[digital] MODELING

WILLIAM VAUGHAN

Praise for [digital] Modeling

"This book does a great job of covering the many aspects of digital modeling. William explains everything in detail with full-color references and pictures. What I found most useful was his explanations for why he performed specific modeling tasks. This is the first book that I have read on 3D modeling that isn't just a rehash of what I can find in a software manual. I'd highly recommend this book to not only modelers, but also anyone looking to improve their understanding of production pipelines."

-Brian Arndt, BioWare

"William's skill as a 3d modeler is legendary. His passion for teaching and abilities are just as well known. The combination of his knowledge of the art of modeling, and his clear and patient style of teaching make this book a "must have" for anyone, regardless of their current skill level."

-Jack "Deuce" Bennett II, owner, Creative Imagineering, Inc.

"William is an amazing teacher and one of the best designer/modelers I have ever met. His digital modeling book is just another in a long list of gifts from the master."

-Nicholas Boughen, owner, CG-Masters.com

"In his trademark natural writing style, William concisely explains not only how to model but also how to think and solve problems like a professional. This book is an absolute must-have for those who wish to get a solid understanding of the digital modeling process."

—Alan Chan, Digital Domain

"You'd be hard pressed to find a more talented or prolific artist in the industry today. William is one of a truly rare breed: someone who can both do the work and teach it to others. His methods have shaped my own practices in countless ways. I've learned a great deal from William, and so will you!"

-Jarrod Davis, Emmy Award-winning VFX artist

"Think of this book as THE BIBLE—not just for digital modeling but for applying a fun, professional attitude towards a career in the digital arts. I don't think you can get a clearer picture of what is expected of a digital artist in a production environment than what is shared in this glorious tome. But don't just take my word for it... really, you should stop reading my quote and get to page one already."

-David A. Maldonado, Deluxe Digital

"With his industry insights, thorough explanations and relevant examples, William's new book, *[digital] Modeling*, is required reading not only for those new to the industry but veterans who've come to rely on just a small subset of available tools and techniques as well."

—Chris O'Riley, V | 4 Digital

"William Vaughan is not teaching techniques as much as he is teaching the necessary mindset one must have for success as a digital modeler. Having been a student of William's, in my opinion this book is the best learning experience possible aside from sitting in on one of his classes. From the very first page it is clear that his experience and passion for his craft are the driving forces behind this amazing book."

—Kurt Smith, Pixomondo

"William Vaughan has the rare ability to share his in-depth knowledge of 3D modeling and the CG industry with others in an easy to digest way. He has trained hundreds of artists working in the industry and has influenced the way I approach modeling. This book is a must-read for anyone interested in creating digital models."

-Ron Thornton, award-winning VFX/CG Leader and recognized industry pioneer

"I've had the privilege of working with William for over a decade at many training events. His ability to explain difficult concepts in a simple, precise manner regarding the concepts of 3D modeling and animation is rare and exceptional. His insight and explanation of methodology to me over the years has been invaluable, and this modeling guide pulls it all into one amazing resource."

-Graham Toms, 3D educational specialist, NewTek

"Truly William Vaughan has a passion for teaching and shares that passion within the pages of this book. He is one of the best teachers I have had and I'm excited that he's able to share his modeling knowledge outside the boundaries of a single classroom."

—April Warren, Digital Domain

[d i g i t a l] MODELING



William Vaughan

[digital] Modeling

William Vaughan

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Many people have come in and out of my life over the years, and have helped to shape me into the artist I am today, but one stands out over the rest. Von Kwallek, one of my high school art instructors, instilled in me the importance of problem solving, which has carried me through my entire career. My teaching style can be directly attributed to Kwallek's passion for education and his unbelievable ability to share his knowledge.

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And last but certainly not least, I'd like to thank you, the reader, for your interest in this book. I hope it aids you in the creation of countless digital models.

About the Author

Originally from Texas, now happily residing in Philadelphia with his wife Addie and dog Jack, William Vaughan's CG work can be seen in all forms of media over the past 20 years. He's worked on projects ranging from children's books to toys, video games, broadcast, and film, and for clients like *Rolling Stone* magazine, Hasbro Toys, and Pixar Animation Studios.

William has always had a passion for creating as well as teaching. For over six years, he played a major role in the evolution of the industry-leading software, LightWave 3D. While working for NewTek as its LightWave Evangelist, he helped write the manual and provided the training for CGI artists all over the world, authoring more than 300 tutorials and instructional videos. His online tutorials are required reading for anyone interested in learning 3D. William has been published by every major CGI magazine and has contributed to 17 books. However, his writing is not limited to tutorials and case studies. He has also written and directed several award-winning animated short films, such as *Batman: New Times, X-Men: Dark Tide*, and the Tofu the Vegan Zombie animated short, *Zombie Dearest*.

For several years, William was the Director of Industry Relations and Head of Curriculum at the Digital Animation and Visual Effects School at Universal Studios in Orlando, Florida. He has personally trained hundreds of students to become professional animators at major studios, such as Rhythm and Hues, Digital Domain, Weta Digital, Monolith, and EA Sports. Among his prized pupils are the art department at NASA's Johnson Space Center and actor Dick Van Dyke.

After spending two years in New York creating content for Nickelodeon, SyFy, Spike TV, and others, William recently moved to focus on his Phillybased toy company, Applehead Factory. As co-owner and Creative Director, he works with his business partners Joe DiDomenico and Phil Nannay on building brands and creating memorable characters.

About the Technical Reviewer

Jack "Deuce" Bennett II is a freelance CGI artist whose background is in physical special effects for motion pictures and television. Deuce has been working in the film industry his entire life and has such movies as *Robocop*, *Lonesome Dove*, and *Jimmy Neutron: Boy Genius* to his credit, as well as TV shows such as *Walker*, *Texas Ranger*. Deuce has been using computers since he was nine, and he started off writing his own graphic programs. He is a unique combination of physical knowledge and virtual know-how.

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Audio: Voice Recording

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Foreword

Several years ago, while I was trying to finish my first book, exhausted, demoralized, and with a deadline looming, my publisher told me I needed some sort of CG expert—some well-known public figure—to read a draft and write a foreword for my book. I told him I didn't know anyone who matched the description, because back in those days I was just a struggling artist and had met only a handful of others who pursued computer animation as a career. You have to remember, there just weren't that many of us in those days. "I have just the person," he said. "Proton."

"What's a Proton, other than a positively charged subatomic particle?" I asked.

"Exactly," he replied.

I was pretty puzzled at the time, but I soon came to learn exactly what he meant.

"Positively charged." That's the key. William Vaughan is one of those people who is able to discover the amazing in anything that possesses it and who has no compunction about sharing those discoveries with the world. If something is awesome, he lets everyone know about it. So when I received his feedback from the first draft, it was so positive and filled with such excitement that it gave me the energy I needed to finish the book and get it out there. That was very early in my career, and the success of that book is reflected in nearly everything I do professionally today.

Now here we are many years later, and I am faced with the privilege and problem of writing this foreword for William. My immediate urge is to write, without regard for the contents of the book, a glowing review so that I can repay William for his enthusiasm and advice over the years. But I don't need to do that because the book stands on its own, without my platitudes. William is an artist of immense integrity, which means that he puts the best of himself into everything he does. Over the years, I have seen so many artists, hundreds certainly, perhaps thousands, benefit from the influence of this man's work, and I know this book is simply another expression of William's love for the art—just another way he can share his passion with the world, just another in a long list of gifts to us.

So why should you read this book? Because passion drives excellence and because William is one of the most passionate artists I have ever known, so I know with certainty that he brings all his excellence to it. Why on earth would anyone not want to read that?

Nicholas Boughen VFX Supervisor Owner CG-Masters.com

CHAPTER FOUR



Fundamentals of a Digital Model

Before we go too far down the rabbit hole, I want to introduce some of the elements of digital models and the terms you'll encounter throughout the book. If you have some experience already, you may be inclined to skip this section, but I recommend you at least skim through it just to make sure we're on the same page. You can think of this chapter as a refresher course of 3D models 101.



A Model's Anatomy

Digital models can be broken down into three types:

- Polygonal models are made up of a collection of points, edges, and polygons.
- NURBS surfaces consist of a network of curves with smooth surfaces between them.
- Subdivision surfaces are similar to polygonal models because they are made up of points, edges, and polygons but also share some of the benefits of NURBS surfaces, placing them into their own category.

In this section I explain some of the terminology used in the creation of all three types of digital models.

Points

A *point*, also called a *vertex* (plural: vertices), is the lowest-level component that makes up a 3D model. Each point exists in 3D space with a specific X, Y, and Z coordinate. Because points alone do not have height, width, or depth, they cannot be rendered.

When two points are connected, a *line* is drawn. When three points are connected, they can become corners of surfaces on a model called a *polygon*. Without points, there would be no polygons. A triangle, for example, consists of three points and one polygon, as shown in **Figure 4.1**.

[Figure 4.1] The three points (shown here in pink) define the shape of the triangle and its placement in 3D space.



Multiple polygons can share the same points when used on a *contiguous* (seamless) mesh. The tessellated sphere in **Figure 4.2** shows individual points being used to define the multiple polygons that make up the object.



[**Figure 4.2**] Polygons that share a common edge also share points to define their shape.

Vertex Maps

Every point in an object stores information about its position and rotation, although you normally don't access the rotational values of an individual point. Points also have the ability to store a variety of additional information using vertex maps. Simply put, a *vertex map* is information saved to a point.

The most common types of vertex maps include:

- Texture (UV)
- Weight
- Morph
- Color
- Selection

Texture (UV)

Texture, or UV, maps store texture placement information and are the most common vertex map. UV mapping adds two extra coordinates to the points in your object; those on the U (horizontal) and V (vertical) axes, running horizontally and vertically through the flat plane of the texture map, on which you can paint your texture. UV coordinates are a 2D representation of 3D space. They set up a relationship between a two-dimensional image and the three-dimensional surface the image will be applied to.

Points can have as many UV maps assigned to them as you'd like. **Figure 4.3** shows three UV maps that were created for the Spiderbait character that you can download from my site at www.pushingpoints.com/2011/07/spiderbait-rig.



[**Figure 4.3**] Three separate UV maps were assigned to the points that make up the character mesh on the right.

Weight

Weight maps store a single value, usually between -100 and 100 (although in some instances lower and higher values are possible). The most common use of a weight map is for defining a bone's influence on a point when *rigging* (placing bones and controls to allow a model to be deformed for animation). **Figure 4.4** shows positive weight values applied to the points that make up the character's jaw. When the weight map is assigned to the jaw bone, the points will move when the bone moves. This allows for seamless organic meshes to deform in localized areas.





There are far more uses for weight maps than rigging. For example, you can use weights to mask a surface when texturing, influence dynamic simulations over an object, aid during the modeling process, and do much more.

Morph

Morph maps store offset information (alternate XYZ values) for a point's position and are commonly used for creating morph targets for animation. **Figure 4.5** shows several morphs applied to the base mesh. Each morph relies on multiple points being moved to new coordinates, and that information is saved to each vertex.



Similar to weight maps, morph maps have a variety of uses during the modeling and texturing processes.

Color

Color maps hold values for Red, Green, Blue, and Alpha (RGBA) color information. I often use color maps on my character models to add color variation to the object's surfaces, like adding blush to a character's face. **Figure 4.6** shows an example of using a color map to add a five o'clock shadow and some color to a character's face.

[Figure 4.6] A color map was applied to the character's face (right) to give the appearance of a five o'clock shadow.



[Figure 4.5] Morph maps are commonly used to create facial poses for animation.

Selection

A *selection map*, also referred to as a *selection set*, stores a single state of a point—either selected or unselected. Selection maps allow a modeler to recall a selected group of points quickly and can be extremely useful for defining which points will be affected by dynamic simulations.

Edges

An *edge* is a one-dimensional line that connects two points in a polygon. Another way to describe edges would be to say that they are the line segments that border a polygon. A triangle, for example, has three edges, three points, and one polygon, as shown in **Figure 4.7**.

Similar to points, multiple polygons can share the same edges when used on a contiguous mesh. The tessellated sphere in **Figure 4.8** shows individual edges bordering the multiple polygons.



[Figure 4.7] The polygon in this image consists of three edges, shown here in pink.



[Figure 4.8] Each polygon shares common edges in this mesh.

Edge weights

Edge weighting increases or decreases the sharpness of an edge between two subdivision surface (SubDs) polygons, allowing for harder or softer corners without additional geometry being added (**Figure 4.9**). The main issue with edge weights is that there is no universal, widely supported format that allows you to transfer edge weights from one 3D application to another. In today's

[Figure 4.9] Edge weighting has been increased to 100 percent to the four edges on the top of the SubD object on the left to produce harder edges. mixed software pipeline, this can be a showstopper. Most modelers I know avoid edge weighting and opt for additional geometry to accomplish the same end goal.



Polygonal Models

Polygons, often shortened to *polys* and commonly referred to as *faces*, are geometric shapes consisting of a number of points that define the surface of a 3D object. A polygon is what you actually see in a render, and a typical 3D model will consist of hundreds or thousands of polygons (**Figure 4.10**).



[Figure 4.10] This head mesh consists of over 6000 polygons.

Although some 3D applications allow the creation of one- and two-point polygons, it's more common that a polygon be made up of at least three points. Three-point polygons are commonly called *triangles* or *tris*. Polygons made up of four points are called *quads*, and a polygon that has more than four points is usually referred to as an *n-gon*. The term *n-gon* means a polygon with *n* sides, where *n* is the number of the polygon's sides. For example, a polygon with six sides is a 6-gon. Examples of a triangle, a quad, and an n-gon are shown in **Figure 4.11**.



[Figure 4.11] Polygons come in all shapes and sizes. The triangle (left) consists of three points, the quad (middle) is made up of four points, and the n-gon (right) is made up of 24 points.

NURBS

A Non-Uniform Rational B-Splines (NURBS) surface is a smooth mesh defined by a series of connected *splines*, which are polynomial curves. This smooth surface is converted to polygons at render time, so NURBS surfaces can contain an arbitrary number of polygons. NURBS can be converted to polygons or subdivision surfaces and are useful for constructing many types of organic 3D forms because of the minimal nature of their curves. NURBS geometry is smooth by default and doesn't need to be subdivided to "become" smooth like polygon geometry does.

Non-Uniform refers to the parameterization (defining the parameters) of the curve. Non-Uniform curves allow, among other things, the presence of *multi-knots* (a sequence of values that determines how much and where the control points influence the shape), which are needed to represent Bézier curves.

Rational refers to the underlying mathematical representation. This property allows NURBS to represent exact conics (such as parabolic curves, circles, and ellipses) in addition to free-form curves.

B-splines are *piecewise* (a function that changes) polynomial curves (splines) that have a parametric representation. Simply put, a *B-spline* is based on four local functions or control points that lie outside the curve itself.

The best way to understand NURBS is to see them in action. Figure 4.12 shows multiple examples of the splines that define the NURBS surfaces.

[Figure 4.12] Each of these three NURBS surfaces are defined by a series of splines, shown to the left of each object.



NURBS are most commonly used in computer-aided design (CAD), manufacturing, and engineering. Although they were once used heavily for organic objects (see the forthcoming section "Model Classification: Hard Surface and Organic") in the film and broadcast markets, subdivision surfaces have since replaced them in almost all instances in movies and television.

Splines

A *spline* is a curve in 3D space defined by at least two points. The most common spline used in digital modeling is the Bézier curve. *Bézier curves* are used to model smooth curves using far fewer points than a polygonal model would require. *Control points* make up the curve and can be used to dramatically manipulate the curve with little effort. Also, splines are resolution independent, unlike a polygonal mesh, which can appear faceted when you zoom in close enough to a curved surface.

Splines in 3D applications can be likened to vector curves in software such as Illustrator, Flash, and Photoshop. Splines are similar to NURBS in that they can create a "patch" of polygons that extends between multiple splines, forming a 3D skin around the shape (**Figure 4.13**), a feature which is extremely useful when modeling. Unlike NURBS, the splines must be converted to polygons before rendering.

Splines are also useful in many other modeling techniques, including but not limited to, *extrusion* (adding depth to a flat surface) and *cloning* (duplicating) along a spline and deforming a mesh based on the curves of a spline.



[Figure 4.13] The four splines on the left were used to patch the polygonal mesh on the right.

Subdivision Surfaces

Subdivision surface (*SubD*) is a refinement algorithm that creates a smooth curved surface from a coarse *polygonal mesh* (also called a *base mesh*). This process takes the base mesh and creates a smooth surface using the original vertices as control points, also referred to as the control cage. **Figure 4.14** shows the polygonal mesh (left), the control cage (middle), and the resulting SubD mesh (right).



The number of polygons, or subdivisions, generated from SubDs can be adjusted to a varying level of density, and complex smooth objects can be created in a fast and predictable way from simple base meshes, as shown in the character model in **Figure 4.15**. This makes SubDs a popular option for most digital modelers.



[Figure 4.15] This character was created with a very simple polygonal mesh (left). But with SubDs applied (middle), a smooth, high-poly mesh was generated (right).

[Figure 4.14] Subdivision surfaces allow you to work with a very light and simple polygonal mesh to create smooth organic shapes.

Model Classification: Hard Surface and Organic

When 3D was still in its infancy, digital modelers were usually put into one of two distinct groups based on the type of meshes they constructed. Although the lines have become blurred, these groups still exist today and play a role in how modelers define themselves in the industry. Also, the distinction of the types of meshes a modeler creates makes it easier for studios looking for talent to find the right digital modeler for their specific modeling needs.

Every 3D mesh can be grouped or classified as either a hard surface or organic. What's the difference? What defines an object as hard surface? What defines an object as organic? So many objects nowadays seem to blur the lines between the two. How would you make a distinction between these classifications?

What may come as a surprise is that if you ask 20 professional digital modelers what the difference between these two classifications is, you'll receive 20 different responses. I did just that before writing this section of the book and was quite surprised at some of what I heard.

How can something so seemingly clear-cut bring about so many different ways to classify a 3D model? Before coming to a conclusion, let's explore the most common responses.

Production Driven

Many artists felt that a model would be classified by how it would be used in a production. A static object, such as a stone statue, gas pump, or street sign, would be considered a hard surface object, whereas objects that would deform or animate, such as an animated human character, flag, or animal would fall into the organic category.

The same item could be classified two different ways depending on what the object is called to do for the shot/project. A statue is made of stone and doesn't usually deform; therefore, it is a hard surface object. But if it becomes a moving statue, as in the world of Harry Potter, it is organic.

Although a gun has moving parts that can be animated, it is still a rigid object, which makes it a hard surface object, unless of course, someone with

super human strength comes along and bends (deforms) the barrel—then it becomes organic.

If the mesh is going to deform in some way, it needs to be modeled differently and it should then be classified as an organic object.

Attribute Driven

Some believed that it was a model's *attributes*, or what an object looks like, that defined whether it was hard surface or organic. So if the mesh had flowing "organic" curves where any shape could smoothly transform into any other, like a character, ornate piece of furniture, or a sleek sports car, it was an organic mesh.

Hard surface objects would be defined as meshes typically involving tight edges or simpler shapes joining together with distinct edges, even if the shapes were soft or sleek, like guns, power tools, and retro robots.

Also, if the object's surface attributes were that of stone, metal, or glass, it would fall into the hard surface category, whereas objects made up of living tissue, like animals, plants, and people, would be considered organic.

Construction Driven

One artist defined the two by focusing solely on the modeling aspect. Objects that require a more "organized" topology could be classified as an organic mesh and easily created using "organic" modeling tools and techniques. He believed that organic meshes tend to have more polygons and could benefit from SubDs more than hard surface objects. Hard surface objects don't require an organized, semi-regular topology and could be created with fewer polygons with less concern about the object's underlying mesh.

Model Classification Evaluation

Although each of these schools of thought has valid arguments and may work for a particular artist, we simply can't classify an object based on how it is constructed, will be used in production, or by its appearance. To do that would cause confusion, because every object could find its way into each category. Take, for example, my dog Jack. He's a chocolate lab, which is classified as being part of the *Canidae* family. For the most part, Jack acts like your average dog, wanting to eat, play, and sleep most of the time. He does, however, show attributes of a cat at times, and every now and then he will scratch at the ground after he urinates, like a cat pawing at its litter box. Although this is common in cats, it doesn't make Jack part of the *Felidae* family.

Organic modeling goes beyond the fact that the shape of the model is rounded. Many hard surface objects have organic shapes, like cars, cell phones, and robots, whereas organic objects can have rigid shapes like rocks, insects, and crustaceans. Industrial design has moved more towards organic shapes over the years, and the entertainment industry is taking things that were traditionally hard surface, static objects and deforming them in animation—having gas pumps dance in commercials, for example.

Also, modeling something to perform well when animated is just good modeling technique and shouldn't determine whether something is hard surface or organic. For example, look at a mesh sculpted in ZBrush, or modeling with metaballs or voxels. You can create something very organic, but these modeling techniques will make the model nonconducive to animating. Would that then be considered hard surface modeling? Of course not.

Most modelers don't limit their tool and technique use based on whether a model is organic or hard surface. Generally, they use good modeling techniques, which include building a model that'll hold up if deformed, even if it's not intended to, and apply those same techniques regardless of whether the model is hard surface or organic.

You hear the terms *hard surface* and *organic modeling* all the time in the 3D modeling community, and artists are often defined as one or the other. If you make mostly characters meshes, you are an organic modeler. If you make more architectural or mechanical objects, you are a hard surface modeler. I usually describe myself as an organic character modeler, but it is simply not that straightforward, because I create products and vehicles that are defined as hard surface too.

So back to the point: What's the difference between hard surface and organic models, and how do we define the two? I suppose, essentially, there is no difference at all, and it is a question of semantics. For the purposes of this book (and based on my personal philosophy), I use the following distinction: Characters, creatures, plant life, and more naturalistic environments are organic models, and architectural environments, vehicles, and mechanical products are hard surface. This is very loose as a definition, and as I've tried to emphasize, the lines between the two are indeed very blurred.

Hard surface

Hard surface objects are anything man-made or constructed. Architectural structures, vehicles, robots, and anything machined or manufactured could fall into this category. The robots from FunGoPlay's *Grid Iron Gladiators* (www.fungoplay.com), shown in **Figure 4.16**, would fall into the hard surface category.



[Figure 4.16] Although these robots have smooth organic shapes, they still fall into the hard surface category.

Organic

Organic models are subjects that naturally exist in nature. This would include humans, animals, plants, trees, rocks, boulders, terrains, clouds, and even lightning bolts. The nonplayer characters that roam the world of FunGoPlay (**Figure 4.17**) would be considered organic models.



[Figure 4.17] These characters from FunGoPlay would be classified as organic models.

Model Styles

As with a model's classification, a mesh usually has a specific style associated with it. A *style* refers to a specific philosophy, goal, or look. Realism, impressionism, abstract expressionism, and surrealism are common styles found in traditional art. Although a digital model could easily fall into any of the traditional art styles, the 3D industry usually places them into one of two different model styles: photo-real and stylized.

Photo-real

When a model depicts an object with realistic accuracy, the term *photo-real* is applied. Digital artists use photographic reference and their observation skills to transfer the realistic properties to the details that make up their models.

It's important to understand that the subject matter is not required to be a real-world object, like a car, human, or architectural structure. Models of robots, dragons, and other fictional subjects can also be modeled in a photorealistic style using real-world reference as a guide.

Stylized

When a digital model consists of artistic forms and conventions in a nonrealistic style, it is referred to as a *stylized* model. Simply put, a stylized model is one that is not photo-real. Cartoon characters and environments are classic examples of stylized models.

The best stylized modelers I know still gather and use just as much real-world reference material as a photo-real modeler. The only difference is how they interpret it and apply that information to the model.

Choosing a Style

Although many artists would argue otherwise, I don't find either style of modeling to be more difficult than the other. Both styles require the same attention to detail, and the same care needs to be put into the poly-count and topology of the mesh. At the end of the day, the only real difference between the two styles is where the points are arranged on the model, as shown in the head models in **Figure 4.18**.



[Figure 4.18] Each of these head models consists of the same elements, but only the head on the far left would be classified as photo-real.

Most artists gravitate towards a particular style. I prefer creating stylized models and creating meshes that have otherworldly proportions and attributes, but I also tackle photo-real models on a regular basis. My modeling toolset and techniques don't change depending on the style of the mesh I'm tasked with. Digital modelers' goals should be to hone their observational skills and to have the ability to work across styles.

Learning to work in both styles will only enhance your ability in the style of your choice and will open up more opportunities to you as a professional modeler.

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