

Fundamentals of Turbo Codes

by

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Introduction

Concatenated coding schemes were first proposed by Forney [1] as a method for achieving large coding gains by combining two or more relatively simple building-block or *component codes* (sometimes called *constituent codes*). The resulting codes had the error-correction capability of much longer codes, and they were endowed with a structure that permitted relatively easy to moderately complex decoding. A serial concatenation of codes is most often used for power-limited systems such as transmitters on deep-space probes. The most popular of these schemes consists of a Reed-Solomon outer (applied first, removed last) code followed by a convolutional inner (applied last, removed first) code [2]. A turbo code can be thought of as a refinement of the concatenated encoding structure plus an iterative algorithm for decoding the associated code sequence.

Turbo codes were first introduced in 1993 by Berrou, Glavieux, and Thitimajshima, and reported in [3, 4], where a scheme is described that achieves a bit-error probability of 10^{-5} using a rate 1/2 code over an additive white Gaussian noise (AWGN) channel and BPSK modulation at an E_b/N_0 of 0.7 dB. The codes are constructed by using two or more component codes on different interleaved versions of the same information sequence. Whereas, for conventional codes, the final step at the decoder yields hard-decision decoded bits (or, more generally, decoded symbols), for a concatenated scheme such as a turbo code to work properly, the decoding algorithm should not limit itself to passing hard decisions among the decoders. To best exploit the information learned from each decoder, the decoding algorithm must effect an exchange of soft decisions rather than hard decisions. For a system with two component codes, the concept behind turbo decoding is to pass soft decisions from the output of one decoder to the input of the other decoder, and to iterate this process several times so as to produce more reliable decisions.

Likelihood Functions

The mathematical foundations of hypothesis testing rest on Bayes' theorem. For communications engineering, where applications involving an AWGN channel are of great interest, the most useful form of Bayes' theorem expresses the *a posteriori*

probability (APP) of a decision in terms of a continuous-valued random variable x in the following form:

$$P(d = i|x) = \frac{p(x|d = i) P(d = i)}{p(x)} \quad i = 1, \dots, M \quad (1)$$

and

$$p(x) = \sum_{i=1}^M p(x|d = i) P(d = i) \quad (2)$$

where $P(d = i|x)$ is the APP, and $d = i$ represents data d belonging to the i th signal class from a set of M classes. Further, $p(x|d = i)$ represents the probability density function (pdf) of a received continuous-valued data-plus-noise signal x , conditioned on the signal class $d = i$. Also, $P(d = i)$, called the *a priori probability*, is the probability of occurrence of the i th signal class. Typically x is an “observable” random variable or a test statistic that is obtained at the output of a demodulator or some other signal processor. Therefore, $p(x)$ is the pdf of the received signal x , yielding the test statistic over the entire space of signal classes. In Equation (1), for a particular observation, $p(x)$ is a scaling factor, since it is obtained by averaging over all the classes in the space. Lowercase p is used to designate the pdf of a continuous-valued random variable, and uppercase P is used to designate probability (a priori and APP). Determining the APP of a received signal from Equation (1) can be thought of as the result of an experiment. Before the experiment, there generally exists (or one can estimate) an a priori probability $P(d = i)$. The experiment consists of using Equation (1) for computing the APP, $P(d = i|x)$, which can be thought of as a “refinement” of the prior knowledge about the data, brought about by examining the received signal x .

The Two-Signal Class Case

Let the binary logical elements 1 and 0 be represented electronically by voltages +1 and -1, respectively. The variable d is used to represent the transmitted data bit, whether it appears as a voltage or as a logical element. Sometimes one format is more convenient than the other; the reader should be able to recognize the difference from the context. Let the binary 0 (or the voltage value -1) be the null element under addition. For signal transmission over an AWGN channel, Figure 1 shows the conditional pdfs referred to as *likelihood functions*. The rightmost function, $p(x|d = +1)$, shows the pdf of the random variable x conditioned on $d = +1$ being transmitted. The leftmost function, $p(x|d = -1)$, illustrates a similar pdf conditioned on $d = -1$ being transmitted. The abscissa represents the full range of

possible values of the test statistic x generated at the receiver. In Figure 1, one such arbitrary value x_k is shown, where the index denotes an observation in the k th time interval. A line subtended from x_k intercepts the two likelihood functions, yielding two likelihood values $\ell_1 = p(x_k|d_k = +1)$ and $\ell_2 = p(x_k|d_k = -1)$. A well-known hard-decision rule, known as *maximum likelihood*, is to choose the data $d_k = +1$ or $d_k = -1$ associated with the larger of the two intercept values, ℓ_1 or ℓ_2 , respectively. For each data bit at time k , this is tantamount to deciding that $d_k = +1$ if x_k falls on the right side of the decision line labeled γ_0 , otherwise deciding that $d_k = -1$.

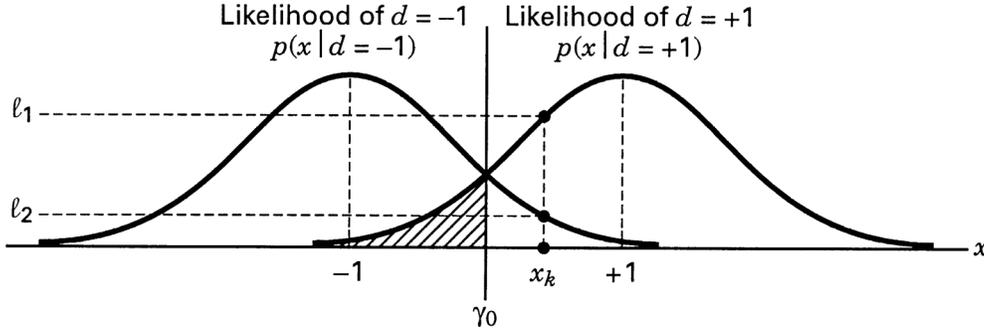


Figure 1
Likelihood functions.

A similar decision rule, known as *maximum a posteriori* (MAP), which can be shown to be a *minimum probability of error rule*, takes into account the a priori probabilities of the data. The general expression for the MAP rule in terms of APPs is as follows:

$$\begin{array}{c}
 H_1 \\
 P(d = +1|x) > \\
 < P(d = -1|x) \\
 H_2
 \end{array} \quad (3)$$

Equation (3) states that you should choose the hypothesis H_1 , ($d = +1$), if the APP $P(d = +1|x)$, is greater than the APP $P(d = -1|x)$. Otherwise, you should choose hypothesis H_2 , ($d = -1$). Using the Bayes' theorem of Equation (1), the APPs in Equation (3) can be replaced by their equivalent expressions, yielding the following:

$$\begin{array}{c}
 H_1 \\
 p(x|d = +1) P(d = +1) > \\
 < p(x|d = -1) P(d = -1) \\
 H_2
 \end{array} \quad (4)$$

where the pdf $p(x)$ appearing on both sides of the inequality in Equation (1) has been canceled. Equation (4) is generally expressed in terms of a ratio, yielding the so-called *likelihood ratio test*, as follows:

$$\frac{p(x|d = +1)}{p(x|d = -1)} \underset{H_2}{>} \frac{P(d = -1)}{P(d = +1)} \quad \text{or} \quad \frac{p(x|d = +1) P(d = +1)}{p(x|d = -1) P(d = -1)} \underset{H_2}{>} 1 \quad (5)$$

Log-Likelihood Ratio

By taking the logarithm of the likelihood ratio developed in Equations (3) through (5), we obtain a useful metric called the *log-likelihood ratio (LLR)*. It is a real number representing a soft decision out of a detector, designated by as follows:

$$L(d|x) = \log \left[\frac{P(d = +1|x)}{P(d = -1|x)} \right] = \log \left[\frac{p(x|d = +1) P(d = +1)}{p(x|d = -1) P(d = -1)} \right] \quad (6)$$

$$L(d|x) = \log \left[\frac{p(x|d = +1)}{p(x|d = -1)} \right] + \log \left[\frac{P(d = +1)}{P(d = -1)} \right] \quad (7)$$

$$L(d|x) = L(x|d) + L(d) \quad (8)$$

where $L(x|d)$ is the LLR of the test statistic x obtained by measurements of the channel output x under the alternate conditions that $d = +1$ or $d = -1$ may have been transmitted, and $L(d)$ is the a priori LLR of the data bit d .

To simplify the notation, Equation (8) is rewritten as follows:

$$L'(\hat{d}) = L_c(x) + L(d) \quad (9)$$

where the notation $L_c(x)$ emphasizes that this LLR term is the result of a channel measurement made at the receiver. Equations (1) through (9) were developed with only a data detector in mind. Next, the introduction of a decoder will typically yield decision-making benefits. For a systematic code, it can be shown [3] that the LLR (soft output) $L(\hat{d})$ out of the decoder is equal to Equation 10:

$$L(\hat{d}) = L'(\hat{d}) + L_e(\hat{d}) \quad (10)$$

where $L'(\hat{d})$ is the LLR of a data bit out of the demodulator (input to the decoder), and $L_e(\hat{d})$, called the *extrinsic* LLR, represents extra knowledge gleaned from the decoding process. The output sequence of a systematic decoder is made up of values representing data bits and parity bits. From Equations (9) and (10), the output LLR $L(\hat{d})$ of the decoder is now written as follows:

$$L(\hat{d}) = L_c(x) + L(d) + L_e(\hat{d}) \quad (11)$$

Equation (11) shows that the output LLR of a systematic decoder can be represented as having three LLR elements—a channel measurement, a priori knowledge of the data, and an extrinsic LLR stemming solely from the decoder. To yield the final $L(\hat{d})$, each of the individual LLRs can be added as shown in Equation (11), because the three terms are statistically independent [3, 5]. This soft decoder output $L(\hat{d})$ is a real number that provides a hard decision as well as the reliability of that decision. The sign of $L(\hat{d})$ denotes the hard decision; that is, for positive values of $L(\hat{d})$ decide that $d = +1$, and for negative values decide that $d = -1$. The magnitude of $L(\hat{d})$ denotes the reliability of that decision. Often, the value of $L_e(\hat{d})$ due to the decoding has the same sign as $L_c(x) + L(d)$, and therefore acts to improve the reliability of $L(\hat{d})$.

Principles of Iterative (Turbo) Decoding

In a typical communications receiver, a demodulator is often designed to produce soft decisions, which are then transferred to a decoder. The error-performance improvement of systems utilizing such soft decisions compared to hard decisions are typically approximated as 2 dB in AWGN. Such a decoder could be called a *soft input/hard output* decoder, because the final decoding process out of the decoder must terminate in bits (hard decisions). With turbo codes, where two or more component codes are used, and decoding involves feeding outputs from one decoder to the inputs of other decoders in an iterative fashion, a hard-output decoder would not be suitable. That is because hard decisions into a decoder degrades system performance (compared to soft decisions). Hence, what is needed for the decoding of turbo codes is a *soft input/soft output* decoder. For the first decoding iteration of such a soft input/soft output decoder, illustrated in Figure 2, we generally assume the binary data to be equally likely, yielding an initial a priori LLR value of $L(d) = 0$ for the third term in Equation (7). The channel LLR value,

$L_c(x)$, is measured by forming the logarithm of the ratio of the values of ℓ_1 and ℓ_2 for a particular observation of x (see Figure 1), which appears as the second term in Equation (7). The output $L(\hat{d})$ of the decoder in Figure 2 is made up of the LLR from the detector, $L'(\hat{d})$, and the extrinsic LLR output, $L_e(\hat{d})$, representing knowledge gleaned from the decoding process. As illustrated in Figure 2, for iterative decoding, the extrinsic likelihood is fed back to the decoder input, to serve as a refinement of the a priori probability of the data for the next iteration.

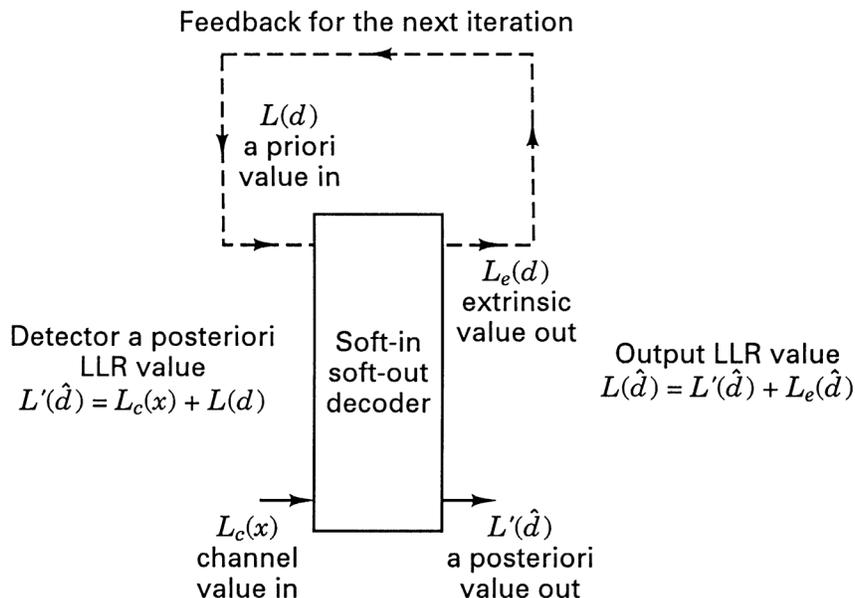


Figure 2

Soft input/soft output decoder (for a systematic code).

Log-Likelihood Algebra

To best explain the iterative feedback of soft decoder outputs, the concept of log-likelihood algebra [5] is introduced. For statistically independent data d , the sum of two log-likelihood ratios (LLRs) is defined as follows:

$$L(d_1) \boxplus L(d_2) \triangleq L(d_1 \oplus d_2) = \log_e \left[\frac{e^{L(d_1)} + e^{L(d_2)}}{1 + e^{L(d_1)} e^{L(d_2)}} \right] \quad (12)$$

$$\approx (-1) \times \text{sgn} [L(d_1)] \times \text{sgn} [L(d_2)] \times \min (|L(d_1)|, |L(d_2)|) \quad (13)$$

where the natural logarithm is used, and the function $\text{sign}(\bullet)$ represents “the polarity of.” There are three addition operations in Equation (12). The $+$ sign is used for ordinary addition. The \oplus sign is used to denote the modulo-2 sum of data expressed as binary digits. The \boxplus sign denotes log-likelihood addition or, equivalently, the mathematical operation described by Equation (12). The sum of two LLRs denoted by the operator \boxplus is defined as the LLR of the modulo-2 sum of the underlying statistically independent data bits [5]. Equation (13) is an approximation of Equation (12) that will prove useful later in a numerical example. The sum of LLRs as described by Equations (12) or (13) yields the following interesting results when one of the LLRs is very large or very small:

$$L(d) \boxplus \infty = -L(d)$$

and

$$L(d) \boxplus 0 = 0$$

Note that the log-likelihood algebra described here differs slightly from that used in [5] because of a different choice of the null element. In this treatment, the null element of the binary set $(1, 0)$ has been chosen to be 0.

Product Code Example

Consider the two-dimensional code (product code) depicted in Figure 3. The configuration can be described as a data array made up of k_1 rows and k_2 columns. The k_1 rows contain codewords made up of k_2 data bits and $n_2 - k_2$ parity bits. Thus, each row (except the last one) represents a codeword from an (n_2, k_2) code. Similarly, the k_2 columns contain codewords made up of k_1 data bits and $n_1 - k_1$ parity bits. Thus, each column (except the last one) represents a codeword from an (n_1, k_1) code. The various portions of the structure are labeled d for data, p_h for horizontal parity (along the rows), and p_v for vertical parity (along the columns). In effect, the block of $k_1 \times k_2$ data bits is encoded with two codes—a horizontal code, and a vertical code. Additionally, in Figure 3, there are blocks labeled L_{eh} and L_{ev} that contain the extrinsic LLR values learned from the horizontal and vertical decoding steps, respectively. Error-correction codes generally provide some improved performance. We will see that the extrinsic LLRs represent a measure of that improvement. Notice that this product code is a simple example of a concatenated code. Its structure encompasses two separate encoding steps—horizontal and vertical.

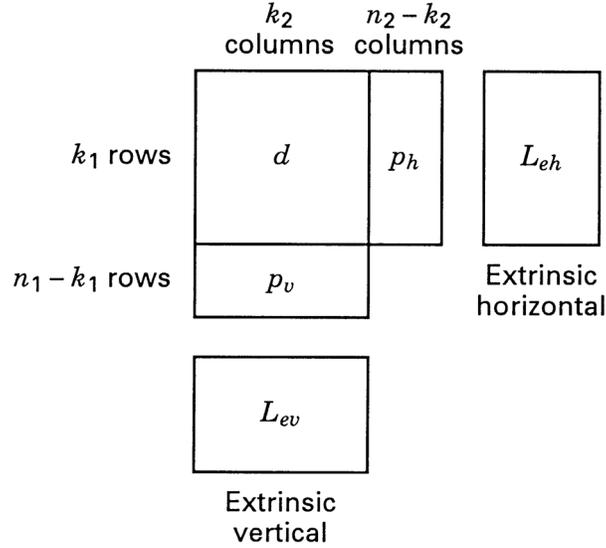


Figure 3

Product code.

Recall that the final decoding decision for each bit and its reliability hinges on the value of $L(\hat{d})$, as shown in Equation (11). With this equation in mind, an algorithm yielding the extrinsic LLRs (horizontal and vertical) and a final $L(\hat{d})$ can be described. For the product code, this iterative decoding algorithm proceeds as follows:

1. Set the a priori LLR $L(d) = 0$ (unless the a priori probabilities of the data bits are other than equally likely).
2. Decode horizontally, and using Equation (11) obtain the horizontal extrinsic LLR as shown below:

$$L_{eh}(\hat{d}) = L(\hat{d}) - L_c(x) - L(d)$$

3. Set $L(d) = L_{eh}(\hat{d})$ for the vertical decoding of step 4.
4. Decode vertically, and using Equation (11) obtain the vertical extrinsic LLR as shown below:

$$L_{ev}(\hat{d}) = L(\hat{d}) - L_c(x) - L(d)$$

5. Set $L(d) = L_{ev}(\hat{d})$ and repeat steps 2 through 5.
6. After enough iterations (that is, repetitions of steps 2 through 5) to yield a reliable decision, go to step 7.
7. The soft output is

$$L(\hat{d}) = L_c(x) + L_{eh}(\hat{d}) + L_{ev}(\hat{d}) \quad (14)$$

An example is next used to demonstrate the application of this algorithm to a very simple product code.

Two-Dimensional Single-Parity Code Example

At the encoder, let the data bits and parity bits take on the values shown in Figure 4(a), where the relationships between data and parity bits within a particular row (or column) expressed as the binary digits (1, 0) are as follows:

$$d_i \oplus d_j = p_{ij} \quad (15)$$

$$d_i = d_j \oplus p_{ij} \quad i, j = 1, 2 \quad (16)$$

where \oplus denotes modulo-2 addition. The transmitted bits are represented by the sequence $d_1 d_2 d_3 d_4 p_{12} p_{34} p_{13} p_{24}$. At the receiver input, the noise-corrupted bits are represented by the sequence $\{x_i\}$, $\{x_{ij}\}$, where $x_i = d_i + n$ for each received data bit, $x_{ij} = p_{ij} + n$ for each received parity bit, and n represents the noise contribution that is statistically independent for both d_i and p_{ij} . The indices i and j represent position in the encoder output array shown in Figure 4(a). However, it is often more useful to denote the received sequence as $\{x_k\}$, where k is a time index. Both conventions will be followed below—using i and j when focusing on the positional relationships within the product code, and using k when focusing on the more general aspect of a time-related signal. The distinction as to which convention is being used should be clear from the context. Using the relationships developed in Equations (7) through (9), and assuming an AWGN interference model, the LLR for the channel measurement of a signal x_k received at time k is written as follows:

$$L_c(x_k) = \log_e \left[\frac{p(x_k | d_k = +1)}{p(x_k | d_k = -1)} \right] \quad (17a)$$

$$= \log_e \left(\frac{\frac{1}{\sigma \sqrt{2\pi}} \exp \left[-\frac{1}{2} \left(\frac{x_k - 1}{\sigma} \right)^2 \right]}{\frac{1}{\sigma \sqrt{2\pi}} \exp \left[-\frac{1}{2} \left(\frac{x_k + 1}{\sigma} \right)^2 \right]} \right) \quad (17b)$$

$$= -\frac{1}{2} \left(\frac{x_k - 1}{\sigma} \right)^2 + \frac{1}{2} \left(\frac{x_k + 1}{\sigma} \right)^2 = \frac{2}{\sigma^2} x_k \quad (17c)$$

where the natural logarithm is used. If a further simplifying assumption is made that the noise variance is σ^2 is unity, then

$$L_c(x_k) = 2x_k \quad (18)$$

Consider the following example, where the data sequence $d_1 d_2 d_3 d_4$ is made up of the binary digits 1 0 0 1, as shown in Figure 4. By the use of Equation (15), it is seen that the parity sequence $p_{12} p_{34} p_{13} p_{24}$ must be equal to the digits 1 1 1 1. Thus, the transmitted sequence is

$$\{d_i\}, \{p_{ij}\} = 1 0 0 1 1 1 1 1 \quad (19)$$

When the data bits are expressed as bipolar voltage values of +1 and -1 corresponding to the binary logic levels 1 and 0, the transmitted sequence is

$$\{d_i\}, \{p_{ij}\} = +1 -1 -1 +1 +1 +1 +1 +1$$

Assume now that the noise transforms this data-plus-parity sequence into the received sequence

$$\{x_i\}, \{x_{ij}\} = 0.75, 0.05, 0.10, 0.15, 1.25, 1.0, 3.0, 0.5 \quad (20)$$

where the members of $\{x_i\}, \{x_{ij}\}$ positionally correspond to the data and parity $\{d_i\}, \{p_{ij}\}$ that was transmitted. Thus, in terms of the positional subscripts, the received sequence can be denoted as

$$\{x_i\}, \{x_{ij}\} = x_1, x_2, x_3, x_4, x_{12}, x_{34}, x_{13}, x_{24}$$

From Equation (18), the assumed channel measurements yield the LLR values

$$\{L_c(x_i)\}, \{L_c(x_{ij})\} = 1.5, 0.1, 0.20, 0.3, 2.5, 2.0, 6.0, 1.0 \quad (21)$$

These values are shown in Figure 4b as the decoder input measurements. It should be noted that, given equal prior probabilities for the transmitted data, if hard decisions are made based on the $\{x_k\}$ or the $\{L_c(x_k)\}$ values shown above, such a process would result in two errors, since d_2 and d_3 would each be incorrectly classified as binary 1.

$d_1 = 1$	$d_2 = 0$	$p_{12} = 1$
$d_3 = 0$	$d_4 = 1$	$p_{34} = 1$
$p_{13} = 1$	$p_{24} = 1$	

(a) Encoder output binary digits

$L_c(x_1) = 1.5$	$L_c(x_2) = 0.1$	$L_c(x_{12}) = 2.5$
$L_c(x_3) = 0.2$	$L_c(x_4) = 0.3$	$L_c(x_{34}) = 2.0$
$L_c(x_{13}) = 6.0$	$L_c(x_{24}) = 1.0$	

(b) Decoder input log-likelihood ratios $L_c(x)$

Figure 4

Product code example.

Extrinsic Likelihoods

For the product-code example in Figure 4, we use Equation (11) to express the soft output $L(\hat{d}_1)$ for the received signal corresponding to data d_1 , as follows.

$$L(\hat{d}_1) = L_c(x_1) + L(d_1) + \{[L_c(x_2) + L(d_2)] \boxplus L_c(x_{12})\} \quad (22)$$

where the terms $\{[L_c(x_2) + L(d_2)] \boxplus L_c(x_{12})\}$ represent the extrinsic LLR contributed by the code (that is, the reception corresponding to data d_2 and its a priori probability, in conjunction with the reception corresponding to parity p_{12}). In general, the soft output $L(\hat{d}_i)$ for the received signal corresponding to data d_i is

$$L(\hat{d}_i) = L_c(x_i) + L(d_i) + \{[L_c(x_j) + L(d_j)] \boxplus L_c(x_{ij})\} \quad (23)$$

where $L_c(x_i)$, $L_c(x_j)$, and $L_c(x_{ij})$ are the channel LLR measurements of the reception corresponding to d_i , d_j , and p_{ij} , respectively. $L(d_i)$ and $L(d_j)$ are the LLRs of the a priori probabilities of d_i and d_j , respectively, and $\{[L_c(x_j) + L(d_j)] \boxplus L_c(x_{ij})\}$ is the

extrinsic LLR contribution from the code. Equations (22) and (23) can best be understood in the context of Figure 4b. For this example, assuming equally-likely signaling, the soft output $L(\hat{d}_1)$ is represented by the detector LLR measurement of $L_c(x_1) = 1.5$ for the reception corresponding to data d_1 , plus the extrinsic LLR of $[L_c(x_2) = 0.1] \boxplus [L_c(x_{12}) = 2.5]$ gleaned from the fact that the data d_2 and the parity p_{12} also provide knowledge about the data d_1 , as seen from Equations (15) and (16).

Computing the Extrinsic Likelihoods

For the example in Figure 4, the horizontal calculations for $L_{eh}(\hat{d})$ and the vertical calculations for $L_{ev}(\hat{d})$ are expressed as follows:

$$L_{eh}(\hat{d}_1) = [L_c(x_2) + L(\hat{d}_2)] \boxplus L_c(x_{12}) \quad (24a)$$

$$L_{ev}(\hat{d}_1) = [L_c(x_3) + L(\hat{d}_3)] \boxplus L_c(x_{13}) \quad (24b)$$

$$L_{eh}(\hat{d}_2) = [L_c(x_1) + L(\hat{d}_1)] \boxplus L_c(x_{12}) \quad (25a)$$

$$L_{ev}(\hat{d}_2) = [L_c(x_4) + L(\hat{d}_4)] \boxplus L_c(x_{24}) \quad (25b)$$

$$L_{eh}(\hat{d}_3) = [L_c(x_4) + L(\hat{d}_4)] \boxplus L_c(x_{34}) \quad (26a)$$

$$L_{ev}(\hat{d}_3) = [L_c(x_1) + L(\hat{d}_1)] \boxplus L_c(x_{13}) \quad (26b)$$

$$L_{eh}(\hat{d}_4) = [L_c(x_3) + L(\hat{d}_3)] \boxplus L_c(x_{34}) \quad (27a)$$

$$L_{ev}(\hat{d}_4) = [L_c(x_2) + L(\hat{d}_2)] \boxplus L_c(x_{24}) \quad (27b)$$

The LLR values shown in Figure 4 are entered into the $L_{eh}(\hat{d})$ expressions in Equations (24) through (27) and, assuming equally-likely signaling, the $L(d)$ values are initially set equal to zero, yielding

$$L_{eh}(\hat{d}_1) = (0.1 + 0) \boxplus 2.5 \approx -0.1 = \text{new } L(d_1) \quad (28)$$

$$L_{eh}(\hat{d}_2) = (1.5 + 0) \boxplus 2.5 \approx -1.5 = \text{new } L(d_2) \quad (29)$$

$$L_{eh}(\hat{d}_3) = (0.3 + 0) \boxplus 2.0 \approx -0.3 = \text{new } L(d_3) \quad (30)$$

$$L_{eh}(\hat{d}_4) = (0.2 + 0) \boxplus 2.0 \approx -0.2 = \text{new } L(d_4) \quad (31)$$

where the log-likelihood addition has been calculated using the approximation in Equation (13). Next, we proceed to obtain the first vertical calculations using the $L_{ev}(\hat{d})$ expressions in Equations (24) through (27). Now, the values of $L(d)$ can be refined by using the new $L(d)$ values gleaned from the first horizontal calculations, shown in Equations (28) through (31). That is,

$$L_{ev}(\hat{d}_1) = (0.2 - 0.3) \boxplus 6.0 \approx 0.1 = \text{new } L(d_1) \quad (32)$$

$$L_{ev}(\hat{d}_2) = (0.3 - 0.2) \boxplus 1.0 \approx -0.1 = \text{new } L(d_2) \quad (33)$$

$$L_{ev}(\hat{d}_3) = (1.5 - 0.1) \boxplus 6.0 \approx -1.4 = \text{new } L(d_3) \quad (34)$$

$$L_{ev}(\hat{d}_4) = (0.1 - 1.5) \boxplus 1.0 \approx 1.0 = \text{new } L(d_4) \quad (35)$$

The results of the first full iteration of the two decoding steps (horizontal and vertical) are shown below.

Original $L_c(x_k)$ measurements

1.5	0.1
0.2	0.3

-0.1	-1.5
-0.3	-0.2

$L_{eh}(\hat{d})$ after first horizontal decoding

0.1	-0.1
-1.4	1.0

$L_{ev}(\hat{d})$ after first vertical decoding

Each decoding step improves the original LLRs that are based on channel measurements only. This is seen by calculating the decoder output LLR using

Equation (14). The original LLR plus the horizontal extrinsic LLRs yields the following improvement (the extrinsic vertical terms are not yet being considered):

Improved LLRs due to $L_{eh}(\hat{d})$

1.4	-1.4
-0.1	0.1

The original LLR plus both the horizontal and vertical extrinsic LLRs yield the following improvement:

Improved LLRs due to $L_{eh}(\hat{d}) + L_{ev}(\hat{d})$

1.5	-1.5
-1.5	1.1

For this example, the knowledge gained from horizontal decoding alone is sufficient to yield the correct hard decisions out of the decoder, but with very low confidence for data bits d_3 and d_4 . After incorporating the vertical extrinsic LLRs into the decoder, the new LLR values exhibit a higher level of reliability or confidence. Let's pursue one additional horizontal and vertical decoding iteration to determine if there are any significant changes in the results. We again use the relationships shown in Equations (24) through (27) and proceed with the second horizontal calculations for $L_{eh}(\hat{d})$, using the new $L(d)$ from the first vertical calculations shown in Equations (32) through (35), so that

$$L_{eh}(\hat{d}_1) = (0.1 - 0.1) \boxplus 2.5 \approx 0 = \text{new } L(d_1) \quad (36)$$

$$L_{eh}(\hat{d}_2) = (1.5 + 0.1) \boxplus 2.5 \approx -1.6 = \text{new } L(d_2) \quad (37)$$

$$L_{eh}(\hat{d}_3) = (0.3 + 1.0) \boxplus 2.0 \approx -1.3 = \text{new } L(d_3) \quad (38)$$

$$L_{eh}(\hat{d}_4) = (0.2 - 1.4) \boxplus 2.0 \approx 1.2 = \text{new } L(d_4) \quad (39)$$

Next, we proceed with the second vertical calculations for $L_{ev}(\hat{d})$, using the new $L(d)$ from the second horizontal calculations, shown in Equations (36) through (39). This yields

$$L_{ev}(\hat{d}_1) = (0.2 - 1.3) \boxplus 6.0 \approx 1.1 = \text{new } L(d_1) \quad (40)$$

$$L_{ev}(\hat{d}_2) = (0.3 + 1.2) \boxplus 1.0 \approx -1.0 = \text{new } L(d_2) \quad (41)$$

$$L_{ev}(\hat{d}_3) = (1.5 + 0) \boxplus 6.0 \approx -1.5 = \text{new } L(d_3) \quad (42)$$

$$L_{ev}(\hat{d}_4) = (0.1 - 1.6) \boxplus 1.0 \approx 1.0 = \text{new } L(d_4) \quad (43)$$

The second iteration of horizontal and vertical decoding yielding the above values results in soft-output LLRs that are again calculated from Equation (14), which is rewritten below:

$$L(\hat{d}) = L_c(x) + L_{eh}(\hat{d}) + L_{ev}(\hat{d}) \quad (44)$$

The horizontal and vertical extrinsic LLRs of Equations (36) through (43) and the resulting decoder LLRs are displayed below. For this example, the second horizontal and vertical iteration (yielding a total of four iterations) suggests a modest improvement over a single horizontal and vertical iteration. The results show a balancing of the confidence values among each of the four data decisions.

Original $L_c(x)$ measurements

1.5	0.1
0.2	0.3

0	-1.6
-1.3	1.2

$L_{eh}(\hat{d})$ after second horizontal decoding

1.1	-1.0
-1.5	1.0

$L_{ev}(\hat{d})$ after second vertical decoding

The soft output is

$$L(\hat{d}) = L_c(x) + L_{eh}(\hat{d}) + L_{ev}(\hat{d})$$

which after a total of four iterations yields the values for $L(\hat{d})$ of

2.6	-2.5
-2.6	2.5

Observe that correct decisions about the four data bits will result, and the level of confidence about these decisions is high. The iterative decoding of turbo codes is similar to the process used when solving a crossword puzzle. The first pass through the puzzle is likely to contain a few errors. Some words seem to fit, but when the letters intersecting a row and column do not match, it is necessary to go back and correct the first-pass answers.

Encoding with Recursive Systematic Codes

The basic concepts of concatenation, iteration, and soft-decision decoding using a simple product-code example have been described. These ideas are next applied to the implementation of turbo codes that are formed by the parallel concatenation of component convolutional codes [3, 6].

A short review of simple binary rate 1/2 convolutional encoders with constraint length K and memory $K-1$ is in order. The input to the encoder at time k is a bit d_k , and the corresponding codeword is the bit pair (u_k, v_k) , where

$$u_k = \sum_{i=0}^{K-1} g_{1i} d_{k-i} \pmod{2} \quad g_{1i} = 0,1 \quad (45)$$

$$v_k = \sum_{i=0}^{K-1} g_{2i} d_{k-i} \pmod{2} \quad g_{2i} = 0,1 \quad (46)$$

$\mathbf{G}_1 = \{ g_{1i} \}$ and $\mathbf{G}_2 = \{ g_{2i} \}$ are the code generators, and d_k is represented as a binary digit. This encoder can be visualized as a discrete-time finite impulse response (FIR) linear system, giving rise to the familiar *nonsystematic convolutional (NSC)* code, an example of which is shown in Figure 5. In this example, the constraint length is $K = 3$, and the two code generators are described by $\mathbf{G}_1 = \{ 1 \ 1 \ 1 \}$ and $\mathbf{G}_2 = \{ 1 \ 0 \ 1 \}$. It is well known that at large E_b/N_0 values, the error performance of an NSC is better than that of a systematic code having the same memory. At small E_b/N_0 values, it is generally the other way around [3]. A class of infinite impulse response (IIR) convolutional codes [3] has been proposed as building blocks for a turbo code. Such building blocks are also referred to as

recursive systematic convolutional (RSC) codes because previously encoded information bits are continually fed back to the encoder's input. For high code rates, RSC codes result in better error performance than the best NSC codes at any value of E_b/N_0 . A binary, rate 1/2, RSC code is obtained from an NSC code by using a feedback loop and setting one of the two outputs (u_k or v_k) equal to d_k . Figure 6(a) illustrates an example of such an RSC code, with $K = 3$, where a_k is recursively calculated as

$$a_k = d_k + \sum_{i=1}^{K-1} g'_i a_{k-i} \pmod{2} \quad (47)$$

and g'_i is respectively equal to g_{1i} if $u_k = d_k$, and to g_{2i} if $v_k = d_k$. Figure 6(b) shows the trellis structure for the RSC code in Figure 6(a).

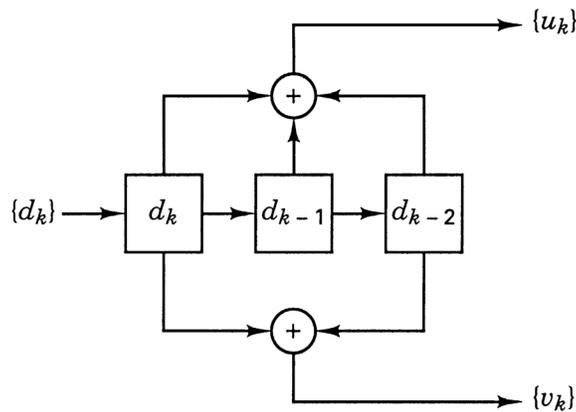


Figure 5

Nonsystematic convolutional (NSC) code.

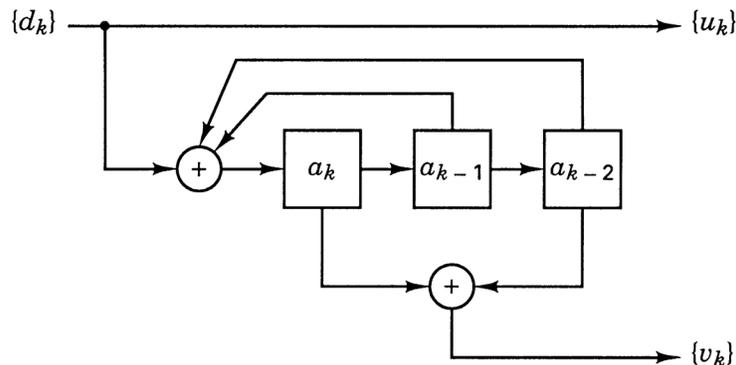


Figure 6(a)

Recursive systematic convolutional (RSC) code.

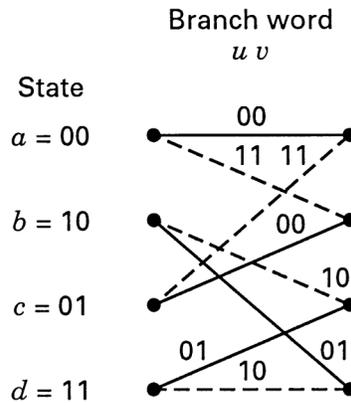


Figure 6(b)

Trellis structure for the RSC code in Figure 6(a).

It is assumed that an input bit d_k takes on values of 1 or 0 with equal probability. Furthermore, $\{a_k\}$ exhibits the same statistical properties as $\{d_k\}$ [3]. The free distance is identical for the RSC code of Figure 6a and the NSC code of Figure 5. Similarly, their trellis structures are identical with respect to state transitions and their corresponding output bits. However, the two output sequences $\{u_k\}$ and $\{v_k\}$ do not correspond to the same input sequence $\{d_k\}$ for RSC and NSC codes. For the same code generators, it can be said that the weight distribution of the output codewords from an RSC encoder is not modified compared to the weight distribution from the NSC counterpart. The only change is the mapping between input data sequences and output codeword sequences.

Example: Recursive Encoders and Their Trellis Diagrams

- Using the RSC encoder in Figure 6(a), verify the section of the trellis structure (diagram) shown in Figure 6(b).
- For the encoder in part a), start with the input data sequence $\{d_k\} = 1\ 1\ 1\ 0$, and show the step-by-step encoder procedure for finding the output codeword.

Solution

- For NSC encoders, keeping track of the register contents and state transitions is a straightforward procedure. However, when the encoders are recursive, more care must be taken. Table 1 is made up of eight rows

corresponding to the eight possible transitions in this four-state machine. The first four rows represent transitions when the input data bit, d_k , is a binary zero, and the last four rows represent transitions when d_k is a one. For this example, the step-by-step encoding procedure can be described with reference to Table 1 and Figure 6, as follows.

1. At any input-bit time k , the (starting) state of a transition, is denoted by the contents of the two rightmost stages in the register, namely a_{k-1} and a_{k-2} .
2. For any row (transition), the contents of the a_k stage is found by the modulo-2 addition of bits d_k , a_{k-1} , and a_{k-2} on that row.
3. The output code-bit sequence $u_k v_k$ for each possible starting state (that is, $a = 00$, $b = 10$, $c = 01$, and $d = 11$) is found by appending the modulo-2 addition of a_k and a_{k-2} to the current data bit, $d_k = u_k$.

It is easy to verify that the details in Table 1 correspond to the trellis section of Figure 6b. An interesting property of the most useful recursive shift registers used as component codes for turbo encoders is that the two transitions entering a state *should not* correspond to the same input bit value (that is, two solid lines or two dashed lines should not enter a given state). This property is assured if the polynomial describing the feedback in the shift register is of full degree, which means that one of the feedback lines must emanate from the high-order stage; in this example, stage a_{k-2} .

Table 1
Validation of the Figure 6(b) Trellis Section

Input bit $d_k = u_k$	Current bit a_k	Starting state		Code bits $u_k v_k$	Ending state	
		a_{k-1}	a_{k-2}		a_k	a_{k-1}
0	0	0	0	0 0	0	0
	1	1	0	0 1	1	1
	1	0	1	0 0	1	0
	0	1	1	0 1	0	1
1	1	0	0	1 1	1	0
	0	1	0	1 0	0	1
	0	0	1	1 1	0	0
	1	1	1	1 0	1	1

b) There are two ways to proceed with encoding the input data sequence $\{d_k\} = 1\ 1\ 1\ 0$. One way uses the trellis diagram, and the other way uses the encoder circuit. Using the trellis section in Figure 6(b), we choose the dashed-line transition (representing input bit binary 1) from the state $a = 00$ (a natural choice for the starting state) to the next state $b = 10$ (which becomes the starting state for the next input bit). We denote the bits shown on that transition as the output coded-bit sequence 11. This procedure is repeated for each input bit. Another way to proceed is to build a table, such as Table 2, by using the encoder circuit in Figure 6(a). Here, time, k , is shown from start to finish (five time instances and four time intervals). Table 2 is read as follows.

1. At any instant of time, a data bit d_k becomes transformed to a_k by summing it (modulo-2) to the bits a_{k-1} and a_{k-2} on the same row.
2. For example, at time $k = 2$, the data bit $d_k = 1$ is transformed to $a_k = 0$ by summing it to the bits a_{k-1} and a_{k-2} on the same $k = 2$ row.
3. The resulting output, $u_k v_k = 10$, dictated by the encoder logic circuitry, is the coded-bit sequence associated with time $k = 2$ (actually, the time interval between times $k = 2$ and $k = 3$).

4. At time $k = 2$, the contents (10) of the rightmost two stages, a_{k-1} and a_{k-2} , represents the state of the machine at the start of that transition.
5. The state at the end of that transition is seen as the contents (01) in the two leftmost stages, $a_k a_{k-1}$, on that same row. Since the bits shift from left to right, this transition-terminating state reappears as the starting state for time $k = 3$ on the next row.
6. Each row can be described in the same way. Thus, the encoded sequence seen in the final column of Table 2 is 1 1 1 0 1 1 0 0.

Table 2
Encoding a Bit Sequence with the Figure 6(a) Encoder

Time (k)	Input $d_k = u_k$	First Stage a_k	State at Time k		Output $u_k v_k$
			a_{k-1}	a_{k-2}	
1	1	1	0	0	1 1
2	1	0	1	0	1 0
3	1	0	0	1	1 1
4	0	0	0	0	0 0
5			0	0	

Concatenation of RSC Codes

Consider the parallel concatenation of two RSC encoders of the type shown in Figure 6. Good turbo codes have been constructed from component codes having short constraint lengths ($K = 3$ to 5). An example of a such a turbo encoder is shown in Figure 7, where the switch yielding v_k provides puncturing, making the overall code rate 1/2. Without the switch, the code would be rate 1/3. There is no limit to the number of encoders that may be concatenated, and in general the component codes need not be identical with regard to constraint length and rate. The goal in designing turbo codes is to choose the best component codes by maximizing the effective free distance of the code [7]. At large values of E_b/N_0 , this is tantamount to maximizing the minimum-weight codeword. However, at low values of E_b/N_0 (the region of greatest interest), optimizing the weight distribution of the codewords is more important than maximizing the minimum-weight codeword [6].

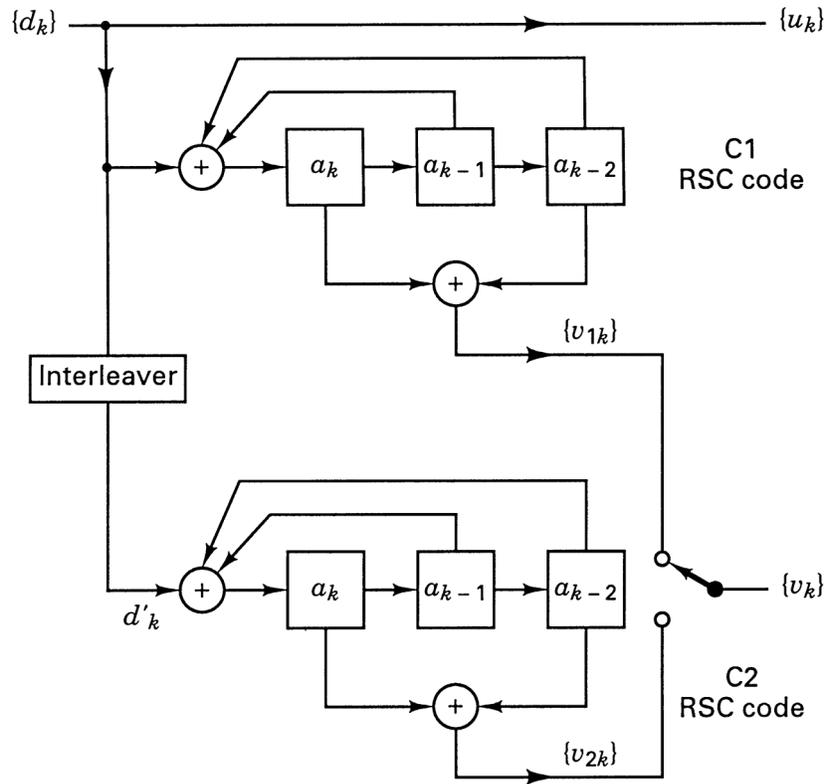


Figure 7

Parallel concatenation of two RSC codes.

The turbo encoder in Figure 7 produces codewords from each of two component encoders. The weight distribution for the codewords out of this parallel concatenation depends on how the codewords from one of the component encoders are combined with codewords from the other encoder. Intuitively, we should avoid pairing low-weight codewords from one encoder with low-weight codewords from the other encoder. Many such pairings can be avoided by proper design of the interleaver. An interleaver that permutes the data in a random fashion provides better performance than the familiar block interleaver [8].

If the component encoders are not recursive, the unit weight input sequence $00 \dots 00100 \dots 00$ will always generate a low-weight codeword at the input of a second encoder for any interleaver design. In other words, the interleaver would not influence the output-codeword weight distribution if the component codes were not recursive. However if the component codes are recursive, a weight-1 input sequence generates an infinite impulse response (infinite-weight output). Therefore, for the case of recursive codes, the weight-1 input sequence does not yield the minimum-weight codeword out of the encoder. The encoded output weight is kept finite only by trellis termination, a process that forces the coded

sequence to terminate in such a way that the encoder returns to the zero state. In effect, the convolutional code is converted to a block code.

For the encoder of Figure 7, the minimum-weight codeword for each component encoder is generated by the weight-3 input sequence (0 0 ... 0 0 1 1 1 0 0 0 ... 0 0) with three consecutive 1s. Another input that produces fairly low-weight codewords is the weight-2 sequence (0 0 ... 0 0 1 0 0 1 0 0 ... 0 0). However, after the permutations introduced by an interleaver, either of these deleterious input patterns is unlikely to appear again at the input to another encoder, making it unlikely that a minimum-weight codeword will be combined with another minimum-weight codeword.

The important aspect of the building blocks used in turbo codes is that they are recursive (the systematic aspect is merely incidental). It is the RSC code's IIR property that protects against the generation of low-weight codewords that cannot be remedied by an interleaver. One can argue that turbo code performance is largely influenced by minimum-weight codewords that result from the weight-2 input sequence. The argument is that weight-1 inputs can be ignored, since they yield large codeword weights due to the IIR encoder structure. For input sequences having weight-3 and larger, a properly designed interleaver makes the occurrence of low-weight output codewords relatively rare [7-11].

A Feedback Decoder

The Viterbi algorithm (VA) is an optimal decoding method for minimizing the probability of sequence error. Unfortunately, the VA is not suited to generate the a posteriori probability (APP) or soft-decision output for each decoded bit. A relevant algorithm for doing this has been proposed by Bahl et al. [12] The Bahl algorithm was modified by Berrou, et al. [3] for use in decoding RSC codes. The APP of a decoded data bit d_k can be derived from the joint probability $\lambda_k^{i,m}$ defined by

$$\lambda_k^{i,m} = P\{d_k = i, S_k = m | R_1^N\} \quad (48)$$

where S_k is the encoder state at time k , and R_1^N is a received binary sequence from time $k = 1$ through some time N .

Thus, the APP for a decoded data bit d_k , represented as a binary digit, is equal to

$$P\{d_k = i | R_1^N\} = \sum_m \lambda_k^{i,m} \quad i = 0, 1 \quad (49)$$

The log-likelihood ratio (LLR) is written as the logarithm of the ratio of APPs, as follows:

$$L(\hat{d}_k) = \log \left[\frac{\sum_m \lambda_k^{1,m}}{\sum_m \lambda_k^{0,m}} \right] \quad (50)$$

The decoder makes a decision, known as the *maximum a posteriori* (MAP) decision rule, by comparing $L(\hat{d}_k)$ to a zero threshold. That is,

$$\begin{aligned} \hat{d}_k &= 1 & \text{if } L(\hat{d}_k) > 0 \\ \hat{d}_k &= 0 & \text{if } L(\hat{d}_k) < 0 \end{aligned} \quad (51)$$

For a systematic code, the LLR $L(\hat{d}_k)$ associated with each decoded bit \hat{d}_k can be described as the sum of the LLR of \hat{d}_k out of the demodulator and of other LLRs generated by the decoder (extrinsic information), as expressed in Equations (12) and (13). Consider the detection of a noisy data sequence that stems from the encoder of Figure 7, with the use of a decoder shown in Figure 8. Assume binary modulation and a discrete memoryless Gaussian channel. The decoder input is made up of a set R_k of two random variables x_k and y_k . For the bits d_k and v_k at time k , expressed as binary numbers (1, 0), the conversion to received bipolar (+1, -1) pulses can be expressed as follows:

$$x_k = (2d_k - 1) + i_k \quad (52)$$

$$y_k = (2v_k - 1) + q_k \quad (53)$$

where i_k and q_k are two statistically-independent random variables with the same variance σ^2 , accounting for the noise contribution. The redundant information, y_k , is demultiplexed and sent to decoder DEC1 as y_{1k} when $v_k = v_{1k}$, and to decoder DEC2 as y_{2k} when $v_k = v_{2k}$. When the redundant information of a given encoder (C1 or C2) is not emitted, the corresponding decoder input is set to zero. Note that the output of DEC1 has an interleaver structure identical to the one used at the transmitter between the two encoders. This is because the information processed by DEC1 is the noninterleaved output of C1 (corrupted by channel noise). Conversely, the information processed by DEC2 is the noisy output of C2 whose input is the same data going into C1, however permuted by the interleaver. DEC2 makes use of the DEC1 output, provided that this output is time-ordered in the same way as the

For the Gaussian channel, the natural logarithm in Equation (54) is used to describe the channel LLR, $L_c(x_k)$, as in Equations (17a-c). We rewrite the Equation (17c) LLR result below:

$$L_c(x_k) = -\frac{1}{2} \left(\frac{x_k - 1}{\sigma} \right)^2 + \frac{1}{2} \left(\frac{x_k + 1}{\sigma} \right)^2 = \frac{2}{\sigma^2} x_k \quad (55)$$

Both decoders, DEC1 and DEC2, use the modified Bahl algorithm [12]. If the inputs $L_1(\hat{d}_k)$ and y_{2k} to decoder DEC2 are statistically independent, the LLR $L_2(\hat{d}_k)$ at the output of DEC2 can be written as

$$L_2(\hat{d}_k) = f[L_1(\hat{d}_k)] + L_{e2}(\hat{d}_k) \quad (56)$$

with

$$L_1(\hat{d}_k) = \frac{2}{\sigma_0^2} x_k + L_{e1}(\hat{d}_k) \quad (57)$$

where $f[\cdot]$ indicates a functional relationship. The extrinsic information $L_{e2}(\hat{d}_k)$ out of DEC2 is a function of the sequence $\{L_1(\hat{d}_n)\}_{n \neq k}$. Since $L_1(\hat{d}_n)$ depends on the observation R_1^N , the extrinsic information $L_{e2}(\hat{d}_k)$ is correlated with observations x_k and y_{1k} . Nevertheless, the greater $|n-k|$ is, the less correlated are $L_1(\hat{d}_n)$ and the observations x_k, y_k . Thus, due to the interleaving between DEC1 and DEC2, the extrinsic information $L_{e2}(\hat{d}_k)$ and the observations x_k, y_{1k} are weakly correlated. Therefore, they can be jointly used for the decoding of bit d_k . In Figure 8, the parameter $z_k = L_{e2}(\hat{d}_k)$ feeding into DEC1 acts as a diversity effect in an iterative process. In general, $L_{e2}(\hat{d}_k)$ will have the same sign as d_k . Therefore, $L_{e2}(\hat{d}_k)$ may increase the associated LLR and thus improve the reliability of each decoded data bit.

The algorithmic details for computing the LLR, $L(\hat{d}_k)$, of the a posteriori probability (APP) for each data bit has been described by several authors [3-4,16]. Suggestions for decreasing the implementational complexity of the algorithms can be found in [13-17]. A reasonable way to think of the process that produces APP values for each data bit is to imagine implementing a maximum-likelihood

sequence estimation or Viterbi algorithm (VA), and computing it in two directions over a block of code bits. Proceeding with this bidirectional VA in a sliding-window fashion, and thereby obtaining metrics associated with states in the forward and backward directions, allows computing the APP for each data bit represented in the block. With this view in mind, the decoding of turbo codes can be estimated to be at least two times more complex than decoding one of its component codes using the VA.

Turbo Code Error-Performance Example

Performance results using Monte Carlo simulations have been presented in [3] for a rate $1/2$, $K = 5$ encoder implemented with generators $\mathbf{G}_1 = \{1\ 1\ 1\ 1\ 1\}$ and $\mathbf{G}_2 = \{1\ 0\ 0\ 0\ 1\}$, using parallel concatenation and a 256×256 array interleaver. The modified Bahl algorithm was used with a data block length of 65,536 bits. After 18 decoder iterations, the bit-error probability P_B was less than 10^{-5} at $E_b/N_0 = 0.7$ dB. The error-performance improvement as a function of the number of decoder iterations is seen in Figure 9. Note that, as the Shannon limit of -1.6 dB is approached, the required system bandwidth approaches infinity, and the capacity (code rate) approaches zero. Therefore, the Shannon limit represents an interesting theoretical bound, but it is not a practical goal. For binary modulation, several authors use $P_B = 10^{-5}$ and $E_b/N_0 = 0.2$ dB as a *pragmatic* Shannon limit reference for a rate $1/2$ code. Thus, with parallel concatenation of RSC convolutional codes and feedback decoding, the error performance of a turbo code at $P_B = 10^{-5}$ is within 0.5 dB of the (pragmatic) Shannon limit. A class of codes that use serial instead of parallel concatenation of the interleaved building blocks has been proposed. It has been suggested that serial concatenation of codes may have superior performance [14] to those that use parallel concatenation.

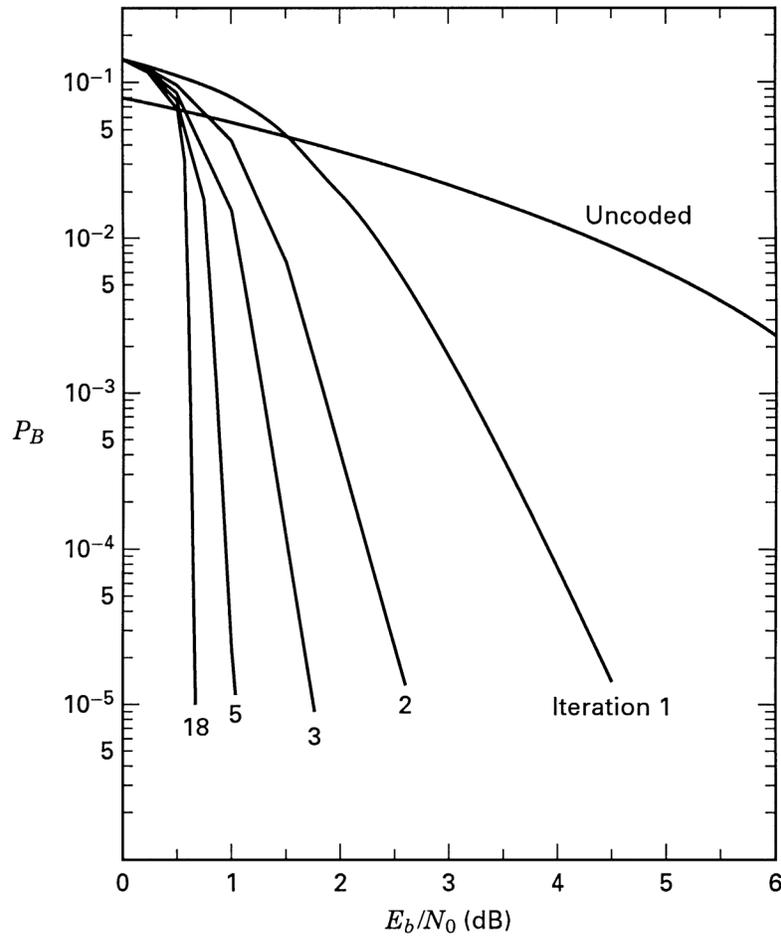


Figure 9

Bit-error probability as a function of E_b/N_0 and multiple iterations.

Conclusion

This article described the concept of turbo coding, whose basic configuration depends on the concatenation of two or more component codes. Basic statistical measures such as a posteriori probability and likelihood were reviewed, and these measures were used to describe the error performance of a soft input/soft output decoder. We showed how performance is improved when soft outputs from concatenated decoders are used in an iterative decoding process. We then proceeded to apply these concepts to the parallel concatenation of recursive systematic convolutional (RSC) codes, and explained why such codes are the preferred building blocks in turbo codes. A feedback decoder was described in general ways, and its remarkable performance was presented.

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