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Core Java® for the Impatient

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Core Java® for the Impatient

Cay S. Horstmann

✦Addison-Wesley

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Contents

Preface xxi Acknowledgments xxiii About the Author xxv

1 FUNDAMENTAL PROGRAMMING STRUCTURES 1

2

- 1.1 Our First Program
 - 1.1.1 Dissecting the "Hello, World" Program 2
 - 1.1.2 Compiling and Running a Java Program 3
 - 1.1.3 Method Calls 5
- 1.2 Primitive Types 7
 - 1.2.1 Integer Types 7
 - 1.2.2 Floating-Point Types 8
 - 1.2.3 The char Type 9
 - 1.2.4 The boolean Type 10
- 1.3 Variables 10
 - 1.3.1 Variable Declarations 10
 - 1.3.2 Names 11

- 1.3.3 Initialization 11
- 1.3.4 Constants 12
- 1.4 Arithmetic Operations 13
 - 1.4.1 Assignment 14
 - 1.4.2 Basic Arithmetic 14
 - 1.4.3 Mathematical Methods 15
 - 1.4.4 Number Type Conversions 16
 - 1.4.5 Relational and Logical Operators 18
 - 1.4.6 Big Numbers 19
- 1.5 Strings 20
 - 1.5.1 Concatenation 20
 - 1.5.2 Substrings 21
 - 1.5.3 String Comparison 21
 - 1.5.4 Converting Between Numbers and Strings 23
 - 1.5.5 The String API 24
 - 1.5.6 Code Points and Code Units 25
- 1.6 Input and Output 26
 - 1.6.1 Reading Input 27
 - 1.6.2 Formatted Output 28
- 1.7 Control Flow 30
 - 1.7.1 Branches 30
 - 1.7.2 Loops 32
 - 1.7.3 Breaking and Continuing 34
 - 1.7.4 Local Variable Scope 36
- 1.8 Arrays and Array Lists 37
 - 1.8.1 Working with Arrays 37
 - 1.8.2 Array Construction 38
 - 1.8.3 Array Lists 39
 - 1.8.4 Wrapper Classes for Primitive Types 40
 - 1.8.5 The Enhanced for Loop 41
 - 1.8.6 Copying Arrays and Array Lists 41
 - 1.8.7 Array Algorithms 42
 - 1.8.8 Command-Line Arguments 43
 - 1.8.9 Multidimensional Arrays 44

- 1.9 Functional Decomposition 46
 - 1.9.1 Declaring and Calling Static Methods 47
 - 1.9.2 Array Parameters and Return Values 47
 - 1.9.3 Variable Arguments 48

Exercises 49

2 OBJECT-ORIENTED PROGRAMMING 53

- 2.1 Working with Objects 54
 - 2.1.1 Accessor and Mutator Methods 56
 - 2.1.2 Object References 56
- 2.2 Implementing Classes 58
 - 2.2.1 Instance Variables 59
 - 2.2.2 Method Headers 59
 - 2.2.3 Method Bodies 60
 - 2.2.4 Instance Method Invocations 60
 - 2.2.5 The this Reference 61
 - 2.2.6 Call by Value 62
- 2.3 Object Construction 63
 - 2.3.1 Implementing Constructors 63
 - 2.3.2 Overloading 64
 - 2.3.3 Calling One Constructor from Another 65
 - 2.3.4 Default Initialization 65
 - 2.3.5 Instance Variable Initialization 66
 - 2.3.6 Final Instance Variables 67
 - 2.3.7 The Constructor with No Arguments 68
- 2.4 Static Variables and Methods 68
 - 2.4.1 Static Variables 68
 - 2.4.2 Static Constants 69
 - 2.4.3 Static Initialization Blocks 70
 - 2.4.4 Static Methods 71
 - 2.4.5 Factory Methods 72
- 2.5 Packages 72
 - 2.5.1 Package Declarations 73
 - 2.5.2 The Class Path 74

3

- 2.5.3 Package Scope 76
- 2.5.4 Importing Classes 77
- 2.5.5 Static Imports 78
- 2.6 Nested Classes 79
 - 2.6.1 Static Nested Classes 79
 - 2.6.2 Inner Classes 81
 - 2.6.3 Special Syntax Rules for Inner Classes 83
- 2.7 Documentation Comments 84
 - 2.7.1 Comment Insertion 85
 - 2.7.2 Class Comments 85
 - 2.7.3 Method Comments 86
 - 2.7.4 Variable Comments 86
 - 2.7.5 General Comments 87
 - 2.7.6 Links 87
 - 2.7.7 Package and Overview Comments 88
 - 2.7.8 Comment Extraction 88

Exercises 89

INTERFACES AND LAMBDA EXPRESSIONS 93

- 3.1 Interfaces 94
 - 3.1.1 Declaring an Interface 94
 - 3.1.2 Implementing an Interface 95
 - 3.1.3 Converting to an Interface Type 97
 - 3.1.4 Casts and the instance of Operator 97
 - 3.1.5 Extending Interfaces 98
 - 3.1.6 Implementing Multiple Interfaces 98
 - 3.1.7 Constants 99
- 3.2 Static and Default Methods 99
 - 3.2.1 Static Methods 99
 - 3.2.2 Default Methods 100
 - 3.2.3 Resolving Default Method Conflicts 101

3.3 Examples of Interfaces 102

- 3.3.1 The Comparable Interface 103
- 3.3.2 The Comparator Interface 104

117

3.3.3 The Runnable Interface 105 3.3.4 User Interface Callbacks 106 3.4 107 Lambda Expressions 3.4.1The Syntax of Lambda Expressions 107 342 Functional Interfaces 109 3.5 Method and Constructor References 110 3.5.1 Method References 110 3.5.2 **Constructor References** 111 3.6 112 Processing Lambda Expressions 3.6.1 Implementing Deferred Execution 112 3.6.2 Choosing a Functional Interface 113 3.6.3 Implementing Your Own Functional Interfaces 115 3.7 Lambda Expressions and Variable Scope 116 3.7.1 Scope of a Lambda Expression 116 3.7.2 Accessing Variables from the Enclosing Scope 3.8 **Higher-Order Functions** 120 3.8.1 Methods that Return Functions 120 3.8.2 Methods That Modify Functions 120 3.8.3 Comparator Methods 121 3.9 Local Inner Classes 122 3.9.1 Local Classes 122 3.9.2 Anonymous Classes 123 Exercises 124 INHERITANCE AND REFLECTION 127 4.1 Extending a Class 128 4.1.1Super- and Subclasses 128

- 4.1.2 Defining and Inheriting Subclass Methods 129
- 4.1.3 Method Overriding 129
- 4.1.4 Subclass Construction 131
- 4.1.5 Superclass Assignments 131
- 4.1.6 Casts 132

4

- Final Methods and Classes 133 4.1.7
- Abstract Methods and Classes 133 4.1.8

5

- 4.1.9 Protected Access 134
- 4.1.10 Anonymous Subclasses 135
- 4.1.11 Inheritance and Default Methods 136
- 4.1.12 Method Expressions with super 137
- 4.2 Object: The Cosmic Superclass 137
 - 4.2.1 The toString Method 138
 - 4.2.2 The equals Method 140
 - 4.2.3 The hashCode Method 143
 - 4.2.4 Cloning Objects 144

4.3 Enumerations 147

- 4.3.1 Methods of Enumerations 147
- 4.3.2 Constructors, Methods, and Fields 149
- 4.3.3 Bodies of Instances 149
- 4.3.4 Static Members 150
- 4.3.5 Switching on an Enumeration 151
- 4.4 Runtime Type Information and Resources 151
 - 4.4.1 The Class Class 152
 - 4.4.2 Loading Resources 155
 - 4.4.3 Class Loaders 155
 - 4.4.4 The Context Class Loader 157
 - 4.4.5 Service Loaders 159

4.5 Reflection 160

- 4.5.1 Enumerating Class Members 160
- 4.5.2 Inspecting Objects 161
- 4.5.3 Invoking Methods 162
- 4.5.4 Constructing Objects 163
- 4.5.5 JavaBeans 164
- 4.5.6 Working with Arrays 165
- 4.5.7 Proxies 167
- Exercises 169

EXCEPTIONS, ASSERTIONS, AND LOGGING 173

- 5.1 Exception Handling 174
 - 5.1.1 Throwing Exceptions 174

- 5.1.2 The Exception Hierarchy 175
- 5.1.3 Declaring Checked Exceptions 177
- 5.1.4 Catching Exceptions 178
- 5.1.5 The Try-with-Resources Statement 179
- 5.1.6 The finally Clause 181
- 5.1.7 Rethrowing and Chaining Exceptions 182
- 5.1.8 The Stack Trace 184
- 5.1.9 The Objects.requireNonNull Method 185
- 5.2 Assertions 185
 - 5.2.1 Using Assertions 185
 - 5.2.2 Enabling and Disabling Assertions 186
- 5.3 Logging 187
 - 5.3.1 Using Loggers 187
 - 5.3.2 Loggers 187
 - 5.3.3 Logging Levels 188
 - 5.3.4 Other Logging Methods 189
 - 5.3.5 Logging Configuration 190
 - 5.3.6 Log Handlers 191
 - 5.3.7 Filters and Formatters 194
 - Exercises 194

6 GENERIC PROGRAMMING 199

- 6.1 Generic Classes 200
- 6.2 Generic Methods 201
- 6.3 Type Bounds 202
- 6.4 Type Variance and Wildcards 203
 - 6.4.1 Subtype Wildcards 204
 - 6.4.2 Supertype Wildcards 205
 - 6.4.3 Wildcards with Type Variables 206
 - 6.4.4 Unbounded Wildcards 207
 - 6.4.5 Wildcard Capture 208
- 6.5 Generics in the Java Virtual Machine 208
 - 6.5.1 Type Erasure 209

- 6.5.2 Cast Insertion 209
- 6.5.3 Bridge Methods 210
- 6.6 Restrictions on Generics 211
 - 6.6.1 No Primitive Type Arguments 212
 - 6.6.2 At Runtime, All Types Are Raw 212
 - 6.6.3 You Cannot Instantiate Type Variables 213
 - 6.6.4 You Cannot Construct Arrays of Parameterized Types 215
 - 6.6.5 Class Type Variables Are Not Valid in Static Contexts 216
 - 6.6.6 Methods May Not Clash after Erasure 216
 - 6.6.7 Exceptions and Generics 217
- 6.7 Reflection and Generics 218
 - 6.7.1 The Class<T> Class 219
 - 6.7.2 Generic Type Information in the Virtual Machine 220 Exercises 221
- 7 collections 227
 - 7.1 An Overview of the Collections Framework 228
 - 7.2 Iterators 232
 - 7.3 Sets 233
 - 7.4 Maps 235
 - 7.5 Other Collections 238
 - 7.5.1 Properties 238
 - 7.5.2 Bit Sets 240
 - 7.5.3 Enumeration Sets and Maps 241
 - 7.5.4 Stacks, Queues, Deques, and Priority Queues 242
 - 7.5.5 Weak Hash Maps 243
 - 7.6 Views 244
 - 7.6.1 Ranges 244
 - 7.6.2 Empty and Singleton Views 244
 - 7.6.3 Unmodifiable Views 245
 - Exercises 246

8 STREAMS 249

- 8.1 From Iterating to Stream Operations 250
- 8.2 Stream Creation 251
- 8.3 The filter, map, and flatMap Methods 252
- 8.4 Extracting Substreams and Combining Streams 254
- 8.5 Other Stream Transformations 254
- 8.6 Simple Reductions 255
- 8.7 The Optional Type 256
 - 8.7.1 How to Work with Optional Values 256
 - 8.7.2 How Not to Work with Optional Values 257
 - 8.7.3 Creating Optional Values 258
 - 8.7.4 Composing Optional Value Functions with flatMap 258
- 8.8 Collecting Results 259
- 8.9 Collecting into Maps 260
- 8.10 Grouping and Partitioning 262
- 8.11 Downstream Collectors 262
- 8.12 Reduction Operations 264
- 8.13 Primitive Type Streams 266
- 8.14 Parallel Streams 267 Exercises 269

9 PROCESSING INPUT AND OUTPUT 273

- 9.1 Input/Output Streams, Readers, and Writers 274
 - 9.1.1 Obtaining Streams 274
 - 9.1.2 Reading Bytes 275
 - 9.1.3 Writing Bytes 276
 - 9.1.4 Character Encodings 276
 - 9.1.5 Text Input 279
 - 9.1.6 Text Output 280
 - 9.1.7 Reading and Writing Binary Data 281
 - 9.1.8 Random-Access Files 282
 - 9.1.9 Memory-Mapped Files 283
 - 9.1.10 File Locking 283

9.2 Paths, Files, and Directories 284 9.2.1 Paths 284 9.2.2 Creating Files and Directories 286 9.2.3 Copying, Moving, and Deleting Files 9.2.4 Visiting Directory Entries 288 9.2.5 ZIP File Systems 291 9.3 **URL** Connections 292 293 9.4 **Regular Expressions**

9.4.1 The Regular Expression Syntax 293

287

- 9.4.2 Finding One or All Matches 298
- 9.4.3 Groups 298
- 9.4.4 Removing or Replacing Matches 299
- 9.4.5 Flags 300
- 9.5 Serialization 301
 - 9.5.1 The Serializable Interface 301
 - 9.5.2 Transient Instance Variables 303
 - 9.5.3 The readObject and writeObject Methods 303
 - 9.5.4 The readResolve and writeReplace Methods 304
 - 9.5.5 Versioning 306
 - Exercises 307

10 CONCURRENT PROGRAMMING 311

- 10.1 Concurrent Tasks 312
 - 10.1.1 Running Tasks 312
 - 10.1.2 Futures and Executor Services 314
- 10.2 Thread Safety 317
 - 10.2.1 Visibility 317
 - 10.2.2 Race Conditions 319
 - 10.2.3 Strategies for Safe Concurrency 321
 - 10.2.4 Immutable Classes 322

10.3 Parallel Algorithms 323

- 10.3.1 Parallel Streams 323
- 10.3.2 Parallel Array Operations 324

- 10.4 Threadsafe Data Structures 324
 - 10.4.1 Concurrent Hash Maps 325
 - 10.4.2 Blocking Queues 326
 - 10.4.3 Other Threadsafe Data Structures 328
- 10.5 Atomic Values 329
- 10.6 Locks 331
 - 10.6.1 Reentrant Locks 331
 - 10.6.2 The synchronized Keyword 333
 - 10.6.3 Waiting on Conditions 335

10.7 Threads 337

- 10.7.1 Starting a Thread 337
- 10.7.2 Thread Interruption 338
- 10.7.3 Thread-Local Variables 339
- 10.7.4 Miscellaneous Thread Properties 340
- 10.8 Asynchronous Computations 341
 - 10.8.1 Long-Running Tasks in User Interface Callbacks 341
 - 10.8.2 Completable Futures 342

10.9 Processes 345

- 10.9.1 Building a Process 345
- 10.9.2 Running a Process 347
- Exercises 348

11 ANNOTATIONS 355

- 11.1 Using Annotations 356
 - 11.1.1 Annotation Elements 356
 - 11.1.2 Multiple and Repeated Annotations 358
 - 11.1.3 Annotating Declarations 358
 - 11.1.4 Annotating Type Uses 359
 - 11.1.5 Making Receivers Explicit 360
- 11.2 Defining Annotations 361
- 11.3 Standard Annotations 364
 - 11.3.1 Annotations for Compilation 365
 - 11.3.2 Annotations for Managing Resources 366
 - 11.3.3 Meta-Annotations 366

- 11.5 Source-Level Annotation Processing 371
 - 11.5.1 Annotation Processors 372
 - 11.5.2 The Language Model API 372
 - 11.5.3 Using Annotations to Generate Source Code 373Exercises 376

12 THE DATE AND TIME API 379

- 12.1 The Time Line 380
- 12.2 Local Dates 382
- 12.3 Date Adjusters 385
- 12.4 Local Time 386
- 12.5 Zoned Time 387
- 12.6 Formatting and Parsing 390
- 12.7 Interoperating with Legacy Code 393 Exercises 394

13 INTERNATIONALIZATION 397

- 13.1 Locales 398
 - 13.1.1 Specifying a Locale 399
 - 13.1.2 The Default Locale 401
 - 13.1.3 Display Names 402
- 13.2 Number Formats 403
- 13.3 Currencies 403
- 13.4 Date and Time Formatting 404
- 13.5 Collation and Normalization 406
- 13.6 Message Formatting 408
- 13.7 Resource Bundles 410
 - 13.7.1 Organizing Resource Bundles 410
 - 13.7.2 Bundle Classes 412
- 13.8 Character Encodings 413

13.9 Preferences 413 Exercises 415

14 COMPILING AND SCRIPTING 419

- 14.1 The Compiler API 420
 - 14.1.1 Invoking the Compiler 420
 - 14.1.2 Launching a Compilation Task 420
 - 14.1.3 Reading Source Files from Memory 421
 - 14.1.4 Writing Byte Codes to Memory 422
 - 14.1.5 Capturing Diagnostics 423
- 14.2 The Scripting API 424
 - 14.2.1 Getting a Scripting Engine 424
 - 14.2.2 Bindings 425
 - 14.2.3 Redirecting Input and Output 426
 - 14.2.4 Calling Scripting Functions and Methods 426
 - 14.2.5 Compiling a Script 428
- 14.3 The Nashorn Scripting Engine 428
 - 14.3.1 Running Nashorn from the Command Line 429
 - 14.3.2 Invoking Getters, Setters, and Overloaded Methods 430
 - 14.3.3 Constructing Java Objects 431
 - 14.3.4 Strings in JavaScript and Java 432
 - 14.3.5 Numbers 432
 - 14.3.6 Working with Arrays 433
 - 14.3.7 Lists and Maps 434
 - 14.3.8 Lambdas 435
 - 14.3.9 Extending Java Classes and Implementing Java Interfaces 435
 - 14.3.10 Exceptions 437
- 14.4 Shell Scripting with Nashorn 437
 - 14.4.1 Executing Shell Commands 438
 - 14.4.2 String Interpolation 438
 - 14.4.3 Script Inputs 439

Exercises 440

Index 443

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Preface

Java is now about twenty years old, and the classic book, *Core Java*TM, covers, in meticulous detail, not just the language but all core libraries and a multitude of changes between versions, spanning two volumes and well over 2,000 pages. But Java 8 changes everything. Many of the old Java idioms are no longer required, and there is a much faster, easier pathway for learning Java. In this book, I show you the "good parts" of modern Java so you can put them to work quickly.

As with my previous "Impatient" books, I quickly cut to the chase, showing you what you need to know for solving a programming problem without lecturing about the superiority of one paradigm over another. I also present the information in small chunks, organized so that you can quickly retrieve it when needed.

Assuming you are proficient in some other programming language, such as C++, JavaScript, Objective C, PHP, or Ruby, with this book you will learn how to become a competent Java programmer. I cover all aspects of Java that a developer needs to know, including the powerful lambda expressions and streams that were introduced in Java 8. I tell you where to find out more about old-fashioned concepts that you might still see in legacy code, but I don't dwell on them.

A key reason to use Java is to tackle concurrent programming. With parallel algorithms and threadsafe data structures readily available in the Java library, the way application programmers should handle concurrent programming has completely changed. I provide fresh coverage, showing you how to use the powerful library features instead of error-prone, low-level constructs. Traditional books on Java are focused on user interface programming—but nowadays, few developers produce user interfaces on desktop computers. If you intend to use Java for server-side programming or Android programming, you will be able to use this book effectively without being distracted by desktop GUI code.

Finally, this book is written for application programmers, not for a college course and not for systems wizards. The book covers issues that application programmers need to wrestle with, such as logging and working with files—but you won't learn how to implement a linked list by hand or how to write a web server.

I hope you enjoy this rapid-fire introduction into modern Java, and I hope it will make your work with Java productive and enjoyable.

If you find errors or have suggestions for improvement, please visit http://horstmann.com/javaimpatient and leave a comment. On that page, you will also find a link to an archive file containing all code examples from the book.

Acknowledgments

My thanks go, as always, to my editor Greg Doench, who enthusiastically supported the vision of a short book that gives a fresh introduction to Java 8. Dmitry Kirsanov and Alina Kirsanova once again turned an XHTML manuscript into an attractive book with amazing speed and attention to detail. My special gratitude goes to the excellent team of reviewers who spotted many errors and gave thoughtful suggestions for improvement. They are: Andres Almiray, Brian Goetz, Marty Hall, Mark Lawrence, Doug Lea, Simon Ritter, Yoshiki Shibata, and Christian Ullenboom.

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About the Author

Cay S. Horstmann is the author of *Java SE 8 for the Really Impatient* and *Scala for the Impatient* (both from Addison-Wesley), is principal author of *Core Java*TM, *Volumes I and II, Ninth Edition* (Prentice Hall, 2013), and has written a dozen other books for professional programmers and computer science students. He is a professor of computer science at San Jose State University and is a Java Champion.

Chapter

3

Java was designed as an object-oriented programming language in the 1990s when object-oriented programming was the principal paradigm for software development. Interfaces are a key feature of object-oriented programming: They let you specify what should be done, without having to provide an implementation.

Long before there was object-oriented programming, there were functional programming languages, such as Lisp, in which functions and not objects are the primary structuring mechanism. Recently, functional programming has risen in importance because it is well suited for concurrent and event-driven (or "reactive") programming. Java supports function expressions that provide a convenient bridge between object-oriented and functional programming. In this chapter, you will learn about interfaces and lambda expressions.

The key points of this chapter are:

- An interface specifies a set of methods that an implementing class must provide.
- An interface is a supertype of any class that implements it. Therefore, one can assign instances of the class to variables of the interface type.
- An interface can contain static methods. All variables of an interface are automatically static and final.
- An interface can contain default methods that an implementing class can inherit or override.

- The Comparable and Comparator interfaces are used for comparing objects.
- A lambda expression denotes a block of code that can be executed at a later point in time.
- Lambda expressions are converted to functional interfaces.
- Method and constructor references refer to methods or constructors without invoking them.
- Lambda expressions and local inner classes can access effectively final variables from the enclosing scope.

3.1 Interfaces

An *interface* is a mechanism for spelling out a contract between two parties: the supplier of a service and the classes that want their objects to be usable with the service. In the following sections, you will see how to define and use interfaces in Java.

3.1.1 Declaring an Interface

Consider a service that works on sequences of integers, reporting the average of the first n values:

```
public static double average(IntSequence seq, int n)
```

Such sequences can take many forms. Here are some examples:

- A sequence of integers supplied by a user
- A sequence of random integers
- The sequence of prime numbers
- The sequence of elements in an integer array
- The sequence of code points in a string
- The sequence of digits in a number

We want to implement *a single mechanism* for deal with all these kinds of sequences.

First, let us spell out what is common between integer sequences. At a minimum, one needs two methods for working with a sequence:

- Test whether there is a next element
- Get the next element

To declare an interface, you provide the method headers, like this:

```
public interface IntSequence {
    boolean hasNext();
    int next();
}
```

Ì

You need not implement these methods, but you can provide default implementations if you like—see Section 3.2.2, "Default Methods," on p. 100. If no implementation is provided, we say that the method is *abstract*.

NOTE: All methods of an interface are automatically public. Therefore, it is not necessary to declare hasNext and next as public. Some programmers do it anyway for greater clarity.

The methods in the interface suffice to implement the average method:

```
public static double average(IntSequence seq, int n) {
    int count = 0;
    double sum = 0;
    while (seq.hasNext() && count < n) {
        count++;
        sum += seq.next();
    }
    return count == 0 ? 0 : sum / count;
}</pre>
```

3.1.2 Implementing an Interface

Now let's look at the other side of the coin: the classes that want to be usable with the average method. They need to *implement* the IntSequence interface. Here is such a class:

```
public class SquareSequence implements IntSequence {
    private int i;
    public boolean hasNext() {
        return true;
    }
    public int next() {
        i++;
        return i * i;
    }
}
```

There are infinitely many squares, and an object of this class delivers them all, one at a time.

The implements keyword indicates that the SquareSequence class intends to conform to the IntSequence interface.



CAUTION: The implementing class must declare the methods of the interface as public. Otherwise, they would default to package access. Since the interface requires public access, the compiler would report an error.

This code get the average of the first 100 squares:

```
SquareSequence squares = new SquareSequence();
double avg = average(squares, 100);
```

There are many classes that can implement the IntSequence interface. For example, this class yields a finite sequence, namely the digits of a positive integer starting with the least significant one:

```
public class DigitSequence implements IntSequence {
    private int number;
    public DigitSequence(int n) {
        number = n;
    }
    public boolean hasNext() {
        return number != 0;
    }
    public int next() {
        int result = number % 10;
        number /= 10;
        return result;
    }
    public int rest() {
        return number;
    }
}
```

An object new DigitSequence(1729) delivers the digits 9 2 7 1 before hasNext returns false.

NOTE: The SquareSequence and DigitSequence classes implement all methods of the IntSequence interface. If a class only implements some of the methods, then it must be declared with the abstract modifier. See Chapter 4 for more information on abstract classes.

3.1.3 Converting to an Interface Type

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This code fragment computes the average of the digit sequence values:

Look at the digits variable. Its type is IntSequence, not DigitSequence. A variable of type IntSequence refers to an object of some class that implements the IntSequence interface. You can always assign an object to a variable whose type is an implemented interface, or pass it to a method expecting such an interface.

Here is a bit of useful terminology. A type S is a *supertype* of the type T (the *subtype*) when any value of the subtype can be assigned to a variable of the supertype without a conversion. For example, the IntSequence interface is a supertype of the DigitSequence class.

NOTE: Even though it is possible to declare variables of an interface type, you can never have an object whose type is an interface. All objects are instances of classes.

3.1.4 Casts and the instanceof Operator

Occasionally, you need the opposite conversion—from a supertype to a subtype. Then you use a *cast*. For example, if you happen to know that the object stored in an IntSequence is actually a DigitSequence, you can convert the type like this:

```
IntSequence sequence = ...;
DigitSequence digits = (DigitSequence) sequence;
System.out.println(digits.rest());
```

In this scenario, the cast was necessary because rest is a method of DigitSequence but not IntSequence.

See Exercise 2 for a more compelling example.

You can only cast an object to its actual class or one of its supertypes. If you are wrong, a compile-time error or class cast exception will occur:

```
String digitString = (String) sequence;
```

// Cannot possibly work—IntSequence is not a supertype of String

```
RandomSequence randoms = (RandomSequence) sequence;
```

// Could work, throws a class cast exception if not

To avoid the exception, you can first test whether the object is of the desired type, using the instanceof operator. The expression

```
object instanceof Type
```

returns true if *object* is an instance of a class that has *Type* as a supertype. It is a good idea to make this check before using a cast.

```
if (sequence instanceof DigitSequence) {
   DigitSequence digits = (DigitSequence) sequence;
   ...
}
```

3.1.5 Extending Interfaces

An interface can *extend* another, providing additional methods on top of the original ones. For example, Closeable is an interface with a single method:

```
public interface Closeable {
    void close();
}
```

As you will see in Chapter 5, this is an important interface for closing resources when an exception occurs.

The Channel interface extends this interface:

```
public interface Channel extends Closeable {
    boolean isOpen();
}
```

A class that implements the Channel interface must provide both methods, and its objects can be converted to both interface types.

3.1.6 Implementing Multiple Interfaces

A class can implement any number of interfaces. For example, a FileSequence class that reads integers from a file can implement the Closeable interface in addition to IntSequence:

```
public class FileSequence implements IntSequence, Closeable {
    ...
}
```

Then the FileSequence class has both IntSequence and Closeable as supertypes.

3.1.7 Constants

Any variable defined in an interface is automatically public static final.

For example, the SwingConstants interface defines constants for compass directions:

```
public interface SwingConstants {
    int NORTH = 1;
    int NORTH_EAST = 2;
    int EAST = 3;
    ...
}
```

You can refer to them by their qualified name, SwingConstants.NORTH. If your class chooses to implement the SwingConstants interface, you can drop the SwingConstants qualifier and simply write NORTH. However, this is not a common idiom. It is far better to use enumerations for a set of constants; see Chapter 4.

NOTE: You cannot have instance variables in an interface. An interface specifies behavior, not object state.

3.2 Static and Default Methods

In earlier versions of Java, all methods of an interface had to be abstract—that is, without a body. Nowadays you can add two kinds of methods with a concrete implementation: static and default methods. The following sections describe these methods.

3.2.1 Static Methods

There was never a technical reason why an interface could not have static methods, but they did not fit into the view of interfaces as abstract specifications. That thinking has now evolved. In particular, factory methods make a lot of sense in interfaces. For example, the IntSequence interface can have a static method digitsOf that generates a sequence of digits of a given integer:

```
IntSequence digits = IntSequence.digitsOf(1729);
```

The method yields an instance of some class implementing the IntSequence interface, but the caller need not care which one it is.

```
public interface IntSequence {
    ...
    public static IntSequence digitsOf(int n) {
        return new DigitSequence(n);
    }
}
```

NOTE: In the past, it had been common to place static methods in a companion class. You find pairs of interfaces and utility classes, such as Collection/Collections or Path/Paths, in the standard library. This split is no longer necessary.

3.2.2 Default Methods

You can supply a *default* implementation for any interface method. You must tag such a method with the default modifier.

```
public interface IntSequence {
    default boolean hasNext() { return true; }
        // By default, sequences are infinite
        int next();
}
```

A class implementing this interface can choose to override the hasNext method or to inherit the default implementation.



NOTE: Default methods put an end to the classic pattern of providing an interface and a companion class that implements most or all of its methods, such as Collection/AbstractCollection or WindowListener/WindowAdapter in the Java API. Nowadays you should just implement the methods in the interface.

An important use for default methods is *interface evolution*. Consider for example the Collection interface that has been a part of Java for many years. Suppose that way back when, you provided a class

```
public class Bag implements Collection
```

Later, in Java 8, a stream method was added to the interface.

Suppose the stream method was not a default method. Then the Bag class no longer compiles since it doesn't implement the new method. Adding a nondefault method to an interface is not *source-compatible*.

But suppose you don't recompile the class and simply use an old JAR file containing it. The class will still load, even with the missing method. Programs can still construct Bag instances, and nothing bad will happen. (Adding a method to an interface is *binary-compatible*.) However, if a program calls the stream method on a Bag instance, an AbstractMethodError occurs.

Making the method a default method solves both problems. The Bag class will again compile. And if the class is loaded without being recompiled and the stream method is invoked on a Bag instance, the Collection.stream method is called.

3.2.3 Resolving Default Method Conflicts

If a class implements two interfaces, one of which has a default method and the other a method (default or not) with the same name and parameter types, then you must resolve the conflict. This doesn't happen very often, and it is usually easy to deal with the situation.

Let's look at an example. Suppose we have an interface Person with a getId method:

```
public interface Person {
    String getName();
    default int getId() { return 0; }
}
```

And suppose there is an interface Identified, also with such a method.

```
public interface Identified {
    default int getId() { return Math.abs(hashCode()); }
}
```

You will see what the hashCode method does in Chapter 4. For now, all that matters is that it returns some integer that is derived from the object.

What happens if you form a class that implements both of them?

```
public class Employee implements Person, Identified {
    ...
}
```

The class inherits two getId methods provided by the Person and Identified interfaces. There is no way for the Java compiler to choose one over the other. The compiler reports an error and leaves it up to you to resolve the ambiguity. Provide a getId method in the Employee class and either implement your own ID scheme, or delegate to one of the conflicting methods, like this:

```
public class Employee implements Person, Identified {
    public int getId() { return Identified.super.getId(); }
    ...
}
```

NOTE: The super keyword lets you call a supertype method. In this case, we need to specify which supertype we want. The syntax may seem a bit odd, but it is consistent with the syntax for invoking a superclass method that you will see in Chapter 4.

Now assume that the Identified interface does not provide a default implementation for getId:

```
interface Identified {
    int getId();
}
```

Can the Employee class inherit the default method from the Person interface? At first glance, this might seem reasonable. But how does the compiler know whether the Person.getId method actually does what Identified.getId is expected to do? After all, it might return the level of the person's Freudian id, not an ID number.

The Java designers decided in favor of safety and uniformity. It doesn't matter how two interfaces conflict; if at least one interface provides an implementation, the compiler reports an error, and it is up to the programmer to resolve the ambiguity.

Ú.

NOTE: If neither interface provides a default for a shared method, then there is no conflict. An implementing class has two choices: implement the method, or leave it unimplemented and declare the class as abstract.



NOTE: If a class extends a superclass (see Chapter 4) and implements an interface, inheriting the same method from both, the rules are easier. In that case, only the superclass method matters, and any default method from the interface is simply ignored. This is actually a more common case than conflicting interfaces. See Chapter 4 for the details.

3.3 Examples of Interfaces

At first glance, interfaces don't seem to do very much. An interface is just a set of methods that a class promises to implement. To make the importance of interfaces more tangible, the following sections show you four examples of commonly used interfaces from the standard Java library.
3.3.1 The Comparable Interface

Suppose you want to sort an array of objects. A sorting algorithm repeatedly compares elements and rearranges them if they are out of order. Of course, the rules for doing the comparison are different for each class, and the sorting algorithm should just call a method supplied by the class. As long as all classes can agree on what that method is called, the sorting algorithm can do its job. That is where interfaces come in.

If a class wants to enable sorting for its objects, it should implement the Comparable interface. There is a technical point about this interface. We want to compare strings against strings, employees against employees, and so on. For that reason, the Comparable interface has a type parameter.

```
public interface Comparable<T> {
    int compareTo(T other);
}
```

For example, the String class implements Comparable<String> so that its compareTo method has the signature

int compareTo(String other)

NOTE: A type with a type parameter such as Comparable or ArrayList is a *generic* type. You will learn all about generic types in Chapter 6.

When calling x.compareTo(y), the compareTo method returns an integer value to indicate whether x or y should come first. A positive return value (not necessarily 1) indicates that x should come after y. A negative integer (not necessarily -1) is returned when x should come before y. If x and y are considered equal, the returned value is 0.

Note that the return value can be any integer. That flexibility is useful because it allows you to return a difference of non-negative integers.

```
public class Employee implements Comparable<Employee> {
    ...
    public int compareTo(Employee other) {
        return getId() - other.getId(); // Ok if IDs always ≥ 0
    }
}
```

CAUTION: Returning a difference of integers does not work if the integers can be negative. Then the difference can overflow for large operands of opposite sign. In that case, use the Integer.compare method that works correctly for all integers.

When comparing floating-point values, you cannot just return the difference. Instead, use the static Double.compare method. It does the right thing, even for $\pm \infty$ and NaN.

Here is how the Employee class can implement the Comparable interface, ordering employees by salary:

```
public class Employee implements Comparable<Employee> {
    ...
    public int compareTo(Employee other) {
        return Double.compare(salary, other.salary);
    }
}
```

NOTE: It is perfectly legal for the compare method to access other.salary. In Java, a method can access private features of *any* object of its class.

The String class, as well as over a hundred other classes in the Java library, implements the Comparable interface. You can use the Arrays.sort method to sort an array of Comparable objects:

```
String[] friends = { "Peter", "Paul", "Mary" };
Arrays.sort(friends); // friends is now ["Mary", "Paul", "Peter"]
```



NOTE: Strangely, the Arrays.sort method does not check at compile time whether the argument is an array of Comparable objects. Instead, it throws an exception if it encounters an element of a class that doesn't implement the Comparable interface.

3.3.2 The Comparator Interface

Now suppose we want to sort strings by increasing length, not in dictionary order. We can't have the String class implement the compareTo method in two ways—and at any rate, the String class isn't ours to modify.

To deal with this situation, there is a second version of the Arrays.sort method whose parameters are an array and a *comparator*—an instance of a class that implements the Comparator interface.

```
public interface Comparator<T> {
    int compare(T first, T second);
}
```

To compare strings by length, define a class that implements Comparator<String>:

```
class LengthComparator implements Comparator<String> {
    public int compare(String first, String second) {
        return first.length() - second.length();
    }
}
```

To actually do the comparison, you need to make an instance:

```
Comparator<String> comp = new LengthComparator();
if (comp.compare(words[i], words[i]) > 0) ...
```

Contrast this call with words[i].compareTo(words[j]). The compare method is called on the comparator object, not the string itself.



NOTE: Even though the LengthComparator object has no state, you still need to make an instance of it. You need the instance to call the compare method—it is not a static method.

To sort an array, pass a LengthComparator object to the Arrays.sort method:

```
String[] friends = { "Peter", "Paul", "Mary" };
Arrays.sort(friends, new LengthComparator());
```

Now the array is either ["Paul", "Mary", "Peter"] or ["Mary", "Paul", "Peter"].

You will see in Section 3.4.2, "Functional Interfaces," on p. 109 how to use a Comparator much more easily, using a lambda expression.

3.3.3 The Runnable Interface

At a time when just about every processor has multiple cores, you want to keep those cores busy. You may want to run certain tasks in a separate thread, or give them to a thread pool for execution. To define the task, you implement the Runnable interface. This interface has just one method.

```
class HelloTask implements Runnable {
   public void run() {
     for (int i = 0; i < 1000; i++) {
        System.out.println("Hello, World!");
     }
   }
}</pre>
```

If you want to execute this task in a new thread, create the thread from the Runnable and start it.

```
Runnable task = new HelloTask();
Thread thread = new Thread(task);
thread.start();
```

Now the run method executes in a separate thread, and the current thread can proceed with other work.

NOTE: In Chapter 10, you will see other ways of executing a Runnable.



NOTE: There is also a Callable<T> interface for tasks that return a result of type T.

3.3.4 User Interface Callbacks

In a graphical user interface, you have to specify actions to be carried out when the user clicks a button, selects a menu option, drags a slider, and so on. These actions are often called *callbacks* because some code gets called back when a user action occurs.

In Java-based GUI libraries, interfaces are used for callbacks. For example, in JavaFX, the following interface is used for reporting events:

```
public interface EventHandler<T> {
    void handle(T event);
}
```

This too is a generic interface where T is the type of event that is being reported, such as an ActionEvent for a button click.

To specify the action, implement the interface:

```
class CancelAction implements EventHandler<ActionEvent> {
    public void handle(ActionEvent event) {
        System.out.println("Oh noes!");
    }
}
```

Then, make an object of that class and add it to the button:

```
Button cancelButton = new Button("Cancel");
cancelButton.setOnAction(new CancelAction());
```

NOTE: Since Oracle positions JavaFX as the successor to the Swing GUI toolkit, I use JavaFX in these examples. (Don't worry—you need not know any more about JavaFX than the couple of statements you just saw.) The details don't matter; in every user interface toolkit, be it Swing, JavaFX, or Android, you give a button some code that you want to run when the button is clicked.

Of course, this way of defining a button action is rather tedious. In other languages, you just give the button a function to execute, without going through the detour of making a class and instantiating it. The next section shows how you can do the same in Java.

3.4 Lambda Expressions

A "lambda expression" is a block of code that you can pass around so it can be executed later, once or multiple times. In the preceding sections, you have seen many situations where it is useful to specify such a block of code:

- To pass a comparison method to Arrays.sort
- To run a task in a separate thread
- To specify an action that should happen when a button is clicked

However, Java is an object-oriented language where (just about) everything is an object. There are no function types in Java. Instead, functions are expressed as objects, instances of classes that implement a particular interface. Lambda expressions give you a convenient syntax for creating such instances.

3.4.1 The Syntax of Lambda Expressions

Consider again the sorting example from Section 3.3.2, "The Comparator Interface," on p. 104. We pass code that checks whether one string is shorter than another. We compute

first.length() - second.length()

What are first and second? They are both strings. Java is a strongly typed language, and we must specify that as well:

(String first, String second) -> first.length() - second.length()

You have just seen your first *lambda expression*. Such an expression is simply a block of code, together with the specification of any variables that must be passed to the code.

Why the name? Many years ago, before there were any computers, the logician Alonzo Church wanted to formalize what it means for a mathematical function to be effectively computable. (Curiously, there are functions that are known to exist, but nobody knows how to compute their values.) He used the Greek letter lambda (λ) to mark parameters, somewhat like

```
λfirst. λsecond. first.length() - second.length()
```



NOTE: Why the letter λ ? Did Church run out of letters of the alphabet? Actually, the venerable *Principia Mathematica* (see http://plato.stanford.edu/entries/principia-mathematica) used the ^ accent to denote function parameters, which inspired Church to use an uppercase lambda Λ . But in the end, he switched to the lowercase version. Ever since, an expression with parameter variables has been called a lambda expression.

If the body of a lambda expression carries out a computation that doesn't fit in a single expression, write it exactly like you would have written a method: enclosed in {} and with explicit return statements. For example,

```
(String first, String second) -> {
    int difference = first.length() < second.length();
    if (difference < 0) return -1;
    else if (difference > 0) return 1;
    else return 0;
}
```

If a lambda expression has no parameters, supply empty parentheses, just as with a parameterless method:

Runnable task = () -> { for (int i = 0; i < 1000; i++) doWork(); }

If the parameter types of a lambda expression can be inferred, you can omit them. For example,

```
Comparator<String> comp
= (first, second) -> first.length() - second.length();
    // Same as (String first, String second)
```

Here, the compiler can deduce that first and second must be strings because the lambda expression is assigned to a string comparator. (We will have a closer look at this assignment in the next section.)

If a method has a single parameter with inferred type, you can even omit the parentheses:

You never specify the result type of a lambda expression. However, the compiler infers it from the body and checks that it matches the expected type. For example, the expression

(String first, String second) -> first.length() - second.length()

can be used in a context where a result of type int is expected (or a compatible type such as Integer, long, or double).

3.4.2 Functional Interfaces

As you already saw, there are many interfaces in Java that express actions, such as Runnable or Comparator. Lambda expressions are compatible with these interfaces.

You can supply a lambda expression whenever an object of an interface with a *single abstract method* is expected. Such an interface is called a *functional interface*.

To demonstrate the conversion to a functional interface, consider the Arrays.sort method. Its second parameter requires an instance of Comparator, an interface with a single method. Simply supply a lambda:

```
Arrays.sort(words,
    (first, second) -> first.length() - second.length());
```

Behind the scenes, the second parameter variable of the Arrays.sort method receives an object of some class that implements Comparator<String>. Invoking the compare method on that object executes the body of the lambda expression. The management of these objects and classes is completely implementation-dependent and highly optimized.

In most programming languages that support function literals, you can declare function types such as (String, String) -> int, declare variables of those types, put functions into those variables, and invoke them. In Java, there is *only one thing* you can do with a lambda expression: put it in a variable whose type is a functional interface, so that it is converted to an instance of that interface.

NOTE: You cannot assign a lambda expression to a variable of type 0bject, the common supertype of all classes in Java (see Chapter 4). 0bject is a class, not a functional interface.

The standard library provides a large number of functional interfaces (see Section 3.6.2, "Choosing a Functional Interface," on p. 113). One of them is

```
public interface Predicate<T> {
    boolean test(T t);
    // Additional default and static methods
}
```

The ArrayList class has a removeIf method whose parameter is a Predicate. It is specifically designed to pass a lambda expression. For example, the following statement removes all null values from an array list:

list.removeIf(e -> e == null);

3.5 Method and Constructor References

Sometimes, there is already a method that carries out exactly the action that you'd like to pass on to some other code. There is special syntax for a *method reference* that is even shorter than a lambda expression calling the method. A similar shortcut exists for constructors. You will see both in the following sections.

3.5.1 Method References

Suppose you want to sort strings regardless of letter case. You could call

Arrays.sort(strings, (x, y) -> x.compareToIgnoreCase(y));

Instead, you can pass this method expression:

```
Arrays.sort(strings, String::compareToIgnoreCase);
```

The expression String::compareToIgnoreCase is a *method reference* that is equivalent to the lambda expression $(x, y) \rightarrow x.compareToIgnoreCase(y)$.

Here is another example. The Objects class defines a method isNull. The call Objects.isNull(x) simply returns the value of x == null. It seems hardly worth having a method for this case, but it was designed to be passed as a method expression. The call

```
list.removeIf(Objects::isNull);
```

removes all null values from a list.

As another example, suppose you want to print all elements of a list. The ArrayList class has a method forEach that applies a function to each element. You could call

list.forEach(x -> System.out.println(x));

It would be nicer, however, if you could just pass the println method to the forEach method. Here is how to do that:

```
list.forEach(System.out::println);
```

As you can see from these examples, the :: operator separates the method name from the name of a class or object. There are three variations:

- 1. Class::instanceMethod
- 2. Class::staticMethod

3. object::instanceMethod

In the first case, the first parameter becomes the receiver of the method, and any other parameters are passed to the method. For example, String::compareToIgnoreCase is the same as $(x, y) \rightarrow x.compareToIgnoreCase(y)$.

In the second case, all parameters are passed to the static method. The method expression Objects::isNull is equivalent to x -> Objects.isNull(x).

In the third case, the method is invoked on the given object, and the parameters are passed to the instance method. Therefore, System.out::println is equivalent to x -> System.out.println(x).

NOTE: When there are multiple overloaded methods with the same name, the compiler will try to find from the context which one you mean. For example, there are multiple versions of the println method. When passed to the forEach method of an ArrayList<String>, the println(String) method is picked.

You can capture the this parameter in a method reference. For example, this::equals is the same as $x \rightarrow this.equals(x)$.

NOTE: In an inner class, you can capture the this reference of an enclosing class as *EnclosingClass*.this::*method*.You can also capture super—see Chapter 4.

3.5.2 Constructor References

Constructor references are just like method references, except that the name of the method is new. For example, Employee::new is a reference to an Employee constructor. If the class has more than one constructor, then it depends on the context which constructor is chosen.

Here is an example for using such a constructor reference. Suppose you have a list of strings

List<String> names = ...;

You want a list of employees, one for each name. As you will see in Chapter 8, you can use streams to do this without a loop: Turn the list into a stream, and then call the map method. It applies a function and collects all results.

```
Stream<Employee> stream = names.stream().map(Employee::new);
```

Since names.stream() contains String objects, the compiler knows that Employee::new refers to the constructor Employee(String).

You can form constructor references with array types. For example, int[]::new is a constructor reference with one parameter: the length of the array. It is equivalent to the lambda expression n -> new int[n].

Array constructor references are useful to overcome a limitation of Java: It is not possible to construct an array of a generic type. (See Chapter 6 for details.) For that reason, methods such Stream.toArray return an Object array, not an array of the element type:

```
Object[] employees = stream.toArray();
```

But that is unsatisfactory. The user wants an array of employees, not objects. To solve this problem, another version of toArray accepts a constructor reference:

```
Employee[] buttons = stream.toArray(Employee[]::new);
```

The toArray method invokes this constructor to obtain an array of the correct type. Then it fills and returns the array.

3.6 Processing Lambda Expressions

Up to now, you have seen how to produce lambda expressions and pass them to a method that expects a functional interface. In the following sections, you will see how to write your own methods that can consume lambda expressions.

3.6.1 Implementing Deferred Execution

The point of using lambdas is *deferred execution*. After all, if you wanted to execute some code right now, you'd do that, without wrapping it inside a lambda. There are many reasons for executing code later, such as:

- Running the code in a separate thread
- Running the code multiple times
- Running the code at the right point in an algorithm (for example, the comparison operation in sorting)
- Running the code when something happens (a button was clicked, data has arrived, and so on)
- Running the code only when necessary

Let's look at a simple example. Suppose you want to repeat an action n times. The action and the count are passed to a repeat method:

```
repeat(10, () -> System.out.println("Hello, World!"));
```

To accept the lambda, we need to pick (or, in rare cases, provide) a functional interface. In this case, we can just use Runnable:

```
public static void repeat(int n, Runnable action) {
   for (int i = 0; i < n; i++) action.run();
}</pre>
```

Note that the body of the lambda expression is executed when action.run() is called.

Now let's make this example a bit more sophisticated. We want to tell the action in which iteration it occurs. For that, we need to pick a functional interface that has a method with an int parameter and a void return. Instead of rolling your own, I strongly recommend that you use one of the standard ones described in the next section. The standard interface for processing int values is

```
public interface IntConsumer {
    void accept(int value);
}
```

Here is the improved version of the repeat method:

```
public static void repeat(int n, IntConsumer action) {
    for (int i = 0; i < n; i++) action.accept(i);
}</pre>
```

And here is how you call it:

repeat(10, i -> System.out.println("Countdown: " + (9 - i)));

3.6.2 Choosing a Functional Interface

In most functional programming languages, function types are *structural*. To specify a function that maps two strings to an integer, you use a type that looks something like Function2<String, String, Integer> or (String, String) -> int. In Java, you instead declare the intent of the function using a functional interface such as Comparator<String>. In the theory of programming languages this is called *nominal* typing.

Of course, there are many situations where you want to accept "any function" without particular semantics. There are a number of generic function types for that purpose (see Table 3–1), and it's a very good idea to use one of them when you can.

Functional Interface	Parameter types	Return type	Abstract method name	Description	Other methods
Runnable	none	void	run	Runs an action without arguments or return value	
Supplier <t></t>	none	Т	get	Supplies a value of type T	
Consumer <t></t>	Т	void	accept	Consumes a value of type T	andThen
BiConsumer <t, u=""></t,>	Τ, U	void	accept	Consumes values of types T and U	andThen
Function <t, r=""></t,>	T	R	apply	A function with argument of type T	compose, andThen, identity
BiFunction <t, r="" u,=""></t,>	Τ, U	R	apply	A function with arguments of types ⊺ and ⊍	andThen
UnaryOperator <t></t>	T	Т	apply	A unary operator on the type ⊺	compose, andThen, identity
BinaryOperator <t></t>	Τ, Τ	T	арр]у	A binary operator on the type ⊺	andThen, maxBy, minBy
Predicate <t></t>	T	boolean	test	A boolean-valued function	and, or, negate, isEqual
BiPredicate <t, u=""></t,>	Τ, U	boolean	test	A boolean-valued function with two arguments	and, or, negate

Table 3–1 Common Functional Interfaces

For example, suppose you write a method to process files that match a certain criterion. Should you use the descriptive java.io.FileFilter class or a Predicate<File>? I strongly recommend that you use the standard Predicate<File>. The only reason not to do so would be if you already have many useful methods producing FileFilter instances.

NOTE: Most of the standard functional interfaces have nonabstract methods for producing or combining functions. For example, Predicate.isEqual(a) is the same as a::equals, but it also works if a is null. There are default methods and, or, negate for combining predicates. For example, Predicate.isEqual(a). or(Predicate.isEqual(b)) is the same as x -> a.equals(x) || b.equals(x).

Table 3–2 lists the 34 available specializations for primitive types int, long, and double. It is a good idea to use these specializations to reduce autoboxing. For that reason, I used an IntConsumer instead of a Consumer<Integer> in the example of the preceding section.

Table 3–2 Functional Interfaces for Primitive Types *p*, *q* is int, long, double; *P*, *Q* is Int, Long, Double

Functional Interface	Parameter types	Return type	Abstract method name
BooleanSupplier	none	boolean	getAsBoolean
PSupplier	none	р	getAs P
PConsumer	р	void	accept
Obj <i>P</i> Consumer <t></t>	т, р	void	accept
PFunction <t></t>	р	Т	apply
PTo Q Function	р	9	applyAs Q
ToPFunction <t></t>	Т	р	applyAs P
ToPBiFunction <t, u=""></t,>	Τ, U	р	applyAs P
PUnaryOperator	р	р	applyAs P
PBinaryOperator	<i>p</i> , <i>p</i>	р	applyAs P
PPredicate	р	boolean	test

3.6.3 Implementing Your Own Functional Interfaces

Ever so often, you will be in a situation where none of the standard functional interfaces work for you. Then you need to roll your own.

Suppose you want to fill an image with color patterns, where the user supplies a function yielding the color for each pixel. There is no standard type for a mapping (int, int) -> Color. You could use BiFunction<Integer, Integer, Color>, but that involves autoboxing.

In this case, it makes sense to define a new interface

```
@FunctionalInterface
public interface PixelFunction {
    Color apply(int x, int y);
}
```



NOTE: You should tag functional interfaces with the @FunctionalInterface annotation. This has two advantages. First, the compiler checks that the annotated entity is an interface with a single abstract method. Second, the javadoc page includes a statement that your interface is a functional interface.

Now you are ready to implement a method:

```
BufferedImage createImage(int width, int height, PixelFunction f) {
   BufferedImage image = new BufferedImage(width, height,
   BufferedImage.TYPE_INT_RGB);
   for (int x = 0; x < width; x++)
      for (int y = 0; y < height; y++) {
        Color color = f.apply(x, y);
        image.setRGB(x, y, color.getRGB());
      }
   return image;
}</pre>
```

To call it, supply a lambda expression that yields a color value for two integers:

3.7 Lambda Expressions and Variable Scope

In the following sections, you will learn how variables work inside lambda expressions. This information is somewhat technical but essential for working with lambda expressions.

3.7.1 Scope of a Lambda Expression

The body of a lambda expression has *the same scope as a nested block*. The same rules for name conflicts and shadowing apply. It is illegal to declare a parameter or a local variable in the lambda that has the same name as a local variable.

```
int first = 0;
Comparator<String> comp = (first, second) -> first.length() - second.length();
    // Error: Variable first already defined
```

Inside a method, you can't have two local variables with the same name, therefore you can't introduce such variables in a lambda expression either.

As another consequence of the "same scope" rule, the this keyword in a lambda expression denotes the this parameter of the method that creates the lambda. For example, consider

```
public class Application() {
    public void doWork() {
        Runnable runner = () -> { ...; System.out.println(this.toString()); ... };
        ...
    }
}
```

The expression this.toString() calls the toString method of the Application object, *not* the Runnable instance. There is nothing special about the use of this in a lambda expression. The scope of the lambda expression is nested inside the doWork method, and this has the same meaning anywhere in that method.

3.7.2 Accessing Variables from the Enclosing Scope

Often, you want to access variables from an enclosing method or class in a lambda expression. Consider this example:

```
public static void repeatMessage(String text, int count) {
    Runnable r = () -> {
        for (int i = 0; i < count; i++) {
            System.out.println(text);
        }
    };
    new Thread(r).start();
}</pre>
```

Note that the lambda expression accesses the parameter variables defined in the enclosing scope, not in the lambda expression itself.

Consider a call

repeatMessage("Hello", 1000); // Prints Hello 1000 times in a separate thread

Now look at the variables count and text inside the lambda expression. If you think about it, something nonobvious is going on here. The code of the lambda expression may run long after the call to repeatMessage has returned and the

parameter variables are gone. How do the text and count variables stay around when the lambda expression is ready to execute?

To understand what is happening, we need to refine our understanding of a lambda expression. A lambda expression has three ingredients:

- 1. A block of code
- 2. Parameters

3. Values for the *free* variables—that is, the variables that are not parameters and not defined inside the code

In our example, the lambda expression has two free variables, text and count. The data structure representing the lambda expression must store the values for these variables—in our case, "Hello" and 1000. We say that these values have been *captured* by the lambda expression. (It's an implementation detail how that is done. For example, one can translate a lambda expression into an object with a single method, so that the values of the free variables are copied into instance variables of that object.)

NOTE: The technical term for a block of code together with the values of free variables is a *closure*. In Java, lambda expressions are closures.

As you have seen, a lambda expression can capture the value of a variable in the enclosing scope. To ensure that the captured value is well defined, there is an important restriction. In a lambda expression, you can only reference variables whose value doesn't change. This is sometimes described by saying that lambda expressions capture values, not variables. For example, the following is a compile-time error:

```
for (int i = 0; i < n; i++) {
    new Thread(() -> System.out.println(i)).start();
    // Error—cannot capture i
}
```

The lambda expression tries to capture i, but this is not legal because i changes. There is no single value to capture. The rule is that a lambda expression can only access local variables from an enclosing scope that are *effectively final*. An effectively final variable is never modified—it either is or could be declared as final.



NOTE: The same rule applies to variables captured by local inner classes (see Section 3.9, "Local Inner Classes," on p. 122). In the past, the rule was more draconian and required captured variables to actually be declared final. This is no longer the case.

NOTE: The variable of an enhanced for loop is effectively final since its scope is a single iteration. The following is perfectly legal:

A new variable arg is created in each iteration and assigned the next value from the args array. In contrast, the scope of the variable i in the preceding example was the entire loop.

As a consequence of the "effectively final" rule, a lambda expression cannot mutate any captured variables. For example,

```
public static void repeatMessage(String text, int count, int threads) {
    Runnable r = () -> {
        while (count > 0) {
            count--; // Error: Can't mutate captured variable
            System.out.println(text);
        }
    };
    for (int i = 0; i < threads; i++) new Thread(r).start();
}</pre>
```

This is actually a good thing. As you will see in Chapter 10, if two threads update count at the same time, its value is undefined.

p

NOTE: Don't count on the compiler to catch all concurrent access errors. The prohibition against mutation only holds for local variables. If count is an instance variable or static variable of an enclosing class, then no error is reported even though the result is just as undefined.



CAUTION: One can circumvent the check for inappropriate mutations by using an array of length 1:

```
int[] counter = new int[1];
button.setOnAction(event -> counter[0]++);
```

The counter variable is effectively final—it is never changed since it always refers to the same array, so you can access it in the lambda expression.

Of course, code like this is not threadsafe. Except possibly for a callback in a single-threaded UI, this is a terrible idea. You will see how to implement a threadsafe shared counter in Chapter 10.

3.8 Higher-Order Functions

In a functional programming language, functions are first-class citizens. Just like you can pass numbers to methods and have methods that produce numbers, you can have arguments and return values that are functions. Functions that process or return functions are called *higher-order functions*. This sounds abstract, but it is very useful in practice. Java is not quite a functional language because it uses functional interfaces, but the principle is the same. In the following sections, we will look at some examples and examine the higher-order functions in the Comparator interface.

3.8.1 Methods that Return Functions

Suppose sometimes we want to sort an array of strings in ascending order and other times in descending order. We can make a method that produces the correct comparator:

```
public static Comparator<String> compareInDirecton(int direction) {
    return (x, y) -> direction * x.compareTo(y);
}
```

The call compareInDirection(1) yields an ascending comparator, and the call compareInDirection(-1) a descending comparator.

The result can be passed to another method (such as Arrays.sort) that expects such an interface.

```
Arrays.sort(friends, compareInDirection(-1));
```

In general, don't be shy to write methods that produce functions (or, technically, instances of classes that implement a functional interface). This is useful to generate custom functions that you pass to methods with functional interfaces.

3.8.2 Methods That Modify Functions

In the preceding section, you saw a method that yields an increasing or decreasing string comparator. We can generalize this idea by reversing any comparator:

```
public static Comparator<String> reverse(Comparator<String> comp) {
    return (x, y) -> comp.compare(x, y);
}
```

This method operates on functions. It receives a function and returns a modified function. To get case-insensitive descending order, use

```
reverse(String::compareToIgnoreCase)
```

NOTE: The Comparator interface has a default method reversed that produces the reverse of a given comparator in just this way.

3.8.3 Comparator Methods

The Comparator interface has a number of useful static methods that are higher-order functions generating comparators.

The comparing method takes a "key extractor" function that maps a type T to a comparable type (such as String). The function is applied to the objects to be compared, and the comparison is then made on the returned keys. For example, suppose you have an array of Person objects. Here is how you can sort them by name:

```
Arrays.sort(people, Comparator.comparing(Person::getName));
```

You can chain comparators with the thenComparing method for breaking ties. For example,

```
Arrays.sort(people, Comparator
.comparing(Person::getLastName)
.thenComparing(Person::getFirstName));
```

If two people have the same last name, then the second comparator is used.

There are a few variations of these methods. You can specify a comparator to be used for the keys that the comparing and thenComparing methods extract. For example, here we sort people by the length of their names:

Moreover, both the comparing and thenComparing methods have variants that avoid boxing of int, long, or double values. An easier way of sorting by name length would be

```
Arrays.sort(people, Comparator.comparingInt(p -> p.getName().length()));
```

If your key function can return null, you will like the nullsFirst and nullsLast adapters. These static methods take an existing comparator and modify it so that it doesn't throw an exception when encountering null values but ranks them as smaller or larger than regular values. For example, suppose getMiddleName returns a null when a person has no middle name. Then you can use Comparator.comparing(Person::getMiddleName(), Comparator.nullsFirst(...)).

The nullsFirst method needs a comparator—in this case, one that compares two strings. The naturalOrder method makes a comparator for any class implementing Comparable. Here is the complete call for sorting by potentially null middle names.

I use a static import of java.util.Comparator.* to make the expression more legible. Note that the type for naturalOrder is inferred.

```
Arrays.sort(people, comparing(Person::getMiddleName,
    nullsFirst(naturalOrder())));
```

The static reverse0rder method gives the reverse of the natural order.

3.9 Local Inner Classes

Long before there were lambda expressions, Java had a mechanism for concisely defining classes that implement an interface (functional or not). For functional interfaces, you should definitely use lambda expressions, but once in a while, you may want a concise form for an interface that isn't functional. You will also encounter the classic constructs in legacy code.

3.9.1 Local Classes

You can define a class inside a method. Such a class is called a *local class*. You would do this for classes that are just tactical. This occurs often when a class implements an interface and the caller of the method only cares about the interface, not the class.

For example, consider a method

public static IntSequence randomInts(int low, int high)

that generates an infinite sequence of random integers with the given bounds.

Since IntSequence is an interface, the method must return an object of some class implementing that interface. The caller doesn't care about the class, so it can be declared inside the method:

```
private static Random generator = new Random();
public static IntSequence randomInts(int low, int high) {
    class RandomSequence implements IntSequence {
        public int next() { return low + generator.nextInt(high - low + 1); }
        public boolean hasNext() { return true; }
    }
    return new RandomSequence();
}
```

NOTE: A local class is not declared as public or private since it is never accessible outside the method.

There are two advantages of making a class local. First, its name is hidden in the scope of the method. Second, the methods of the class can access variables from the enclosing scope, just like the variables of a lambda expression.

In our example, the next method captures three variables: low, high, and generator. If you turned RandomInt into a nested class, you would have to provide an explicit constructor that receives these values and stores them in instance variables (see Exercise 15).

3.9.2 Anonymous Classes

In the example of the preceding section, the name RandomSequence was used exactly once: to construct the return value. In this case, you can make the class *anonymous*:

```
public static IntSequence randomInts(int low, int high) {
    return new IntSequence() {
        public int next() { return low + generator.nextInt(high - low + 1); }
        public boolean hasNext() { return true; }
    }
}
```

The expression

```
new Interface() { methods }
```

means: Define a class implementing the interface that has the given methods, and construct one object of that class.

NOTE: As always, the () in the new expression indicate the construction arguments. A default constructor of the anonymous class is invoked.

Before Java had lambda expressions, anonymous inner classes were the most concise syntax available for providing runnables, comparators, and other functional objects. You will often see them in legacy code.

Nowadays, they are only necessary when you need to provide two or more methods, as in the preceding example. If the IntSequence interface has a default hasNext method, as in Exercise 15, you can simply use a lambda expression:

```
public static IntSequence randomInts(int low, int high) {
   return () -> low + generator.nextInt(high - low + 1);
}
```

Exercises

- 1. Provide an interface Measurable with a method double getMeasure() that measures an object in some way. Make Employee implement Measurable. Provide a method double average(Measurable[] objects) that computes the average measure. Use it to compute the average salary of an array of employees.
- 2. Continue with the preceding exercise and provide a method Measurable largest(Measurable[] objects). Use it to find the name of the employee with the largest salary. Why do you need a cast?
- 3. What are all the supertypes of String? Of Scanner? Of ImageOutputStream? Note that each type is its own supertype. A class or interface without declared supertype has supertype Object.
- 4. Implement a static of method of the IntSequence class that yields a sequence with the arguments. For example, IntSequence.of(3, 1, 4, 1, 5, 9) yields a sequence with six values. Extra credit if you return an instance of an anonymous inner class.
- Implement a static constant method of the IntSequence class that yields an infinite constant sequence. For example, IntSequence.constant(1) yields values 1 1 1 . . . , ad infinitum. Extra credit if you do this with a lambda expression.
- 6. In this exercise, you will try out what happens when a method is added to an interface. In Java 7, implement a class DigitSequence that implements Iterator<Integer>, not IntSequence. Provide methods hasNext, next, and a do-nothing remove. Write a program that prints the elements of an instance. In Java 8, the Iterator class gained another method, forEachRemaining. Does your code still compile when you switch to Java 8? If you put your Java 7 class in a JAR file and don't recompile, does it work in Java 8? What if you call the forEachRemaining method? Also, the remove method has become a default method in Java 8, throwing an UnsupportedOperationException. What happens when remove is called on an instance of your class?
- Implement the method void luckySort(ArrayList<String> strings, Comparator<String> comp) that keeps calling Collections.shuffle on the array list until the elements are in increasing order, as determined by the comparator.
- 8. Implement a class Greeter that implements Runnable and whose run method prints n copies of "Hello, " + target, where n and target are set in the constructor. Construct two instances with different messages and execute them concurrently in two threads.
- 9. Implement methods

```
public static void runTogether(Runnable... tasks)
public static void runInOrder(Runnable... tasks)
```

The first method should run each task in a separate thread and then return. The second method should run all methods in the current thread and return when the last one has completed.

- 10. Using the listFiles(FileFilter) and isDirectory methods of the java.io.File class, write a method that returns all subdirectories of a given directory. Use a lambda expression instead of a FileFilter object. Repeat with a method expression and an anonymous inner class.
- 11. Using the list(FilenameFilter) method of the java.io.File class, write a method that returns all files in a given directory with a given extension. Use a lambda expression, not a FilenameFilter. Which variable from the enclosing scope does it capture?
- 12. Given an array of File objects, sort it so that directories come before files, and within each group, elements are sorted by path name. Use a lambda expression to specify the Comparator.
- 13. Write a method that takes an array of Runnable instances and returns a Runnable whose run method executes them in order. Return a lambda expression.
- 14. Write a call to Arrays.sort that sorts employees by salary, breaking ties by name. Use Comparator.thenComparing. Then do this in reverse order.
- 15. Implement the RandomSequence in Section 3.9.1, "Local Classes," on p. 122 as a nested class, outside the randomInts method.

Index

Symbols and Numbers

(minus sign) flag (for output), 30 in dates, 390 in regular expressions, 294 operator, 13–14
-in shell scripts, 439 operator, 13, 15
->, in lambda expressions, 107, 110–111
-∞, in string templates, 409
(underscore) in number literals, 8 in variable names 11, 61

in variable names, 11, 61 , (comma) flag (for output), 30 in numbers, 398, 403, 408 ; (semicolon) in Java vs. JavaScript, 426 path separator (Windows), 75, 240 : (colon) in assertions, 185–186 in dates, 390 in switch statement, 31

path separator (Unix), 75, 240

:: operator, 110-111, 137 ! (exclamation sign) comments, in property files, 239 operator, 13, 18 != operator, 13, 18 for wrapper classes, 40 ? (quotation mark) in regular expressions, 293-294, 296 replacement character, 281, 413 wildcard, for types, 204-208, 219 ? : operator, 13, 18 /(slash) file separator (Unix), 239, 284 in javac path segments, 4 operator, 13-14 root component, 284 //, /*...*/ comments, 3 /**...*/ comments, 84-85 /= operator, 13 . (period) in method calls, 5 in numbers, 398, 403, 408 in package names, 4, 73 in regular expressions, 293-294, 301 operator, 13

ала

..., parent directory, 285 ... (ellipsis), for varargs, 48 `...` (back quotes), in shell scripts, 438–439 ^ (caret) for function parameters, 108 in regular expressions, 293–297, 300 operator, 13, 18 ^= operator, 13 ~ operator, 13, 18 '...' (single quotes) for character literals, 9-10 in JavaScript, 426 in string templates, 409 "..." (double quotes) for strings, 6 in javadoc hyperlinks, 88 in shell scripts, 438 "" (empty string), 22-23, 139 ((left parenthesis), in formatted output, 30 () (empty parentheses), for anonymous classes, 123 (...) (parentheses) for anonymous functions (JavaScript), 435 for casts, 17, 97 in regular expressions, 293-296, 298-299 operator, 13 [] (empty square brackets), for arrays, 37 - 38[...] (square brackets) for arrays, 37, 44 in JavaScript, 430, 433-434 in regular expressions, 293–295 operator, 13 {...} (curly braces) in annotation elements, 357 in lambda expressions, 108 in regular expressions, 293–296, 300 in string templates, 408 with arrays, 38 {{...}}, double brace initialization, 136 @ (at), in javadoc comments, 85 \$ (dollar sign) currency symbol, 408 flag (for output), 30

in JavaScript function calls, 432, 437 in regular expressions, 293-294, 297, 300 in variable names, 11 \${...}, in shell scripts, 438–440 € currency symbol, 403, 408 * (asterisk) for annotation processors, 372 in documentation comments, 86 in regular expressions, 293-296, 299 operator, 13-14 wildcard: in class path, 75 in imported classes, 77-78 *= operator, 13 (backslash)character literal, 10 file separator (Windows), 239, 284 in regular expressions, 293–294, 300 & (ampersand), operator, 13, 18–19 & (double ampersand) in regular expressions, 295 operator, 13, 18 &= operator, 13 # (number sign) comments, in property files, 239 flag (for output), 30 in javadoc hyperlinks, 87 in string templates, 409 #!, in shell scripts, 440 % (percent sign) conversion character, 28–29 operator, 13–14 %% pattern variable, 193 %= operator, 13 + (plus sign) flag (for output), 30 in regular expressions, 293–296 operator, 13–14 for strings, 20–21, 23, 139 ++ operator, 13, 15 += operator, 13 < (left angle bracket) flag (for output), 30 in shell syntax, 28 in string templates, 409 operator, 18, 432

<< operator, 13, 18–19 <<= operator, 13 <= operator, 13, 18 ≤, in string templates, 409 (diamond syntax) for array lists, 39 for constructors of generic classes, 201 <...> (angle brackets) for type parameters, 103, 200 in javadoc hyperlinks, 88 in regular expressions, 296 =, -= operators, 13-14 == operator, 13, 18, 141 for class objects, 153 for enumerations, 147 for strings, 22 for wrapper classes, 40 > (right angle bracket) in shell syntax, 28 operator, 18 >=, >>, >>> operators, 13, 18 >>=, >>>= operators, 13 (vertical bar) in regular expressions, 293-295 in string templates, 409 operator, 13, 18-19 |= operator, 13 || operator, 13, 18 0 (zero) as default value, 65, 68 flag (for output), 30 formatting symbol (date/time), 393 prefix, for octal literals, 8 \0, in regular expressions, 294 0b prefix, 8 0x prefix, 8 in formatted output, 30 0xFEFF byte order mark, 277

A

a formatting symbol (date/time), 392 a, A conversion characters, 29 \a, \A, in regular expressions, 294, 297 abstract classes, 133–134 abstract methods, of an interface, 109 abstract modifier, 97, 133–134 AbstractCollection class, 100 AbstractMethodError, 101 AbstractProcessor class, 372 accept methods (Consumer, XXXConsumer), 114–115 acceptEither method (CompletableFuture), 344-345 AccessibleObject class, 163 setAccessible method, 162-163 accessors, 56 accumulate method (LongAccumulator), 331 accumulateAndGet method (AtomicXXX), 330 accumulator functions, 265 add method of ArrayDeque, 242 of ArrayList, 39, 56 of BlockingQueue, 327 of Collection, 228 of List, 229 of ListIterator, 233 of LongAdder, 330 addAll method of Collection, 206, 228 of Collections, 231 addExact method (Math), 16 addHandler method (Logger), 191 addition, 14 identity for, 265 addSuppressed method (IOException), 181 allMatch method (Stream), 256 allof method of CompletableFuture, 344-345 of EnumSet, 241 and, andNot methods (BitSet), 241 and, andThen methods (functional interfaces), 114 Android, 342 AnnotatedConstruct interface, 373 AnnotatedElement interface, 369-371 annotation interfaces, 361-364 annotation processors, 372 annotations accessing, 362 and modifiers, 360 container, 368, 370 declaration, 358-359

annotations (cont.) documented, 367 generating source code with, 373-376 inherited, 367, 370 key/value pairs in. See elements meta, 362-368 multiple, 358 processing: at runtime, 368-371 source-level, 371-376 repeatable, 358, 368, 370 standard, 364-368 type use, 359–360 anonymous classes, 123 anyMatch method (Stream), 256 anyOf method (CompletableFuture), 344–345 Applet class, 155 applications. See programs apply, applyAsXXX methods (functional interfaces), 114–115 applyToEither method (CompletableFuture), 344-345 \$ARG, in shell scripts, 440 arguments array (jjs), 440 arithmetic operations, 13–19 Array class, 165–167 array list variables, 39 array lists, 39–40 accessing elements in, 40 adding elements to, 39 anonymous, 136 checking for nulls, 207 constructing, 39 converting between, 204 copying, 42 filling, 42 instantiating with type variables, 214 removing elements from, 40 size of, 40 sorting, 43 visiting all elements of, 41 array variables assigning values to, 38 copying, 41 declaring, 37-38 initializing, 37

ArravBlockingOueue class, 328 ArrayDeque class, 242 ArrayIndexOutOfBoundsException, 38 ArravList class, 39–40, 230 add method, 39, 56 clone method, 146-147 forEach method, 110 get, set methods, 40 remove method, 40 removeIf method, 110 size method, 40 arrays, 37-39 accessing nonexisting elements in, 38 allocating, 214 annotating, 359 casting, 166 checking, 165 comparing, 141 computing values of, 324 constructing, 37-38 constructor references with, 112 converting: to a reference of type 0bject, 138 to/from streams, 259, 268, 324 copying, 42 covariant, 203 filling, 38, 42 generating Class objects for, 153 growing, 165-167 hash codes of, 144 in JavaScript, 433–434 length of, 38–39, 119 multidimensional, 44-46, 140 of bytes, 274–275 of generic types, 112, 215 of objects, 38, 324 of primitive types, 324 of strings, 299 passing into methods, 47 printing, 43, 46, 140 serializable, 301 sorting, 43, 103–104, 324 superclass assignment in, 132 using class literals with, 152 visiting all elements of, 41

Index

Arravs class asList method, 42, 244 copyOf method, 42 deepToString method, 140 equals method, 141 fill method, 42 hashCode method, 144 parallelXXX methods, 43, 324 sort method, 43, 104-105, 109-110 stream method, 252, 266 toString method, 43, 140 ArrayStoreException, 132, 203, 215 ASCII, 25, 276, 300 for property files, 412 for source files, 413 asList method (Arrays), 42, 244 ASM tool, 376 assert statement, 185-186 AssertionError, 186 assertions, 185-187 checking, 359 enabling/disabling, 186-187 assignment operators, 14 associative operations, 265 asSubclass method (Class), 219 asynchronous computations, 341-344 AsyncTask class (Android), 342 atomic methods, 326 atomic operations, 319, 321, 329-331 and performance, 330 AtomicXXX classes, 329-330 atZone method (LocalDateTime), 387 @author tag (javadoc), 85, 89 autoboxing, 40, 115 AutoCloseable interface, 180, 202 availableCharsets method (Charset), 278 availableProcessors method (Runtime), 313 average method (XXXStream), 267

B

b, B conversion characters, 29 \b (backspace), 10 \b, \B, in regular expressions, 297 bash scripts, 438 bash scripts (Unix), 437 BasicFileAttributes class, 289 batch files (Windows), 437-438 BeanInfo class, 165 between method (Duration), 381 BiConsumer interface, 114 BiFunction interface, 114–115 BigDecimal, BigInteger classes, 19 big-endian format, 277, 282-283 binary data, reading/writing, 282 binary numbers, 8-9 binary trees, 234 BinaryOperator interface, 114 binarySearch method (Collections), 231 Bindings interface, 425 BiPredicate interface, 114 BitSet class, 240-241 collecting streams into, 266 methods of, 240-241 bitwise operators, 18-19 block statement, labeled, 35 blocking queues, 326-328 BlockingQueue interface, 327–328 Boolean class, 40 boolean type, 10 default value of, 65, 68 formatting for output, 29 reading/writing, 282 streams of, 266 BooleanSupplier interface, 115 bootstrap class loader, 156 boxed method (XXXStream), 267 branches, 30-32 break statement, 31-32, 34-35 labeled, 35 bridge methods, 210-211 clashes of, 217 BufferedReader class, 280 bulk operations, 326 Byte class, 40 MIN_VALUE, MAX_VALUE constants, 7 toUnsignedInt method, 8 byte codes, 4 writing to memory, 422-423 byte order mark, 277 byte type, 7-8, 275 streams of, 266 type conversions of, 17

448

ByteArrayClass class, 422 ByteArrayClassLoader class, 423 ByteArrayInputStream class, 274 ByteArrayOutputStream class, 274–275 ByteBuffer class, 283 bytes arrays of, 274–275 converting to strings, 278 reading, 275 writing, 276

C

c, C conversion characters, 29 C:\ root component, 284 C/C++ programming languages #include directive in, 78 allocating memory in, 321 integer types in, 7 pointers in, 57 C# programming language, type parameters in, 207 \c, in regular expressions, 294 caching, 318 calculators, 149–150 Calendar class, 379 weekends in, 384 calendars, 54 call method (CompilationTask), 421 call by reference, 63 Callable interface, 106 call method, 314 extending, 421 callbacks, 106-107 camel case, 11 cancel method (Future), 315 cancellation requests, 338 cardinality method (BitSet), 241 carriage return, 10 case label, 31-32 cast method (Class), 219 cast insertion, 209-210 casts, 17, 97-98, 132 and generic types, 212 annotating, 360 catch statement, 178-179 annotating parameters of, 358

in JavaScript, 437 in try-with-resources, 181 no type variables in, 217 ceiling method (NavigableSet), 235 Channel interface, 98 channels, 283 char type, 9–10 streams of, 266 type conversions of, 17 Character class, 40 character classes, 294 character encodings, 276-279 detecting, 278 in PrintWriter constructor, 281 localizing, 413 partial, 277, 281 platform, 278, 413 character literals, 9-10 characters, 274 combined, 407 formatting for output, 29 normalized, 408 reading/writing, 282 charAt method (String), 26 CharSequence interface, 24 chars, codePoints methods, 266 splitting by regular expressions, 252 Charset class availableCharsets method, 278 defaultCharset method, 278, 413 displayName method, 413 forName method, 278 checked exceptions, 176-178 and generic types, 218 combining in a superclass, 177 declaring, 177-178 documenting, 178 in lambda expressions, 178 not allowed in a method, 183 rethrowing, 182 checked views, 213, 245 checkedXXX methods (Collections), 232, 245 Checker Framework, 359 childrenNames method (Preferences), 415 choice indicator, in string templates, 409 Church, Alonzo, 108, 382

Class class, 152–155, 220 asSubclass method, 219 cast method, 219 comparing objects of, 153 forName method, 152-153, 157-158, 176, 184, 423 generic, 219 getCanonicalName method, 153 getClassLoader method, 154, 156 getComponentType method, 154, 165 getConstructor(s) methods, 154, 161, 163, 219 getDeclaredConstructor(s) methods, 154, 161, 219 getDeclaredField(s) methods, 154 getDeclaredMethod(s) methods, 154, 162 getDeclaringClass method, 154 getEnclosingXXX methods, 154 getEnumConstants method, 219 getField(s) methods, 154, 161 getInterfaces method, 153 getMethod(s) methods, 154, 161-162 getModifiers method, 153 getName method, 152–153 getPackage method, 153 getResource method, 155, 410 getResourceAsStream method, 154–155 getSimpleName method, 153 getSuperclass method, 153, 219 getTypeName method, 153 getTypeParameters method, 220 isXXX methods, 153–154, 165 newInstance method, 154, 163, 219 toString, toGenericString methods, 153 class comments, 85-86 class declarations annotations in, 358, 367 initialization blocks in, 66-67 class files, 4, 155 compressing, 74 paths of, 73 processing annotations in, 376 class literals, 152 no annotations for, 360 no type variables in, 213 class loaders, 155-157

class objects, 152 comparing, 153 class path, 74–76, 156 .class suffix, 152-153 ClassCastException, 98, 212 classes, 2, 54 abstract, 97, 102, 133-134 adding functionality to, 71 adding to packages, 77 anonymous, 123 companion, 100 compiling on the fly, 422 constructing objects of, 10 deprecated, 87 evolving, 306 extending, 128-137 in JavaScript, 435-437 fields of, 127 final, 133 generic, 39 immutable, 24, 322 implementing, 58-63, 145 importing, 77-78 inner, 81-83 instances of, 5, 59, 72 loading, 161 local, 122-123 members of, 127, 160-161 names of, 11, 72, 152 nested, 79-84, 359 nested enumerations in, 151 not known at compile time, 152, 167 protected, 134-135 public, 76 static initialization of, 157 super- and sub-, 128–129 system, 186 testing, 76 utility, 76, 157 wrapper, 40 classes win rule, 144 classifier functions, 262 ClassLoader class, 77 extending, 423 findClass, loadClass methods, 157 setXXXAssertionStatus methods, 187

44<u>9</u>

450

classloader inversion, 158 ClassNotFoundException, 176 CLASSPATH environment variable, 75 clear method of BitSet, 240 of Collection, 228 of Map. 237 clone method of ArrayList, 146-147 of Enum, 148 of Message, 146–147 of Object, 135, 138, 144-147, 163 protected, 144 Cloneable interface, 145 CloneNotSupportedException, 146-148 cloning, 144-147 close method (PrintWriter), 179-180 Closeable interface, 98 close method, 180 closures, 118 code element (HTML), in documentation comments, 85 code generator tools, 365–366 code points, 26, 276 code units, 9, 26, 266 in regular expressions, 294 codePoints method (CharSequence), 266 codePoints, codePointXXX methods (String), 26 Collator class, 23 getInstance, setDecomposition, setStrength methods, 407 collect method (Stream), 259-260, 266 Collection interface, 100, 228 add method, 228 addA11 method, 206, 228 clear method, 228 contains, containsAll, isEmpty methods, 229 iterator, spliterator methods, 229 parallelStream method, 229, 250–251, 267, 323 remove, removeXXX, retainAll methods, 228 size method, 228 stream method, 229, 250-251 toArray method, 229 collections, 227-246 generic, 245

iterating over elements of, 250-251 processing, 230 serializable, 301 threadsafe, 328 unmodifiable views of, 245 vs. streams, 251 Collections class, 100, 230-231 addAll method, 231 binarySearch method, 231 checkedXXX, emptyXXX methods, 232, 245 copy method, 231 disjoint method, 231 fill method, 42, 231 frequency method, 231 indexOfSubList, lastIndexOfSubList methods, 231 nCopies method, 230–231 replaceAll method, 231 reverse, shuffle method, 43, 232 rotate method, 232 singleton, singletonXXX methods, 232, 245 sort method, 43, 206-207, 231 static methods, 244 swap method, 231 synchronizedXXX, unmodifiableXXX methods, 232 Collector interface, 259 Collectors class, 79 counting method, 263 groupingBy method, 262–264 groupingByConcurrent method, 262, 269 joining method, 259-260 mapping method, 263–264 maxBy, minBy methods, 263 partitioningBy method, 262, 264 reducing method, 264 summarizingXXX methods, 260, 264 summingXXX methods, 263 toCollection method, 259 toConcurrentMap method, 261 toList method, 259 toMap method, 260-261 toSet method, 259, 263 com global object (JavaScript), 431 command-line arguments, 43-44 comments, 3 documentation, 84-89

Index

companion classes, 100 Comparable interface, 103–104, 148, 207, 234 compareTo method, 103 streams of, 254 with priority queues, 243 Comparator interface, 79, 104–105, 120–122, 234 comparing, comparing*XXX*, thenComparing methods, 121 naturalOrder method, 121-122 nullsFirst, nullsLast methods, 121 reversed method, 121 reverse0rder method, 122 streams of, 254-255 with priority queues, 243 compare method (Integer, Double), 104 compareTo method of Enum, 148 of Instant, 381 of String, 22-23, 103, 406 compareToIgnoreCase method (String), 110 compareUnsigned method (Integer, Long), 16 compatibility, drawbacks of, 208 Compilable interface, 428 compilation, 4 CompilationTask interface, 420 call method, 421 compile method (Pattern), 298, 300 compiler, 420 compile-time errors, 11 CompletableFuture class, 342-344 acceptEither, applyToEither methods, 344-345 allof, any0f methods, 344-345 get method, 344 handle method, 344 runAfterXXX methods, 344–345 thenAccept, thenAcceptBoth, thenCombine, thenRun, whenComplete methods, 344 thenApply, thenApplyAsync, thenCompose methods, 343-344 CompletionStage interface, 344 compose method (functional interfaces), 114 compute, computeIfXXX methods (Map), 236 concat method (Stream), 254

concatenation, 20-21 objects with strings, 139 concurrent access errors, 119 concurrent programming, 311–348 for scripts, 425 strategies for, 321 ConcurrentHashMap class, 325-326 compute method, 325–326 computeIfXXX, forEachXXX, merge, putIfAbsent, reduceXXX, searchXXX methods, 326 keySet method, 329 newKeySet method, 328 no null values in, 238 ConcurrentModificationException, 233, 325 ConcurrentSkipListXXX classes, 328 conditional operator, 18 configuration files, 413-415 editing, 190-191 locating, 155 resolving paths for, 285 confinement, 321 connect method (URLConnection), 292 Console class, 27 console, displaying fonts on, 413 ConsoleHandler class, 191, 194 constants, 12-13, 99 names of, 12 static, 69-70 using in another class, 12 Constructor interface, 160-161 getModifiers, getName methods, 160 newInstance method, 163-164 constructor references, 111-112 annotating, 360 constructors, 63-68 annotating, 358-359 executing, 64 for subclasses, 131 implementing, 63-64 in abstract classes, 134 invoking another constructor from, 65 no-argument, 131, 163 overloading, 64-65 public, 64, 161 this references in, 322-323 with no arguments, 68

452

Consumer interface, 114 contains method (String), 24 contains, containsAll methods (Collection), 229 containsXXX methods (Map), 237 Content-Type header, 278 context class loaders, 157-159 continue statement. 34-35 labeled, 35 control flow, 30-37 conversion characters, 29 cooperative cancellation, 338 copy method of Collections, 231 of Files, 275-276, 287-288, 291 copyOf method (Arrays), 42 CopyOnWriteArrayXXX classes, 328 count method (Stream), 251, 255 CountdownLatch class, 337 counters, de/incrementing, 181 counting method (Collectors), 263 country codes, 262, 399-400 covariance, 203 createBindings method (ScriptEngine), 425 createInstance method (Util), 158 createTempXXX methods (Files), 287 createXXX methods (Files), 286 critical sections, 332, 338 Crockford, Douglas, 427 currencies, 403-404 formatting, 408 Currency class, 404 currency indicator, in string templates, 409 CyclicBarrier class, 337

D

conversion character, 29
formatting symbol (date/time), 392
D suffix, 9
\d, \D, in regular expressions, 295
daemon threads, 341
databases, 355

annotating access to, 366

DataInput/Output interfaces, 281–282

read/writeXXX methods, 282–283, 304

DataXXXStream classes, 282

Date class, 379, 393-394 formatting values of, 409 date indicator, in string templates, 409 DateFormat class, 405 dates computing, 385-386 formatting, 390-393, 398, 404-406 local, 382-384 nonexistent, 384, 388, 406 parsing, 393 DateTimeFormat class, 404-406 DateTimeFormatter class, 390-393 and legacy classes, 394 format method, 390, 405 ofLocalizedXXX methods, 391, 405 ofPattern method, 392 parse method, 393 toFormat method, 392 withLocale method, 392, 405 DateTimeParseException, 405daylight savings time, 387-390 DayOfWeek enumeration, 55, 383-384, 389 getDisplayName method, 392, 406 dayOfWeekInMonth method (TemporalAdjusters), 385 deadlocks, 321, 332, 336, 338 debugging messages for, 175 overriding methods for, 133 primary arrays for, 43 streams, 255 using anonymous subclasses for, 135-136 with assertions, 185 DecimalFormat class, 72 number format patterns of, 409 declaration-site variance, 207 decomposition (for classes), 46-48 decomposition modes (for characters), 407 decrement operator, 15 decrementExact method (Math), 16 deep copies, 145 deepToString method (Arrays), 140 default label (switch statement), 31-32, 151 default methods, 100-102 in interfaces. 144

Index

resolving conflicts of, 101-102, 136-137 default modifier, 100, 363 defaultCharset method (Charset), 278, 413 defaultXXXObject methods (ObjectXXXStream), 304 defensive programming, 185 deferred execution, 112-113 delete, deleteIfExists methods (Files), 288 delimiters, 280 @Deprecated annotation, 87, 364–365 @deprecated tag (javadoc), 87, 365 Deque interface, 230, 242 destroy, destroyForcibly methods (Process), 348 DiagnosticCollector class, 423 DiagnosticListener interface, 423-424 diamond syntax for array lists, 39 for constructors of generic classes, 201 directories, 284 checking for existence, 286, 288 creating, 286-288 deleting, 288, 290-291 moving, 287 temporary, 287 user, 285 visiting, 288-291 working, 346 directory method (ProcessBuilder), 346 disjoint method (Collections), 231 displayName method (Charset), 413 distinct method (Stream), 254, 268 dividedBy method (Duration), 381-382 divideUnsigned method (Integer, Long), 16 division, 14 do statement, 33 doc-files directory, 85 documentation comments, 84-89 @Documented annotation, 365, 367 domain names, using for package names, 73 dot notation, 5, 12 double brace initialization, 136 Double class, 40 compare method, 104 equals method, 141 isFinite, isInfinite methods, 9

NaN, NEGATIVE_INFINITY, POSITIVE_INFINITY values.9 parseDouble method, 23 toString method, 23 double type, 8-9 atomic operations on, 331 functional interfaces for, 115 streams of, 266 type conversions of, 16-17 DoubleAccumulator, DoubleAdder classes, 331 DoubleConsumer, DoubleXXXOperator, DoublePredicate, DoubleSupplier, DoubleToXXXFunction interfaces, 115 DoubleFunction interface, 115, 212 doubles method (Random), 267 DoubleStream class, 266-267 DoubleSummaryStatistics class, 260, 267 doubleValue method (Number), 403 downstream collectors, 262-264, 269 Duration class between method, 381 dividedBy, isZero, isNegative, minus, minusXXX, multipliedBy, negated, plus, plusXXX methods, 381-382 ofDays method, 384, 388 toXXX methods, 381 dynamic method lookup, 131–132, 210 - 211dynamically typed languages, 432

E

E constant (Math), 16 e, E conversion characters, 29 formatting symbols (date/time), 392 \e, \E, in regular expressions, 294–295 Eclipse, 4 ECMAScript standard, 435 edu global object (JavaScript), 431 effectively final variables, 118–119 efficiency, and final modifier, 133 Element interface, 372–373 element method (BlockingQueue), 327 elements (in annotations), 356–357 values of, 357, 363 else statement, 30 454

em element (HTML), in documentation comments, 85 Emacs text editor, running jjs inside, 430 empty method of Optional, 258 of Stream, 252 empty string, 22, 139 concatenating, 23 emptyXXX methods (Collections), 232, 245 encapsulation, 54 encodings. See character encodings <-END, in shell scripts, 439 endsWith method (String), 24 engine scope, 425 enhanced for loop, 41, 46, 119 for collections, 232 for enumerations, 148 for iterators, 160 for paths, 286 entering, exiting methods (Logger), 189 Entry class, 209 entrySet method (Map), 237 Enum class, 147-148 enum instances adding methods to, 149-150 construction, 149 referred by name, 151 enum keyword, 13, 147 enumeration sets, 241 enumerations, 13, 147–151 adding constructors, methods, and fields to, 149 annotating, 358 comparing, 147–148 immutable empty, 244 nested inside classes, 151 serialization of, 305 static members of, 150–151 traversing instances of, 148 using in switch, 151 EnumSet, EnumMap classes, 241 \$ENV, in shell scripts, 440 environment variables, modifying, 347 epoch, definition of, 380 equality, testing for, 18

equals method final, 142 for subclasses, 141 for values from different classes. 142 null-safe, 141 of Arrays, 141 of Double, 141 of Instant, 381 of Object, 138, 140-143 of Objects, 141 of String, 21-22 of wrapper classes, 40 overriding, 140–142 symmetric, 142 equalsIgnoreCase method (String), 22 \$ERR, in shell scripts, 438 Error class, 175 error messages, for generic methods, 202 eval method (ScriptEngine), 425–427 even numbers, 14 EventHandler interface, 106 Exception class, 176 exceptions, 174–185 and generic types, 217-218 annotating, 360 catching, 178–182 in JavaScript, 437 chaining, 182–183–183 combining in a superclass, 177 creating, 176 documenting, 178 hierarchy of, 175-177 logging, 189 rethrowing, 180-183 suppressed, 180 throwing, 174-175 uncaught, 184 unchecked, 176 exec method (Runtime), 345 Executable class getModifiers method, 164 getParameters method, 161 ExecutableElement interface, 373 ExecutionException, 315, 344 Executor interface, 343 execute method, 313

Index

ExecutorCompletionService class, 316 Executors class, 313–314 ExecutorService interface, 314, 421 invokeAll method, 315 invokeAny method, 316 exists method (Files), 286, 288 exit function (shell scripts), 440 \$EXIT, in shell scripts, 438 exitValue method (Process), 348 exportSubtree method (Preferences), 415 expression closures, 435 extends keyword, 98, 128, 202–206 extension class loader, 156 Externalizable interface, 304

F

f conversion character, 29 F suffix, 9 \f, in regular expressions, 294 factory methods, 64, 72 failures, 344 logging, 182 falling through, 32 false value (boolean), 10 as default value, 65, 68 Field interface, 160–161 get, getXXX, set, setXXX methods, 162–163 getModifiers, getName method, 160, 163 getType method, 160 fields (instance and static variables), 127 final, 319 provided, 135 public, 161 retrieving values of, 161-162 setting, 162 transient, 303 File class, 286 file attributes copying, 287 filtering paths by, 289 file handlers configuring, 192-193 default, 191 file pointers, 282 file.encoding system property, 278 file.separator system property, 239

FileChannel class get, getXXX, put, putXXX methods, 283 lock, tryLock methods, 284 open method, 283 FileFilter class, 114 FileHandler class, 191–194 FileNotFoundException, 176 files archiving, 291 channels to, 283 checking for existence, 176, 286-288 closing, 179 copying/moving, 287-288 creating, 285-288 deleting, 288 empty, 286 encoding of, 276-277 locking, 283-284 memory-mapped, 283 missing, 424 random-access, 282-283 reading from/writing to, 28, 176, 275 temporary, 287 Files class copy method, 275-276, 287-288, 291 createTempXXX methods, 287 createXXX methods, 286 delete, deleteIfExists methods, 288 exists method, 286, 288 find method, 288-289 isXXX methods, 286, 288 lines method, 252, 279 list method, 288-289 move method, 287-288 newBufferedReader method, 280, 424 newBufferedWriter method, 280, 287 newXXXStream methods, 274, 287, 302 readAllBytes method, 275, 279 readAllLines method, 279 walk method, 288-291 walkFileTree method, 288, 290 write method, 281, 287 FileSystem, FileSystems classes, 291 FileTime class, 394 FileVisitor interface, 290
fill method of Arrays, 42 of Collections, 42, 231 filter method (Stream), 251-253, 255 Filter interface, 194 final fields, 319 final methods, 322 final modifier, 12, 67, 133 final variables, 318, 322 finalize method of Enum, 148 of Object, 138 finally statement, 181-182 for locks, 332 financial calculations, 9 find method (Files), 288-289 findAny method (Stream), 256 findClass method (ClassLoader), 157 findFirst method (Stream), 255 fine method (Logger), 188 first method (SortedSet), 234 firstDayOfXXX methods (TemporalAdjusters), 385 flag bits, sequences of, 240 flatMap method general concept of, 254 of Optional, 258-259 of Stream, 253 flip method (BitSet), 240 Float class, 40 float type, 8-9 streams of, 266 type conversions of, 16–17 floating-point types, 8–9 and binary number system, 9 comparing, 104 division of, 14 formatting for output, 29 in hexadecimal notation, 9 type conversions of, 16-17 floor method (NavigableSet), 235 floorMod method (Math), 15 fonts, missing, 413 for statement, 33-34 continuing, 34 declaring variables for, 36 enhanced, 41, 46, 119, 148, 232, 286

multiple variables in, 34 for each loop (JavaScript), 434 forEach method of ArravList, 110 of Map, 237 forEach, forEachOrdered methods (Stream), 259 forEachXXX methods (ConcurrentHashMap), 326 ForkJoinPool class, 343 forLanguageTag method (Locale), 402 format method of DateTimeFormatter, 390, 405 of MessageFormat, 408-410 Format class, 393 format specifiers, 28 formatted output, 28-30 Formatter class, 194 formatters, for date/time values custom, 392 locale-specific, 391 predefined, 390 forName method of Charset, 278 of Class, 152-153, 157-158, 176, 184, 423 frequency method (Collections), 231 from method (Instant, ZonedDateTime), 393 full indicator, in string templates, 409 Function interface, 114, 260 function keyword (JavaScript), 435 function types, 107 structural, 113 functional interfaces, 109-110 as method parameters, 205-206 common, 114 contravariant in parameter types, 205 for primitive types, 115 implementing, 115-116 @FunctionalInterface annotation, 116, 364, 366-367 functions, 54 higher-order, 120-122 Future interface, 315 futures, 315 combining, 344-345 completable, 342-344 in order of completion, 316

G

G formatting symbol (date/time), 392 q, C conversion characters, 29 \mathcal{G} , in regular expressions, 297 %g pattern variable, 193 garbage collector, 243 generate method (Stream), 252, 266 @Generated annotation, 365–366 generators, converting to streams, 268 generic classes, 39, 200-201 constructing objects of, 201 information available at runtime, 220 instantiating, 200 generic collections, 245 generic constructors, 220 generic methods, 201-202 calling, 201 declaring, 201 information available at runtime, 220 generic type declarations, 220-221 generic types, 103 and exceptions, 217-218 and lambda expressions, 205 and reflection, 218-221 annotating, 359 arrays of, 112 casting, 212 in JVM, 208-211 invariant, 203, 205 restrictions on, 211-218 GenericArrayType interface, 220 get method of Array, 166 of ArrayList, 40 of BitSet, 240 of CompletableFuture, 344 of Field, 162–163 of FileChannel, 283 of Future, 315 of List, 229 of LongAccumulator, 331 of Map, 235–236 of Optional, 257 of Path, 284-286 of Preferences, 414-415

of Supplier, 114 of ThreadLocal, 340 getAndXXX methods (AtomicXXX), 330 getAnnotation, getAnnotationsBvTvpe methods (AnnotatedConstruct), 373 getAnnotationXXX methods (AnnotatedElement), 369-371 getAsXXX methods of OptionalXXX, 267 of XXXSupplier, 115 getAudioClip method (Applet), 155 getAvailableCurrencies method (Currency), 404 getAvailableIds method (ZoneId), 387 getAvailableLocales method (Locale), 401 getAverage method (XXXSummaryStatistics), 260 getBundle method (ResourceBundle), 411-412 getCanonicalName method (Class), 153 getClass method (0bject), 133, 138, 141, 152, 213, 219 getClassLoader method (Class), 154, 156 getComponentType method (Class), 154, 165 getConstructor(s) methods (Class), 154, 161, 163, 219 getContents method (ListResourceBundle), 412 getContextClassLoader method (Thread), 158 getCountry method (Locale), 262 getCurrencyInstance method (NumberFormat), 403 getDayXXX, getMonthXXX, getYear methods of LocalDate, 55, 383-384 of LocalTime, 386 of ZonedDateTime, 389 getDeclaredAnnotationXXX methods (AnnotatedElement), 369-371 getDeclaredConstructor(s) methods (Class), 154, 161, 219 getDeclaredField(s) methods (Class), 154 getDeclaredMethod(s) methods (Class), 154, 162 getDeclaringClass method of Class, 154 of Enum, 148 getDefault method (Locale), 401-402 getDisplayDefault method (Locale), 411 getDisplayName method of Currency, 404 of DayOfWeek, Month, 392, 406 of Locale, 402

getElementsAnnotatedWith method (RoundEnvironment), 373 getEnclosedElements method (TypeElement), 373 aetEnclosingXXX methods (Class), 154 getEngineXXX methods (ScriptEngineManager), 424 getEnumConstants method (Class), 219 getErrorStream method (Process), 347 getField(s) methods (Class), 154, 161 getFileName method (Path), 286 getFilePointer method (RandomAccessFile), 283 getGlobal method (Logger), 187 getHead method (Formatter), 194 getHeaderFields, getInputStream methods (URLConnection), 292 getInstance method of Collator, 407 of Currency, 404 getInterfaces method (Class), 153 getLength method (Array), 166 getLogger method (Logger), 188 getMax method (XXXSummaryStatistics), 260 getMethod(s) methods (Class), 154, 161–162 getMethodCallSyntax method (ScriptEngineFactory), 427 getModifiers method of Class, 153 of Constructor, 160 of Executable, 164 of Field, 160, 163 of Method, 160 getName method of Class, 152-153 of Constructor, 160 of Field, 160, 163 of Method, 160 of Parameter, 164 of Path, 286 of PropertyDescriptor, 165 getNumberInstance method (NumberFormat), 403 getObject method (ResourceBundle), 412 get0rDefault method (Map), 235–236 getOrElse method (Optional), 255 getOutputStream method (URLConnection), 292 getPackage method (Class), 153 getParameters method (Executable), 161 getParent method (Path), 286

getPath method (FileSystem), 291 getPercentInstance method (NumberFormat), 403 getProperties method (System), 239 getPropertyDescriptors method (BeanInfo), 165 getPropertyType method (PropertyDescriptor), 165 getOualifiedName method (TypeElement), 373 getReadMethod method (PropertyDescriptor), 165 getResource method (Class), 155, 410 getResourceAsStream method (Class), 154–155 getRoot method (Path), 286 getSimpleName method of Class, 153 of Element, 373 getStackTrace method (Throwable), 184 getString method (ResourceBundle), 411 getSuperclass method (Class), 153, 219 getSuppressed method (IOException), 181 getSymbol method (Currency), 404 getSystemJavaCompiler method (ToolProvider), 420 getTail method (Formatter), 194 getTask method (JavaCompiler), 420–421 getType method (Field), 160 getTypeName method (Class), 153 getTypeParameters method (Class), 220 getURLs method (URLClassLoader), 156 getValue method (LocalDate), 55 getWriteMethod method (PropertyDescriptor), 165 getXXX methods (Array), 166 getXXX methods (Field), 162-163 getXXX methods (FileChannel), 283 getXXX methods (Preferences), 415 getXXXInstance methods (NumberFormat), 72 getXXXStream methods (Process), 346 GlassFish administration tool, 439 Goetz, Brian, 311 Gregorian calendar reform, 383 GregorianCalendar class, 393-394 toZonedDateTime method, 393-394 grouping, 262 classifier functions of, 262 reducing to numbers, 263 groupingBy method (Collectors), 262–264 groupingByConcurrent method (Collectors), 262, 269

GUI (graphical user interface) callbacks in, 106–107 long-running tasks in, 341–342 missing fonts in, 413

H

H formatting symbol (date/time), 392 h, H conversion characters, 29 h, H, in regular expressions, 295%h pattern variable, 193 handle method (CompletableFuture), 344 Handler class, 194 Hansen, Per Brinch, 334 hash method (Object), 144 hash codes, 143-144 computing in String class, 143 formatting for output, 29 hash functions, 143-144, 234 hash maps concurrent, 325-326 weak, 243 hash tables, 234 hashCode method of Arrays, 144 of Enum, 148 of Object, 138, 140, 143-144 HashMap class, 235 null values in, 238 HashSet class, 234 Hashtable class, 334 hasNext method (Iterator), 232 hasNext, hasNextXXX methods (Scanner), 27, 279 headMap method (SortedMap), 244 headSet method of NavigableSet, 235 of SortedSet, 234, 244 heap pollution, 212–213, 245 HelloWorld class, 2 helper methods, 208 here documents, 439 hexadecimal numbers, 8-9 formatting for output, 29 higher method (NavigableSet), 235 higher-order functions, 120–122 hn, hr elements (HTML), in documentation comments, 85

Hoare, Tony, 334 HTML documentation, generating, 376 HttpURLConnection class, 292 hyperlinks, 87–88, 293

[I prefix, 140, 153 IANA (Internet Assigned Numbers Authority), 387 IDE (integrated development environment), 3-4 identity method of Function, 114, 260 of UnaryOperator, 114 identity values, 265 if statement, 30-31 ifPresent, isPresent methods (Optional), 257 IllegalArgumentException, 185 IllegalStateException, 260, 327 ImageIcon class, 155 images, locating, 155 ing element (HTML), in documentation comments, 85 immutability, 321 immutable classes, 322 implements keyword, 95-96 import statement, 6, 77-78 no annotations for, 360 static, 78-79 import static statement, 151 importPreferences method (Preferences), 415 increment method (LongAdder), 330 increment operator, 15 incrementAndGet method (AtomicXXX), 329 incrementExact method (Math), 16 index0f method of List, 229 of String, 24 indexOfSubList method (Collections), 231 info method (Logger), 187 inheritance, 128-147 and default methods, 136-137 classes win rule, 137, 144 @Inherited annotation, 365, 367 initCause method (Throwable), 183

initialization blocks, 66-67 static, 70-71 inlining, 133 inner classes, 81-83 capturing this references in, 111 invoking methods of outer classes, 82 local. 122–123 syntax for, 83 input reading, 27–28 redirecting, 426 input prompts, 28 input streams, 274 copying into output streams, 276 obtaining, 274 reading from, 275 InputStream class, 275 InputStreamReader class, 279 INSTANCE instance (enum types), 305 instance methods, 5, 60–61 instance variables, 59, 61-62 annotating, 358 comparing, 141 default values of, 65-66 final, 67 in abstract classes, 134 in JavaScript, 436 initializing, 66-67, 131 not accessible from static methods, 72 of deserialized objects, 304-306 protected, 135 setting, 64 transient, 303 vs. local, 66 instanceof operator, 98, 132, 141-142 annotating, 360 instances, 5 Instant class, 380 and legacy classes, 394 compareTo, equals methods, 381 from method, 393 minus, minusXXX, plus, plusXXX methods, 381-382 now method, 381 instruction reordering, 318

int type, 7-8 functional interfaces for, 115 processing values of, 113 random number generator for, 6, 32 streams of, 266 type conversions of, 16–17 using class literals with, 152 IntBinaryOperator interface, 115 IntConsumer interface, 113, 115 Integer class, 40 compare method, 104 MIN_VALUE, MAX_VALUE constants, 7 parseInt method, 23, 176 toString method, 23 xxxUnsigned methods, 16 integer indicator, in string templates, 409 integer types, 7-8 comparing, 104 computations of, 16 division of, 14 even or odd, 14 formatting for output, 29 in hexadecimal notation, 8 reading/writing, 282-283 type conversions of, 16–17 @interface declaration, 361–363 interface keyword, 95 interface methods, 100–102 interfaces, 94-99 annotating, 358-359 compatibility of, 100-101 declarations of, 94–95 defining variables in, 99 evolution of, 100 extending, 98 functional, 109–110 implementing, 95–97 in JavaScript, 435–437 in scripting engines, 427 multiple, 98–99 methods of, 95-96 no instance variables in, 99 no redefining methods of the 0bject class in, 144 views of, 244 Internet Engineering Task Force, 399

interrupted method (Thread), 338 interrupted status, 338 InterruptedException, 337, 339 interruption requests, 315 intersects method (BitSet), 241 IntFunction interface, 115, 212 IntPredicate interface, 115 intrinsic locks, 333-334 ints method (Random), 267 IntSequence interface, 122 IntStream class, 266-267 parallel method, 267, 323 IntSummaryStatistics class, 260, 267 IntSupplier, IntToXXXFunction, IntUnaryOperator interfaces, 115 InvalidClassException, 306 InvalidPathException, 284 Invocable interface, 426-427 InvocationHandler interface, 167 invoke method (Method), 162, 164 invokeAll method (ExecutorService), 315 invokeAny method (ExecutorService), 316 IOException, 176, 279 addSuppressed, getSuppressed methods, 181 isAfter, isBefore methods of LocalDate, 383 of LocalTime, 386 of ZonedDateTime, 389 isAlive method (Process), 348 isCancelled, isDone methods (Future), 315 isEmpty method of BitSet, 241 of Collection, 229 of Map, 237 isEqual method (Predicate), 114–115 isFinite, isInfinite methods (Double), 9 isInterrupted method (Thread), 315, 338 isLoggable method (Filter), 194 isNamePresent method (Parameter), 164 isNull method (Objects), 110 ISO 8601 format, 366 ISO 8859-1 encoding, 277, 281 isXXX methods (Class), 153–154, 165 isXXX methods (Files), 286, 288 isXXX methods (Modifier), 155, 160–161 isZero, isNegative methods (Duration), 381

Iterable interface, 232-233, 286, 434 iterator method, 232 iterate method (Stream), 252, 255, 259, 266 iterator method of Collection, 229 of ServiceLoader, 160 Iterator interface next, hasNext methods, 232 remove, removeIf methods, 233 iterators, 232-233, 259 converting to streams, 268 for random numbers, 435 immutable empty, 244 invalid, 233 traversing, 160 weakly consistent, 325

J

JAR files, 74-76 resources in, 155, 410 sealed, 77 jar program, 74, 77 Java Persistence Architecture, 355 java program, 4 -classpath (-cp) option, 75 -disableassertions (-da) option, 186 -enableassertions (-ea) option, 186 -enablesystemassertions (-esa) option, 186 specifying locales in, 402 Java programming language compatibility with older versions of, 137,208 online API documentation on, 24 portability of, 15 strongly typed, 10 Unicode support in, 25-26 uniformity of, 3, 102 java, javax, javafx global objects (JavaScript), 431 java.awt package, 76 java.class.path, java.home, java.io.tmpdir system properties, 239 Java.extend function (JavaScript), 435–436 Java.from function (JavaScript), 434 java.lang, java.lang.annotation packages, 364 java.lang.reflect package, 160

java.sql package, 393 Java.super function (JavaScript), 436-437 java.time package, 379–394 Java.to function (JavaScript), 434 Java.type function (JavaScript), 431–432 java.util package, 6, 325 java.util.concurrent package, 325, 328 java.util.concurrent.atomic package, 329 java.version system property, 239 JavaBeans, 164–165 javac program, 4 -author option, 89 -classpath (-cp) option, 75 -d option, 74, 89 -encoding option, 413 -link, -linksource options, 89 -parameters option, 161 -processor option, 372 -version option, 89 -Xlint option, 32 -XprintRounds option, 375 JavaCompiler interface, 420–421 javadoc program, 84–89 including annotations in, 367 JavaFileObject interface, 421 JavaFX platform, 106–107 and threads, 342 javan.log file, 192 JavaScript programming language accessing classes of, from Java, 428 anonymous functions in, 435 anonymous subclasses in, 436 arrays in, 433-434 bracket notation in, 430, 433–434 calling static methods in, 431 catching Java exceptions in, 437 constructing Java objects in, 431-432 delimiters in, 426 extending Java classes in, 435–437 implementing Java interfaces in, 435-437 inner classes in, 432 instance variables in, 436 lists and maps in, 434 methods in, 430 numbers in, 432-433

objects in, 432 REPL in, 429-430 semicolons in, 426 strings in, 432 superclasses in, 436 JavaServer Faces framework, 238 javax.annotation package, 364 jconsole program, 191 JDK (Java Development Kit), 3 jjs tool, 429–430 command-line arguments in, 439 executing commands in, 438 job scheduling, 242 join method of String, 20 of Thread, 337 joining method (Collectors), 259–260 jre/lib/ext directory, 76 JSP (JavaServer Pages), 440 JUnit, 355–356

K

K formatting symbol (date/time), 392 \k, in regular expressions, 296 key/value pairs adding new keys to, 235 in annotations. *See* elements removed by garbage collector, 243 values of, 235 keys method (Preferences), 415 keySet method of ConcurrentHashMap, 329 of Map, 237, 244 keywords, 11

L

L suffix, 8 [L prefix, 153 labeled statements, 35 lambda expressions, 107–110 and generic types, 205 annotating targets for, 366 capturing variables in, 117–119 executing, 113 for loggers, 187 parameters of, 108

processing, 112–116 return type of, 109 scope of, 116-117 this reference in, 117 throwing exceptions in, 178 using with streams, 253 vs. anonymous functions (JavaScript), 435 with parallel streams, 323 language codes, 262, 399–400 language model API, 372–373 last method (SortedSet), 234 lastIndexOf method of List, 229 of String, 24 lastIndexOfSubList method (Collections), 231 lastXXX methods (TemporalAdjusters), 385 lazy operations, 251, 255, 269, 299 leap seconds, 380 leap years, 383–384 legacy code, 393-394 length method, 38 of arrays, 38 of RandomAccessFile, 283 of String, 6, 26 .level suffix, 190 limit method (Stream), 254, 268 line feed, 10 formatting for output, 29 in regular expressions, 297 line.separator system property, 240 lines method of BufferedReader, 280 of Files, 252, 279 @link tag (javadoc), 87-88 linked lists, 230, 233 LinkedBlockingQueue class, 328 LinkedHashMap class, 238 LinkedList class, 230 list method (Files), 288-289 List interface, 206-207, 229-230 add, get, indexOf, lastIndexOf, listIterator, remove, replaceAll, set, sort methods, 229 subList method, 229, 244 ListIterator interface, 233

ListResourceBundle class, 412 lists converting to streams, 268 immutable empty, 244 in Nashorn, 434 printing elements of, 110 removing null values from, 110 sublists of, 244 unmodifiable views of, 245 little-endian format, 277 load method (ServiceLoader), 160 load balancing, 301 loadClass method (ClassLoader), 157 local classes, 122-123 local date/time, 382-387 local variables, 36-37 annotating, 358-359 vs. instance, 66 LocalDate class, 55 and legacy classes, 394 getXXX methods, 55, 383-384 isXXX methods, 383 minus, minus XXX methods, 383-384 now method, 64, 71, 382-383 of method, 55, 64, 382-383 parse method, 405 plus, plusXXX methods, 55–56, 58, 383–384 until method, 383 withXXX methods, 383 LocalDateTime class, 387 and legacy classes, 394 atZone method, 387 parse method, 405 Locale class, 261 forLanguageTag method, 402 get/setDefault methods, 401–402 getAvailableLocales method, 401 getCountry method, 262 getDisplayDefault methods, 411 getDisplayName method, 402 predefined fields, 401 locales, 260-264, 398-402 date/time formatting for, 404–406 default, 392, 401-402, 404-405, 411 displaying names of, 402 for template strings, 408–410

locales (cont.) formatting styles for, 391, 405 sorting words for, 406-408 specifying, 399-401 weekdays and months in, 392 LocalTime class, 386-387 and legacy classes, 394 final, 133 getXXX, isXXX, minus, minusXXX, now, of, plus, plusXXX, toXXX0fDay, withXXX methods, 386 parse method, 405 lock method of FileChannel, 284 of ReentrantLock, 332 locks, 321 intrinsic, 333-334 reentrant, 331-332 releasing, 181, 318 log handlers, 191–193 default, 188, 191 filtering/formatting, 194 installing custom, 191 levels of, 191 suppressing messages in, 188 Logger class addHandler method, 191 entering, exiting methods, 189 fine method, 188 getGlobal method, 187 getLogger method, 188 info method, 187 log method, 188-189 logp, logrb methods, 190 setFilter method, 194 setLevel method, 187-188, 191 setUseParentHandlers method, 191 throwing method, 189-190 warning method, 188 loggers defining, 187-188 filtering/formatting, 194 hierarchy of, 188 logging, 187-194 configuring, 188-191 enabling/disabling, 188

failures, 182 levels of, 188-191 localizing, 190 overriding methods for, 133 using for unexpected exceptions, 189 Long class, 40 MIN VALUE, MAX VALUE constants, 7 xxxUnsigned methods, 16 long indicator, in string templates, 409 long type, 7–8 atomic operations on, 330-331 functional interfaces for, 115 streams of, 266 type conversions of, 16–17 LongAccumulator class, 330 accumulate, get methods, 331 LongAdder class, 330–331 add, increment, sum methods, 330 LongConsumer, LongXXXOperator, LongPredicate, LongSupplier, LongToXXXFunction interfaces, 115 LongFunction interface, 115, 212 longs method (Random), 267 LongStream class, 266-267 LongSummaryStatistics class, 260, 267 long-term persistence, 306 loops, 32-34 exiting, 34-35 infinite, 34

М

m, M formatting symbols (date/time), 392–393
main method, 2, 5
decomposing, 46–48
string array parameter of, 43
map method
of Optional, 257
of Stream, 253
Map interface, 230
clear method, 237
compute, computeIfXXX methods, 236
containsXXX methods, 237
entrySet method, 237
forEach method, 237
get, get0rDefault methods, 235–236

isEmpty method, 237 keySet method, 237, 244 merge method, 235–236 put method, 235-236 putAll, putIfAbsent methods, 236 remove method, 236 replace method, 236 replaceAll method, 237 size method, 237 values method, 237, 244 mapping method (Collectors), 263-264 maps, 235-238 concurrent, 236, 261 empty, 237 immutable empty, 244 in Nashorn, 434 iterating over, 237 of stream elements, 260-261, 269 order of elements in, 238 unmodifiable views of, 245 views of keys, values, and entries of, 237 mapToInt method (Stream), 265 mapToXXX methods (XXXStream), 267 marker interfaces, 145 Matcher class, 298 replaceAll method, 300 matcher, matches methods (Pattern), 298 Math class E constant, 16 floorMod method, 15 max, min methods, 16 PI constant, 16, 69 pow method, 15, 71 round method, 17 sart method, 15 xxxExact methods, 16-17 max method of Stream, 255 of XXXStream, 267 MAX_VALUE constant (integer classes), 7 maxBy method of BinaryOperator, 114 of Collectors, 263 medium indicator, in string templates, 409

members (fields, methods, nested classes/interfaces), 127 enumerating, 160-161 memory allocation, 321 memory-mapped files, 283 merge method of ConcurrentHashMap, 326 of Map, 235-236 Message class, 146–147 MessageFormat class, 408-410 meta-annotations, 362-368 Method interface, 160-161 getModifiers method, 160 getName method, 160 invoke method, 162, 164 method calls, 5 receiver of, 61 method comments, 86 method expressions, 110, 137 method references, 110-111, 213 annotating, 360 methods, 2 abstract, 109, 133-134 accessor, 56 annotating, 358 atomic, 326 body of, 60 chaining calls of, 56 clashes of, 216-217 compatible, 143 declarations of, 59 default, 100-102 deprecated, 87 factory, 64, 72 final, 133, 322 header of, 59 inlining, 133 instance, 60-61 invoking, 162-163 modifying functions, 120 mutator, 56 names of, 11 native, 70 overloading, 65, 111 overriding, 100, 129-130, 133, 177-178, 365

methods (cont.) parameters of, 161 null checks for, 185 passing arrays into, 47 proxied, 168 public, 95-96, 161 restricted to subclasses, 134-135 return value of, 2, 60 returning functions, 120 static, 47, 71-72, 78, 99-100 storing in variables, 6 symmetric, 142 synchronized, 333-336 utility, 76 variable number of arguments of, 48 Microsoft Notepad, 277 Microsoft Windows batch files, 437-438 path separator, 75, 240 registry, 414 min method of Math, 16 of Stream, 255 of XXXStream, 267 MIN_VALUE constant (integer classes), 7 minBy method of BinaryOperator, 114 of Collectors, 263 minus, minusXXX methods of Instant, Duration, 381-382 of LocalDate, 383-384 of LocalTime, 386 of ZonedDateTime, 389 Modifier interface isXXX methods, 155, 160–161 toString method, 155 modifiers, checking, 160 monads, 254 monitors (classes), 334 Month enumeration, 382-383, 389 getDisplayName method, 392, 406 MonthDay class, 384 move method (Files), 287–288 Mozilla JavaScript implementation, 435 multiplication, 14 multipliedBy method (Duration), 381–382

mutators, 56 and unmodifiable views, 245

N

n conversion character, 29 formatting symbol (date/time), 393 \n for character literals, 10 in regular expressions, 294-296, 301 newline, in property files, 239-240 name method (Enum), 148 NaN (not a number), 9 Nashorn engine, 424, 428-437 anonymous subclasses in, 436 arrays in, 433-434 catching Java exceptions in, 437 class objects in, 431 extending Java classes in, 435-437 getters/setters in, 430 implementing Java interfaces in, 435-437 instance variables in, 436 lists and maps in, 434 methods in, 430 no standard input source in, 426 numbers in, 432-433 running from command line, 429 shell scripting in, 437-440 strings in, 432 superclasses in, 436 native methods, 70 native2ascii tool, 412 naturalOrder method (Comparator), 121-122 navigable maps/sets immutable empty, 244 unmodifiable views of, 245 NavigableMap interface, 328 NavigableSet interface, 230, 234, 244 methods of, 235 nCopies method (Collections), 230-231 negate method (Predicate, BiPredicate), 114 negated method (Duration), 381-382 negateExact method (Math), 16 NEGATIVE_INFINITY value (Double), 9 negative values, 7

nested classes, 79-84 annotating, 359 inner, 81-83 public, 80 static, 79-80 new operator, 6, 10, 13, 64 as constructor reference, 111 for anonymous classes, 123 for arrays, 37-38, 44 in JavaScript, 431-433, 436 newBufferedReader method (Files), 280, 424 newBufferedWriter method (Files), 280, 287 newFileSystem method (FileSystems), 291 newInputStream method (Files), 274, 287, 302 newInstance method of Array, 166 of Class, 154, 163, 219 of Constructor, 163-164 newKeySet method (ConcurrentHashMap), 328 newline. See line feed newOutputStream method (Files), 274, 287, 302 newProxyInstance method (Proxy), 167 newXXXThreadPool methods (Executors), 313–314 next method (Iterator), 232 next, nextOrSame methods (TemporalAdjusters), 385 next, nextXXX methods (Scanner), 27, 279 nextInt method (Random), 6, 32 nextXXXBit methods (BitSet), 241 nominal typing, 113 noneMatch method (Stream), 256 noneOf method (EnumSet), 241 noninterference, of stream operations, 269 @NonNull annotation, 359 normalize method (Path), 285 Normalizer class, 408 NoSuchElementException, 257, 327 notify, notifyAll methods (Object), 138, 336-337 NotSerializableException, 303 now method of Instant, 381 of LocalDate, 64, 71, 382-383 of LocalTime, 386 of ZonedDateTime, 389

null value, 22, 58 as default value, 65, 68 checking parameters for, 185 comparing against, 141 converting to strings, 139 NullPointerException, 22, 39, 58, 66, 176, 185, 235 vs. Optional, 255 nullsFirst, nullsLast methods (Comparator), 121 Number class, 403 number indicator, in string templates, 409 Number type (JavaScript), 432-433 NumberFormat class getXXXInstance methods, 72, 403 not threadsafe, 339-340 parse method, 403 setCurrency method, 404 NumberFormatException, 176 numbers big, 19 comparing, 104 converting to strings, 23 default value of, 65, 68 even or odd, 14 formatting, 29, 398, 403, 408 from grouped elements, 263 in regular expressions, 295 non-negative, 186, 240 printing, 28 random, 6, 32, 252, 254, 267 reading/writing, 279, 282-283 rounding, 9, 17 type conversions of, 16–17 unsigned, 8, 16 with fractional parts, 8-9

0

o conversion character, 29 Object class, 137–147 clone method, 135, 138, 144–147, 163 equals method, 138, 140–143 finalize method, 138, 140–143 getClass method, 133, 138, 141, 152, 213, 219 hashCode method, 138, 140, 143–144 notify, notifyAll methods, 138, 336–337

Object class (cont.) toString method, 138-140 wait method, 138, 335-337 object references, 56-58 and serialization, 302 attempting to change, 63 comparing, 140 default value of, 65, 68 null, 58 passed by value, 63 ObjectInputStream class, 302-303 defaultReadObject method, 304 readFields method, 307 read0bject method, 302-304, 306-307 object-oriented programming, 53-91 ObjectOutputStream class, 302 defaultWriteObject method, 304 writeObject method, 302–304 objects, 2, 54-58 calling methods on, 6 casting, 97-98 cloning, 144–147 comparing, 40, 140–143 constructing, 6, 63-68, 163-164 in JavaScript, 431-432 converting to strings, 138–140 deep/shallow copies of, 144–146 deserialized, 304-306 externalizable, 304 immutable, 56 initializing variables with, 10 inspecting, 161–162 invoking static methods on, 71 mutable, 67 serializable, 301-303 sorting, 103-104 state of, 54 **Objects** class equals method, 141 hash method, 144 isNull method, 110 requireNonNull method, 185 ObjXXXConsumer interfaces, 115 octal numbers, 8 formatting for output, 29 octonions, 26

odd numbers, 14 of method of EnumSet, 241 of IntStream, 266 of LocalDate, 55, 64, 382-383 of LocalTime, 386 of Optional, 258 of Stream, 251-252 of ZonedDateTime, 387-389 ofDateAdjuster method (TemporalAdjusters), 385 ofDays method (Duration, Period), 384, 388 offer method (BlockingQueue), 327 offsetByCodePoints method (String), 26 OffsetDateTime class, 390 ofInstant method (ZonedDateTime), 389 ofLocalizedXXX methods (DateTimeFormatter), 391, 405ofNullable method (Optional), 258 ofPattern method (DateTimeFormatter), 392 open method (FileChannel), 283 openConnection method (URL), 292 openStream method (URL), 274 Operation interface, 150 operations associative, 265 atomic, 319, 321, 329-331 bulk, 326 lazy, 251, 255, 269, 299 performed optimistically, 330 stateless, 267 threadsafe, 324-329 operators, 13-19 precedence of, 14 Optional class, 255-259 creating values of, 258 empty method, 258 flatMap method, 258–259 for empty streams, 264–265 get method, 257 get0rElse method, 255 ifPresent, isPresent methods, 257 map method, 257 of, ofNullable methods, 258 orElse, orElseXXX methods, 256 OptionalXXX classes, 267

or method of BitSet, 241 of Predicate, BiPredicate, 114 order method (ByteBuffer), 283 ordinal method (Enum), 148 org global object (JavaScript), 431 os.arch, os.name, os.version system properties, 239 \$0UT, in shell scripts, 438 output formatted, 28-30 redirecting, 426 output streams, 274 closing, 276 copying from input streams, 276 obtaining, 274 writing to, 276 OutputStream class, 302 write method, 276 OutputStreamWriter class, 280 @Override annotation, 130, 364-365 overriding, 129-130 for logging/debugging, 133 overview.html file, 88

P

p, P, in regular expressions, 295package statement, 73 package comments, 88 package declarations, 73-74 Package object (JavaScript), 431 package-info.java file, 88, 358 packages, 3, 72-79 accessing, 135 adding classes to, 77 annotating, 358-359 default, 73 names of, 73 not nesting, 73 scope of, 76-77 parallel method (XXXStream), 267, 323 parallel streams, 323 parallelStream method (Collection), 229, 250-251, 267, 323 parallelXXX methods (Arrays), 43, 324 @param tag (javadoc), 86

Parameter class, 164 parameter variables, 62 annotating, 358 scope of, 36 ParameterizedType interface, 220 parse method of DateTimeFormatter, 393 of LocalXXX, ZonedDateTime, 405 of NumberFormat, 403 parseDouble method (Double), 23 ParseException, 403 parseInt method (Integer), 23, 176 partitioning, 322 partitioningBy method (Collectors), 262, 264 Pascal triangle, 45 passwords, 27 Path interface, 100, 284-286 get method, 284-286 getXXX methods, 286 normalize, relativize methods, 285 resolve, resolveSibling methods, 285 subpath method, 286 toAbsolutePath, toFile methods, 285 path separators, 284 path.separator system property, 240 paths, 284 absolute vs. relative, 284-285 filtering, 289 resolving, 285 taking apart/combining, 286 Paths class, 100 Pattern class compile method, 298, 300 flags, 300-301 matcher, matches methods, 298 split method, 299 splitAsStream method, 252, 299 pattern variables, 193 PECS (producer extends, consumer super), 206 peek method of BlockingQueue, 327 of Stream, 255 percent indicator, in string templates, 409 performance, and atomic operations, 330

Period class, 383–384 ofDays method, 388 @Persistent annotation, 368 PI constant (Math), 16, 69 placeholders, 408–410 platform encoding, 278, 413 plugins, loading, 156–160 plus, plusXXX methods of Instant, Duration, 381-382 of LocalDate, 55-56, 58, 383-384 of LocalTime, 386 of ZonedDateTime, 388-389 Point class, 138-140 Point2D class (JavaFX), 303 poll method (BlockingQueue), 327–328 pollXXX methods (NavigableSet), 235 pop method (ArrayDeque), 242 POSITIVE_INFINITY value (Double), 9 @PostConstruct annotation, 364, 366 pow method (Math), 15, 71 predefined character classes, 294–295, 297 @PreDestroy annotation, 364, 366 predicate functions, 262 Predicate interface, 109–110, 114 and, or, negate methods, 114 isEgual method, 114–115 test method, 114, 205 Preferences class, 413-415 previous method (ListIterator), 233 previous, previousOrSame methods (TemporalAdjusters), 385 previousXXXBit methods (BitSet), 241 preVisitDirectory, postVisitDirectory methods (FileVisitor), 290 primitive types, 7-10 and type parameters, 212 attempting to update parameters of, 62 comparing, 141 converting to strings, 139 functions interfaces for, 115 passed by value, 63 streams of, 265-267 wrapper classes for, 40 printStackTrace method (Throwable), 184 PrintStream class, 5, 139, 281 print method, 5, 28, 187, 280-281

printf method, 28–29, 48, 280–281 println method, 5–6, 27–28, 43, 110, 280-281 PrintWriter class, 280 close method, 179-180 priority queues, 242 private modifier, 2, 76 for enum constructors, 149 Process class, 345-348 destroy, destroyForcibly methods, 348 exitValue method, 348 getErrorStream method, 347 getXXXStream methods, 346 isAlive method, 348 waitFor method, 348 ProcessBuilder class, 345-348 directory method, 346 redirectXXX methods, 346–347 start method, 347 processes, 345-348 building, 345-347 killing, 348 Processor interface, 372 Programmer's Day, 383 programming languages dynamically typed, 432 functional, 93 object-oriented, 2 scripting, 424 programs compiling, running, 3 configuration options for, 238 localizing, 397-415 responsive, 341 testing, 185 properties, 164-165 encoding, 239 loading from file, 239 names of, 164 read-only/write-only, 164 testing for, 205 Properties class, 238-240 .properties extension, 410 property files encoding, 412 generating, 376

localizing, 410-412 PropertyDescriptor class, 165 protected modifier, 134-135 Proxy class, 167-168 newProxyInstance method, 167 public modifier, 2, 76 and method overriding, 130 for interface methods, 95-96 push method (ArrayDegue), 242 put method of BlockingQueue, 327 of FileChannel, 283 of Map, 235-236 of Preferences, 415 putAll method (Map), 236 putIfAbsent method of ConcurrentHashMap, 326 of Map, 236 putXXX methods (FileChannel), 283 putXXX methods (Preferences), 415

Q

\Q, in regular expressions, 295
 Queue interface, 230, 242
 synchronizing methods in, 335
 using ArrayDeque with, 242

R

\r carriage return, 10, 240 r, R, in regular expressions, 294, 297race conditions, 268, 319-321 Random class, 6 ints, longs, doubles methods, 267 nextInt method, 6, 32 random numbers, 6, 32, 435 streams of, 252, 254, 267 RandomAccess interface, 230 RandomAccessFile class, 282-283 getFilePointer method, 283 length method, 283 seek method, 282-283 RandomNumbers class, 71-72 range method (EnumSet), 241 range, rangeClosed methods (XXXStream), 266 ranges, 244 converting to streams, 268

raw types, 209, 212-213 read method of InputStream, 275 of InputStreamReader, 279 readAllBytes method (Files), 275, 279 readAllLines method (Files), 279 Reader class, 279 readers, 274 readExternal method (Externalizable), 304 readFields method (ObjectInputStream), 307 readLine function (shell scripts), 440 readLine method of BufferedReader, 280 of Console, 27 readObject method (ObjectInputStream), 302-304, 306-307 readPassword method (Console), 27 readResolve method (SimpleType), 304–306 readXXX methods (DataInput), 282-283, 304 receiver parameters, 61, 361 redirection syntax, 28 redirectXXX methods (ProcessBuilder), 346-347 reduce method (Stream), 264-266 reduceXXX methods (ConcurrentHashMap), 326 reducing method (Collectors), 264 reductions, 255, 264-266 ReentrantLock class, 331-332 lock, unlock methods, 332 reflection, 160-168 and generic types, 214, 218-221 processing annotations with, 368-371 ReflectiveOperationException, 152 regular expressions, 293–301 finding matches of, 298 flags for, 300-301 groups in, 298-299 relational operators, 18 relativize method (Path), 285 remainderUnsigned method (Integer, Long), 16 remove method of ArrayDeque, 242 of ArrayList, 40 of BlockingQueue, 327 of List, 229 of Map, 236 remove, removeIf methods (Iterator), 233

remove, removeNode methods (Preferences), 415remove, removeXXX methods (Collection), 228 removeIf method (ArrayList), 110 @Repeatable annotation, 365, 368 REPL ("read-eval-print" loop), 429-430 replace method of Map. 236 of String, 24 replaceAll method of Collections, 231 of List, 229 of Map, 237 of Matcher, 300 of String, 300 requireNonNull method (Objects), 185 resolve, resolveSibling methods (Path), 285 @Resource annotation, 364, 366 resource bundles, 410-412 resource injections, 366 ResourceBundle class, 190 extending, 412 getBundle method, 411-412 get0bject method, 412 getString method, 411 resources, 151-160 loading, 155 managing, 179 @Resources annotation, 364 resume method (Thread, deprecated), 338 retainAll method (Collection), 228 @Retention annotation, 362, 365 return statement, 32, 47, 60 in lambda expressions, 108 not in finally, 181 @return tag (javadoc), 86 return types, covariant, 130, 211 return values as arrays, 47 missing, 255 providing type of, 47 reverse method (Collections), 43, 232 reversed method (Comparator), 121 reverseOrder method (Comparator), 122 RFC 822, RFC 1123 formats, 391 rlwrap tool, 430 rotate method (Collections), 232

round method (Math), 17 RoundEnvironment interface, 373 roundoff errors, 9 runAfterXXX methods (CompletableFuture), 344–345 Runnable interface, 105–106, 114, 313 run method, 114, 312, 337, 339 using class literals with, 152 runtime raw types at, 212–213 safety checks at, 210 Runtime class availableProcessors method, 313 exec method, 345 RuntimeException, 176

S

s formatting symbol (date/time), 393 s, S conversion characters, 29 s, S, in regular expressions, 295safety checks, as runtime, 210 @SafeVarargs annotation, 216, 364-365 Scala programming language **REPL** in, 430 type parameters in, 207 Scanner class, 27 hasNext, hasNextXXX, next, nextXXX methods, 27,279 scheduling applications and time zones, 382, 387 computing dates for, 385-386 ScriptContext interface, 426 ScriptEngine interface createBindings method, 425 eval method, 425-427 ScriptEngineFactory interface, 427 ScriptEngineManager class getEngineXXX methods, 424 visibility of bindings in, 425 scripting engines, 424-425 compiling code in, 428 implementing Java interfaces in, 427 scripting languages, 424 invoking functions in, 426 searchXXX methods (ConcurrentHashMap), 326 security, 77

@see tag (javadoc), 87-88 seek method (RandomAccessFile), 282 serial numbers, 303 Serializable interface, 301–303 serialization, 301-307 serialVersionUID instance variable, 306 server-side software, 301 ServiceLoader class, 159–160 iterator, load method, 160 ServletException class, 183 Set interface, 230, 328 working with EnumSet, 241 set method of Array, 166 of ArrayList, 40 of BitSet, 240 of Field, 163 of List, 229 of ListIterator, 233 setAccessible method (AccessibleObject), 162 - 163setContextClassLoader method (Thread), 158 setCurrency method (NumberFormat), 404 setDaemon method (Thread), 341 setDecomposition method (Collator), 407 setDefault method (Locale), 401-402 setDefaultUncaughtExceptionHandler method (Thread), 184 setDoOutput method (URLConnection), 292 setFilter methods (Handler, Logger), 194 setFormatter method (Handler), 194 setLevel method (Logger), 187–188, 191 setOut method (System), 70 setReader method (ScriptContext), 426 setRequestProperty method (URLConnection), 292 sets, 233-235 immutable, 322 empty, 244 threadsafe, 328 unmodifiable views of, 245 setStrength method (Collator), 407 setUncaughtExceptionHandler method (Thread), 337 setUseParentHandlers method (Logger), 191 setWriter method (ScriptContext), 426 setXXX methods (Array), 166

setXXX methods (Field), 162–163 setXXXAssertionStatus methods (ClassLoader), 187 shallow copies, 144-146 shared variables, 318-321 atomic mutations of, 329-331 locking, 331-332 shebang, 440 shell scripts, 437-440 command-line arguments in, 439 environment variables in, 440 executing, 438 generating, 376 string interpolation in, 438-439 shell, redirection syntax of, 28 shift operators, 18-19 Shift-JIS encoding, 277 short circuit evaluation, 18 Short class, 40 MIN_VALUE, MAX_VALUE constants, 7 short indicator, in string templates, 409 short type, 7-8 streams of, 266 type conversions of, 17 short-term persistence, 306 shuffle method (Collections), 43, 232 SimpleFileVisitor class, 290 SimpleJavaFileObject class, 422 @since tag (javadoc), 87 singleton, singletonXXX methods (Collections), 232, 245 singletons, 232, 305 size method of ArrayList, 40 of Collection, 228 of Map, 237 skip method (Stream), 254 sleep method (Thread), 337, 339 SocketHandler class, 191 sort method of Arrays, 43, 104-105, 109-110 of Collections, 43, 206-207, 231 of List, 229 sorted maps, 244 immutable empty, 244 unmodifiable views of, 245

sorted method (Stream), 254-255 sorted sets, 230, 244 immutable empty, 244 traversing, 234 unmodifiable views of, 245 sorted streams, 268 SortedMap interface, 244 SortedSet interface, 230, 234 first, last methods, 234 headSet, subSet, tailSet methods, 234, 244 sorting array lists, 43 arrays, 43, 103–104 chaining comparators for, 121 changing order of, 120 streams, 254–255 strings, 22-23, 110, 406-408 source code, generating, 373–376 source files encoding of, 413 reading from memory, 421 space flag (for output), 30 spaces in regular expressions, 295 removing, 24 split method of Pattern, 299 of String, 21, 300 splitAsStream method (Pattern), 252, 299 spliterator method (Collection), 229 sqrt method (Math), 15 square root, computing, 258 Stack class, 242 stack trace, 184-185 StackTraceElement class, 184 standard output, 3 StandardCharsets class, 278 StandardJavaFileManager interface, 421–422, 424 start method of ProcessBuilder, 347 of Thread, 337 startsWith method (String), 24 stateless operations, 267 statements, combining, 37 static constants, 69–70

static imports, 78-79 static initialization, 157 static methods, 47, 71-72 accessing static variables from, 72 importing, 78 in interfaces, 99-100 static modifier, 2, 12, 47, 68-72, 150 static nested classes, 79-80 static variables, 68-69 accessing from static methods, 72 importing, 78 visibility of, 318 stop, suspend methods (Thread, deprecated), 338 Stream interface collect method, 259-260, 266 concat method, 254 count method, 251, 255 distinct method, 254, 268 empty method, 252 filter method, 251-253, 255 findAny method, 256 findFirst method, 255 flatMap method, 253 forEach, forEachOrdered methods, 259 generate method, 252, 266 iterate method, 252, 255, 259, 266 limit method, 254, 268 map method, 253 mapToInt method, 265 max, min methods, 255 of method, 251-252 peek method, 255 reduce method, 264-266 skip method, 254 sorted method, 254-255 toArray method, 112, 259 unordered method, 268 xxxMatch methods, 256 stream method of Arrays, 252, 266 of BitSet, 241 of Collection, 229, 250-251 streams, 249-269 collecting elements of, 259-261 computing values from, 264–266

converting to/from arrays, 252, 259, 268, 324 creating, 251-252 debugging, 255 empty, 252, 255, 264-265 flattening, 253 infinite, 251-252, 254-255 intermediate operations for, 251 noninterference of, 269 of primitive type values, 265-267 of random numbers, 267 ordered, 268 parallel, 250, 256, 259, 261-262, 265, 267-269, 323 processed lazily, 251, 255, 269 reductions of, 255 removing duplicates from, 254 sorting, 254-255 splitting/combining, 254 terminal operation for, 251, 255 transformations of, 252-254, 267 vs. collections, 251 strictfp modifier, 15 StrictMath class, 16 String class, 6, 24 charAt, codePoints, codePointXXX methods, 26 compareTo method, 22-23, 103, 406 compareToIgnoreCase method, 110 contains, endsWith, startsWith methods, 24 equals method, 21-22 equalsIgnoreCase method, 22 final, 133 hash codes, 143 immutable, 24 indexOf, lastIndexOf methods, 24 join method, 20 length method, 6, 26 offsetByCodePoints method, 26 replace method, 24 replaceAll method, 300 split method, 21, 300 substring method, 21 toLowerCase method, 24, 253 toUpperCase method, 24 trim method, 24, 403

string interpolation, in scripts, 438-440 StringBuilder class, 21 strings, 6, 20-26 comparing, 21-23 concatenating, 20-21, 139 converting: from objects. 138-140 to numbers, 23 empty, 22-23, 139 formatting for output, 29 from byte arrays, 278 normalized, 408 sorting, 22-23, 110, 406-408 splitting, 21, 252 templates for, 408-410 transforming to lowercase, 253 traversing, 26 StringSource class, 421 StringWriter class, 281 strong element (HTML), in documentation comments, 85 subclasses, 128-129 anonymous, 135-136, 150 calling toString method in, 139 constructors for, 131 initializing instance variables in, 131 methods in, 129 preventing, 133 public, 130 superclass assignments in, 131 subList method (List), 229, 244 subMap method (SortedMap), 244 subpath method (Path), 286 subSet method of NavigableSet, 235 of SortedSet, 234, 244 substring method (String), 21 subtractExact method (Math), 16 subtraction, 14 accurate, 19 not associative, 265 subtypes, 97 wildcards for, 204 sum method of LongAdder, 330 of XXXStream, 267

summarizingXXX methods (Collectors), 260, 264 summaryStatistics method (XXXStream), 267 summingXXX methods (Collectors), 263 super keyword, 102, 129-131, 137, 205-207 superclasses, 128–129 annotating, 359 calling equals method, 141 default methods of, 136-137 in JavaScript, 436 methods of, 129-130 public, 130 supertypes, 97–99 wildcards for, 205-206 Supplier interface, 114 @SuppressWarnings annotation, 32, 212, 364-365, 367 swap method (Collections), 231 Swing GUI toolkit, 107, 342 SwingConstants interface, 99 SwingWorker class (Swing), 342 switch statement, 31-32 using enumerations in, 151 symbolic links, 288–289 synchronized keyword, 332–336 synchronized views, 246 synchronizedXXX methods (Collections), 232 System class getProperties method, 239 set0ut method, 70 system class loader, 156, 158 system classes, enabling/disabling assertions for, 186 system properties, 239-240 System.err constant, 184, 191, 340, 420 System.in constant, 27 System.out constant, 5–6, 12, 27–29, 43, 48, 70, 110, 187, 280, 420 systemXXX methods (Preferences), 414

T

T, in dates, 390 t, T conversion characters, 29 \t for character literals, 10 in regular expressions, 294 %t pattern variable, 193

tab. 10 tagging interfaces, 145 tailMap method (SortedMap), 244 tailSet method of NavigableSet, 235 of SortedSet, 234, 244 take method (BlockingOueue), 327 @Target annotation, 362-363, 365 Task class (JavaFX), 342 tasks, 312-316 cancelling, 315-316 combining results from, 314-316 computationally intensive, 313 coordinating work between, 326-328 defining, 105 executing, 106, 313 groups of, 340 long-running, 341–342 running, 312–314 short-lived, 313 submitting, 315 vs. threads, 313 Temporal interface, 385 TemporalAdjusters class, 385 terminal window, 3-4 test method of BiPredicate, 114 of Predicate, 114, 205 of XXXPredicate, 115 @Test annotation, 356–357, 361–362 text input, 279-280 output, 280-281 TextStyle enumeration, 406 thenAccept, thenAcceptBoth, thenCombine methods (CompletableFuture), 344 thenApply, thenApplyAsync methods (CompletableFuture), 343-344 thenComparing method (Comparator), 121 thenCompose method (CompletableFuture), 343-344 thenRun method (CompletableFuture), 344 this reference, 61-62 annotating, 361 capturing, 111 in constructors, 322-323

in lambda expressions, 117 this syntax, with constructors, 65 Thread class get/setContextClassLoader methods, 158 interrupted method, 338 isInterrupted method, 315, 338 ioin method, 337 properties, 340-341 resume, stop, suspend methods (deprecated), 338 setDaemon method, 341 setDefaultUncaughtExceptionHandler method, 184setUncaughtExceptionHandler method, 337 sleep method, 337, 339 start method, 337 ThreadLocal class, 339-340 get, withInitial methods, 340 threads, 312, 337-341 and visibility, 317-319, 334 atomic mutations in, 329-331 creating, 106 groups of, 340 interrupting, 315, 338-339 local variables in, 339-340 locking, 331-332 priorities of, 340 race conditions in, 268, 319-321 running tasks in, 105 starting, 337-338 states of, 340 temporarily inactive, 338 terminating, 313-314 vs. tasks, 313 waiting on conditions, 335 worker, 341-342 throw statement, 175 Throwable class, 175 getStackTrace, printStackTrace methods, 184 in assertions, 186 initCause method, 183 no generic subtypes for, 217 throwing method (Logger), 189-190 throws keyword, 177 type variables in, 217–218 @throws tag (javadoc), 86, 178

time current, 380 formatting, 390-393, 404-406 measuring, 381 parsing, 393 Time class, 393-394 time indicator, in string templates, 409 time zones, 387-390 Timestamp class, 142, 393-394 timestamps, 391 using instants as, 381 TimeZone class, 394 [™] (trademark symbol), 408 toAbsolutePath method (Path), 285 toArray method of Collection, 229 of Stream, 112, 259 of XXXStream, 267 toByteArray method of BitSet, 241 of ByteArrayOutputStream, 274-275 toCollection method (Collectors), 259 toConcurrentMap method (Collectors), 261 toFile method (Path), 286 toFormat method (DateTimeFormatter), 392 toGenericString method (Class), 153 toInstant method of Date, 393 of ZonedDateTime, 387 toIntExact method (Math), 17 toList method (Collectors), 259 toLowerCase method (String), 24, 253 toMap method (Collectors), 260-261 ToolProvider class, 420 toPath method (File), 286 toSet method (Collectors), 259, 263 toString method calling from subclasses, 139 of Arrays, 43, 140 of BitSet, 241 of Class, 153 of Double, Integer, 23 of Enum, 148 of Modifier, 155 of Object, 138-140 of Point, 138-140

toUnsignedInt method (Byte), 8 toUpperCase method (String), 24 toXXX methods (Duration), 381 ToXXXBiFunction interfaces, 115 ToXXXFunction interfaces, 115, 212 toXXX0fDay methods of LocalTime, 386 of ZonedDateTime, 389 toZonedDateTime method (GregorianCalendar), 393-394 transient modifier, 303 TreeMap class, 235, 261 TreeSet class, 234 trim method (String), 24, 403 true value (boolean), 10 try statement, 178–182 for visiting directories, 288 tryLock method (FileChannel), 284 try-with-resources statement, 179–181 closing output streams with, 276 for file locking, 284 type bounds, 202–203, 221 annotating, 360 type erasure, 208–211, 216 clashes after, 216-217 Type interface, 220 type parameters, 103, 200–201 and primitive types, 201, 212 annotating, 358 type variables and exceptions, 217–218 in static context, 216 no instantiating of, 213-215 wildcards with, 206-207 TypeElement interface, 373 TypeVariable interface, 220

U

\u for character literals, 9–10, 412–413 in regular expressions, 294 %u pattern variable, 193 UnaryOperator interface, 114 uncaught exception handlers, 337, 340 unchecked exceptions, 176 and generic types, 218 documenting, 178 UncheckedIOException, 279 Unicode, 25-26, 266, 276 escapes in, 239 normalization forms in, 408 replacement character in, 281 unit tests, 355 Unix operating system bash scripts, 437 path separator, 75, 240 specifying locales in, 402 wildcard in classpath in, 75 unlock method (ReentrantLock), 332 unmodifiableXXX methods (Collections), 232 unordered method (Stream), 268 until method (LocalDate), 383-384 updateAndGet method (AtomicXXX), 329 URL class final, 133 openConnection method, 292 openStream method, 274 URLClassLoader class, 156 URLConnection class, 292 URLs, reading from, 274, 292 user directory, 285 user interface. See GUI user preferences, 413-415 user.dir, user.home, user.name system properties, 239 userXXX methods (Preferences), 414 UTC (coordinated universal time), 388 UTF-8 encoding, 276-277 for source files, 413 modified, 282 UTF-16 encoding, 9, 26, 266, 277 in regular expressions, 294 Util class, 158

V

V formatting symbol (date/time), 393 \v, \V, in regular expressions, 295

value0f method of BitSet, 241 of Enum, 147-148 values method of Enum, 148 of Map, 237, 244 varargs parameters corrupted, 365 declaring, 48 variable comments, 86-87 VariableElement interface, 373 variables, 6, 10-13 atomic mutations of, 329-331 declaring, 10-11 defined in interfaces, 99 deprecated, 87 effectively final, 118-119 final, 322 holding object references, 56–58 in lambda expressions, 117–119 initializing, 10-12 local, 36-37 names of, 11 parameter, 62 private, 59, 76 public static final, 99 redefining, 37 scope of, 36, 76 shared, 318-321, 331-332 static final. See constants static, 68-69, 72, 78 thread-local, 339-340 using an abstract class as type of, 134 visibility of, 317-319, 334 volatile, 318-319 @version tag (javadoc), 85, 89 versioning, 306 views, 244-246 checked, 245 synchronized, 246 unmodifiable, 245 virtual machine, 4 visibility, 317-319 guaranteed with locks, 334

visitFileXXX methods (FileVisitor), 290 void keyword, 2, 47 using class literals with, 152 volatile modifier, 318–319

W

w, W, in regular expressions, 295 wait method (0bject), 138, 335-337 waitFor method (Process), 348 waiting on a condition, 336 walk method (Files), 288-291 walkFileTree method (Files), 288, 290 warning method (Logger), 188 warnings for switch statements, 151 suppressing, 212, 216, 365 weak references, 243 weaker access privilege, 130 WeakHashMap class, 243 weakly consistent iterators, 325 WeakReference class, 243 web pages extracting links from, 342 reading, 341, 343 whenComplete method (CompletableFuture), 344 while statement, 32-34 breaking, 34 continuing, 35 declaring variables for, 36 white space in regular expressions, 295 removing, 24 wildcards annotating, 360 capturing, 208 for annotation processors, 372 for types, 204–206 in class path, 75 unbounded, 207 with imported classes, 77-78 with type variables, 206–207 WildcardType interface, 220 Window class, 76 WindowAdapter class, 100 WindowListener interface, 100

with method (Temporal), 385 withInitial method (ThreadLocal), 340 withLocale method (DateTimeFormatter), 392, 405 withXXX methods of LocalDate, 383 of LocalTime, 386 of ZonedDateTime, 389 words in regular expressions, 295 reading from a file, 279 sorting alphabetically, 406–408 working directory, 346 wrapper classes, 40 write method of Files, 281, 287 of OutputStream, 276 writeExternal method (Externalizable), 304 writeObject method (ObjectOutputStream), 302-304 Writer class, 280-281 write method, 280 writeReplace method, 304–306 writers, 274 writeXXX methods (DataOutput), 282-283,

304

X

x formatting symbol (date/time), 393 x, X conversion characters, 29 \x, in regular expressions, 294 XML descriptors, generating, 376 xor method (BitSet), 241

Y

y formatting symbol (date/time), 392 Year, YearMonth classes, 384

Z