administrator, you will almost certainly be familiar with administering printers and print devices. What is new in Windows Server 2008 R2 is that the Print Services server role, introduced in Windows Server 2008, is now the Print and Document Services server role. You need to install this server role on a server to create a print server. You will install this role in the practice later in this lesson. The Print Management console has been enhanced in Windows Server 2008 R2 and is described in this lesson.

The Print and Document Services server role lets you manage print servers and printers. If you configure a server running Windows Server 2008 R2 as a print server, you reduce administrative and management workload by centralizing printer management tasks through the Print Management console.

By default, installing the Print and Document Services server role installs the Print Server role service, which lets you share printers on a network and publish them to Active Directory Directory Services (AD DS). If you want, you can install the Line Printer Daemon (LPD), which lets you print to printers connected to a UNIX server; the Distributed Scan Server role service (new to Windows Server 2008 R2), which you use to communicate with scanners that support Web Services on Devices (WSD), run scan processes, route scanned documents, and log scan-related events; and Internet Print, which lets you use a web interface to connect to and manage printers.
A print device is a physical device that prints hard copy. A printer controls a print device. You can install several printers connected to a single print device and set different access permissions and schedules for different users. For example, if you have an expensive color print device, you might want to allow access to ordinary users only outside of normal working hours, but allow access at any time to the Managers security group. You can do this by creating two printers, both connected to the print device.

Planning the Print and Document Services server role involves analyzing current and required printing needs within an organization and configuring printer scheduling and access permissions. Do you have a department that sends very large but non-urgent jobs to a print device? In this case, you need to configure a printer that sends such jobs to a print device outside of office hours.

Does everyone in your organization need to print in color? If you give people the opportunity to print in color, they are likely to do so whether they need to or not. You cannot prevent users from habitually clicking Print several times whenever they want to print a document, or from printing out all their email messages. You can, however, set up auditing to detect high printer usage and identify those users with bad printing habits. As this book states in several places, an administrator needs to be able to solve people problems as well as technical problems.

Some of your planning decisions will be practical and pragmatic. It might be a good idea to have a print device with multiple input trays for special paper types, but it is probably a bad idea to use this device for general-purpose printing. A print device that stops and flashes an error message, thus blocking other jobs in the print queue, whenever a user specifies the wrong size of paper (which could happen easily and frequently) is also a bad choice for general printing needs. Also, you should consider using a printer pool—where a single printer controls several print devices—if you need to provide high availability of print devices.

Managing Printer Entities

If the Print and Document Services server role is installed on your server, you can manage the following entities:

- **Print queue** A print queue is a representation of a print device. Opening a print queue displays the active print jobs and their status. If a print job at the head of the queue is not being processed (possibly because an incorrect paper size is specified), you can delete this job and allow the remainder of jobs in the queue to be processed.

- **Print spooler service** A print server has a single print spooler service. This manages all the print jobs and print queues on that server. Typically, the print spooler service starts automatically. If, however, the service has stopped for any reason, you need to restart it. A symptom of this is a print job at the head of a queue that is not being processed but cannot be deleted.
- **Printer driver**  A print queue requires a printer driver to print to a print device. You need to ensure that the print driver exists on your print server, is working correctly, and is up to date.

- **Network printer port**  A printer driver uses a network printer port to communicate with a physical device across a network. These ports may, for example, be TCP/IP printer ports, Line Printer Remote (LPR) ports, or standard COM and LPT ports.

- **Print server cluster**  Printing is typically a mission-critical operation and you might choose to cluster your print servers to ensure high availability and failover support. Chapter 11, “Clustering and High Availability,” discusses cluster administration.

**Publishing Printers**

If you share a printer on a network but do not publish it in Active Directory, users then need to know its network path to use it. If you do publish the printer in Active Directory, it is easier to locate. If you decide to move a printer to another print server, you do not need to change the settings on clients—you only need to change its record in Active Directory.

If a printer is shared but not published, you can publish it by selecting the List In The Directory check box on the Sharing tab of the printer’s Properties dialog box, shown in Figure 7-1.

![Figure 7-1 Publishing a printer](image-url)

If you add a printer on a print server running Windows Server 2008 R2 and share it, the printer is published automatically, provided that the Group Policy settings called Automatically Publish New Printers In Active Directory and Allow Printers To Be Published...
are enabled. Figure 7-2 shows the Allow Printers To Be Published setting. A published printer needs to be shared. If you stop sharing the printer, it is no longer published.

![Figure 7-2 Allowing printers to be published](image)

**Using Windows Server 2008 R2 Print Enhancements**

Windows Server 2008 R2 provides users with enhanced printer and Print and Documents Services server role performance through the use of XML Paper Specification (XPS) documents, print paths, and printer drivers. It provides improved Print Management tools and, in particular, enhances the Print Management console. It also provides built-in support for WSD. It enhances efficiency and reduces the processing load on the Print Server and Documents server by performing print rendering on clients.

**XPS Documents**

Windows Server 2008 R2 integrates XPS throughout the print subsystem. This provides an enhanced level of efficiency, compatibility, and document quality. The XPS Document format is based on a fixed-layout document technology. The Microsoft XPS and Open Packaging Conventions (OPC) define the format, and these specifications are built on industry standards, such as XML and ZIP.
The XPS Document format provides broad platform support and is standard with Windows Vista. It is also supported by Microsoft .NET Framework 3.0 in Windows XP, Windows Vista, Windows 7, and Windows Server 2003. Cross-platform solutions are made possible by the open specifications. Many vendors of print and scan products are already developing solutions around XPS technologies to take advantage of the performance available and quality improvements to both .NET Framework 3.0 and Win32 applications.

XPSDrv printer drivers use a modular architecture that allows them to process documents in the print queue more efficiently. Windows Server 2008 R2 XPSDrv printer drivers use an architecture that extends the existing driver infrastructure with new features and capabilities while retaining compatibility with existing printers and applications. The XPSDrv printer driver architecture provides the following features:

- It supports Windows Presentation Foundation (WPF) and is also compatible with Win32-based applications.

MORE INFO  WPF
For more information about WPF, access http://msdn.microsoft.com/en-us/library/ms754130.aspx and follow the links. Be aware, however, that the examination is unlikely to ask in-depth questions on this topic.

- It allows you to include custom filters that perform such functions as adding a corporate watermark or implementing quota management and print job accounting.
- It enables independent hardware vendors to share common functionalities between similar driver models. This can improve the reliability of driver components and enhance print server driver post-processing by supporting the reuse of common printer driver components.

The print architecture gives existing applications the ability to use features that can be found only in the XPSDrv printer drivers. New applications that are written to use the .NET Framework 3.0 and .NET Framework 3.5 can take advantage of all the features that are offered throughout the print path.

MORE INFO .NET FRAMEWORK 3.0 AND .NET FRAMEWORK 3.5

XPSDrv printer drivers provide your users with better print quality. The drivers are not limited to using only the graphics device interface (GDI) processing functions. This enables them to process graphics in alternate color spaces and to use higher-performance graphics libraries that were not available to the older, GDI-based printer drivers.
Print Paths

Windows Server 2008 R2 supports the XPS print paths that use the XPS Document format throughout the print path from the application to the printer. This makes it possible to achieve true WYSIWYG print output. Print paths in Windows Server 2008 R2 provide the following advantages:

- They eliminate the file format conversions that are common with GDI-based printer drivers. This improves print performance and printed output quality, and helps reduce the overall size of spool files.
- They provide support for advanced color spaces and technologies in the printer driver components.
- They use 32-bit-per-channel color and CMYK color space. CMYK refers to the four inks used in some color printing: cyan, magenta, yellow, and key black.
- They provide direct support for transparencies and gradients.
- They implement conversion print paths to support existing applications and printer drivers.

The Print Management Console

Print and Document Services in Windows Server 2008 R2 enables you to share printers on a network and centralize print server and network printer management tasks by using the Print Management MMC snap-in. This console, shown in Figure 7-3, helps you monitor print queues and receive notifications when print queues stop processing print jobs. It also enables you to migrate print servers and deploy printer connections using Group Policy. You access the Print Management console by clicking Print Management on the Administrative Tools menu. Note that this tool is not available unless you have installed the Remote Server Administration Tools (RSAT) or have installed the Print and Document Services server role. You install this server role in a practice later in this chapter.

![Print Management Console](image-url)
The enhanced Windows Server 2008 R2 Print Management console includes support for print server migration from Windows 2000 Server and Windows Server 2003 to Windows Server 2008 R2. It also features an improved Network Printer Installation Wizard, which reduces the number of steps that you need to perform when adding network printers. The wizard automatically locates printers and installs the appropriate printer driver if this is available.

Quick Check

- What three features does the XPSDrv printer driver architecture provide in Windows Server 2008 R2?

Quick Check Answer

- It supports WPF.
- It allows you to include custom filters that perform such functions as adding a corporate watermark or implementing quota management and print job accounting.
- It enables independent hardware vendors to share common functionality between similar driver models.

Web Services on Devices (WSD)

Windows Server 2008 R2 provides built-in support for WSD, which is a set of protocols for accessing and controlling services on network-connected devices. WSD makes it easier to connect, install, and use printers. Microsoft is working in collaboration with several printer manufacturers to support this protocol in its devices.

Improving Scalability

To reduce the processing load on the computer running the Print and Document Services server role, print rendering is performed on the client (in particular, on clients running Windows Vista). In some cases, performing print rendering on the client considerably reduces network bandwidth. The size of this reduction in bandwidth depends on the print job content and the Page Description Language (PDL).

The print spooler in Windows Server 2008 R2 uses remote procedure calls (RPCs) to communicate between the client and the server. Windows Server 2008 R2 significantly reduces the number of separate processing threads required for RPCs. This can greatly enhance performance in medium- to large-scale print environments.

MORE INFO PRINT MANAGEMENT

Managing Printers with the Print Management Console

The Print Management console is installed as part of the Print and Document Services server role in Windows Server 2008 R2. You can also install it by opening Server Manager, clicking Features in the console tree, and then clicking Add Features. You then expand Remote Server Administration Tools, expand Role Administration Tools, and select the Print And Document Services Tools check box. Click Next, and then click Install. Click Close when the tool is installed.

The Print Management console lets you implement single-seat administration in a large organization that has a number of print servers (typically a large number). When you have installed the Print Management console as part of RSAT (it is also installed by default when you add the Print and Document Services role), you can open it from the Administration Tools menu or from within Server Manager. When you have installed the Print Management console, you need to configure it to identify the printers and print servers that you want to manage. You can add printers manually, or you can scan the network to identify printers automatically by clicking Printers on the Print Management console tree, as shown in Figure 7-4.

![Figure 7-4 Scanning for printers automatically in the Print Management console](image)

You can add a print server to the Print Management console by right-clicking Print Servers and selecting Add/Remove Servers. You can add new printers to a Windows Server 2008 R2 network by using the Add Printer wizard that was available in previous Windows versions. In Windows Server 2008 R2, this has been renamed the Network Printer Installation Wizard. The Print Management console gives you the option of running this wizard on a remote print server in both Windows Server 2008 and Windows Server 2008 R2; previously, you needed to run it locally.

To start the Network Printer Installation Wizard within the Print Management console, expand Print Servers and right-click the print server that you want to host the printer. Then click Add Printer, as shown in Figure 7-5, and follow the steps of the wizard. The Network Printer Installation Wizard lets you install a printer that is on a remote print server.
If you have added remote print servers to the Print Management console and configured printers on these servers, you can view, manage, and administer these printers and print servers centrally. Some of the tasks that you now can perform from the Print Management console, such as changing printer ports, adding or modifying forms, and viewing the status of printers, you previously had to carry out locally on a print server. Other tasks on the Print Management console are new, including creating custom printer filters that allow you and other administrators to view and manage selected printers based on their site, rights, and roles. The procedure to create a printer filter is described in the next section.

**Creating a Printer Filter and a Print Driver Filter**

Printer filters are used to display only those printers that meet specified criteria. You can create custom printer filters that filter by manufacturer or by printer type (such as laser, color laser, and plotter). This lets you view assets by make, model, location, or configuration. For example, you could set a filter to display all the printers in a single building, regardless of the print server they use.

The Print Management console provides two default filters named Printers Not Ready and Printers With Jobs. When you create a new custom filter, you have the option to set up an email notification or to run a script when the conditions of the filter are met. The procedure to create a custom printer filter is as follows:

1. Open the Print Management console.
2. Right-click Custom Filters. Click Add New Printer Filter. This starts the New Printer Filter Wizard.
3. On the Printer Filter Name And Description page, specify a name for the printer filter. This name will appear in the Custom Printer Filters folder in the Print Management console tree.
4. If you want, type a description.
5. If you want to display the number of printers that satisfies the conditions of a filter, select the **Display The Total Number Of Items Next To The Name Of The Filter** check box.

6. The **Filter Name And Description** page should look similar to Figure 7-6. Click Next.

![Figure 7-6 The Filter Name And Description page](image1)

7. On the **Define A Printer Filter** page, specify a printer status characteristic or print queue on the Field list. Specify a Condition and a Value for that condition, as shown in Figure 7-7. Click Next.

![Figure 7-7 Defining a filter](image2)
8. If you want, on the Set Notifications (Optional) page, set an email notification, set a script to run, or specify both. Click Finish.

9. Click the name of the filter that you created in the Custom Filters container, as shown in Figure 7-8. View the list of printers in the middle pane.

![Figure 7-8 Viewing the printers specified by a printer filter](image)

Similarly, you can use the Print Management console to create custom print driver filters that display only those print drivers that meet a certain set of criteria. The procedure for creating a custom print driver filter is almost identical to that for creating a custom printer filter, except that in step 2 you right-click Custom Filters and click Add New Driver Filter. You specify a name and (if you want) a description, and then select whether to display the number of items next to the filter.

As with a printer filter, you configure the print driver filter by specifying Field, Condition, and Value, and you have the option of configuring an email notification, running a script, or both.

EXAM TIP

Custom filters are new to the Print Management console and can be configured only on print servers running Windows Server 2008 or Windows Server 2008 R2.

Quick Check

- What are the two default filters provided by the Print Management console in Windows Server 2008 R2?

Quick Check Answer

- Printers Not Ready and Printers With Jobs
Managing Drivers, Ports, Forms, and Printers

You can expand any of the print servers listed in the Print Management console tree and manage drivers, ports, forms, or printers. You can also add a driver, a port, or a printer.

When you right-click Drivers and click Manage Drivers, this opens the Properties dialog box for the print server with the Drivers tab selected, as shown in Figure 7-9. You can add a new driver, remove a driver, or view the properties of any driver in the list.

![Image of Print Server Properties dialog box]

**FIGURE 7-9** The Drivers tab of the Print Server Properties dialog box

When you right-click Ports and click Manage Ports, this opens the Properties dialog box for the print server with the Ports tab selected, as shown in Figure 7-10. You can delete an existing port, add a new port, or configure a selected port. To configure a port, click Configure Port, enter a value (in seconds) for the transmission timeout retry interval, and then click OK.

When you right-click Forms and click Manage Forms, this opens the Properties dialog box for the print server with the Forms tab selected. When you select the Create A New Form check box, you can give the form a name and specify paper size and print area margins, as shown in Figure 7-11. When you are satisfied with your configuration, you click Save Form to create the form. Note that the Delete button becomes active only if you have already saved one or more custom forms and select a custom form on the Forms tab. You cannot delete standard forms from this tab.
Lesson 1: Planning Print Services Management

**FIGURE 7-10** The Ports tab of the Print Server Properties dialog box

**FIGURE 7-11** The Forms tab of the Print Server Properties dialog box
When you right-click Printers under a print server, you can add a printer or show or hide Extended view. If you choose to show Extended view, then more details are given for any printer that you select in the Print Management console. You can view the print jobs currently running on the printer and access the printer Web page (if one exists). Figure 7-12 shows Extended view details for a selected printer.

**FIGURE 7-12** Showing Extended view

You can also access the Properties dialog box for a print server by right-clicking the server in the Print Management console tree and clicking Properties. By default, this accesses the Advanced tab, shown in Figure 7-13. On this tab, you can change the location of the print spool folder and select whether an audible warning will be generated if an error is detected on a remote document and whether to show informational notifications for local printers, remote printers, or both.

**FIGURE 7-13** The Advanced tab of the Print Server Properties dialog box
Planning and Managing Security and Access Permissions

To secure a print server and control access to specific printers, you must consider what rights users and groups should have. As an experienced administrator, you have probably configured permissions for both files and printers. You should be aware that you should grant permissions to groups rather than individual users, and you should use explicit Deny permissions as sparingly as possible.

You have probably dealt with the situation where a user who should have access to a printer does not and (more difficult to diagnose) where a user who should not have access to a printer does. Setting permissions and debugging permission configuration are common administrative tasks.

Planning permissions is a much more difficult task. Should you configure two print drivers for an expensive print device, so that the Managers security group has full-time access while the Everyone security group has access only at off-peak times? How should you plan access to color printers or A3 printers? What type of auditing and monitoring should you set up so you can identify users with bad printing habits (such as sending a job to a printer several times if it does not immediately appear on the print device)? Should you use printer pools to handle heavy print traffic?

**EXAM TIP**

Remember that you can have several printers controlling a single print device and giving different groups different levels and times of access. You can also have several print devices controlled by a single print driver so that they form a printer pool.

The Security tab of the Print Server Properties dialog box lets you configure access settings for all printers on the print server, as well as to the print server itself. You are probably aware of the print permissions available, but they are listed here to remind you:

- **Print**  This permission is assigned to the Everyone group by default. The user can connect to a printer and send documents. Members of the Administrators, Print Operators, and Server Operators groups also have explicit Print permission.

- **Manage Documents**  This permission is assigned explicitly to members of the Creator Owner group and is the only permission assigned to that group. Members of the Administrators, Print Operators, and Server Operators groups are granted the Manage Documents permission but are also granted the Manage Printers permission. The user can pause, resume, restart, cancel, and rearrange the order of documents submitted by all other users. A user who has only the Manage Documents permission, however, cannot send documents to the printer or control the status of the printer.

- **Manage Printers**  This permission is assigned to members of the Administrators, Print Operators, and Server Operators groups. The user can perform the tasks associated with the Print permission and has complete administrative control of the printer. The user can pause and restart the printer, change spooler settings, share a printer, adjust printer permissions, and change printer properties.
The Security tab of the Print Server Properties dialog box also lets you assign permissions to the server itself. The View Server permission allows a user to view the print server. Without the View Server permission, a user cannot see printers that are managed by the server, and for this reason, this permission is given to members of the Everyone group.

The Manage Server permission lets users create and delete print queues (with already installed drivers), add or delete ports, and add or delete forms. By default, the Administrators, Server Operators, and Print Operators groups are granted this permission. A standard user who is granted this permission is called a delegated print administrator.

**MORE INFO  ASSIGNING DELEGATED PRINT ADMINISTRATOR AND PRINTER PERMISSION SETTINGS**


Figure 7-14 shows the Security tab of the Print Server Properties dialog box and the permission settings for the Everyone group. A group or an individual user can be specifically allowed permission, although as a best practice individual users should inherit permissions through group membership.

![Security tab of Print Server Properties dialog box](image_url)

**FIGURE 7-14** The Security tab of the Print Server Properties dialog box
A user or group can also be specifically denied permission. A user who has been specifically denied a permission, or who is a member of a group that has been specifically denied a permission, cannot be granted the permission through being a member of other groups. For this reason, the explicit Deny permission should be used as seldom as possible, and any instance should be documented carefully.

If appropriate, you can grant special permissions to a user or a group by allocating a non-standard combination of the available permissions. To confer special permissions to a user or security group, select the user or group in the Security tab and then click Advanced. The Advanced Security Settings dialog box, shown in Figure 7-15, allows you to configure permissions for a listed group or user by selecting the group or user and clicking Edit. You can also add a group or user and edit its permissions.

![Advanced Security Settings dialog box](image)

**FIGURE 7-15** The Advanced Security Settings dialog box

In addition to granting permissions on a print server, you can configure permissions on an individual printer. Permissions specifically configured at the printer level override permissions inherited from the print server configuration. You can assign Print, Manage Documents, and Manage Printers permissions to groups or users, and you can configure and assign special permissions. As with all permission configurations, it is good practice to confer permissions to groups rather than individual users and to be very sparing in the use of explicit Deny and special permissions. The Security tab of a printer's Properties dialog box is shown in Figure 7-16.
Lesson Summary

- When you install the Print and Document Services server role, you can install and manage printers and print drivers, add and manage ports, and configure forms.
- The Print Management console provides single-seat management of printers on remote print servers on your network.
- You can configure printer and server permissions on the Print Server Properties dialog box. You can configure permissions to an individual printer on the printer's Properties dialog box.

Lesson Review

You can use the following questions to test your knowledge of the information in Lesson 1, “Planning Print Services Management.” The questions are also available on the companion CD if you prefer to review them in electronic form.

NOTE ANSWERS

Answers to these questions and explanations of why each answer choice is correct or incorrect are located in the “Answers” section at the end of the book.
1. When you install the Print and Document Services server role, you can use the Print Management console to carry out a number of jobs remotely that previously you needed to do locally on the print server that held the printer. The console also introduces features that were not available in Windows versions earlier than Windows Server 2008. Which of the following tasks is new to the Print Management console?
   A. Changing printer ports
   B. Viewing the printer status
   C. Adding or modifying forms
   D. Creating custom printer filters

2. Which permission, assigned by default to the Creator Owner security group, allows a user to pause, resume, restart, cancel, and rearrange the order of documents submitted by all other users, but does not permit the user to send documents to the printer or control the status of the printer?
   A. Print
   B. Manage Documents
   C. Manage Printers
   D. Manage Server

3. Jeff Hay is a standard user. You want him to be a delegated print administrator on the print server DEN-PRS1. What permission do you grant him?
   A. Manage Server
   B. View Server
   C. Manage Documents
   D. Manage Printers

4. If you add and share a printer on a print server running Windows Server 2008 R2, the printer is published automatically, provided that two Group Policy settings are enabled. What are these settings? (Each answer forms part of the solution. Choose two.)
   A. Disallow Installation Of Printers Using Kernel Mode Drivers
   B. Always Render Print Jobs On The Server
   C. Automatically Publish New Printers In Active Directory
   D. Pre-Populate Printer Search Location Text
   E. Allow Printers To Be Published
Lesson 2: Planning File Servers

Your users need to be able to create files and save these files where they can be retrieved easily. You, on the other hand, need to ensure that users cannot read confidential files unless they are allowed to. You need to control usage so that users cannot clog the network with high numbers of large files. This lesson discusses file server configuration, file access permissions, quotas, storage availability, and the new BranchCache For Network Files feature introduced by Windows Server 2008 R2.

After this lesson, you will be able to:
- Plan your file and folder infrastructure and install the role services that you require to implement this plan.
- Manage file access permissions and storage availability.
- Plan and implement file quotas.
- Use the new BranchCache For Network Files feature.

Estimated lesson time: 45 minutes

Configuring a File Server

A file server provides a central network location where users can store files and share them with other users on the network. If a user requires a file that typically is accessed by many users, such as a company policy document, she should be able to access the file remotely. For the purposes of centralized administration, backup and restore, and the implementation of shadow copies, you need to store user files on a file server rather than on individual computers, although users typically also need to have the facility of working with their files offline.

You configure a server running Windows Server 2008 R2 as a file server by adding the File Services role, which consists of a number of role services. The File Server role service is installed automatically if you share a folder on the server. Figure 7-17 shows the role services that you can install as part of the File Services role.

As part of your planning process, you need to decide what role services you require and how these should be configured. The temptation is to install everything just in case you need it. Resist this temptation. The more services you install on a server, the more pressure you put on limited resources and the larger the footprint for attack.

The Role Services server role provides the following role services:
- Share And Storage Management (provided by File Server), which includes Disk Management, which in turn enables you to configure shadow copies
- Distributed File System (DFS), which includes DFS Namespaces (DFSN) and DFS Replication (DFSR)
- File Server Resource Manager (FSRM)
Lesson 2: Planning File Servers

Planning File Servers

Role services provided by the File Services server role

- Services For Network File System
- Windows Search Service
- Windows Server 2003 File Services, which includes the legacy Indexing Service
- BranchCache For Network Files, which is new in Windows Server 2008 R2

**NOTE** OPTIONAL FEATURES

Often the Windows Server Backup, Storage Manager for SANs, Failover Clustering, and Multipath I/O (MPIO) features are installed at the same time as the role services provided by the File Services server role. Chapter 13, “Backup and Recovery,” and Chapter 10, “Provision Data and Plan Storage,” discuss these features.

Share and Storage Management

Share And Storage Management is installed by default with the File Server role service. You can access the Share And Storage Management console through Server Manager or directly from Administrative Tools. It uses the Microsoft Server Message Block (SMB) 2.0 protocol to share the content of folders and to manage shared folders.

Figure 7-18 shows the Share And Storage Management console (accessed from Administrative Tools rather than from Server Manager) and lists the shared folders on the VAN-DC1 domain controller. Your domain controller might have additional shares if you created them when you were experimenting with your network.
If you want to learn more about the SMB protocol, as well as the Common Internet File System (CIFS) protocol that is a dialect of the SMB protocol, see [http://msdn2.microsoft.com/en-us/library/aa365233.aspx](http://msdn2.microsoft.com/en-us/library/aa365233.aspx). However, the examination is unlikely to ask you detailed questions about this protocol.

You can manage volumes and disks by using the Share And Storage Management console, as shown in Figure 7-19. Again, the volumes on your VAN-DC1 domain controller might differ from those seen here.
If you access Share And Storage Management from Server Manager, you can access the Disk Management console, shown in Figure 7-20. Again, the disks in your VAN-DC1 domain controller might differ from those seen here.

**FIGURE 7-20** Managing disks

You can share the content of folders and volumes on a server running Windows Server 2008 or Windows Server 2008 R2 over the network by using the Provision A Shared Folder Wizard, which you can access by selecting Provision Share from the Actions pane in the Share And Storage Management console. Figure 7-21 shows this wizard, which guides you through the steps required to share a folder or volume and configure its properties.

**FIGURE 7-21** The Provision A Shared Folder Wizard
You can use the Provision A Shared Folder Wizard to do the following:

- Specify a folder or volume to share, or create a new folder to share.
- Specify the network sharing protocol used to access the shared resource.
- Change the local NTFS permissions for the folder or volume you are sharing.
- Configure the share access permissions, user limits, and offline access to files in the shared resource.
- Publish the shared resource to a DFS namespace.

If you have installed the Services For Network File System role service, you can specify Network File System (NFS)–based access permissions for the shared resource. If you have installed the File Server Resource Manager role service, you can apply storage quotas to the new shared resource and limit the type of files that can be stored in it.

You can use Share And Storage Management to stop sharing a resource by selecting the resource on the Shares tab (shown previously in Figure 7-18) and clicking Stop Sharing in the Actions pane. If a folder or volume is shared for access by both the SMB and the NFS protocols, you need to stop sharing for each protocol individually. Before you stop sharing a folder or volume, you need to ensure that it is not in use by using the Manage Sessions and Manage Open Files features of Share And Storage Management. These features are described later in this section.

You can also use Share And Storage Management to view and modify the properties of a shared folder or volume, including the local NTFS permissions and the network access permissions for that shared resource. To do this, you again select the shared resource on the Shares tab and select Properties in the Actions pane (or right-click the shared resource and then click Properties). Figure 7-22 shows the Properties dialog box for a Public folder that has been shared. Clicking Advanced on the Sharing tab lets you configure user limits and caching and disable or enable access-based enumeration (ABE). ABE is enabled by default and lets you hide files and folders from users who do not have access to them. The Permissions tab lets you specify share and NTFS permissions.

**NOTE** ADMINISTRATIVE SHARES

You cannot modify the access permissions of folders or volumes shared for administrative purposes, such as C$ and ADMIN$.

If you want to view and close open sessions and open files—for example, if you intend to stop sharing a resource—you can click Manage Sessions or Manage Open Files as appropriate in the Share And Storage Management Actions pane. Figure 7-23 shows the Manage Sessions dialog box.
Lesson 2: Planning File Servers

FIGURE 7-22 The Properties dialog box for a shared resource

FIGURE 7-23 The Manage Sessions dialog box
Share And Storage Management enables you to provision storage on disks on your server running Windows Server 2008 or Windows Server 2008 R2, or on storage subsystems that support Virtual Disk Service (VDS). The Provision Storage Wizard, shown in Figure 7-24, guides you through the process of creating a volume on an existing disk or on a storage subsystem, such as a storage area network (SAN), attached to your server. You access this wizard from Share And Storage Management by clicking Provision Storage on the Actions pane.

![Figure 7-24 The Provision Storage Wizard](image)

If you create a volume on a storage subsystem, the wizard enables you to create a Logical Unit Number (LUN) to host that volume. You can also use the wizard to create a LUN, and use the Disk Management console (shown previously in Figure 7-20) to create the volume later.

**NOTE** **RUNNING THE PROVISION STORAGE WIZARD**

You can run the Provision Storage Wizard only if your server can access disks with unallocated space or storage subsystems with available storage for which a VDS hardware provider is installed. Also, you can create a volume only on a disk that is online. Chapter 10 discusses storage provisioning in more detail.

Provided that you have the available disk or storage subsystem resources, the Provision Storage Wizard can perform the following functions:

- Choose the disk on which the volume is created.
- Specify the volume size.
Assign a drive letter or a mount point.

Format the volume. (You can also do this from the Disk Management console.)

You can use Share And Storage Management to monitor and manage volumes on your server. The tool enables you to perform the following operations:

- Extend the size of a volume.
- Format a volume.
- Delete a volume.
- Change volume properties, including compression, security, offline availability, and indexing.
- Access disk tools for error checking, defragmentation, and backup.

**LUNs**

A LUN refers to a portion of a storage subsystem. It can include a disk, a section of a disk, a whole disk array, or a section of a disk array. LUNs simplify storage management by providing logical identifiers through which you can assign access and control privileges.

You can use the Provision Storage Wizard in Share And Storage Management to create LUNs on Fibre Channel and iSCSI disk drive subsystems connected to your server. You can then assign the LUN to your server or to other servers on the network. While creating the LUN, you can also create a volume on that LUN and format it. Alternatively, you can create the LUN first and the volume later.

If you want to create a LUN on a disk storage subsystem, you need to ensure that all the following requirements are met:

- The storage subsystem supports VDS.
- The VDS hardware provider for the storage subsystem is installed on the server.
- Storage space is available on the subsystem.
- The storage subsystem is attached directly to the server or is accessible over the network.

If you need to assign a LUN to a server or cluster other than the server on which you run the Provision Storage Wizard, you need to configure the server connections by using Storage Manager for SANs. (See Chapter 10 for more information about this.) If you want to assign the LUN to a cluster, ensure that each server in the cluster is a member of only one cluster and has been configured by installing Failover Clustering. Also, if you enable multiple Fibre Channel ports or iSCSI Initiator adapters for LUN access, make sure that the server supports MPIO.
NOTE STORAGE MANAGER FOR SANS

Storage Manager for SANs is not installed by default. You can install it by clicking Add Features in the Features node in Server Manager.

MORE INFO ISCSI

For more information about iSCSI, access http://download.microsoft.com/download/a/e/9/ae91dea1-66d9-417c-ade4-92d824b871af/uguide.doc and download the Users Guide. Note, however, that the exam is unlikely to test iSCSI in depth.

MORE INFO MPIO


MORE INFO SHARE AND STORAGE MANAGEMENT

For more information about Share and Storage Management, open the command prompt and enter hh storagemgmt.chm.

Distributed File System

DFS is considerably enhanced in Windows Server 2008 and Windows Server 2008 R2 and is installed as a role service under File Services. This topic is discussed in detail in Chapter 10 and is introduced only briefly here. DFS consists of two technologies, DFSN and DFSR, that you can use (together or independently) to provide fault-tolerant and flexible file sharing and replication services.

DFSN lets you group shared folders on different servers (and in multiple sites) into one or more logically structured namespaces. Users view each namespace as a single shared folder with a series of subfolders. The underlying shared folders structure is hidden from users, and this structure provides fault tolerance and the ability to connect users automatically to local shared folders, when available, instead of routing them over wide area network (WAN) connections.

DFSR provides a multimaster replication engine that lets you synchronize folders on multiple servers across local or WAN connections. It uses the Remote Differential Compression (RDC) protocol to update only those files that have changed since the last replication. You can use DFS Replication in conjunction with DFS Namespaces or by itself.

File Server Resource Manager

FSRM is a role service that you can install as part of File Services in Windows Server 2008 R2. When you install the role service you can access tools that enable you to understand, control, and manage the quantity and type of data stored on your servers. You can use FSRM to place quotas on folders and volumes, actively screen files, and generate storage reports. Details of
the facilities available from FSRM are given later in this lesson. You install the FSRM server role in a practice later in this chapter.

**Services For Network File System**

You can install Services For Network File System as a role service under File Services. NFS provides a file sharing solution for organizations with a mixed Windows and UNIX environment. Services For Network File System lets you transfer files between computers running Windows Server 2008 or Windows Server 2008 R2 and the UNIX operating system by using the NFS protocol. The Windows Server 2008 and Windows Server 2008 R2 versions of Services For NFS support the following enhancements:

- **Active Directory lookup**   Identity management for the UNIX extension of the Active Directory schema includes the UNIX user identifier (UID) and group identifier (GID) fields. This enables Server For NFS and Client For NFS to refer to Windows-to-UNIX user account mappings directly from Active Directory Domain Services (AD DS). Identity management for UNIX simplifies mapping user accounts from Windows to UNIX in AD DS.

- **64-bit support**   You can install Services For NFS on all editions of Windows Server 2008, including 64-bit editions, and on all editions of Windows Server 2008 R2.

- **Enhanced server performance**   Services For NFS includes a file filter driver, which significantly reduces server file access latencies.

- **UNIX special device support**   Services For NFS provides support for UNIX special devices based on the `mknod` (make a directory, a special file, or a regular file) function.

**MORE INFO  MKNOD**

For more information on the `mknod` function, see [http://www.opengroup.org/onlinepubs/009695399/functions/mknod.html](http://www.opengroup.org/onlinepubs/009695399/functions/mknod.html). However, the exam is unlikely to ask about UNIX functions.

- **Enhanced UNIX support**   Services For NFS supports the following UNIX versions: Sun Microsystems Solaris version 9, Red Hat Linux version 9, IBM AIX version 5L 5.2, and Hewlett Packard HP-UX version 11i.

**NOTE  WINDOWS SERVER 2008 R2 ENHANCEMENTS**

The Services For NFS role service is enhanced in Windows Server 2008 R2 and supports netgroups, which you can use to support network-wide named groups of hosts. The role service is also enhanced to support the Remote Procedure Call Security_Generic Security Services (RPCSEC_GSS) protocol, which enables applications to take advantage of the Generic Security Services_Application Programming Interface (GSS_API) to verify authentication and integrity. It lets you use Windows Management Instrumentation (WMI) for remote NFS management and makes an unmapped UNIX user option available for NFS shares.
MORE INFO  SERVICES FOR NFS

For more information about Services For NFS, including the enhancements introduced by Windows Server 2008 R2, open the command prompt and enter `hh nfs__lh.chm`.

Quick Check

■ What are the three main tasks that FSRM enables you to perform?

Quick Check Answer

■ FSRM enables you to place quotas on folders and volumes, actively screen files, and generate storage reports.

Windows Search Service

The Windows Search Service role service enables you to perform fast file searches on a server from clients that are compatible with Windows Search. It creates an index of the most common file and non-file data types on your server, such as email, contacts, calendar appointments, documents, photographs, and multimedia. Indexing files and data types enables you to perform fast file searches on your server running Windows Server 2008 or Windows Server 2008 R2 from clients running Windows Vista or Windows 7, or from clients running Windows XP with Windows Desktop Search installed.

Windows Search Service replaces the Indexing Service feature that was provided in Windows Server 2003. Although you have the option of installing the Windows Server 2003 File Services role service—including the Indexing Service—as part of the File Services server role on a server running Windows Server 2008 or Windows Server 2008 R2, you cannot install Indexing Services if you choose to install Windows Search Service.

When you install Windows Search Service, you are given the option to select the volumes or folders that you want to index. Microsoft recommends that you select a volume rather than a folder only if that volume is used exclusively for hosting shared folders.

Windows Server 2003 File Services

The File Services role in Windows Server 2008 or Windows Server 2008 R2 includes the role services that are compatible with Windows Server 2003. If you want, you can include the legacy Indexing Service that catalogs contents and properties of files on local and remote computers. Note that if you install the Windows Search Service, you cannot install the legacy Indexing Service.

In Windows Server 2008, you also have the option of installing the File Replication Service (FRS), which enables you to synchronize folders with file servers that use FRS. However, Microsoft recommends that where possible, you should use the DFSR service. In Windows Server 2008 R2, FRS has been replaced by DFSR for replicating DFS folders and for replicating the SYSVOL folder. The option to install the FRS role service is not available in Windows Server 2008 R2.
BranchCache For Network Files
The BranchCache For Network Files role service helps you reduce WAN utilization and enhance the responsiveness of network applications when users in branch office locations access content held in a central office. This role service is new to Windows Server 2008 R2 and is therefore discussed in detail later in this lesson.

Optional Features
If you want, you can install the following additional features to complement the role services in the File Services role:

- **Windows Server Backup**  Windows Server Backup provides a reliable method of backing up and recovering the operating system, certain applications, and files and folders stored on your server.

  **MORE INFO**  WINDOWS SERVER BACKUP
  For more information, open the command prompt and enter `hh backup.chm`.

- **Storage Manager for SANs**  Storage Manager for SANs lets you provision storage on one or more Fibre Channel or iSCSI storage subsystems on a SAN.

  **MORE INFO**  STORAGE MANAGER FOR SANs
  For more information about SANs, open the command prompt and enter `hh sanmogr.chm`.

- **Failover Clustering**  The Failover Clustering feature enables multiple servers to work together to increase the availability of services and applications. If one of the clustered servers (or nodes) fails, another node provides the required service through failover.

  **MORE INFO**  FAILOVER CLUSTERS IN WINDOWS SERVER 2008 R2

- **MPIO**  MPIO, introduced earlier in this lesson, provides support for multiple data paths between a file server and a storage device (known as multipathing). You can use MPIO to increase data availability by providing redundant connections to storage subsystems. Multipathing can also load-balance I/O traffic and improve system and application performance.
Using Windows Server 2008 R2 File Services Enhancements

Windows Server 2008 R2 helps you manage data more effectively, as well as more efficiently. It provides features that help you gain insight into organizational data and reduce the cost of data storage, maintenance, and management. It assists you to enforce company policies and mitigate the risks of leaking data.

File Classification Infrastructure

File Classification Infrastructure (FCI) in Windows Server 2008 R2 helps you manage your data more effectively, reduce costs, and mitigate risks. It provides a built-in solution for file classification that allows you to automate manual processes with predefined policies based on the business value of the data.

File systems on servers running previous operating systems stored files and allowed access based on user permissions. They did not, however, classify files according to their business value. When you have no indication about the business value of data in a file, it is difficult to make decisions about when stale files should expire or which files require a higher level of protection. By being able to both automatically and manually classify files according to predefined rules, you can manage organizational data more effectively and decide what should be retained and where it should be stored.

You can access Classification Properties and Classification Rules under Classification Management in the FSRM MMC snap-in and use the functionality built into Windows Server 2008 R2 to classify files based on content and location so that the files can be protected and managed more effectively based upon business value. An organization’s files can be classified to enable you to perform the following tasks:

- Identify sensitive data on public servers.
- Configure automated expiration of stale data.
- Use custom IT scripts. For example, you can use a script to move low-business-value files to cheaper storage hardware.
- Integrate with third-party storage software solutions.

Figure 7-25 shows the Create Classification Property Definition dialog box. If you click Classification Rules, you can create a new classification rule or configure a classification schedule. Figure 7-26 shows a classification schedule configured on the Automatic Classification tab of the File Server Resource Manager Options dialog box, which you access by clicking Classification Rules under Classification Properties in the FSRM MMC snap-in and then clicking Configure Classifications Schedule in the Actions pane.

MORE INFO FCI

FIGURE 7-25 The Create Classification Property Definition dialog box

FIGURE 7-26 Configuring a classification schedule
Distributed File Management

Windows Server 2008 R2 file services include DFSR and DFSN, introduced earlier in this lesson, to provide customers with better access to data and simplified access to files and shares across a network-wide infrastructure. DFSR efficiently and bidirectionally replicates partial file changes to keep multiple file copies in synchronization. DFSN makes file shares easier to locate and more resilient by enabling users to access their data without regard to the physical file server(s) on which the files reside. Replicated copies and transparent redirection enable your users to be more productive because their data is closer to them and highly available.

DFS is discussed in more detail in Chapter 10. Briefly, DFS technologies offer WAN-friendly replication as well as simplified, highly available access to geographically dispersed files. DFS is enhanced and operates more efficiently in Windows Server 2008 R2. It provides the following benefits:

- Better access to data
- Simplified access to files
- Increased productivity and data availability
- Support for failover clusters
- Read-only replicated folders

**MORE INFO** DFS MANAGEMENT IN WINDOWS SERVER 2008 R2


Disk Management

You use the Disk Management console, described earlier in this lesson, to manage hard disks and the volumes or partitions that they contain. You can initialize disks, create volumes, and format volumes with the FAT, FAT32, or NTFS file system. Disk Management enables you to perform most disk-related tasks without restarting the system or interrupting users, and most configuration changes take effect immediately.

The following Disk Management features are provided by Windows Server 2008 R2:

- **More efficient disk partition creation** When you right-click a volume, you can choose directly from a shortcut menu whether to create a basic, spanned, or striped partition.
- **Disk conversion options** If you add more than four partitions to a basic disk, you are prompted to convert the disk to dynamic or to the GUID partition table (GPT) partition style.
- **The ability to extend and shrink partitions** You can extend and shrink partitions directly from the Disk Management console.
FSRM
As mentioned earlier in this lesson, the FSRM role service installs the Classification Management console. In Windows Server 2008 R2, FSRM delivers better-managed file services across Common Internet File System/Server Message Block (CIFS/SMB) and NFS. By centralizing several different file service utilities available in previous Windows Server operating systems, FSRM provides a single management interface that lets you manage all the file allocation, quota, and sharing options in one place. Windows Server 2008 R2 FSRM provides built-in reporting, which lets you determine how your file-serving resources can be used for management, audit, and planning purposes, and offers the following advantages:

- Better-managed file services
- Improved control and compliance over files
- Insight into how your file servers are being used

Using the FSRM MMC snap-in to configure quotas and file screen policy is discussed later in this lesson.

Removable Storage
You can use the Removable Storage component to track removable storage media easily and to manage the libraries that contain them. Removable Storage labels, catalogs, and tracks media; controls library drives, slots, and doors; and provides drive-cleaning operations. It works with data-management programs, such as Backup, which manage the data stored on the media. Removable Storage makes it possible for multiple programs to share the same storage media resources and organizes all the media in your libraries into different media pools. It also moves media between media pools to provide the amount of data storage that your applications require.

Microsoft has removed Removable Storage Manager (RSM) from Windows Server 2008 R2 in favor of new archiving technology. The Ntbackup utility is not available in Windows Server 2008 R2, and it is currently impossible to restore files or folders or entire volumes from old archives created with Ntbackup on Windows Server 2008 and previous versions of Windows unless you download and install the Windows NT Backup Restore Utility for Windows 7 and for Windows Server 2008 R2. This is available at http://support.microsoft.com/kb/974674.

Services For NFS

As stated earlier in this lesson, Services For NFS is a role service that you can install as part of the File Services server role. It includes Server For NFS and Client For NFS and provides a file-sharing solution for organizations that have a mixed Windows and UNIX environment. Services For NFS enables you to transfer files between servers running Windows Server 2008 R2 and UNIX operating systems using the NFS protocol. The Windows Server 2008 R2 version of Services For NFS offers the following improvements:

- **Simplified administration** The configuration for supporting NFS clients has been simplified by the introduction of the Unmapped UNIX User Access feature.
- **Active Directory lookup** The Identity Management for UNIX Active Directory schema extension includes UNIX UID and GID fields. This enables Server For NFS and Client For NFS to look up Windows-to-UNIX user account mappings directly from AD DS.
- **Enhanced server performance** Services For NFS includes a file filter driver. This significantly reduces access latencies when accessing common server files.
- **UNIX special device support** Services For NFS supports UNIX special devices.
- **Enhanced UNIX support** Services For NFS supports Sun Microsystems Solaris version 9, Red Hat Linux version 9, IBM AIX version 5L 5.2, and Hewlett Packard HP-UX version 11i. NFS security is enhanced with the Kerberos protocol.

Shadow Copies of Shared Folders

Shadow Copies of Shared Folders provides point-in-time copies of files that are located on shared resources. It enables users to access shared files and folders as they existed at points of time in the past. Accessing previous versions of files, or shadow copies, lets users recover files that were accidentally deleted (or recent versions of such files), recover from accidentally overwriting a file, and compare versions of a file while working.

The following is a list of the aspects that are part of the Shadow Copies of Shared Folders managed entity in Windows Server 2008 R2:
- **Volume Snapshot driver integrity**  Shadow Copies of Shared Folders uses the Volume Snapshot driver (Volsnap.sys) to create shadow copies in Windows Server 2008 R2. This driver uses storage space allocated on a volume to maintain a snapshot of the contents of the shared folders. This storage space is called the Diff Area.

- **Diff Area integrity**  A snapshot is a block-level set of information that represents the differences between the current content and content from a previous point in time. Shadow Copies of Shared Folders allocates the Diff Area storage space on a volume to maintain snapshots of the contents of shared folders. The integrity of existing and new snapshots depends on the integrity of the Diff Area.

- **Volume Revert operations**  Shadow Copies of Shared Folders enables you to return a volume to the state that it was in when a shadow copy was created.

**NOTE  REVERTING A VOLUME**

When you revert a volume, all changes made to files and folders on the volume since the shadow copy was created are lost.

**MORE INFO  SHADOW COPIES IN WINDOWS SERVER 2008 R2**


**Share and Storage Management**

Share and Storage Management, discussed earlier in this lesson, provides a centralized location where you can manage folders and volumes that are shared on the network and volumes in disks and storage subsystems. You can use the Provision A Shared Folder Wizard to share the content of folders and volumes on your server over your network. Share and Storage Management enables you to provision storage on disks that are available on your server, or on storage subsystems that support VDS.

The wizard guides you through the process of creating a volume on an existing disk, or on a storage subsystem attached to your server. If the volume is created on a storage subsystem, the wizard also guides you through the process of creating a LUN to host that volume. You also have the option of creating the LUN and then using Disk Management to create the volume. Share and Storage Management also helps you monitor and manage the volumes that you have created, along with any other volumes that are available on your server.

**MORE INFO  SHARE AND STORAGE MANAGEMENT IN WINDOWS SERVER 2008 R2**

Shared Folders

In Windows Server 2008 R2, you can use the Shared Folders MMC snap-in, shown in Figure 7-27, to manage file shares centrally on a computer. This enables you to create file shares, set permissions, and view and manage open files and users connected to file shares on the computer.

![Console 1 - [Console Root\Shared Folders (Local):Shares]](image)

**FIGURE 7-27** The Shared Folders MMC snap-in

**MORE INFO** SHARED FOLDERS IN WINDOWS SERVER 2008 R2


Storage Explorer

A fabric is a network topology in which devices are connected to each other through one or more high-efficiency data paths. In the case of a Fibre Channel fabric, the network includes one or more Fibre Channel switches that allow servers and storage devices to connect to each other through virtual point-to-point connections. For iSCSI fabrics, the network includes one or more Internet Storage Name Service (iSNS) servers that provide discoverability and partitioning of resources. Storage Explorer enables you to view and manage the Fibre Channel and iSCSI fabrics that are available in your SAN.

The Storage Explorer console, shown in Figure 7-28, can display detailed information about servers connected to the SAN, as well as components in the fabrics such as host bus adapters (HBAs), Fibre Channel switches, and iSCSI Initiators and targets. You can also perform administrative tasks on an iSCSI fabric—for example, you can log on to iSCSI targets,
configure iSCSI security, add iSCSI target portals, add iSNS servers, and manage discovery domains and discovery domain sets.

**FIGURE 7-28** The Storage Explorer console

**MORE INFO** DISCOVERY DOMAINS AND DISCOVERY DOMAIN SETS


**MORE INFO** STORAGE EXPLORER IN WINDOWS SERVER 2008 R2


Storage Manager for SANs

The Storage Manager for SANs console lets you create and manage LUNs on Fibre Channel and iSCSI disk drive subsystems that support VDS in your SAN. Using LUNs simplifies the management of storage resources in your SAN because LUNs serve as logical identifiers through which you can assign access and control privileges.

As described previously in this lesson, a LUN is a logical reference to a portion of a storage subsystem. A LUN can comprise a disk, a section of a disk, a whole disk array, or a section of a disk array in the subsystem.

**MORE INFO** STORAGE MANAGER FOR SANs IN WINDOWS SERVER 2008 R2

Quick Check

- What tool enables you to view and manage the Fibre Channel and iSCSI fabrics that are available in a SAN?

Quick Check Answer

- Storage Explorer

Folder Redirection

Folder Redirection lets you redirect the path of a folder to a new location, such as a directory on a network file share. Users can then work with files on a file server as if these files were stored on a local drive on their clients. The documents in the folder are available to a user from any client on the network. Folder Redirection is a Group Policy setting and can be configured for any Group Policy object (GPO) that has User Configuration enabled. You can right-click any of the folders, click Properties, and configure the redirection options. Figure 7-29 shows the redirection options available for the Start Menu folder.

Folder Redirection is not a new feature, but Windows Server 2008 R2 includes the following enhancements:

- The ability to redirect more folders in the user profile folders than in earlier Windows operating systems. These include the Contacts, Downloads, Favorites, Links, Music, Saved Games, Searches, and Videos folders.
- The ability to apply settings for redirected folders to clients running earlier versions of Windows.
The option to have the Music, Pictures, and Videos folders follow the Documents folder.

The ability to redirect the Start Menu folder to a specific path for all users.

**MORE INFO  FOLDER REDIRECTION IN WINDOWS SERVER 2008 R2**


**The Offline Files Feature**

Offline files enable users such as mobile workers and branch office employees to access files that are available on a shared network resource and continue to work with network files when the computer is not connected to the network.

The Offline Files feature maintains a local cache of remote files and folders on your computer, so that they are available to users when they are working offline. Users can access these files in the same way that they accessed them online because the shared network resource paths and namespaces are preserved. The cache also speeds up the access to these files and folders over a slow connection.

When a network connection is restored, any changes that a user made while working offline are updated to the network by default. Any changes a user makes while working on a slow link are synchronized automatically with the version on the server at regular intervals as a background task.

The major enhancement to the Offline Files feature in Windows Server 2008 R2 is significantly improved file access over WANs, resulting in an improved network file experience for remote users. Other enhancements include the following:

- Fast First Logon
- Usually Offline Support With Background Sync
- Exclusion List
- Transparent Caching

**MORE INFO  THE OFFLINE FILES FEATURE IN WINDOWS SERVER 2008 R2**


**BranchCache For Network Files**

As mentioned previously in this lesson, BranchCache For Network Files is a role service that can be installed as part of the File Services server role in Windows Server 2008 R2. This role service was not available in previous versions of Windows Server. BranchCache For Network Files helps reduce WAN utilization and enhances the responsiveness of network applications when users in branch office locations access content held in a central office.
The role service caches, within a branch office, a copy of the content that is retrieved from a web server or file server at a central location such as a head office. If another client in the branch requests the same content, that client can download it directly from the local branch network without needing to retrieve the content over the WAN. You install the BranchCache For Network Files role service in a practice later in this chapter.

Users at branch offices can experience poor performance when they use network applications that connect to servers over a WAN connection. It might take a significant amount of time for a branch office user to open a large file on a shared folder located on a server at the central office—and remember that user expectations are now such that even a few seconds can seem like a long time. For instance, a user viewing a video through a web browser might have to wait an appreciable period of time before the video loads.

If BranchCache For Network Files is used, a branch office user should experience the same level of service as a user at the central office. The first branch office client to download data from a web server or file server (known as the content server) caches a copy on the local branch network. Subsequent clients download the locally cached copy of the content from within the branch after it is authenticated and authorized by the content server.

BranchCache For Network Files is designed to work with existing network and security infrastructures and supports IPv4, IPv6, and end-to-end encryption methods such as SSL and IPSec. It ensures that the most up-to-date content version is served and that clients are authorized by the content server before they can retrieve content from within the branch. BranchCache For Network Files requires that clients are running Windows 7 with the BranchCache feature enabled, and that web servers and file servers are running Windows Server 2008 R2 with the BranchCache For Network Files role service installed. You can enable BranchCache For Network Files for a shared folder by accessing the Properties dialog box for that folder and clicking Offline Settings on the General tab. Figure 7-30 shows the Offline Settings dialog box with Enable BranchCache selected.

![Offline Settings dialog box](image)
Depending on the cache location, BranchCache For Network Files can operate in one of the following modes:

- **Hosted Cache**  This mode deploys a computer running Windows Server 2008 R2 as a host in the branch office. Clients are configured with the fully qualified domain name (FQDN) of the host computer so that they can retrieve content from the hosted cache. If the content is not available in the hosted cache, it is retrieved from the content server over the WAN and then stored in the hosted cache for access by subsequent clients.

- **Distributed Cache**  This mode typically is used in branch offices with fewer than 50 users. In Distributed Cache mode, a local client running Windows 7 keeps a copy of the content and makes it available to other authorized clients. This eliminates the need for a server in the branch office. However, Distributed Cache mode works across only a single subnet (unlike Hosted Cache mode). This means that the content needs to be retrieved over the WAN for each subnet in the branch office. Also, clients that disconnect from the network (for example, clients that hibernate) cannot, while disconnected, provide content when requested.

To reduce bandwidth, BranchCache For Network Files sends content metadata to clients, which in turn retrieve the content from within the branch. The content metadata is significantly smaller than the actual content. Prior to sending content metadata, the server authorizes the client. The content server needs to send the content metadata to each client to ensure that the client always receives hashes for the most up-to-date content.

The content is broken into blocks. A block hash is computed for each block. A segment hash is also computed on a collection of blocks. Content metadata is primarily composed of block hashes and segment hashes. The hash algorithm that is used is SHA 256. The compression ratio achieved is approximately 2,000 to 1; that is, the size of the metadata is approximately 2,000 times smaller than the size of the original data itself.

**MORE INFO  SHA 256**

If you have a professional interest in secure hash algorithms and want to learn more about SHA 256, see [http://csrc.nist.gov/publications/fips/fips180-2/fips180-2withchangenotice.pdf](http://csrc.nist.gov/publications/fips/fips180-2/fips180-2withchangenotice.pdf). Be aware, however, that the exam will not test hash algorithms in the depth described in this document.

Segment hashes help reduce the total number of lookups performed for given content (compared to looking up every block). When a client needs to retrieve data from the hosted cache or from another client, it downloads the content in units defined by block hashes.

**NOTE  MINIMUM SIZE OF CONTENT CACHED**

The minimum size of content that BranchCache For Network Files caches is 64 KB. When content is less than 64 KB, data is directly retrieved from the content server through the WAN.
Windows Storage Server 2008 R2

Windows Storage Server 2008 R2 offers the same file services that are included in Windows Storage Server 2008, along with additional file services and enhancements. It is built upon Windows Server 2008 R2 and provides a platform for network-attached storage (NAS) appliances. The Windows Storage Server 2008 R2 family—Windows Storage Server 2008 R2 Enterprise edition, Windows Storage Server 2008 R2 Standard edition, and Windows Storage Server 2008 R2 Workgroup edition—offers advanced storage solutions to all sizes of organizations and includes storage technologies such as file deduplication (the removal of duplicate files and data) and an iSCSI software target for unified file services and block I/O storage.

MORE INFO MICROSOFT ISCSI INITIATOR IN WINDOWS SERVER 2008 R2


Windows Storage Server 2008 R2 provides simplified deployment and management of individual appliances and dual-node, highly available storage clusters. Windows Storage Server 2008 R2 Enterprise edition includes wizards that enable automated two-node failover cluster setup.

Single Instance Storage (SIS) reduces the amount of storage used by data by replacing multiple identical copies with logical links to a single source copy, hence performing file deduplication. SIS now supports 128 volumes on a single server. Windows Storage Server 2008 R2 includes a set of Windows PowerShell cmdlets to manage SIS, such as Install-SisVolume, Enable-SisVolume, and Get-SisVolume.

MORE INFO POWERSHELL CMDLETS TO MANAGE SIS


Windows Storage Server 2008 R2 enables file access over a network using the SMB and NFS protocols. It uses DFS and DFSR to implement a unified namespace and file-replication for data publishing to satellite offices. You can administer Windows Storage Server 2008 R2 remotely from Windows Internet Explorer using an ActiveX control, and from non-Microsoft browsers using a Java Remote Desktop Protocol (RDP) control. Windows Storage Server 2008 R2 provides a cost-effective, reliable, and scalable solution to challenges about how file data is accessed, moved, and managed. It offers the following file service features:

- **Distributed access to file services**  Windows Storage Server 2008 R2 file services enable you to provide better access to files, which typically are distributed widely across an organizational network. Distributed access increases storage management efficiency. Regardless of platform or location, data is more quickly accessible and easier to manage.
- **Centralized management of file services**  Centralized file services management provides controls that exclude unwanted data and management tools that improve the management of wanted data. These tools centralize management and reduce administrative overhead while simultaneously providing information about file infrastructures. This information helps you to meet requirements for file service availability and compliance more efficiently.

- **Cost-effective scalability and increased productivity**  Windows Storage Server 2008 R2 file services enable you to expand IT services and support a growing base of users affordably and efficiently.

These improved file service features are implemented by means of the following file service components that are introduced by Windows Storage Server 2008 R2 (and Windows 7):

- **SMB version 2.1**  The SMB 2.1 protocol is faster and more efficient than previous versions of SMB. SMB 2.1 is also optimized for low-bandwidth connectivity and improved for better flexibility, compatibility, and resiliency for clients running Windows 7.

- **NFS**  The NFS protocol enables organizations with heterogeneous environments to consolidate their file-sharing resources on Windows Storage Server 2008 R2. It enables computers running both Windows and non-Windows operating systems to share data easily.

- **DFS**  DFSR and DFSN provide organizations with better access to data and simplified access to files and shares across a network-wide infrastructure. DFS also extends traditional file serving by making file shares easier to locate and more resilient.

- **FSRM**  This server role provides a single management interface that delivers better-managed file services across CIFS/SMB and NFS and improves control and compliance over files. FSRM also provides built-in reporting that lets you determine how your file-serving resources are used for auditing and planning purposes.

- **FCI**  This feature automates classification processes to help you manage your data more effectively. Your organization can save money and reduce risk by storing and retaining files based on their business value or impact, and FCI provides expiration, custom tasks, and reporting.

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### The File Server Migration Toolkit

Managing Access Control

Access control is the process of permitting users, groups, and computers to access objects on the network or on a computer. It involves permissions, permission inheritance, object ownership, user rights, and auditing.

Permissions define the type of access granted to a user or group for an object. When a folder or volume is shared over a network and users access its contents remotely (as is the case with files on a file server), share or shared folder permissions apply to these users. A folder or file on an NTFS volume also has NTFS permissions, which apply whether it is accessed locally or across the network. Access permissions to files on a file server are typically a combination of NTFS permissions and the shared folder permissions set on the folder or folder hierarchy that contains the files. Printer objects have associated print permissions.

Every container and object on a network has associated (or attached) access control information defined within a security descriptor. This controls the type of access allowed to users and security groups. Permissions defined within an object’s security descriptor are associated with, or assigned to, specific users and security groups. Each assignment of permissions to a user or group is represented in the system as an access control entry (ACE). The entire set of permission entries in a security descriptor is known as a permission set, or access control list (ACL).

You can set NTFS permissions for objects such as files, Active Directory objects, registry objects, or system objects such as processes. You set NTFS permissions on the Security tab of the object’s Properties dialog box, sometimes known as the Access Control User Interface. Permissions can be granted to individual users, security groups, computers, and other objects with security identifiers in the domain. It is a good practice to assign permissions to security groups rather than to individual users.

The permissions attached to an object depend on the type of object. For example, the permissions that can be attached to a file are different from those that can be attached to a printer or to a registry key. When you set permissions, you specify the level of access for groups and users. For example, you can let one user read the contents of a file, let another user make changes to the file, and prevent all other users from accessing the file.

Microsoft states that permission inheritance allows administrators to assign and manage permissions easily and ensures consistency of permissions among all objects within a given container. Inheritance automatically causes objects within a container to inherit all the inheritable permissions of that container. For example, child folders by default inherit the permissions of their parent, and files within a folder have their permissions set automatically depending upon folder permissions.

Undoubtedly, inheritance is convenient when configuring permissions. You can block folder inheritance and assign explicit rather than inherited permissions to child objects. You can change file permissions so that some files in a folder can have different access permissions than others. This gives you a lot of flexibility, but it also can lead to complexity, so inheritance can make permissions more difficult to manage rather than easier. As with all
administrator tasks, the key is to plan carefully to avoid exceptions. You should also limit the use of explicit Deny permissions. If a user is a member of a group that has a Deny permission, or if the user has been explicitly denied a permission, she will be denied that permission no matter what other groups she is a member of.

An owner is assigned to an object when that object is created—typically, an object is owned by the user that creates it, so files saved in a Documents folder in a user profile are owned by that user. The owner of the object can always change the permissions on an object.

MORE INFO OBJECT OWNERSHIP

User rights grant specific privileges and logon rights to users and security groups. You can assign specific rights to group accounts or to individual user accounts. These rights authorize users to perform specific actions, such as logging on to a system interactively or backing up files and directories.

User rights are different from permissions because user rights apply to user accounts and permissions are attached to objects. Although you can apply user rights to individual user accounts, it is good practice to apply them to security groups rather than to individual users. You can administer user rights through the Local Security Settings MMC snap-in.

You can audit successful or failed access to objects on a per-user basis. You select which object’s access to audit by using the Access Control User Interface, but first, you must enable the Audit Policy by selecting Audit Object Access under Local Policies in the Local Security Settings snap-in. You can then view these security-related events in the Security log in Event Viewer.

MORE INFO SECURITY AUDITING

Configuring Access Permissions
By default, File Sharing is enabled and Public Folder Sharing is disabled on a computer running Windows Server 2008 or Windows Server 2008 R2. You can enable and disable these features by clicking Change Advanced Sharing Settings in the Network And Sharing Center, which you can access through the Control Panel. Figure 7-31 shows the Advanced Sharing Settings dialog box.

You can also open the MMC and add the Shared Folders snap-in. If you then right-click Shares and select New Share, the Create A Shared Folder Wizard starts. This wizard lets you specify the path to a folder you want to share, the name of the share, and the shared folder access permissions.
The Advanced Sharing Settings dialog box

On the Shared Folder Permissions page of the wizard, shown in Figure 7-32, you can specify standard shared folder permissions—for example, All Users Have Read-Only Access (the default) or Administrators Have Full Access; Other Users Have Read-Only Access. You can also select Customize Permissions and click Custom to set custom permissions. Figure 7-33 shows the Customize Permissions dialog box. The wizard also lets you configure offline settings. You can share a folder or a volume.

FIGURE 7-31 The Advanced Sharing Settings dialog box

FIGURE 7-32 The Shared Folder Permissions page
The default standard shared folder permission in Windows Server 2008 R2 is All Users Have Read-Only Access. In Windows Server 2008, the default is to grant administrators full control and all other users read-only access.

If you choose to customize permissions, you can also access a Security tab that lets you set NTFS permissions by selecting a user or group and clicking Edit. For users who access folders on a server over a network (the vast majority of users), access permissions are a combination of shared folder and NTFS permissions. Figure 7-34 shows the Security tab on which you can configure NTFS permissions.
You can also create and provision a shared folder by using the Share And Storage Management MMC snap-in. You click Provision Share in the Actions pane and specify the path to the folder that you want to share and provision. This starts the Provision A Shared Folder Wizard. The wizard lets you modify the default NTFS permissions if you want. You can then select the protocol over which users can access the shared folder—SMB, NFS, or both. Note that you can specify NFS only if you have installed the Services For NFS role service.

You then have the option of clicking Advanced, which lets you set a user limit, disable user-based enumeration, and reconfigure offline settings. Figure 7-35 shows the caching options available for offline settings.

![Caching options](image)

**FIGURE 7-35** Caching options

If you are configuring SMB permissions (which is typically the case), you can either choose one of three standard shared folder permission configurations or to customize these permissions. The SMB Permissions page is shown in Figure 7-36. If you choose to customize shared folder permissions, the wizard presents you with a dialog box very similar to the Customize Permissions dialog box in the Create A Shared Folder Wizard, which was shown earlier in Figure 7-33.

**NOTE** SMB AND NTFS PERMISSIONS

If your SMB permissions differ from your NTFS permissions for the same shared folder, then the more restrictive set of permissions applies.

The wizard then prompts you to publish the share in a DFS namespace. Note that you cannot do this unless you have installed the appropriate role services. Finally, the wizard summarizes your settings, and you click Create to create the share.
You can also share a folder manually and set shared folder and NTFS permissions by right-clicking a folder or volume in Windows Explorer or My Computer and clicking Properties. If you choose to share a folder by this method, you will see a dialog box similar to that shown in Figure 7-37. If you click the Security tab on the folder’s Properties dialog box, you can configure NTFS permissions using a dialog box similar to that provided by the Create A Shared Folder and Provision A Shared Folder wizards.

**FIGURE 7-36** The SMB Permissions page

**FIGURE 7-37** Sharing a folder manually
Combining Share and NTFS Permissions

Files, folders, and other objects typically are accessed across a network, such as when they are held on a file server. ACEs, however, are applied at both the share level (share permissions) and at the file system level (NTFS permissions). This means that you need to remember to change permissions in two different places.

For example, if you want members of the Managers security group to be able to add, edit, and delete files in a folder called Reports, when previously they could only view them, you would change the NTFS permission for the security group to Modify. However, if the share permission of the folder is Read and you forget to change this, group members will still only be able to view the contents of the files.

One solution to this problem is to grant everyone full access at the share level and to assign restrictive NTFS permissions. The NTFS permissions are then the effective permissions because they are more restrictive. However, many administrators are not happy about assigning nonrestrictive share permissions. The security best practice in this situation is to use (at most) the Change share permission.

To figure out what permissions a security group has on a file, you first figure out the effective NTFS permissions, remembering that any explicit permissions that are set at the file level override the folder permissions. You then compare share and NTFS permissions; the effective permissions are the more restrictive of the two. This process becomes even more complex when you want to figure out access permissions for a user that might be a member of several security groups.

You can figure out a user’s effective permissions manually. This is a tedious process, but it gets easier with practice. Currently, no Windows Server 2008 or Windows Server 2008 R2 tool exists to automate the process, but you should consider downloading Server Share Check from the Windows Server 2003 Resource Kit. This tool can be used on servers running Windows Server 2008 or Windows Server 2008 R2. You can download this resource kit at http://www.microsoft.com/downloads/details.aspx?familyid=9d467a69-57ff-4ae7-96ee-b18c4790cfd&displaylang=en.

**MORE INFO** SERVER SHARE CHECK

For more information on Server Share Check, see http://searchwindowsserver.techtarget.com/tip/Checking-access-permissions-with-Server-Share-Check. Also, you need to become a member of SearchWindowsServer.com, but membership is free. If you cannot access this URL or do not want to register with this site, search the Internet for “Server Share Check.”
Using FSRM to Configure Quotas, File Screen Policy, and Storage Reports

Windows Server 2008 and Windows Server 2008 R2 offer enhanced quota management. You can apply quotas to folders as well as volumes, and you have a set of quota templates that you can use to create quotas quickly and easily. You can create a custom quota or derive a quota from an existing template.

Microsoft recommends deriving quotas from templates. This simplifies the management of quotas because you can update automatically all quotas that are based on a specific template by editing that template. You then have the option of updating the settings of any quotas that you created by using the template. You can also exclude specified quotas from this update. For example, if you created a quota from a template and then manually changed some of its settings, you might not want to update that quota when you change the template because you could lose these settings.

You can create an auto-apply quota and assign a quota template to a parent volume or folder. Quotas based on that template are then automatically generated and applied to each of the existing subfolders and to any subfolders that you create in the future.

Creating Quotas

If the FSRM File Services server role is installed, you can use the FSRM MMC snap-in to create quotas. The Create Quota dialog box is shown in Figure 7-38. Note that you will be unable to access this box if you have not installed the appropriate server role, which you will do in the practice later in this chapter. You can also choose to create a quota from a template, which you also will do in the practice.

![Create Quota dialog box](image)

**FIGURE 7-38** The Create Quota dialog box
You specify a path to the volume or folder for which you want to create the quota and then specify whether you want to create a quota only on that path or whether a template-based quota will be generated and applied to existing and new subfolders on the path of the parent volume or folder automatically. To specify the latter action, select Auto Apply Template And Create Quotas On Existing And New Subfolders.

Typically, you would select Derive Properties From This Quota Template (Recommended) and select a template. You can define custom quota properties if you want, but this is not recommended. You can select templates that specify the quota size that is allocated to each user and whether the quota is hard or soft. A hard quota cannot be exceeded. A user can exceed a soft quota, but exceeding the quota limit typically generates a report, in addition to sending an email notification and logging the event. Soft quotas are used for monitoring. Quota templates include the following:

- **100 MB Limit** This is a hard quota. It emails the user and specified administrators if the 100 percent quota limit has been reached and writes an event to the event log.

- **200 MB Limit Reports To User** This is a hard quota. It generates a report, sends emails, and writes an event to the event log if the 100 percent quota limit has been reached.

- **200 MB Limit With 50 MB Extension** Technically, this is a hard quota because it performs an action when the user attempts to exceed the limit rather than merely monitoring the exceeded limit. The action is to run a program that applies the 250 MB Extended Limit template and effectively gives the user an additional 50 MB. Emails are sent and the event is logged when the limit is extended.

- **250 MB Extended Limit** The 250-MB limit cannot be exceeded. Emails are sent and the event is logged when the limit is reached.

- **Monitor 200 GB Volume Usage** This is a soft quota that can be applied only to volumes. It is used for monitoring.

- **Monitor 50 MB Share** This is a soft quota that can be applied only to shares. It is used for monitoring.

You can also configure templates to send emails and write to the event log if a defined percentage of the quota is reached. Figure 7-39 shows the properties of the 200 MB Limit Reports To User template.

When you have created a quota or an auto-apply quota, you can edit it. Figure 7-40 shows a Quota Properties box. You can change the Quota Template, Space Limit, and Notifications Thresholds and add a label. You can add new Notification Thresholds and specify what action should be taken if a threshold is reached. For example, you can specify whether an email is sent to the user and to one or more specified administrators. You can also specify a command, generate a report, and specify whether the event is to be logged. If you edit quota settings or create a custom quota, you can use the quota to create a new template.
Lesson 2: Planning File Servers

FIGURE 7-39 Properties of the 200 MB Limit Reports To User template

FIGURE 7-40 Quota properties
Creating Templates

If none of the supplied templates is suitable for your purposes, you can create a new template. If you like, you can copy settings from an existing template and edit them, or you can specify new settings. Figure 7-41 shows the Create Quota Template dialog box. Many of the settings are similar to those that you can configure when editing a quota.

![Create Quota Template Dialog Box](image)

**FIGURE 7-41** Creating a quota template

Managing File Screens

You can use FSRM to create and manage file screens that control the types of files that users can save and generate notifications when users attempt to save unauthorized files. You can also define file screening templates that you can apply to new volumes or folders and use across your organization.

FSRM also enables you to create file screening exceptions that extend the flexibility of the file screening rules. You could, for example, ensure that users do not store music files in personal folders, but you could allow storage of specific types of media files, such as training files that comply with company policy. You could also create an exception that allows members of the senior management group to save any type of file they want (provided that they comply with legal restrictions).

You can also configure your screening process to notify you by email when an executable file is stored on a shared folder. This notification can include information about the user who stored the file and the file’s exact location.
Lesson 2: Planning File Servers

Managing Storage Reports

FSRM provides a Storage Reports Management node. This enables you to generate storage-related reports, such as reports about duplicate files, the largest files, which files are accessed most frequently, and which files are seldom accessed. It also lets you schedule periodic storage reports, which help you identify trends in disk usage, and monitor attempts to save unauthorized files.

For example, you could schedule a report to run at midnight every Sunday and provide you with information about the most recently accessed files from the previous two days. This lets you monitor weekend storage activity and plan server downtime so that it has a minimum impact on users who connect from home over the weekend.

You could use the information in a report that identifies duplicate files so that you can reclaim disk space without losing data, and you could create other reports that enable you to analyze how individual users are using shared storage resources.

MORE INFO FSRM

For more information about FSRM, open the command prompt and enter hh fsrm.chm.

Lesson Summary

- The File Server role service in the File Services server role is installed by default and allows access to the Share And Storage Management MMC snap-in. This in turn provides access to the Provision A Stored Folder Wizard and lets you configure access control and manage shared folders, volumes, open sessions, and open files. The Shared Folders MMC snap-in also enables you to share a folder and set permissions.

- If you want, you can install the DFS, FSRM, Services For NFS, Windows Search Service, and BranchCache For Network Files role services. DFS includes DFSN and DFSR. You can also install the Windows Server 2003 File Services server role to create compatibility with earlier versions of Windows.

- The FSRM console lets you configure quotas and file screens and generate storage reports. You can set quotas on shared folders as well as volumes.

- BranchCache For Network Files caches files downloaded from a central location on a computer in a branch office. Other computers in the branch that need to access these files can then do so locally.
Lesson Review

You can use the following questions to test your knowledge of the information in Lesson 2, “Planning File Servers.” The questions are also available on the companion CD if you prefer to review them in electronic form.

NOTE ANSWERS

Answers to these questions and explanations of why each answer choice is correct or incorrect are located in the “Answers” section at the end of the book.

1. Which of the following wizards can you access from the Share And Storage Management console?
   A. Provision A Shared Folder Wizard
   B. New Namespace Wizard
   C. Create Quota Wizard
   D. Create File Screen Wizard

2. You have not installed any additional role services for the File Services server role; only the default File Server role service is installed. You start the Provision A Shared Folder Wizard. All your volumes are formatted with NTFS. Which of the following tasks can you carry out by using the wizard? (Each answer is a complete solution. Choose three.)
   A. Specify a folder to share.
   B. Create a new folder to share.
   C. Specify the network sharing protocol that is used to access the shared resource.
   D. Change the local NTFS permissions for the folder or volume that you are sharing.
   E. Publish the shared resource to a DFS namespace.

3. Which of the following quota templates, available by default, creates a soft quota that can be applied only to volumes?
   A. 100 MB Limit
   B. 200 MB Limit Reports To User
   C. Monitor 200 GB Volume Usage
   D. Monitor 50 MB Share Usage

4. Which mode of which server role deploys a computer running Windows Server 2008 R2 as a host in a branch office? The host stores files downloaded from a central location across a WAN so that other clients in the branch can access these files locally.
   A. FSRM in Hosted Cache mode
   B. FSRM in Distributed Cache mode
C. BranchCache For Network Files in Distributed Cache mode
D. BranchCache For Network Files in Hosted Cache mode

**PRACTICE**  Adding the Print and Document Services Server Role

In this practice, you add the Print and Document Services server role and the Internet Printing role service. Here, you log on to the domain controller interactively. In a production network, you typically would access the domain controller remotely from your administrator workstation.

**EXERCISE**  Installing the Print and Document Services Server Role

In this exercise, you install the Print and Document Services server role. This lets you share (or publish) printers on a network. To complete the exercise, follow these steps:

1. Log on to VAN-DC1 with the Kim Akers account and, if necessary, open Server Manager.
2. In the console tree, expand Server Manager. Locate the Roles Summary in the right pane, as shown in Figure 7-42. Check that the Print and Document Services role is not listed. If this role is listed, it is installed, and you do not need to complete the rest of this exercise.

   ![Figure 7-42](image)

   **FIGURE 7-42** Reading the list of installed roles under Roles Summary

3. Click Add Roles. The Add Roles Wizard starts. If the Before You Begin page appears, click Next.
4. On the Select Server Roles page, select Print And Document Services, as shown in Figure 7-43. Click Next.

![Select Server Roles](image)

**FIGURE 7-43** Selecting Print and Document Services

5. Read the information under Introduction To Print And Document Services and under Things To Note. If you want, you can also click the links to the Help files. Click Next.

6. On the Select Role Services page, shown in Figure 7-44, Print Server should be selected by default. Select Internet Printing. If the Add Role Services Required For Internet Printing dialog box appears, click Add Required Role Services. Click Next on the Select Role Services page.

7. If the Web Server (IIS) page appears, read the information presented and then click Next.

8. The Select Role Services page should now look similar to Figure 7-45, and it should show that the Web Server role service is already installed. If this server role is not installed, select Web Server. Click Next.
Lesson 2: Planning File Servers

FIGURE 7-44 Print Server, selected by default

FIGURE 7-45 The Web Server role service, already installed
9. Read the information on the Confirm Installation Selections page, which should look similar to Figure 7-46. Note that you might need to reboot your server when installation is complete. Click Install.

![Confirm Installation Selections](image)

**FIGURE 7-46** The Confirm Installation Selections page

10. Click Close when installation completes. Check that Print And Document Services is now listed under Roles Summary in Server Manager.

11. Save any unsaved files and close all open windows. Reboot VAN-DC1 if prompted to do so.

**PRACTICE**  Adding Role Services to the File Services Server Role and Configuring a Quota

In this practice, you add selected role services to the File Services server role on your domain controller, VAN-DC1. You then create a shared folder on that domain controller and configure a quota for that folder. As with the previous practice, you will log on to VAN-DC1 interactively. In a production network, you typically would access the domain controller remotely.

**EXERCISE 1**  Adding Role Services to the File Services Server Role

In this exercise, you open Server Manager and add selected role services to the File Services server role. You do not add any of the optional features associated with this server role. The folder C:\Public should exist on your domain controller by default—if it is not, create it before you start this exercise. To complete the exercise, follow these steps:
1. If necessary, log on to VAN-DC1 with the Kim Akers account, share the C:\Public folder with default permissions, and open Server Manager.

2. Expand Roles and click File Services. In the right pane, locate the list of Role Services, as shown in Figure 7-47.

![Figure 7-47: Role services that can be added to the File Services role](image)

3. Click Add Role Services.

4. In the Select Role Services dialog box, select all uninstalled role services except the Windows Server 2003 File Services and its associated Indexing Service, as shown in Figure 7-48.

![Figure 7-48: Selecting role services](image)
5. Click Next.

6. Call the DFS namespace **MyNameSpace**, as shown in Figure 7-49. Click Next.

![Figure 7-49 Specifying a DNS namespace](image)

7. Specify a domain-based namespace (the default), as shown in Figure 7-50. Click Next.

![Figure 7-50 Specifying a DNS namespace type](image)
NOTE  DOMAINS AND STAND-ALONE NSPACES

Chapter 10 discusses domain-based and stand-alone namespaces.

8. On the Provide Credentials To Create A Namespace page, click Select. Specify the username and password for the Kim Akers account, and then click OK. Click Next.

9. In the Configure Namespace dialog box, click Add. Click Browse in the Add Folder To Namespace dialog box.

10. In the Browse For Shared Folders dialog box, click Show Shared Folders. Ensure that Public is selected, as shown in Figure 7-51. Click OK.

![Figure 7-51 Selecting a shared folder](image)

11. By default, the corresponding folder in your namespace takes the same name as the shared folder you selected. Click OK to accept this default.

12. Your Configure Namespace wizard page should look similar to Figure 7-52. Click Next.

13. In the Configure Storage Usage Monitoring page, select your C: volume, as shown in Figure 7-53. Your volume size and usage probably will differ from what is shown here. Do not change the default options. Click Next.
FIGURE 7-52 Configuring a namespace

FIGURE 7-53 Configuring storage usage monitoring
14. The Set Report Options page should look similar to Figure 7-54. Click Next to accept the default settings.

![Add Role Services](image)

**FIGURE 7-54** Configuring report options

15. Choose to index a volume that does not contain your operating system. If the only volume on your server is C:, do not index any volumes. Click Next.

16. Check your installation selections. If you are satisfied with them, click Install.

17. When installation completes, click Close.

**EXERCISE 2** Configure a Quota

In this exercise, you create a shared folder on domain controller VAN-DC1 and configure a quota for that folder. If you prefer, you can access the File Server Resource Manager tool from the Administrative Tools menu rather than adding the snap-in to the MMC.

1. If necessary, log on to VAN-DC1 with the Kim Akers account.

2. Create a folder named My Folder in the root of your C: drive and share it, giving the Everyone group Read Access. If you are unsure how to do this, refer to Lesson 2.


4. If necessary, click Yes to close the User Account Control (UAC) dialog box.

5. In the MMC, click File. Click Add/Remove Snap-In.
6. Click File Server Resource Manager, as shown in Figure 7-55. Click Add, and then click OK.

![Add or Remove Snap-ins window](image1)

**FIGURE 7-55** Adding the File Server Resource Manager snap-in

7. Expand File Server Resource Manager (Local), expand Quota Management, and then click Quota Templates, as shown in Figure 7-56.

![Console window](image2)

**FIGURE 7-56** Accessing the quota templates
8. Click 250 MB Extended Limit, and then, in the Actions pane, click Create Quota From Template.

9. In the Create Quota dialog box, click Browse and browse to C:\My Folder. Click OK. The dialog box should look similar to Figure 7-57.

![Create Quota dialog box](image)

**FIGURE 7-57** Selecting a shared folder on which to apply the quota

10. Click Create.
Chapter Review

To further practice and reinforce the skills you learned in this chapter, you can perform the following tasks:

■ Review the chapter summary.
■ Review the list of key terms introduced in this chapter.
■ Complete the case scenario. These scenarios set up real-world situations involving the topics of this chapter and ask you to create a solution.
■ Complete the suggested practices.
■ Take a practice test.

Chapter Summary

■ The Print and Document Services server role lets you manage printers, print drivers, print queues, and printer permissions, both on locally installed printers and on printers installed on other print servers on your network.
■ The role services in the File Services server role let you configure access control; manage shared folders, volumes, open sessions, and open files; manage DFS, DFSN, and DFSR; configure quotas and file screens; generate storage reports; configure offline file settings; configure indexing; and implement the caching of files in branch offices.

Key Terms

The following terms were introduced in this chapter. Do you know what they mean?

■ Access control entry (ACE)
■ Access control list (ACL)
■ Access control
■ Caching
■ DFS Namespace (DFSN)
■ DFS Replication (DFSR)
■ Distributed File System (DFS)
■ Offline file
■ Quota

Case Scenario

In the following case scenario, you will apply what you have learned about provisioning file and print servers. You can find answers to these questions in the “Answers” section at the end of this book.
Planning a Windows Server 2008 R2 Upgrade

You are a senior administrator at Blue Yonder Airlines. All the company’s servers run Windows Server 2008, and all its clients run Windows 7. The company’s network infrastructure consists of a central office and a number of branch offices in distant locations that currently access files on a central office server through WAN links. Sometimes it can take an unacceptable length of time for a large file to download. All the branch offices are small (typically using between 10 and 15 clients), and all the computers in each branch office are on a single subnet. The company plans to upgrade all its domain controllers and some of its member servers at its central office to Windows Server 2008 R2. Answer the following questions:

1. The technical director is concerned with the slow transfer of files to branch offices. She wants to know if installing Windows Server 2008 R2 will improve the situation. What do you tell her?

2. There is no budget for upgrading servers at branch offices. How will this affect branch office caching?

3. The financial director is concerned that files currently can be classified by criteria such as size, what folders they are stored in, and when they were last updated. He asks you if the planned expenditure will assist in classifying files by other criteria, such as the business value of the information they contain. What do you tell him?

4. The technical director is also concerned about the time it takes and the number of steps that are required to install network printers. She wants to know if it is worthwhile to install Windows Server 2008 R2 on a computer running the Print Services server role. What do you tell her?

Suggested Practices

To help you master the examination objectives presented in this chapter, complete the following tasks.

Use the Enhanced Print Management Console

- You can become familiar with a tool only through practice. Use the enhanced Print Management console provided in Windows Server 2008 R2 and become familiar with the various wizards that it provides. If you also have access to a computer running Windows Server 2008, compare the printer management facilities provided by both operating systems and note the differences if you want.

Use the FSRM Console

- You may be familiar with the facilities provided through the FSRM role service in Windows Server 2008, and these continue to be implemented in Windows Server 2008 R2. This role service is not significantly changed, but it remains important, and the
exam is likely to ask about quotas, file screening, and report generation. Ensure that you are familiar with the FSRM console.

- Arguably, the most significant feature implemented by the FSRM role service is the facility to implement quotas. Make sure that you are familiar with the process of configuring quotas, that you are familiar with the various standard quota templates available, and that you can distinguish between hard and soft quotas.

Learn More About Windows Storage Server 2008 R2

- Windows Storage Server 2008 R2 is based on Windows Server 2008 R2, and you should know about it. It is described only briefly in this chapter. Look for articles in online technical magazines and on TechNet.

Learn More About BranchCache For Network Files

- BranchCache For Network Files is new to Windows Server 2008 R2. Read any online articles and discussions you can discover, including TechNet articles.

Take a Practice Test

The practice tests on this book’s companion CD offer many options. For example, you can test yourself on just one exam objective, or you can test yourself on all the certification exam content. You can set up the test so that it closely simulates the experience of taking a certification exam, or you can set it up in study mode so that you can look at the correct answers and explanations after you answer each question.

MORE INFO  PRACTICE TESTS

For details about all the practice test options available, see the “How to Use the Practice Tests” section in this book’s Introduction.
You can use VPNs to allow remote users to connect to your organization’s internal network resources, whether they are using a hotel wireless hotspot in Gundagai or are connecting through an ADSL connection in Canberra. When you plan how to provision VPN access, you need to take into account a host of factors. You need to know how your organization’s external firewall will be configured, the operating system used by the client, and the types of resources that remote clients will need to access.

Network Access Protection (NAP) allows you to restrict network access on the basis of client health. Put simply, NAP allows you to enforce a rule that if the client is not up to date with patches and antivirus definitions, you can block it from getting full access to the network. In this chapter, you will learn how to configure and deploy NAP and the various methods that are available to deal with noncompliant computers. You will also learn how to plan and deploy Windows Server 2008 R2 remote access services to ensure that your organization’s clients can connect to your internal resources no matter where in the world they are.

Exam objectives in this chapter:
- Plan infrastructure services server roles.
- Monitor and maintain security and policies.

Lessons in this chapter:
- Lesson 1: Managing Remote Access 435
- Lesson 2: Firewalls and Network Access Protection 456
Before You Begin

To complete the exercises in the practice in this chapter, you need to have done the following:


No additional configuration is required for this chapter.

REAL WORLD

Orin Thomas

Traditional models of networks and firewalls had all the bad stuff “out there” and all the safe stuff “in here.” Today, however, the internal network can be as hostile an environment as the Internet. In the past, every computer that connected to the network was stationary, and very few people took their workstations home with them. But today, most computers sold are laptops, and increasing numbers of people take their primary computer with them when they leave the office for the day. Unfortunately, once people take their computers out of the protected glasshouse that is your internal network, all sorts of bad things can happen to them. Malware, which might have been blocked by the external firewall, will be inadvertently downloaded on the home ADSL connection. Computers that you were able to keep completely healthy when they were under your control are now exposed on a daily basis to all manner of Internet nasties. The biggest problem is that after those computers go out of your safe environment, they are brought back into work the next day and are plugged into your organizational network. Technologies such as Windows Firewall with Advanced Security and NAP go some distance toward protecting hosts on your internal network from whatever “the cat drags in” on a regular basis when the people you work with bring their computers back from home. When you plan your organizational security strategy, don’t assume that hosts that have a local IP address are any safer than hosts with an Internet IP address unless they’ve gone through some process, such as NAP, to provide evidence that they are secure.
Lesson 1: Managing Remote Access

If your organization is going to allow workers to telecommute, you need to provide those workers with some way to access resources on your organization’s internal network. In this lesson, you will learn how to plan the deployment of VPN servers to allow remote access to your internal network from locations that are external to your organization’s network. You will also learn about DirectAccess, a technology available with Windows 7 and Windows Server 2008 R2 that dramatically simplifies the remote access process from the user perspective. The lesson will also cover traditional remote access protocols, including Point to Point Tunneling Protocol (PPTP), Layer 2 Tunneling Protocol/Internet Protocol Security (L2TP/IPsec), Secure Socket Tunneling Protocol (SSTP), and another technology new to Windows Server 2008 R2 called IKEv2.

After this lesson, you will be able to:

■ Plan remote access infrastructure server roles.
■ Monitor and maintain remote access security policies.
■ Implement remote access technologies, including IKEv2 VPNs and DirectAccess.

Estimated lesson time: 60 minutes

The Routing and Remote Access Service (RRAS) role service is available as part of the Network Policy And Access Services server role. You should deploy the Remote Access Service (RAS) component of the RRAS role service when you want to provide either of the following resources to your network environment:

■ VPN remote access server
■ Dial-up remote access server

In this lesson, you will learn how to configure and monitor a VPN remote access server running Windows Server 2008 and Windows Server 2008 R2. To install the RRAS role service, use the Add Roles Wizard and then select Network Policy And Access Services. RRAS is a role service within this role. As an alternative, open an elevated Windows PowerShell prompt on a computer running Windows Server 2008 R2 and issue the following commands:

```
Import-Module ServerManager
Add-WindowsFeature NPAS-RRAS-Services
```

To remove RRAS completely from a server running Windows Server 2008 R2, issue the command:

```
Remove-WindowsFeature NPAS
```
NOTE PREVIOUS INSTALLATIONS OF RRAS

If you have installed and configured RRAS before, you may need to reset the configuration to get RRAS to perform other functions. To do this, issue the following commands: `netsh ras set conf confstate=disabled` and then `net stop "Routing and Remote Access"`.

Once installed, you must configure RAS manually. Only members of the local Administrators group are able to configure the RAS. In domain environments, you should perform this action using a user account that is a member of the Domain Admins group. If your user account is not a member of the Domain Admins security group, organize a domain admin to add the RAS server account manually to the RAS And IAS Servers domain security group. It is not necessary to add the RAS server to this group if the RAS server will be using local authentication or authenticating against a Remote Authentication Dial-In User Service (RADIUS) server.

To enable Remote Access, open the Routing and Remote Access console from the Administrative Tools menu, right-click the computer running Windows Server 2008 R2 that you want to host this role, and then click Configure And Enable Routing And Remote Access. Performing this action starts the Routing And Remote Access Server Setup Wizard. The configuration page of this wizard, shown in Figure 9-1, allows you to select the combination of services that this particular server will provide. The Remote Access (Dial-Up Or VPN) option is selected when you want to provide either remote access option or both options to clients outside your organization.

![Routing and Remote Access Server Setup Wizard](image)

**FIGURE 9-1** The Routing And Remote Access Server Setup Wizard

If you have chosen to install a VPN server, you will need to specify which network interface connects to the Internet on the VPN Connection page shown in Figure 9-2. This will be
the interface that has the public IP address, rather than the interface that has the private IP address. If additional network adapters are installed on the server that hosts the RAS role after the RAS server is deployed, they can be configured for use with RAS using the RRAS console. If the computer running Windows Server 2008 R2 has fewer than two network adapters, you will not be able to perform a standard VPN server setup and will need to perform a custom configuration instead.

When you configure a remote access server, the process applies packet filters that allow only VPN protocols to the Internet interface. This means that the server is limited to providing VPN access. If you have deployed other services on the server that will host the RAS role, you will need to configure new packet filters to allow this traffic to the server. As a deployment strategy, you should seriously consider keeping the RAS server separate from other services.

After you identify the external interface, the next step in configuring the RAS role is specifying how to assign IP addresses to clients. You can do this in several ways:

- Client addresses can be leased from a DHCP server within the organization.
- The RAS server can generate the addresses itself.
- You can specify a range of addresses to assign to connecting clients.

When using your organization’s DHCP infrastructure, the RAS server will lease blocks of 10 addresses, requesting new blocks if previously requested blocks are all currently in use.

DHCP servers running Windows Server 2008 and Windows Server 2008 R2 have a predefined user class, known as the Default Routing And Remote Access Class. This class allows administrators to assign specific options only to Routing And Remote Access clients. This class is configured through the Advanced tab of DHCP Server Options, as shown in Figure 9-3.
Remote Access and Network Access Protection

The next step in configuring an RAS server is determining how authentication will occur. You can configure the RAS server to perform authentication against Active Directory Domain Services (AD DS) or the local account database, or you can configure the RAS server as a RADIUS client and allow the RADIUS server to perform the authentication and authorization of client connection requests. You will learn more about RADIUS options later in this lesson. After you have performed these steps, the RAS server will be functional.

VPN Authentication

A VPN is an extension of a private network that encompasses encapsulated, encrypted, and authenticated links across shared or public networks. A client connects to a public network, such as the Internet, and initiates a VPN connection to a remote server. This remote server is usually located on the perimeter network of the organization that the client wants to connect to. After the connection is made, an encrypted tunnel forms between the client and the VPN server. This encrypted tunnel carries local area network (LAN) traffic between the client and the remote network that the client is connected to. Clients are connected to the network in the same way that they would be if they were in the office. Instead of a network cable connecting them to a switch somewhere in the office, a virtual cable in the form of a VPN tunnel connects them to their organization’s network infrastructure.

The following authentication protocols can be used by a computer running Windows Server 2008 or Windows Server 2008 R2 to authenticate incoming VPN connections. These protocols are listed in order from most secure to least secure:

- **Extensible Authentication Protocol-Transport Level Security (EAP-TLS)** This is the protocol that you deploy when your VPN clients are able to authenticate using smart cards or digital certificates. EAP-TLS is not supported on stand-alone servers and can
be implemented only when the server hosting the RAS role service is a member of
an AD DS domain.

- **Microsoft Challenge Handshake Authentication Protocol (MS-CHAPv2)** This
  protocol provides mutual authentication and allows for the encryption of both
  authentication data and connection data. MS-CHAPv2 is enabled by default in

- **Challenge Handshake Authentication Protocol (CHAP)** An older authentication
  method that encrypts authentication data using MD5 hashing. CHAP does not support
  the encryption of data and is used most often to provide compatibility with older,
  non-Microsoft clients.

- **Extensible Authentication Protocol-Message Digest 5 Challenge Handshake
  Authentication Protocol (EAP-MD5 CHAP)** A version of CHAP that has been
  ported to the EAP framework. This authentication protocol supports encryption
  of authentication data through MD5 hashing and is generally used to provide
  compatibility with non-Microsoft clients.

- **Shiva Password Authentication Protocol (SPAP)** A weakly encrypted authentication
  protocol that does not support the encryption of connection data.

- **Password Authentication Protocol (PAP)** When this protocol is used, authentication
  data is not encrypted, but is passed across the network in plain text. Does not support
  the encryption of protection data.

The authentication process always attempts to negotiate the use of the most secure
authentication protocol. The default authentication protocol used for VPN clients connecting
to a Windows Server 2008 and Windows Server 2008 R2 VPN is MS-CHAPv2.

**VPN Protocols**

Windows Server 2008 R2 supports four different VPN protocols: Point to Point Tunneling
Protocol (PPTP), Layer 2 Tunneling Protocol over IPsec (L2TP/IPsec), Secure Socket Tunneling
Protocol (SSTP), and IKEv2. The factors that will influence the protocol you choose to deploy
in your own network environment include client operating system, certificate infrastructure,
and how your organization’s firewall is deployed.

**PPTP**

PPTP connections can only be authenticated using MS-CHAP, MS-CHAPv2, EAP, and
PEAP. PPTP connections use MPPE to encrypt PPTP data. PPTP connections provide data
confidentiality but do not provide data integrity or data origin authentication. It is possible
to use PPTP with certificates if EAP-TLS is selected as the authentication protocol, although
the advantage of PPTP over the other VPN protocols supported by Windows Server 2008
and Windows Server 2008 R2 is that it does not require certificates be installed on the client
making the connection. With PPTP, you do not need to be concerned about shared secrets
or computer certificates or ensuring that the appropriate Certificate Authority (CA) is trusted.
PPTP is often used with non-Microsoft operating systems.
L2TP/IPSec

L2TP connections use encryption provided by IPsec. L2TP/IPSec is the protocol that you need to deploy if you are supporting remote access clients running Microsoft Windows XP because such clients cannot use SSTP. L2TP/IPSec provides per-packet data origin authentication, data integrity, replay protection, and data confidentiality.

L2TP/IPSec connections use two levels of authentication. Computer-level authentication occurs either using digital certificates issued by a CA trusted by the client and VPN server or through the deployment of preshared keys. PPP authentication protocols are then used for user-level authentication. L2TP/IPSec supports all the VPN authentication protocols available on Windows Server 2008 and Windows Server 2008 R2.

SSTP

SSTP is a VPN technology that made its debut with Windows Server 2008 and is available in Windows Server 2008 R2. SSTP VPN tunnels allow traffic to pass across firewalls that block traditional PPTP or L2TP/IPsec VPN traffic. SSTP works by encapsulating PPP traffic over the Secure Sockets Layer (SSL) channel of the Secure Hypertext Transfer Protocol (HTTPS). Expressed more directly, SSTP piggybacks PPP over HTTPS. This means that SSTP traffic passes across TCP port 443, which is almost certain to be open on any firewall between the Internet and a public-facing web server on an organization’s perimeter network.

The PPP of SSTP allows for the deployment of advanced authentication methods such as EAP-TLS, which is used most commonly with smart cards. The SSL component of SSTP provides the VPN tunnel with encryption, enhanced key negotiation, and integrity checking. This means data transferred using this method is encoded and that it is possible to detect whether someone has attempted to intercept the contents of the tunnel between the source and destination points.

When planning for the deployment of SSTP, you need to take into account the following considerations:

- SSTP requires that the client trust the CA that issues the VPN server’s SSL certificate.
The SSL certificate must be installed on the server that will function as the VPN server prior to the installation of RRAS; otherwise, SSTP will not be available.

The SSL certificate subject name and the host name that external clients use to connect to the VPN server must match, and the client running Windows 7 or Windows Vista SP1 must trust the issuing CA.

SSTP does not support tunneling through web proxies that require authentication.

SSTP does not support site-to-site tunnels. (PPTP and L2TP, however, do.)

MORE INFO  SSTP

For more information on SSTP, consult the following TechNet article:

IKEv2

IKEv2 is a VPN protocol that is new to Windows 7 and Windows Server 2008 R2. This protocol is not present in previous versions of Windows, and clients running Windows 7 will be able to use this protocol only if the remote access server is running Windows Server 2008 R2. IKEv2 has the following properties:

- Supports IPv6.
- Has a VPN Reconnect feature.
- Supports EAP and computer certificates for client-side authentication. This includes the Microsoft: Protected EAP (PEAP), Microsoft: Secured Password (EAP-MSCHAP v2), and Microsoft Smart Card Or Other Certificate options in the user interface.
- IKEv2 does not support PAP, CHAP, or MS-CHAPv2 (without EAP) as authentication protocols. IKEv2 supports data origin authentication, data integrity, replay protection, and data confidentiality.
- IKEv2 uses UDP port 500.
- When you configure a new Windows 7 VPN connection with the default settings, the client will attempt to make an IKEv2 connection first.
- IKEv2 requires a client running Windows 7 and an RRAS server running Windows Server 2008 R2.

The benefit of using IKEv2 over other protocols is that it supports VPN Reconnect. When you connect to a VPN server using the PPTP, L2TP/IPsec, or SSTP protocol and you suffer a network disruption, you can lose your VPN connection and need to restart it. This often involves reentering your authentication credentials. If you are transferring a file, downloading email, or sending a print job, and something interrupts your connection, you need to start over from the beginning. VPN Reconnect allows clients running Windows 7 to reconnect automatically to a disrupted VPN session even if the disruption has lasted for up to 8 hours.
VPN Reconnect uses the IKEv2 tunneling protocol with the .mobike extension. The .mobike extension allows VPN clients to change their Internet addresses without having to renegotiate authentication with the VPN server. For example, a user might be using a VPN connection to his corporate network while connected to a wireless network at an airport coffee shop. As the time of his flight’s departure approaches, he moves from the coffee shop to the airport lounge, which has its own Wi-Fi network. With VPN Reconnect, the user’s VPN connection is reestablished automatically when he achieves Internet connectivity with the new network. With a traditional VPN solution, this user would have to reconnect manually once he connected to the new wireless network in the airport lounge, and any existing operations occurring across the VPN would be lost. Unlike DirectAccess, which only some editions of Windows 7 support, all editions of Windows 7 support IKEv2 with VPN Reconnect.

**MORE INFO IKEV2**

For more information on setting up IKEv2 VPNs, consult the following TechNet article:


**Quick Check**

- Which clients support SSTP?

**Quick Check Answer**

- Clients running Windows Vista SP1 and Windows 7 support SSTP.

**DirectAccess**

DirectAccess is an always-on, IPv6, IPsec VPN connection. If a properly configured computer is able to connect to the Internet, DirectAccess automatically connects that computer to a properly configured corporate network. DirectAccess differs from the VPN solutions outlined earlier in the following ways:

- The connection process is automatic and does not require user intervention or logon. The DirectAccess connection process starts from the moment the computer connects to an active network. From the user’s perspective, the computer always has access to the corporate intranet, whether she is sitting at her desk or when she has just connected to a Wi-Fi hotspot at a beachside cafe. Traditionally, users must initiate VPN connections to the corporate intranet manually.

- DirectAccess is bidirectional, with servers on the intranet being able to interact with the client running Windows 7 in the same way that they would if the client was connected to the LAN. In many traditional VPN solutions, the client can access the intranet, but servers on the intranet cannot initiate communication with the client.

- DirectAccess provides administrators with greater flexibility in controlling which intranet resources are available to remote users and computers. Administrators can
integrate DirectAccess with NAP to ensure that remote clients remain up to date with virus definitions and software updates. Administrators can also apply connection security policies to isolate servers and hosts.

The DirectAccess process is automatic. It requires no intervention on the part of the person who is logging on to the computer running Windows 7. A portable computer that is taken home and connected to a home Internet network can still receive software and Group Policy updates from servers on the corporate network even if the user has not logged on. Clients running Windows 7 use the following process to establish a DirectAccess connection:

1. The client running Windows 7 configured with DirectAccess connects a network. This occurs prior to user logon when the client running Windows 7 first connects to the network.

2. During the network identification phase, whenever a computer running Windows 7 detects that it is connecting to a new network or resuming a connection to an existing network, the client attempts to connect to a specially configured intranet website. An administrator specifies this website address when configuring DirectAccess on the DirectAccess server. If the client can contact the website, Windows 7 concludes that it has connected to the corporate network and no further action is necessary.

3. If the client running Windows 7 is unable to contact the specially configured intranet website, the client attempts to determine whether a native IPv6 network is present. If a native IPv6 network is present and the client has been assigned a public IPv6 address, DirectAccess makes a direct connection to the DirectAccess server across the Internet.

4. If a native IPv6 network is not present, Windows 7 attempts to establish an IPv6 over IPv4 tunnel using first the 6to4 and then the Teredo transition technologies.

5. If the client running Windows 7 cannot establish a Teredo or 6to4 connection due to an intervening firewall or proxy server, the client running Windows 7 attempts to connect using IP-HTTPS. IP-HTTPS encapsulates IPv6 traffic over an HTTPS connection. IP-HTTPS is likely to work because few firewalls that allow connections to the Internet block traffic on TCP port 443.

6. The DirectAccess IPsec session is established when the client running Windows 7 and the DirectAccess server authenticate with each other using computer certificates. DirectAccess supports only certificate-based authentication.

7. The DirectAccess server checks the appropriate AD DS group to verify that the computer and user have authorization to connect using DirectAccess.

8. The DirectAccess client now has access to appropriately configured resources on the corporate network.

Table 9-1 summarizes DirectAccess client configurations and the corresponding method of communicating using IPv6 with the DirectAccess server. When you configure the DirectAccess server, you configure it to support all these different connection methods. You do this because you cannot be certain of what conditions exist on the remote network from which
the DirectAccess client is attempting to connect. IP-HTTPS is tried last because it provides a poorer performance compared to the other connection methods.

**TABLE 9-1 DirectAccess Connection Methods**

<table>
<thead>
<tr>
<th>CLIENT NETWORK CONNECTION</th>
<th>DIRECTACCESS CONNECTION METHOD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Public IPv6 Address</td>
<td>Public IPv6 Address</td>
</tr>
<tr>
<td>Public IPv4 Address</td>
<td>6to4</td>
</tr>
<tr>
<td>Private (NAT) IPv4 Address</td>
<td>Teredo</td>
</tr>
<tr>
<td>Client unable to connect through firewall, but is connected to the Internet</td>
<td>IP-HTTPS</td>
</tr>
</tbody>
</table>

Only domain-joined clients running Windows 7 Enterprise and Ultimate editions support DirectAccess. You cannot use DirectAccess with other editions of Windows 7 or earlier versions of Windows, such as Windows Vista or Windows XP. When configuring a client for DirectAccess, you must add the client’s domain computer account to a special security group. You specify this security group when running the DirectAccess wizard on the DirectAccess server.

Clients receive their DirectAccess configuration through Group Policy. This differs from traditional VPN configuration, where connections are configured manually or distributed through the Connection Manager Administration Kit (CMAK). Once you have added the computer’s client account to the designated security group, you need to install a computer certificate on the client for the purpose of DirectAccess authentication. An organization needs to deploy Active Directory Certificate Services (AD CS) so that clients can enroll automatically with the appropriate certificates.

You configure DirectAccess primarily by configuring the DirectAccess server. When you configure the DirectAccess server, you also end up configuring the necessary Group Policy objects (GPOs) that support DirectAccess. Prior to installing DirectAccess, you should ensure that the DirectAccess server meets the following requirements:

- The computer needs to have Windows Server 2008 R2 installed and be a member of a domain.
- This server must have two network adapters.
- One of these network adapters needs to have a direct connection to the Internet. You must assign this adapter two consecutive public IPv4 addresses.
- The second network adapter needs a direct connection to the corporate intranet.
- The computer needs digital certificates to support server authentication. This includes having a computer certificate that matches the fully qualified domain name (FQDN) that is assigned to the IP addresses on the DirectAccess server’s external network interface.
You should also create at least one global security group in AD DS that you use with DirectAccess. You can give this group any name that you like, although it is easier to keep track of it if you give it a DirectAccess-related name. It is possible to create and specify multiple DirectAccess-related security groups if necessary. You create multiple groups when you need to differentiate access to segments of the corporate intranet.

To install DirectAccess on a server running Windows Server 2008 R2, add the DirectAccess Management Console feature using the Add Features Wizard or the following PowerShell command:

```
Add-WindowsFeature DAMC
```

Installing the DirectAccess Management console allows you to configure and manage DirectAccess features. Installing the DirectAccess Management console also requires that you add the Group Policy Management feature. The Group Policy Management feature is necessary because the DirectAccess setup wizard creates DirectAccess-related GPOs that configure DirectAccess clients. You need to run the DirectAccess Setup wizard with a user account that has permission to create and apply GPOs in the domain.

After you have installed the DirectAccess Management console, you can configure the DirectAccess server. To do this, perform the following steps:

1. Open the DirectAccess Management console from the Administrative Tools menu on the computer running Windows Server 2008 R2. This console is shown in Figure 9-4.

![DirectAccess Management console](image)

**FIGURE 9-4** DirectAccess Management console

2. Select the Setup node. In the details pane, in the Remote Clients area, click Configure. This opens the DirectAccess Client Setup dialog box. Click Add and then specify the name of the security groups to which you add computer accounts when you want to
grant access to DirectAccess to specific clients running Windows 7. These groups can have any names.

3. Use the DirectAccess Server Setup item to specify which interface is connected to the Internet and which interface is connected to the internal network. Performing this step will enable IPv6 transition technologies on the DirectAccess server, as shown in Figure 9-5. You use this item to specify the CA that client certificates must ultimately come from, either directly or through a subordinate CA. You also must specify the server certificate used to secure IP-HTTPS traffic.

![DirectAccess Server Setup](image)

**FIGURE 9-5 DirectAccess Server Setup**

4. On the Infrastructure Server Setup page, you specify the location of the internal website (known as the Network Location Server) that DirectAccess clients attempt to contact to determine whether they are connected to the corporate intranet or a remote location. You must ensure that you secure this website with a web server certificate. You also use this dialog box to specify which DNS servers and domain controllers the DirectAccess clients are able to contact for authentication purposes.

5. The final step involves specifying which resources on the corporate intranet are accessible to DirectAccess clients. The default setting is to allow access to all resources. In more secure environments, it is possible to use isolation policies to limit the contact to the membership of specific security groups. For example, you might create a security group and add the computer accounts of some file servers and mail servers, but not others.

6. When you click Finish, DirectAccess interfaces with a domain controller and creates two new GPOs in the domain. The first of these is targeted at the security groups that contain the computer accounts of DirectAccess clients. The second GPO is targeted at the DirectAccess server itself.
DirectAccess relies upon several other components in a Windows Server 2008 R2 network infrastructure. The domain in which you install the DirectAccess server must also have the following:

- At least one domain controller running Windows Server 2008 R2 and a DNS server on the internal network
- A server running Windows Server 2008 or later with AD CS installed, either as an Enterprise Root CA or an Enterprise Subordinate CA

To make internal network resources available to remote DirectAccess clients, you need to do one of the following:

- Ensure that all internal resources that will be accessed by DirectAccess support IPv6.
- Deploy ISATAP on the intranet, which allows intranet servers and applications to be reached by tunneling IPv6 traffic over an IPv4 intranet.
- Deploy a NAT-PT device, which allows hosts that support only IPv4 addresses to be accessible to DirectAccess clients using IPv6.

All application servers that DirectAccess clients access need to allow ICMPv6 traffic in Windows Firewall with Advanced Security (WFAS). You can accomplish this by enabling the following firewall rules using Group Policy:

- Echo Request – ICMPv6-in
- Echo Request – ICMPv6-out

The following ports on an organization’s external firewall must be open to support DirectAccess:

- **UDP port 3544** Enables Teredo traffic
- **IPv4 protocol 41** Enables 6to4 traffic
- **TCP port 443** Allows IP-HTTPS traffic
- **ICMPv6 and IPv4 protocol 50** Required when remote clients have IPv6 addresses

**MORE INFO** DIRECTACCESS

To learn more about DirectAccess, consult the following TechNet article:


**NPS RADIUS Servers**

In addition to its ability to provide RRAS gateways, Network Policy Server (NPS) can function as a RADIUS server and as a RADIUS client, which also is known as a RADIUS proxy. When an organization has more than one remote access server, an administrator can configure a server that has NPS installed as a RADIUS server and then configure all remote access servers as RADIUS clients. The benefit of doing this is that network policy management is centralized rather than requiring management on a per-remote-access-server basis.
When RADIUS is used as an authentication provider for RAS servers, the connection request is sent in a RADIUS request message format to a RADIUS server. The RADIUS server performs the authentication and authorization and then passes this information back to the RAS server. The RADIUS server must be a member of an AD DS domain, but the RAS VPN server passing authentication requests to the RADIUS server can be a stand-alone computer.

**NPS and RADIUS clients**

RADIUS clients are network access servers such as VPN servers, wireless access points, and 802.1x authenticating switches. Although the computers that access these network access servers are called *remote access clients*, they are not considered RADIUS clients. RADIUS clients provide network access to other hosts.

To configure a RADIUS client using NPS, open the Network Policy Server console from the Administrative Tools menu. Right-click RADIUS Clients and then click New RADIUS Client. This will open the dialog box shown in Figure 9-6.

**FIGURE 9-6** Configuring a new RADIUS client

Configuration involves providing the following information:

- **Friendly Name**
- **Address (IP or DNS)**
- **Vendor Name** (with more than 20 separate vendors available in this drop-down menu)
- **Shared Secret** (configured using the NPS snap-in on the RADIUS client)
NPS as a RADIUS Proxy

RADIUS proxies route RADIUS messages between remote access servers configured as RADIUS clients and the RADIUS servers that perform authentication, authorization, and accounting. When configured as a RADIUS proxy, an NPS will record information in the accounting log about the messages that it passes on from RAS clients to the RADIUS servers. NPS functions as a RADIUS client when it is configured as a RADIUS proxy.

You should deploy NPS as a RADIUS proxy when you need to provide authentication and authorization for accounts from other AD DS forests. The NPS RADIUS proxy uses the realm name (which identifies the location of the user account) portion of a user name to forward the request to a RADIUS server in the target forest. This allows connection attempts for user accounts in one forest to be authenticated for the network access server in another forest. Using a RADIUS proxy for inter-forest authentication is not necessary when both forests are running at the Windows Server 2003 functional level or higher and a forest trust exists.

You should also deploy NPS as a RADIUS proxy when you need authentication and authorization to occur against a database other than the Windows account database. Connection requests that match a specific realm name are forwarded to a RADIUS server, often running on a platform other than Windows, that accesses a separate database of user accounts and authorization data. Hence, you would deploy NPS as a RADIUS proxy when authentication and authorization have to occur against a RADIUS server that uses Novell Directory Services or one that runs on UNIX.

A final reason to consider the deployment of NPS as a RADIUS proxy server is when you need to process a large number of connection requests between RAS RADIUS clients and RADIUS servers. An NPS RADIUS proxy can load balance traffic across multiple RADIUS servers—something that is difficult to configure when dealing with just RADIUS clients and RADIUS servers.

Remote Access Accounting

You can configure NPS to perform RADIUS accounting. RADIUS accounting allows you to keep track of who is connecting and who has failed to connect to your RADIUS infrastructure. You can use NPS accounting to monitor the following information:

- User authentication requests
- Access-Accept messages
- Access-Reject messages
- Accounting requests and responses
- Periodic status updates

As Figure 9-7 shows, you have two separate ways of recording log data. Logs can be stored locally or written to a database in Microsoft SQL Server 2005 SP1, SQL Server 2008, or SQL Server 2008 R2. Locally written logs are suitable if you have a small number of remote access clients. If you have a significant number of remote access clients, writing data to a SQL Server database will provide you with a much better way of managing what is likely to be a mountain of information.

![Network Policy Server](Image)

**FIGURE 9-7** The Accounting node of the NPS console

**Local File Logging**

NPS log files can be written in two formats: IAS and database-compatible. The default format is database-compatible. The frequency at which new log files are created should be adjusted to suit your organization's needs. The benefit of having a single file of unlimited size is that locating a specific event is simpler, because you have to search for only one log file. The drawback of larger log files is that on systems where a log of NPS accounting data is logged, the log files can become huge, making the process of opening them and searching them difficult.
Although logs are written by default to the %Systemroot%/System32/LogFiles folder, Microsoft recommends that you keep log files on a partition separate from the operating system and application or file share data. Log files, unless strictly monitored, have a way of filling all available disk space. If this happens on a critical partition, the server could become unavailable. It is very important to note that NPS accounting data logs are not deleted automatically. You can configure the log retention policy to ensure that older log files are deleted automatically when the disk is full. This works best when log files are written to an isolated partition, so that the only impact of a disk that is full of NPS log files is on the storage of existing NPS log files. If NPS log files must be stored on a partition with other data or on the same volume as the operating system, you should consider writing a script that automatically removes logs when they reach a certain age.

Log files can be written to remote shares. This is done by specifying the UNC path of the share. If you configure this option, it will be necessary to ensure that the share permissions are configured to allow the account that writes the logs to write data to the shared folder. The Log File tab of the Local File Logging properties dialog box is shown in Figure 9-8.

![Local File Logging Interface](image)

**FIGURE 9-8** Configure local NPS logging

**Configure SQL Server Logging**

The alternative to logging NPS accounting data locally is to have it written to a computer running SQL Server that is installed either locally or on the local network. NPS sends data to the `report_event` stored procedure on the target computer running SQL Server. This stored procedure is available on SQL Server 2000, SQL Server 2005, SQL Server 2008, and SQL Server 2008 R2.
You can configure which NPS accounting data is sent to the computer running SQL Server by selecting options in the SQL Server Logging properties dialog box shown in Figure 9-9. Clicking Configure in this dialog box allows you to specify the properties of the data link to the computer running SQL Server. When configuring the data link properties for the SQL Server connection, you must provide the server name, the method of authentication that will be used with the computer running SQL Server, and the database on the computer running SQL Server that you will use to store the accounting data. Just as it is a good idea to have a separate partition on a computer to store NPS accounting data, it is a good idea to have a separate database that stores NPS accounting data.

![SQL Server Logging](image)

**FIGURE 9-9** Configure NPS logging to SQL Server

**MORE INFO** NPS CHANGES IN WINDOWS SERVER 2008 R2


**Remote Desktop Gateway Servers**

Remote Desktop Gateway (RD Gateway) servers allow Remote Desktop Protocol (RDP) over HTTPS connections to RDP servers located on protected internal networks to clients on the Internet. This functionality allows clients on the Internet to access RemoteApp applications,
standard Remote Desktop Server sessions, and remote desktop sessions to appropriately configured clients.

NOTE TERMINOLOGY CHANGE
On computers running Windows Server 2008, Remote Desktop Services is called Terminal Services and RD Gateway is referred to as TS Gateway.

An advantage of RD Gateway is that you do not need to set up RAS VPNs to grant access to resources. Instead of having to deploy client connection kits to everyone in the organization that needs to be able to access resources from the Internet side of the firewall, you can email them an RDP shortcut file and allow them to connect with their clients running Windows XP SP2, Windows Vista, or Windows 7. RD Gateway is essentially an SSL VPN that is restricted to RDP. With a regular VPN connection, you can access all resources directly once connected (in theory, anyway). For example, a VPN can be used to connect to internal file shares and shared printers. With RD Gateway, you can access an RDS server or remote desktop session and, through that, access resources such as shared drives and printers.

Follow these steps to configure an RD Gateway server:

1. Install the RD Gateway Role Service on a computer running Windows Server 2008 R2 that is located on a screened subnet. The perimeter firewall should be configured so that the RD Gateway server is accessible on port 443.

2. Obtain an SSL certificate. The certificate name must match the name that clients use to connect to the server. Install the certificate on the server and then use the RD Gateway Manager console to map the server certificate. It is important that you only use RD Gateway Manager to map the SSL certificate. If you use another method, the RD Gateway server will not function properly.

3. Configure Remote Desktop Connection Authorization Policies (RD-CAPs) and Remote Desktop Resource Authorization Policies (RD-RAPs). (These are covered in the next section.)

MORE INFO RD GATEWAY CONFIGURATION
To learn more about configuring RD Gateway, consult the following TechNet article:

Connection Authorization Policies
Remote Desktop Connection Authorization Policies (RD-CAPs) specify which users are allowed to connect through the RD Gateway server to resources located on your organization’s internal network. This is usually done by specifying a local group on the RD Gateway server or a group within AD DS. Groups can include user or computer accounts. You can also use RD-CAPs to specify whether remote clients use password or smart-card authentication to access internal network resources through the RD Gateway server. You can use RD-CAPs in conjunction with NAP; this scenario is covered in more detail in Lesson 2, “Firewalls and Network Access Protection.”
Resource Authorization Policies
Remote Desktop Resource Authorization Policies (RD-RAPs) are used to determine the specific resources on an organization’s network that an incoming RD Gateway client can connect to. When you create an RD-RAP, you specify a group of computers that you want to grant access to and the group of users that you will allow this access to. For example, you could create a group of computers called AccountsComputers that will be accessible to members of the Accountants user group. To be granted access to internal resources, a remote user must meet the conditions of at least one RD-CAP and at least one RD-RAP.

Lesson Summary

- SSTP piggybacks PPP over HTTPS. The SSL certificate installed on the RAS server must match the host name that the SSTP client is connecting to. SSTP can be used only by clients running Windows 7 and Windows Vista SP1. SSTP cannot be used for site-to-site tunnels.
- IKEv2 VPNs can be used only by clients running Windows 7 that are connected to VPN servers running Windows Server 2008 R2. IKEv2 VPNs support the VPN Reconnect feature.
- DirectAccess is an always-on IPv6 remote access technology. DirectAccess is supported only on Windows 7 Enterprise and Ultimate editions and requires a DirectAccess server running Windows Server 2008 R2.
- NPS servers can be configured to write accounting data to local log files or to computers running SQL Server that have the `report_event` stored procedure available.
- RADIUS proxies are a useful way of load balancing requests from RAS servers to RADIUS servers.
- RD Gateway servers provide another method of remote access, allowing clients running Windows Vista to connect to RDP servers using port 443.

Lesson Review
You can use the following questions to test your knowledge of the information in Lesson 1, “Managing Remote Access.” The questions are also available on the companion CD if you prefer to review them in electronic form.

**NOTE** **ANSWERS**

Answers to these questions and explanations of why each answer choice is correct or incorrect are located in the “Answers” section at the end of the book.

1. Which of the following VPN protocols would you deploy if your firewall blocked all traffic from the Internet except traffic on TCP ports 25, 80, and 443?
   A. L2TP/IPsec
   B. SSTP
Lesson 1: Managing Remote Access

1. Users at your organization have all been issued laptops running Windows 7 Enterprise edition. These users often need to place their computers into hibernation and do not want to have to reauthenticate to access their VPN connection when they resume using them. Which of the following VPN protocols allows users to reconnect to a VPN session that they initiated in the last few hours when they resume from hibernation?
   A. L2TP/IPsec
   B. SSTP
   C. PPTP
   D. IKEv2

2. Which of the following clients can connect to your organization’s VPN server running Windows Server 2008 R2 if the only ports that are available for VPN connections are ports 25, 80, and 443? (Each answer is a complete solution. Choose all that apply.)
   A. Windows Vista with SP1
   B. Windows 7
   C. Windows XP Professional with SP3
   D. Microsoft Windows 2000 Professional with SP2

3. Servers VPN1, VPN2, and VPN3 host the RAS server role and accept incoming VPN connections from clients on the Internet. Server NPS1 is configured as a RADIUS server using the NPS server role. Servers VPN1, VPN2, and VPN3 use NPS1 to authenticate incoming connections. Server SQL1 is a computer running Windows Server 2008 R2 that has SQL Server 2008 R2 installed. You want to improve your ability to search through RADIUS accounting data. Which of the following strategies should you pursue?
   A. Configure VPN1, VPN2, and VPN3 so that NPS accounting data is forwarded to SQL1.
   B. Configure VPN1, VPN2, and VPN3 so that NPS accounting data is forwarded to NPS1.
   C. Configure SQL1 so that NPS accounting data is forwarded to server NPS1.
   D. Configure NPS1 so that NPS accounting data is forwarded to server SQL1.

4. Computers running which of the following operating systems are able to use DirectAccess to access your organization’s internal network from locations on the Internet?
   A. Windows XP Professional with SP3
   B. Windows Vista Enterprise edition
   C. Windows 7 Enterprise edition
   D. Windows 7 Home Premium edition
Lesson 2: Firewalls and Network Access Protection

You deploy NAP on your network as a method of ensuring that computers accessing important resources meet certain client health benchmarks. These benchmarks include (but are not limited to) having the most recent updates applied, having antivirus and anti-spyware software up to date, and having important security technologies such as Windows Firewall configured and functional. In this lesson, you will learn how to plan and deploy an appropriate NAP infrastructure and enforcement method for your organization.

After this lesson, you will be able to:

■ Plan NAP server roles.
■ Monitor and maintain NAP policies.

Estimated lesson time: 60 minutes

Windows Firewall with Advanced Security

The simplest method of enforcing a standardized firewall configuration across an organization is to use Group Policy. You can configure inbound and outbound rules, as well as enable and disable Windows Firewall with Advanced Security for specific profiles, through the Computer Configuration/Policies/Windows Settings/Windows Firewall With Advance Security node of Group Policy.

You can configure new rules based on a specific program, port, or predefined rule. Rules can be applied to inbound and outbound traffic. In many domain environments, administrators use outbound rules as a way of blocking the use of specific programs such as file sharing or instant messaging programs. Although the best way to block this sort of traffic is to stop the software from being installed in the first place or restricting its use with AppLocker policies, many domain environments have users with laptops that are taken on and off the network. In some cases, laptop users are given local administrative control over their computers. Applying firewall rules to each computer through Group Policy allows administrators to block programs that may use SSL tunnels to get around perimeter firewall configuration.

MORE INFO CONFIGURE WINDOWS FIREWALL THROUGH GROUP POLICY


Domain Isolation

Windows Firewall with Advanced Security can be used to create connection security rules that secure traffic by using IPsec. Domain isolation uses an AD DS domain, domain membership, and Windows Firewall with Advanced Security Group Policy settings to enforce a policy that
forces domain member computers to accept incoming communication requests only from other computers that are members of the same domain. When enforced, computers that are members of the domain are isolated from computers that are not members of the domain. It is important to remember that in domain isolation scenarios, isolated computers can initiate communication with hosts outside the domain, such as web servers on the Internet. However, they will not respond when network communication is initiated from a host outside the domain.

Domain isolation policies are applied through the Computer Configuration/Policies/Windows Settings/Security Settings/Windows Firewall with Advanced Security node of a GPO by accessing the Connection Security Rules item.

**MORE INFO  DOMAIN ISOLATION**
To learn more about domain isolation, consult the following TechNet article:

**Server Isolation**
Server isolation works in a similar way to domain isolation except that instead of applying to all computers within a domain, a server isolation policy applies only to a specific set of servers in a domain. You do this by placing the computer accounts of the servers that will be isolated in a specific OU and then applying a GPO that has an appropriately configured connection security rule to that OU. When enforced, only computers that are members of the domain are able to communicate with the isolated servers. This can be an effective way of protecting servers when you must grant network access to third-party computers. The third-party computers are able to access some network resources, such as intranet web and DNS servers, but you can isolate specific network resources, such as file servers and databases, by configuring server isolation policies.

**MORE INFO  SERVER ISOLATION**
To learn more about domain isolation, consult the following TechNet article:

**Forefront Threat Management Gateway**
While Windows Firewall with Advanced Security is an appropriate solution to protect individual servers, you should look toward a more fully featured firewall, such as Microsoft Forefront Threat Management Gateway (TMG) 2010, as a solution between your organization’s perimeter network and the Internet. Perimeter networks are networks that exist between the Internet and an organization’s internal network. Organizations host resources that need to be available to the Internet on perimeter networks. This allows them to provide an external firewall to protect the resource and then also to provide a firewall between the perimeter network and the internal network as a second layer of protection. In most configurations, traffic can pass from the Internet to the perimeter network and back, or from the internal network to the perimeter network and back, but never directly from the internal network to the Internet without passing in some way across the perimeter network.
Forefront TMG 2010 includes the following advanced features:
- Packet inspection and application filtering
- Intrusion Prevention System (IPS)
- Secure proxy
- Web filtering based on URL or URL category (for example, filtering sports or entertainment websites)
- Web traffic monitoring
- HTTPS inspection
- Publish reverse proxy services to the Internet, such as websites, Microsoft Outlook Web Access, and Microsoft SharePoint sites including SSL bridge functionality
- Create site-to-site VPNs
- The ability to publish VPN servers to the Internet

You can install Forefront TMG 2010 on computers running Windows Server 2008 with SP2 or Windows Server 2008 R2. When you install Forefront TMG 2010, the installation routine automatically installs the Network Policy Server, RRAS, and Active Directory Lightweight Directory Services (AD LDS) roles and role services.

Usually, you would install Forefront TMG on a computer that has two network cards, with one computer connected to the Internet and the other network adapter connected to your perimeter or internal network. It is possible to deploy Forefront TMG on a computer with a single network adapter, but in general, you would do this only when you have deployed an additional perimeter firewall solution. ForeFront TMG is the latest version of the product once known as Microsoft Internet Security and Acceleration (ISA) Server. You manage Forefront TMG using the Forefront TMG console, shown in Figure 9-10.

![FIGURE 9-10 The Forefront TMG console](image-url)
Network Access Protection

Network Access Protection (NAP) is a technology that allows you to restrict network access on the basis of a client’s health. System Health Agents (SHAs) and System Health Validators (SHVs) are the components that validate a computer’s health against a configured set of benchmarks. The SHV specifies which benchmarks the client must meet. The SHA is the component against which those benchmarks are tested. The SHVs in Windows 7, Windows Vista, and Windows XP can be configured through the System Health Validators node under NAP in the NPS. Figure 9-11 shows the settings that you can configure for the SHV in Windows 7 and Windows Vista.

![Windows Security Health Validator](image)

**FIGURE 9-11** An SHV in Windows 7 and Windows Vista

Third-party organizations can provide SHAs and SHVs that you can use with their own products and NAP. Deploying third-party SHAs and SHVs involves installing the SHA components on all clients and the SHV on the computer running Windows Server 2008 or Windows Server 2008 R2 that hosts the Network Policy Server server role. Once installed, you create a new health policy that uses the new SHV as a compliance benchmark. A health policy can call on multiple SHVs. For example, you might create a health policy that requires all conditions on the SHV on Windows 7 or Windows Vista and the Fabrikam SHV to be met before a client is granted access to all network resources.
NAP Enforcement Methods
When a computer is found to be noncompliant with the enforced health policy, NAP enforces limited network access. This is done through an Enforcement Client (EC). Windows 7, Windows Vista, Windows XP SP3, Windows Server 2008, and Windows Server 2008 R2 include NAP EC support for IPsec, IEEE 802.1X, Remote Access VPN, and DHCP enforcement methods. Windows 7, Windows Vista, Windows Server 2008, and Windows Server 2008 R2 also support NAP enforcement for RD Gateway connections.

NAP enforcement methods can be used either individually or in conjunction with each other to limit the network access of computers that are found not to be in compliance with configured health policies. Hence, you can apply the remote access VPN and IPsec enforcement methods to ensure that internal clients and clients coming in from the Internet are granted access to resources only if they meet the appropriate client health benchmarks.

IPsec NAP Enforcement
IPsec enforcement works by applying IPsec rules. Only computers that meet health compliance requirements are able to communicate with each other. IPsec enforcement can be applied on a per-IP address, per-TCP port number, or per-UDP port number basis. For example: You can use IPsec enforcement to block RDP access to a web server so that only computers that are healthy can connect to manage that server but allow clients that do not meet health requirements to connect to view Web pages hosted by the same web server.

IPsec enforcement applies after computers have received a valid IP address, either from DHCP or through static configuration. IPsec is the strongest method of limiting network access communication through NAP. Where it might be possible to subvert other methods by applying static addresses or switching ports, the IPsec certificate used for encryption can be obtained by a host only when it passes the health check. No IPsec certificate means that communication with other hosts that encrypt their communications using a certificate issued from the same CA is impossible.

To deploy IPsec enforcement, a network environment must have a Windows Server 2008 or 2008 R2 Health Registration Authority (HRA) and a Windows Server 2008 or Windows Server 2008 R2 CA. Clients must be running Windows 7, Windows Vista, Windows Server 2008, Windows Server 2008 R2, or Windows XP SP3, all of which include the IPsec EC.
802.1X NAP Enforcement

802.1X enforcement uses authenticating Ethernet switches or IEEE 802.11 Wireless Access Points. These compliant switches and access points grant unlimited network access only to computers that meet the compliance requirement. Computers that do not meet the compliance requirement are limited in their communication by a restricted access profile. Restricted access profiles work by applying IP packet filters or virtual local area network (VLAN) identifiers. This means that hosts that have the restricted access profile are allowed only limited network communication. This limited network communication generally allows access to remediation servers. You will learn more about remediation servers later in this lesson.

An advantage of 802.1X enforcement is that the health status of clients is assessed constantly. Connected clients that become noncompliant will be placed under the restricted access profile automatically. Clients under the restricted access profile that become compliant will have that profile removed and will be able to communicate with other hosts on the network in an unrestricted manner. For example, suppose that a new antivirus update comes out. Clients that have not checked the update server recently are put under a restricted access profile until they check the server and retrieve the update. Once the check has been performed successfully, the clients are returned to full network access.

A computer running Windows Server 2008 or Windows Server 2008 R2 with the Network Policy Server role is necessary to support 802.1X NAP enforcement. It is also necessary to have switch or Wireless Access Point hardware that is 801.1X-compliant. Clients must be running Windows 7, Windows Vista, Windows Server 2008 R2, Windows Server 2008, or Windows XP SP3 because only these operating systems include the EAPHost EC.

MORE INFO 802.1X ENFORCEMENT

To learn more about 802.1X enforcement, consult the following TechNet page:

VPN NAP Enforcement

VPN enforcement is used on connecting VPN clients as a method of ensuring that clients granted access to the internal network meet system health compliance requirements. VPN enforcement works by restricting network access to noncompliant clients through the use of packet filters. Rather than being able to access the entire network, incoming VPN clients that are noncompliant have access only to the remediation server group.

As is the case with 802.1X enforcement, the health status of a connected client is monitored continuously. If a client becomes noncompliant, packet filters restricting network access will be applied. If a noncompliant client becomes compliant, packet filters restricting network access will be removed. VPN enforcement requires an existing remote access infrastructure and an NPS server. The enforcement method uses the VPN EC, which is included with Windows 7, Windows Vista, Windows Server 2008, Windows Server 2008 R2, and Windows XP SP3.
DHCP NAP Enforcement

DHCP NAP enforcement works by providing unlimited-access IPv4 address information to compliant computers and limited-access IPv4 address information to noncompliant computers. Unlike VPN and 802.1X enforcement methods, DHCP NAP enforcement is applied only when a client lease is obtained or renewed. Organizations using this method of NAP enforcement should avoid configuring long DHCP leases because this will reduce the frequency at which compliance checks are made.

To deploy DHCP NAP enforcement, you must use a DHCP server running Windows Server 2008 or Windows Server 2008 R2 because this includes the DHCP Enforcement Service (ES). The DHCP EC is included in the DHCP Client service on Windows 7, Windows Vista, Windows Server 2008, Windows Server 2008 R2, and Windows XP SP3.

The drawback of DHCP NAP enforcement is that you can get around it by configuring a client’s IP address statically. Only users with local administrator access can configure a manual IP, but if your organization gives users local administrator access, DHCP NAP enforcement may not be the most effective method of keeping these computers off the network until they are compliant.

Quick Check

1. Which NAP enforcement method uses VLANs?
2. Which NAP enforcement methods can you get around by configuring a static IP address?

Quick Check Answer

1. The 802.1X NAP enforcement method uses VLANs.
2. You can get around the DHCP NAP enforcement method by configuring a static IP address.

RD Gateway NAP Enforcement

RD Gateway NAP enforcement ensures that clients running Windows 7, Windows Vista, Windows Server 2008, and Windows Server 2008 R2 located on the Internet that are connecting to an RD Gateway meet health compliance requirements before the RD Gateway
allows connections to RDP servers on the internal network. To configure RD Gateway for NAP, you must perform the following basic steps:

1. Enable NAP health policy checking on the RD Gateway server by configuring the RD Gateway server to request that clients send a statement of health.
2. Remove any existing RD-CAPs. It is not necessary to remove existing RD-RAPs.
3. Configure a Windows SHV on the RD Gateway server by editing the properties of the Windows SHV in the Network Policy Server console on the RD Gateway server.
4. Create NAP Policies on the RD Gateway server using the Configure NAP Wizard. You will need to create two health policies (one for compliant and one for noncompliant computers), a connection request policy, and three network policies (compliant, noncompliant, and non-NAP-capable).

MORE INFO  RD GATEWAY ENFORCEMENT


DirectAccess NAP Enforcement

You can incorporate NAP into your DirectAccess infrastructure as a way of ensuring that clients that are attempting to connect using DirectAccess from remote networks will be successful only if they meet network health requirements. Using NAP with DirectAccess requires similar infrastructure to the NAP IPsec enforcement method. It is necessary to ensure that your organization has at least one HRA as well as CAs that are configured to support NAP, NAP health policy servers, and necessary remediation servers. If your remediation and HRA servers are on the Intranet, you’ll need to perform the following steps:

- Add the IPv6 addresses of the HRA and remediation servers to the list of management servers when running the DirectAccess Setup Wizard.
- Configure the intranet tunnel rule in the DirectAccess server GPO to require health certificates.

MORE INFO  DIRECTACCESS WITH NAP


Remediation Servers

Remediation servers generally host software updates and antivirus and anti-spyware definition files and are used to bring a client that has not passed a health check up to date. Remediation servers are accessible from the restricted networks that noncompliant clients are relegated to when they do not pass system health checks. Remediation servers allow
these clients to be brought into compliance so that they can have unrestricted access to the network. Remediation server groups are added through the Remediation Server Group node of the Network Policy Server console, as shown in Figure 9-12.

**FIGURE 9-12** Remediation Server Group node

### Monitoring-Only Mode

While you usually use NAP to restrict access to noncompliant clients, when you deploy NAP for the first time, you should use NAP in monitoring-only mode. This is because when you start out, you are likely to have a large number of noncompliant clients and if you enforce NAP policies right at the start, a large number of the computers that you are responsible for managing will be unable to access the network. By using monitoring-only mode, you can get a good idea about how many clients in your organization do not comply with current health policies. You can then take steps to correct these problems on the clients so that when you do enforce NAP, only a small number of clients will be forced into remediation.

**MORE INFO** NO ENFORCEMENT


**MORE INFO** HOST CREDENTIAL AUTHORIZATION PROTOCOL

Lesson Summary

- An SHV is a set of conditions that a computer must meet to be considered healthy. An SHA is what the NPS server checks with to determine whether a connecting client meets all the conditions of the SHV.
- NPS servers are installed as a part of the Network Policy And Access Services role. These servers are where you configure health policies and SHVs that dictate the health compliance benchmark.
- Domain isolation allows you to use IPsec to limit network communication to computers that are members of a specific domain.
- Forefront TMG 2010 is an advanced firewall application that can be installed on servers running Windows Server 2008 and Windows Server 2008 R2. It is often installed between a perimeter network and the Internet.

Lesson Review

You can use the following questions to test your knowledge of the information in Lesson 2, “Firewalls and Network Access Protection.” The questions are also available on the companion CD if you prefer to review them in electronic form.

NOTE ANSWERS

Answers to these questions and explanations of why each answer choice is correct or incorrect are located in the “Answers” section at the end of the book.

1. You want only healthy computers on your network to be able to connect to a computer running Windows Server 2008 used as an intranet web server role for management tasks, but you want to allow all clients, healthy or unhealthy, to be able to access Web pages on the same servers. Which of the following NAP enforcement methods should you implement without having to configure the firewall or IP address restrictions on the intranet server running Windows Server 2008?
   - A. IPsec
   - B. 802.1X
   - C. DHCP
   - D. VPN

2. Your network contains a mixture of Windows Vista SP1 and Windows XP SP3 clients. You want to enable NAP enforcements for the clients running Windows Vista SP1. Clients running Windows XP SP3 should not be subjected to NAP enforcement. Which of
the following strategies should you pursue? (Each answer forms a part of the solution. Choose two.)

A. Create a network policy that specifies the operating system as a condition.
B. Create a VLAN for all clients running Windows XP.
C. Configure the network policy to allow computers running Windows Vista to bypass the health check.
D. Configure the network policy to allow computers running Windows XP to bypass the health check.

3. Your organization has one domain controller running Windows Server 2003, named 2K3DC, and one domain controller running Windows Server 2008, named 2K8DC. The domain functional level is Windows Server 2003. DNS is installed on a stand-alone computer named DNS1 running Windows Server 2003 R2. DHCP is installed on a stand-alone computer named DHCP1 running Windows Server 2003 R2. NPS is installed on a computer named NPS1 running Windows Server 2008. Which of the following computers must you upgrade if you want to use DHCP NAP enforcement?
   A. 2K3DC
   B. DNS1
   C. DHCP1
   D. NPS1

4. Which of the following server roles must be available on your network if you plan to configure IPsec rules so that only healthy computers can connect to each other? (Each answer forms a part of the solution. Choose two.)
   A. HRA
   B. Windows Server 2008 CA
   C. Windows Server 2008 DHCP server
   D. HCAP server

5. Other than 802.1X-compatible switches, which of the following components must be deployed in your network environment to support 802.1X NAP enforcement? (Choose two; each solution forms a complete answer.)
   A. The NPS server role on a computer running Windows Server 2008
   B. A RADIUS proxy server
   C. EAPHost EC on clients
   D. The HCAP server role on a computer running Windows Server 2008

**PRACTICE Installing and Configuring NAP with DHCP Enforcement**

In this set of practices, you will configure Windows Server 2008 R2 with the Network Policy Server role to support NAP with the DHCP.
**EXERCISE 1  Network Policy Server Installation and DHCP Configuration**

In this exercise, you will install the NPS server role on server VAN-DC1. To complete this practice, perform the following steps:

1. Log on to server VAN-DC1 with the Kim_Akers user account.
2. Open an elevated PowerShell session and issue the following commands to ensure that the DHCP and NPS role services, if installed, are removed from the server. If these roles are present, it will be necessary to reboot the server, log in, restart PowerShell, and import the ServerManager module again.

   ```
   Import-Module ServerManager
   Remove-WindowsFeature DHCP, NPAS
   ```

3. From the elevated PowerShell session, issue the following commands to install DHCP and the NPS server roles:

   ```
   Add-WindowsFeature DHCP, NPAS
   ```

4. From the Administrative Tools menu, click DHCP. The DHCP console will open. Right-click the DHCP node and then click Manage Authorized Servers. Click Authorize. In the Authorize DHCP Server dialog box, enter the name **VAN-DC1** and then click OK. Verify that the IP address of the DHCP server matches 10.10.0.10, and then click OK. Highlight VAN-DC and then click OK.

5. Open the Services console. Set the properties of the DHCP Server service to start automatically. Start the service.

6. In the DHCP console, expand the IPv4 node under van-dc.adatum.com and then delete the scope Alpha Scope.

7. Select and right-click the IPv4 node under van-dc.adatum.com, and then click New Scope. This will start the New Scope Wizard. Click Next.

8. On the Scope Name page, enter the scope name **NAP_Scope**. Click Next.

9. Set the start IP address as **10.100.0.1** and the end IP address as **10.100.0.254**. Set the Subnet Mask Length at **24**. Click Next three times.

10. On the Configure DHCP Options page, select the No, I Will Configure These Options Later option, and then click Next. Click Finish.

**EXERCISE 2  Configure NPS**

In this exercise, you will configure NPS. To complete this practice, perform the following steps:


2. On the Getting Started page, shown in Figure 9-13, click Configure NAP.
3. On the Select Network Connection Method For Use With NAP page, use the drop-down menu to select Dynamic Host Configuration Protocol (DHCP), and then click Next.

4. On the RADIUS Clients page, click Next.

5. On the DHCP Scopes page, click Add. In the Specify The Profile Name That Identifies Your DHCP Scope box, type **NAP_Scope** and click OK. Click Next.


7. On the Specify A NAP Remediation Server Group And URL page, click Next.

8. On the Define NAP Health Policy page, clear the Enable Auto-Remediation Of Client Computers option and select Allow Full Network Access To NAP-Ineligible Client Computers, as shown in Figure 9-14. Click Next, and then click Finish.

**EXERCISE 3 Configure SHV**

In this exercise, you will configure an SHV to support your NAP DHCP deployment. To complete this practice, perform the following steps:

1. In the Network Policy Server console, navigate to the Network Access Protection/ System Health Validators/Windows Security Health Validator/Settings node. In the details pane, right-click Default Configuration and then click Properties. This will open the Windows Security Health Validator dialog box.
2. In the details pane of the Windows Security Health Validator dialog box, scroll down to the Security Updates Settings section. Enable the Restrict Access For Clients That Do Not Have All Available Security Updates Installed option and change the severity level to Moderate And Above, as shown in Figure 9-15.
Chapter Review

To further practice and reinforce the skills you learned in this chapter, you can perform the following tasks:

- Review the chapter summary.
- Review the list of key terms introduced in this chapter.
- Complete the case scenarios. These scenarios set up real-world situations involving the topics of this chapter and ask you to create a solution.
- Complete the suggested practices.
- Take a practice test.

Chapter Summary

- VPN servers running Windows Server 2008 and Windows Server 2008 R2 support the PPTP, L2TP/IPsec, and SSTP protocols. SSTP can be used only by computers running Windows Vista or Windows 7.
- VPN servers running Windows Server 2008 R2 support IKEv2 VPNs. VPNs using IKEv2 support automatic reconnection, but they can be used only by computers running Windows 7.
- DirectAccess is an always-on IPv6 remote access solution. It requires a computer running Windows Server 2008 R2 and supports only clients running Windows 7 Enterprise and Ultimate editions.
- You can configure a computer running Windows Server 2008 or Windows Server 2008 R2 to function as a RADIUS server, RADIUS proxy, or RADIUS client.
- The four methods of local NAP enforcement are IPSec, DHCP, VPN, and 802.1X enforcement. You can also configure NAP enforcement for RD Gateway and DirectAccess.

Key Terms

The following terms were introduced in this chapter. Do you know what they mean?

- DirectAccess
- EAP-TLS
- IKEv2
- L2TP/IPsec
- PPP
- PPTP
- SSTP
- RADIUS
- VPN
Case Scenarios

In the following case scenarios, you will apply what you have learned about planning server installs and upgrades. You can find answers to these questions in the “Answers” section at the end of this book.

Case Scenario 1: Remote Access at Wingtip Toys

Wingtip Toys has branch office locations in Sydney and Melbourne, Australia. The branch office firewalls are configured to let traffic from the Internet through only to hosts on the screened subnet on TCP ports 25, 80, and 443. An RD Gateway server has been installed on the screened subnet at the Sydney location. A multihomed computer running Windows Server 2008 R2 with the Remote Access role installed will be deployed on the Melbourne screened subnet next week. Given this information, provide answers to the following questions:

1. What type of policy should you configure to limit access at the Sydney location to a list of authorized users?
2. When the Melbourne server is deployed, what VPN protocol would you use to provide access if you are not able to modify the existing firewall rules?
3. What sort of NAP enforcement should you use in the Melbourne location?

Case Scenario 2: Coho Vineyard NAP

You are in the process of improving network security at Coho Vineyard’s head office. Coho Vineyard has 20 servers running Windows Server 2008 R2 and 400 clients running Windows 7 Enterprise edition. As a part of this process, you intend to deploy NAP, but must deal with the following design constraints:

- Management at Coho want to do a six-month trial before they commit to purchasing any new hardware. The pilot program should allow NAP to be tested and ensure that noncompliant clients are remediated.
- If the pilot program proves to be successful, NAP should be implemented in such a way that unhealthy clients are blocked from accessing the network at the switch level.
- Coho Vineyard does not have the necessary hardware infrastructure at this time to implement switch-level network access demarcation, but the hardware will be purchased at the conclusion of a successful pilot program.
- Several of Coho Vineyard’s legacy third-party systems do not support the IPsec protocol.

With this information in mind, answer the following questions:

1. Which NAP method should be used at Coho Vineyard during the pilot program?
2. Which NAP method should be used at Coho Vineyard once the pilot program is deemed successful?
3. What steps should you take to allow for remediation?
Suggested Practices

To help you successfully master the exam objectives presented in this chapter, complete the following tasks.

Monitor and Maintain Security Policies

Do all the practices in this section.

- Practice 1: Configure an RD Gateway server on a stand-alone computer running Windows Server 2008 R2 that has two network cards, one connected to a public network such as the Internet, and the other connected to the internal network.
- Practice 2: Configure and test an RD-RAP and RD-CAP.

Plan Infrastructure Services Server Roles

Do all the practices in this section.

- Practice 1: Create a server isolation policy using Windows Firewall with Advanced Security.
- Practice 2: Configure IPsec enforcements so that only healthy clients on the network are able to communicate with each other.

Take a Practice Test

The practice tests on this book’s companion CD offer many options. For example, you can test yourself on just one exam objective, or you can test yourself on all the exam content. You can set up the test so that it closely simulates the experience of taking a certification exam, or you can set it up in study mode so that you can look at the correct answers and explanations after you answer each question.

MORE INFO  PRACTICE TESTS

For details about all the practice test options available, see the section “How to Use the Practice Tests” in this book’s Introduction.
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