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—Elizabeth K. Joseph, Systems Administrator & Ubuntu Community Member

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## ***PRAISE FOR BOOKS BY MARK G. SOBELL***

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“I have said before on several occasions that Sobell does really good work. Well, [*A Practical Guide to Ubuntu Linux*®, *Third Edition*] holds true to my words. This is a big book with some 1250+ pages in it absolutely filled to the brim with useful information. The review on the front cover mentions that the book is ‘comprehensive’ and that just might be understating it a little. This book has practically anything you might want to know about Ubuntu, and references a lot of really helpful general Linux and userland program information and it’s put together in a very straight forward and understandable way. Having the word ‘Practical’ in the name is also a really good fit as the book offers great walk-throughs on things people will want to do with their Ubuntu install from beginner things like configuring a printer all the way up to things like some Perl programming and running your own Web server. All in all, this book is not only worth a look, but it is a keeper. It’s a good read and great technical reference.”

—*Lincoln C. Fessenden, Linux Guy / I.T. Manager*

“The third updated edition of *A Practical Guide to Ubuntu Linux*® offers a fine reference perfect for any Ubuntu Linux computer collection, packing in hundreds of practical applications for Ubuntu with keys to security, Perl scripting, common administration tasks, and more. From keeping Ubuntu systems current to handling configuration issues, this is a solid reference to the latest Ubuntu applications and challenges.”

—*Jim Cox, Midwest Book Review*

“This is an excellent text and I am using it as of this term as the textbook for the class in Linux that I am teaching at the local Community College. The first book on UNIX that I used twenty-five years ago was written by Sobell. He hasn’t lost his touch.”

—*James J. Sherin, Part-Time Faculty, Westmoreland County Community College*

“When I first started working with Linux just a short 10 years or so ago, it was a little more difficult than now to get going. . . . Now, someone new to the community has a vast array of resources available on the web, or if they are inclined to begin with Ubuntu, they can literally find almost every single thing they will need in the single volume of Mark Sobell’s *A Practical Guide to Ubuntu Linux*®.

“Overall, I think it’s a great, comprehensive Ubuntu book that’ll be a valuable resource for people of all technical levels.”

—*John Dong, Ubuntu Forum Council Member, Backports Team Leader*

“I would so love to be able to use this book to teach a class about not just Ubuntu or Linux but about computers in general. It is thorough and well written with good illustrations that explain important concepts for computer usage.”

—*Nathan Eckenrode, New York Local Community Team*

“Ubuntu is gaining popularity at the rate alcohol did during Prohibition, and it’s great to see a well-known author write a book on the latest and greatest version. Not only does it contain Ubuntu-specific information, but it also touches on general computer-related topics, which will help the average computer user to better understand what’s going on in the background. Great work, Mark!”

—*Daniel R. Arfsten, Pro/ENGINEER Drafter/Designer*

“This is well-written, clear, comprehensive information for the Linux user of any type, whether trying Ubuntu on for the first time and wanting to know a little about it, or using the book as a very good reference when doing something more complicated like setting up a server. This book’s value goes well beyond its purchase price and it’ll make a great addition to the Linux section of your bookshelf.”

—*Linc Fessenden, Host of The LinuxLink TechShow, tllts.org*

“Overall, *A Practical Guide to Ubuntu Linux*® by Mark G. Sobell provides all of the information a beginner to intermediate user of Linux would need to be productive. The inclusion of the Live DVD of Ubuntu makes it easy for the user to test-drive Linux without affecting his installed OS. I have no doubts that you will consider this book money well spent.”

—*Ray Lodato, Slashdot contributor, www.slashdot.org*

“I’m sure this sounds a bit like hyperbole. Everything a person would need to know? Obviously not everything, but this book, weighing in at just under 1200 pages, covers so much so thoroughly that there won’t be much left out. From install to admin, networking, security, shell scripting, package management, and a host of other topics, it is all there. GUI and command line tools are covered. There is not really any wasted space or fluff, just a huge amount of information. There are screen shots when appropriate but they do not take up an inordinate amount of space. This book is information-dense.”

—*JR Peck, Editor, GeekBook.org*

“Sobell tackles a massive subject, the vast details of a Linux operating system, and manages to keep the material clear, interesting and engaging. . . . If you want to know how to get the most out of your Red Hat, Fedora, or CentOS system, then this is one of the best texts available, in my opinion.”

—*Jesse Smith, Feature Writer for Distro Watch*

“I had the chance to use your UNIX books when I when was in college years ago at Cal Poly, San Luis Obispo, CA. I have to say that your books are among the best! They’re quality books that teach the theoretical aspects and applications of the operating system.”

—*Benton Chan, IS Engineer*

“I currently own one of your books, *A Practical Guide to Linux*®. I believe this book is one of the most comprehensive and, as the title says, practical guides to Linux I

have ever read. I consider myself a novice and I come back to this book over and over again.”

—*Albert J. Nguyen*

“The book has more than lived up to my expectations from the many reviews I read, even though it targets FC2. I have found something very rare with your book: It doesn’t read like the standard technical text, it reads more like a story. It’s a pleasure to read and hard to put down. Did I say that?! :-)”

—*David Hopkins, Business Process Architect*

“Thanks for your work and for the book you wrote. There are really few books that can help people to become more efficient administrators of different workstations. We hope (in Russia) that you will continue bringing us a new level of understanding of Linux/UNIX systems.”

—*Anton Petukhov*

“Mark Sobell has written a book as approachable as it is authoritative.”

—*Jeffrey Bianchine, Advocate, Author, Journalist*

“Since I’m in an educational environment, I found the content of Sobell’s book to be right on target and very helpful for anyone managing Linux in the enterprise. His style of writing is very clear. He builds up to the chapter exercises, which I find to be relevant to real-world scenarios a user or admin would encounter. An IT/IS student would find this book a valuable complement to their education. The vast amount of information is extremely well balanced and Sobell manages to present the content without complicated asides and meandering prose. This is a ‘must have’ for anyone managing Linux systems in a networked environment or anyone running a Linux server. I would also highly recommend it to an experienced computer user who is moving to the Linux platform.”

—*Mary Norbury, IT Director, Barbara Davis Center, University of Colorado at Denver, from a review posted on slashdot.org*

“Excellent reference book, well suited for the sysadmin of a Linux cluster, or the owner of a PC contemplating installing a recent stable Linux. Don’t be put off by the daunting heft of the book. Sobell has striven to be as inclusive as possible, in trying to anticipate your system administration needs.”

—*Wes Boudville, Inventor*

“The JumpStart sections really offer a quick way to get things up and running, allowing you to dig into the details of the book later.”

—*Scott Mann, Aztek Networks*

“*A Practical Guide to Red Hat® Linux®* is a brilliant book. Thank you Mark Sobell.”

—*C. Pozrikidis, University of California at San Diego*

“Overall I found this book to be quite excellent, and it has earned a spot on the very front of my bookshelf. It covers the real ‘guts’ of Linux—the command line and its utilities—and does so very well. Its strongest points are the outstanding use of examples, and the Command Reference section. Highly recommended for Linux users of all skill levels. Well done to Mark Sobell and Prentice Hall for this outstanding book!”

—*Dan Clough, Electronics Engineer and Slackware Linux User*

“This book presents the best overview of the Linux operating system that I have found. . . . [It] should be very helpful and understandable no matter what the reader’s background: traditional UNIX user, new Linux devotee, or even Windows user. Each topic is presented in a clear, complete fashion and very few assumptions are made about what the reader knows. . . . The book is extremely useful as a reference, as it contains a 70-page glossary of terms and is very well indexed. It is organized in such a way that the reader can focus on simple tasks without having to wade through more advanced topics until they are ready.”

—*Cam Marshall, Marshall Information Service LLC, Member of Front Range UNIX, Users Group [FRUUG], Boulder, Colorado*

“Conclusively, this is THE book to get if you are a new Linux user and you just got into RH/Fedora world. There’s no other book that discusses so many different topics and in such depth.”

—*Eugenia Loli-Queru, Editor in Chief, OSNews.com*

“This book is a very useful tool for anyone who wants to ‘look under the hood’ so to speak, and really start putting the power of Linux to work. What I find particularly frustrating about man pages is that they never include examples. Sobell, on the other hand, outlines very clearly what the command does and then gives several common, easy-to-understand examples that make it a breeze to start shell programming on one’s own. As with Sobell’s other works, this is simple, straight-forward, and easy to read. It’s a great book and will stay on the shelf at easy arm’s reach for a long time.”

—*Ray Bartlett, Travel Writer*

“Totally unlike most Linux books, this book avoids discussing everything via GUI and jumps right into making the power of the command line your friend.”

—*Bjorn Tipling, Software Engineer, ask.com*

“This book is the best distro-agnostic, foundational Linux reference I’ve ever seen, out of dozens of Linux-related books I’ve read. Finding this book was a real stroke of luck. If you want to really understand how to get things done at the command line, where the power and flexibility of free UNIX-like OSes really live, this book is among the best tools you’ll find toward that end.”

—*Chad Perrin, Writer, TechRepublic*

“Thank you for writing a book to help me get away from Windows XP and to never touch Windows Vista. The book is great; I am learning a lot of new concepts and commands. Linux is definitely getting easier to use.”

—*James Moritz*

“I am so impressed by how Mark Sobell can approach a complex topic in such an understandable manner. His command examples are especially useful in providing a novice (or even an advanced) administrator with a cookbook on how to accomplish real-world tasks on Linux. He is truly an inspired technical writer!”

—*George Vish II, Senior Education Consultant, Hewlett-Packard Company*

“I read a lot of Linux technical information every day, but I’m rarely impressed by tech books. I usually prefer online information sources instead. Mark Sobell’s books are a notable exception. They’re clearly written, technically accurate, comprehensive, and actually enjoyable to read.”

—*Matthew Miller, Senior Systems Analyst/Administrator, BU Linux Project, Boston University Office of Information Technology*

“The author has done a very good job at clarifying such a detail-oriented operating system. I have extensive Unix and Windows experience and this text does an excellent job at bridging the gaps between Linux, Windows, and Unix. I highly recommend this book to both ‘newbs’ and experienced users. Great job!”

—*Mark Polczynski, Information Technology Consultant*

“I have been wanting to make the jump to Linux but did not have the guts to do so—until I saw your familiarly titled *A Practical Guide to Red Hat® Linux®* at the bookstore. I picked up a copy and am eagerly looking forward to regaining my freedom.”

—*Carmine Stoffo, Machine and Process Designer to the pharmaceutical industry*

“I am currently reading *A Practical Guide to Red Hat® Linux®* and am finally understanding the true power of the command line. I am new to Linux and your book is a treasure.”

—*Juan Gonzalez*

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# A PRACTICAL GUIDE TO UBUNTU LINUX®

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FOURTH EDITION

**MARK G. SOBELL**



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*Library of Congress Cataloging-in-Publication Data*

Sobell, Mark G.

A practical guide to Ubuntu Linux / Mark G. Sobell.—Fourth edition.

pages cm

Includes index.

ISBN 978-0-13-392731-3 (pbk. : alk. paper)

1. Ubuntu (Electronic resource) 2. Linux. 3. Operating systems (Computers) I. Title.

QA76.76.O63S59497 2015

005.4'46—dc23

2014036709

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ISBN-13: 978-0-13-392731-3

ISBN-10: 0-13-392731-8

Text printed in the United States on recycled paper at Edwards Brothers Malloy in Ann Arbor, Michigan.

First printing, December 2014

*For my father,  
Morton Sobell*

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# JUMPSTARTS

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*JumpStarts get you off to a quick start when you need to use a client or set up a server. Once you have the client or server up and running, you can refine its configuration using the information presented in the sections following each JumpStart.*

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# PREFACE

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**The book** Whether you are an end user, a system administrator, or a little of both, this book explains with step-by-step examples how to get the most out of an Ubuntu system. In 30 chapters, this book takes you from installing an Ubuntu system, through understanding its inner workings, to setting up secure servers that run on the system.

**The audience** This book is designed for a wide range of readers. It does not require you to have programming experience, although having some experience using a general-purpose computer, such as a Windows, Macintosh, UNIX, or another Linux system is certainly helpful. This book is appropriate for:

- **Students** who are taking a class in which they use Linux
- **Home users** who want to set up and/or run Linux
- **Professionals** who use Linux at work
- **System administrators** who need an understanding of Linux and the tools that are available to them, including the `bash` and Python scripting languages
- **Computer science students** who are studying the Linux operating system
- **Technical executives** who want to get a grounding in Linux

**Benefits** *A Practical Guide to Ubuntu Linux®*, *Fourth Edition*, gives you a broad understanding of many facets of Linux, from installing Ubuntu through using and customizing it. No matter what your background, this book provides the knowledge you need to get on with your work. You will come away from this book understanding how to use Linux, and this book will remain a valuable reference for years to come.

Features in  
this edition

This edition covers many topics to help you get your work done using Ubuntu.

- Full coverage of LPI's Linux Essentials certification learning goals and extensive coverage of CompTIA's Linux+ exam objectives (Appendix D; page 1183)
- Updated chapters reflecting new features in Ubuntu 14.04 (Trusty Tahr)—the LTS (Long Term Support) release Canonical will support into 2019
- A new chapter that covers setting up VMs (virtual machines) and working in the cloud (Chapter 17; page 687)
- A new chapter on the Python programming language (Chapter 29; page 1103)
- A new chapter on system security (Chapter 15; page 595)
- A new chapter covering 32 Linux utilities (Chapter 7; page 223)
- A new chapter on the MariaDB/MySQL relational database (Chapter 30; page 1135)
- Updated coverage of the `ufw` and `gufw` firewall utilities (Chapter 26; page 924)
- Tutorials on the `vim` and `nano` editors (Chapter 7; pages 270 and 277)
- Nine chapters on system administration (Part III; page 331)
- A chapter on writing programs using `bash` (Chapter 28; page 1003)
- Coverage of the XFS filesystem (Chapter 11; page 506)
- Coverage of LDAP (Chapter 22; page 830)
- A section on the Cacti network monitoring tool (Chapter 16; page 674)
- Coverage of IPv6 (Chapter 8; page 299)
- Four indexes, making it easier to quickly find what you are looking for. These indexes locate tables (page numbers followed by the letter **t**), provide definitions (*italic page numbers*), and differentiate between light and comprehensive coverage (light and standard fonts).
  - ◆ The JumpStart index (page 1285) lists all JumpStart sections in this book. These sections help you set up servers and clients quickly.
  - ◆ The File Tree index (page 1287) lists, in hierarchical fashion, most files mentioned in this book. These files are also listed in the Main index.
  - ◆ The Utility index (page 1291) supplies the location of all utilities mentioned in this book. A page number in a *light font* indicates a brief mention of the utility, whereas the regular font indicates more substantial coverage. The Utility index also appears on the inside of the front and back covers of the print book.
  - ◆ The revised Main index (page 1297) is designed for ease of use.

- Overlap** If you have read *A Practical Guide to Linux® Commands, Editors, and Shell Programming, Third Edition*, you will notice some overlap between that book and the one you are reading now. The first chapter, the chapters on the utilities, the filesystem, and `rsync`, the appendix on regular expressions, and the Glossary are very similar in the two books, as are the three chapters on the Bourne Again Shell (`bash`) and the chapters on Python and MariaDB. Chapters that appear in this book but do not appear in *A Practical Guide to Linux® Commands, Editors, and Shell Programming, Third Edition*, include Chapters 2 and 3 (installation), Chapter 4 (Ubuntu and the GUI), Chapter 8 (networking), and all of the chapters in Part III (system administration) and Part IV (servers).
- Differences** While this book explains how to use Linux from a graphical interface and from the command line (a textual interface), *A Practical Guide to Linux® Commands, Editors, and Shell Programming, Third Edition*, works exclusively with the command line and covers Mac OS X in addition to Linux. It includes full chapters on the `vim` and `emacs` editors, as well as chapters on the `gawk` pattern processing language and the `sed` stream editor. In addition, it has a command reference section that provides extensive examples of the use of 98 of the most important Linux and Mac OS X utilities. You can use these utilities to solve problems without resorting to programming in C.

---

## THIS BOOK INCLUDES AN UBUNTU 14.04 (TRUSTY TAHR) DVD

- The print book includes a DVD that holds a Desktop Image (installation image) of Ubuntu 14.04 (Trusty Tahr). You can use this DVD to install an Ubuntu 14.04 desktop system. Chapter 2 helps you get ready to install Ubuntu. Chapter 3 provides step-by-step instructions for installing Ubuntu from this DVD. This book guides you through learning about, using, and administering an Ubuntu system.
- Live system** In addition to installing Ubuntu from the DVD, you can use the DVD to run a live Ubuntu session that displays the Unity desktop without making any changes to your computer: Boot from the DVD, run an Ubuntu live session, and log off. Your system remains untouched: When you reboot, it is exactly as it was before you ran the Ubuntu live session. For more information refer to “Booting Ubuntu and Running a Live Session” on page 56.
- DVD features** The Desktop Image DVD includes many of the software packages supported by Ubuntu. You can use it to perform a graphical installation of a graphical Ubuntu system. If you do not have an Internet connection, you can use the DVD as a software repository: After you have installed Ubuntu, you can install supported software packages from the DVD.

### FOR READERS OF DIGITAL EDITIONS

If you are reading a digital edition of this book, see “Downloading an Image File and Burning/Writing the Installation Medium” on page 47 for instructions on how to download an installation image and create a DVD or USB flash drive that holds that image.

## FEATURES OF THIS BOOK

This book is designed and organized so you can get the most out of it in the least amount of time. You do not have to read this book straight through in page order. Instead, once you are comfortable using Linux, you can use this book as a reference: Look up a topic of interest in the table of contents or in an index and read about it. Or think of the book as a catalog of Linux topics: Flip through the pages until a topic catches your eye. The book includes many pointers to Web sites where you can obtain additional information: Consider the Internet to be an extension of this book.

*A Practical Guide to Ubuntu Linux®*, *Fourth Edition*, is structured with the following features.

- **Optional sections** enable you to read the book at different levels, returning to more difficult material when you are ready to delve into it.
- **Caution boxes** highlight procedures that can easily go wrong, giving you guidance *before* you run into trouble.
- **Tip boxes** highlight ways you can save time by doing something differently or situations when it might be useful or just interesting to have additional information.
- **Security boxes** point out places where you can make a system more secure. Chapter 15 presents a thorough background in system **security concepts** and issues and includes a tutorial on GPG.
- Concepts are illustrated by **practical examples** throughout the book.
- Each chapter starts with a list of **chapter objectives**—a list of important tasks you should be able to perform after reading the chapter.
- **Chapter summaries** review the important points covered in each chapter.
- **Review exercises** are included at the end of each chapter for readers who want to further hone their skills. Answers to even-numbered exercises are posted at [www.sobell.com](http://www.sobell.com).
- The **Glossary** defines more than 500 commonly encountered terms.
- The chapters covering servers include **JumpStart** sections that get you off to a quick start using clients and setting up servers. Once a server is up and running, you can test and modify its configuration, as is explained in the rest of each of these chapters.
- This book provides resources for **finding software** on the Internet. It also explains how to **download** and **install** software using `apt-get`, the Ubuntu Software Center window, and BitTorrent. It details controlling automatic updates using the Software & Updates window.
- This book describes in detail many important **GNU tools**, including the Nautilus File Browser, the `parted` and `gnome-disks` partition editors, the `gzip`

compression utility, and many command-line utilities that come from the GNU Project. It also covers the Unity desktop, Ubuntu’s graphical shell for the GNOME desktop environment.

- Pointers throughout the text provide help in obtaining **online documentation** from many sources, including the local system, the Ubuntu Web sites, and other locations on the Internet.
- Multiple **comprehensive indexes** help you locate topics quickly and easily.

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## KEY TOPICS COVERED IN THIS BOOK

This section distills and summarizes the information covered by this book. In addition, “Details” (starting on page 1) describes what each chapter covers. Finally, the Table of Contents (starting on page xvii) provides more detail. This book:

### Installation

- Describes how to download Ubuntu installation image files from the Internet and write or burn the image file to a USB flash drive, CD, or DVD.
- Helps you plan the layout of the system’s hard disk. It includes a discussion of partitions, partition tables, and mount points, and explains how to use `ubiquity`, the `gnome-disks` disk utility, or the Ubuntu textual partition editor to examine and partition the hard disk.
- Explains how to set up a dual-boot system so you can install Ubuntu on a Windows system and boot either operating system.
- Discusses booting a live Ubuntu session and installing Ubuntu from that session.
- Describes in detail how to install Ubuntu from an installation image using the `ubiquity` graphical installer. It also explains how to use the textual installer to install Ubuntu. The graphical installer is fast and easy to use. The textual installer gives you more options and works on systems with less RAM (system memory).
- Covers testing installation media for defects, setting boot command-line parameters (boot options), and creating a RAID array.
- Describes how to set up a VM (virtual machine) and install Ubuntu on the VM.
- Describes how the Logical Volume Manager (LVM2) can set up, grow, and migrate logical volumes, which are similar in function to traditional disk partitions.

### Working with Ubuntu

- Introduces the Unity desktop (GUI), and explains how to use desktop tools, including application and context menus, the Settings window, the Nautilus File Browser, and the GNOME terminal emulator; also covers installation of the GNOME 3 and GNOME 2 (Classic or Flashback) desktops.

- Covers the Bourne Again Shell (`bash`) in three chapters, including an entire chapter on shell programming, which includes many sample shell scripts. These chapters provide clear explanations and extensive examples of how `bash` works both from the command line in day-to-day work and as a programming language in which to write shell scripts.
- Explains the textual (command-line) interface and introduces more than 32 command-line utilities.
- Presents tutorials on the `vim` and `nano` textual editors.
- Covers types of networks, network protocols (including IPv6), and network utilities.
- Explains hostnames, IP addresses, and subnets, and explores how to use `host` and `dig` to look up domain names and IP addresses on the Internet.
- Covers distributed computing and the client/server model.
- Explains how to use ACLs (Access Control Lists) to fine-tune user access permissions.
- Explains how to use the Ubuntu graphical and textual (command-line) tools to configure the display, DNS, NFS, Samba, Apache, a firewall, a network interface, and more. You can also use these tools to add users and manage local and remote printers.
- Explains how you can unlock the `root` account if necessary and describes how to use `su` to work with `root` privileges (become Superuser), and the advantages and dangers of working with escalated privileges.
- Goes into detail about using `sudo` to allow specific users to work with `root` privileges and customizing the way `sudo` works by editing the `sudoers` configuration file.
- Describes how to use the following tools to download and install software to keep a system up to date and to install new software:
  - ◆ The **Software & Updates** window controls which Ubuntu and third-party software repositories Ubuntu downloads software packages from and whether Ubuntu downloads updates automatically. You can also use this window to cause Ubuntu to download and install security updates automatically.
  - ◆ Based on how you set up updates in the Software & Updates window, the **Software Updater** window appears on the desktop to let you know when software updates are available. You can download and install updates from the **Software Updater** window.
  - ◆ The **Ubuntu Software Center** window provides an easy way to select, download, and install a wide range of software packages.
  - ◆ **APT** downloads and installs software packages from the Internet (or the included DVD), keeping a system up to date and resolving dependencies as

it processes the packages. You can use APT from the Synaptic graphical interface or from the `apt-get` textual interface.

- ◆ **BitTorrent** is a good choice for distributing large amounts of data such as Ubuntu installation images. The more people who use BitTorrent to download a file, the faster it works.
- Covers graphical system administration tools, including the many tools available from the **Unity desktop**.
- Explains system operation, including the boot process, **recovery (single-user) and multiuser modes**, and steps to take if the system crashes.
- Details the workings of the **Upstart init daemon**, which replaces the System V **init** daemon.
- Explains how to set up and use the **Cacti** network monitoring tool to graph system and network information over time, including installing and setting up the **LAMP** (Linux, Apache, MariaDB/MySQL, and PHP) stack.
- Provides instructions on installing, setting up, and using a **MariaDB/MySQL** relational database.
- Discusses setting up and repairing an XFS filesystem.
- Describes files, directories, and filesystems, including types of files and filesystems, **fstab** (the filesystem table), and automatically mounted filesystems, and explains how to fine-tune and check the integrity of filesystems.
- Covers backup utilities, including `tar` and `cpio`.
- Describes compression/archive utilities, including `xz`, `gzip`, `bzip2`, `compress`, and `zip`.
- Security • Helps you manage basic **system security** issues using `ssh` (secure shell), `vsftpd` (secure FTP server), Apache (Web server), `ufw` and `iptables` (firewalls), and more.
- Discusses **cryptology**, including concepts of authentication, confidentiality (secrecy), data integrity, and nonrepudiation of origin.
- Explains how to **encrypt a message** using symmetric/private key and asymmetric/public key encryption as well as how to use a hybrid encryption system.
- Describes how to use a **cryptographic hash function** to verify the integrity of a downloaded file and how a salt helps protect against dictionary attacks.
- Describes how to use OpenSSL to create an **SSL certificate**.
- Covers using **GPG (GNU Privacy Guard)** to provide identification, secrecy, and message integrity in email and file sharing, and includes a tutorial on its use.
- Describes how to use the textual uncomplicated firewall (`ufw`) and its graphical interface (`gufw`) to **protect the system**.

- Provides instructions on using `iptables` to share an Internet connection over a LAN and to **build advanced firewalls**.
  - Describes how to set up a `chroot` jail to help **protect a server system**.
  - Explains how to use TCP wrappers to control who can access a server.
- Clients and servers
- Explains how to set up and use the most popular Linux servers, providing a chapter on each: Apache; Samba; OpenSSH; **postfix**; DNS; NFS; FTP; `ufw`, `gufw`, and `iptables`; and NIS/LDAP.
  - Describes how to set up a **CUPS printer server**.
  - Explains how to set up and use a **MariaDB/MySQL** relational database.
  - Describes how to set up and use a **DHCP** server.
- Programming
- Provides a chapter on the **Python** programming language and a full chapter covering **shell programming** using `bash`, including many examples.

## DETAILS

- Chapter 1 **Chapter 1** presents a brief history of Linux and describes some of the features that make it a cutting-edge operating system.
- Part I Part I, “Installing Ubuntu Linux,” discusses how to install Ubuntu Linux. **Chapter 2** presents an overview of the process of installing Ubuntu Linux, including hardware requirements, downloading and burning or writing the installation medium, and planning the layout of the hard disk. The “Conventions Used in This Book” section on page 26 details the typefaces and terminology used in this book. **Chapter 3** is a step-by-step guide to installing Ubuntu; it covers installing from an installation image, from a live session, and using the textual installer.
- Part II Part II, “Using Ubuntu Linux,” familiarizes you with Ubuntu, covering logging in, the GUI, utilities, the filesystem, and the shell. **Chapter 4** introduces desktop features; describes configuring the system using the System Settings window; explains how to use the Nautilus File Browser to manage files, run programs, and connect to FTP and HTTP servers; covers dealing with login problems and using the window manager; and presents some suggestions on where to find documentation, including manuals, tutorials, software notes, and HOWTOs. The introduction to the command line includes information on terminal emulators, virtual consoles, correcting mistakes on the command line, a few basic utilities, and how to write and execute a simple shell script. **Chapter 5** introduces the Bourne Again Shell (`bash`) and discusses command-line arguments and options, redirecting input to and output from commands, running programs in the background, and using the shell to generate and expand filenames. **Chapter 6** discusses the Linux hierarchical filesystem, covering files, filenames, pathnames, working with directories, access permissions, and hard and symbolic links. **Chapter 7** provides in-depth coverage of 32 useful utilities and presents tutorials on the `vim` and `nano` text editors. **Chapter 8** explains networks, network security, and the Internet and discusses types of networks, subnets, protocols, addresses, hostnames, and various network utilities. A section covers the all-important IPv6 protocol. The

section on distributed computing describes the client/server model and some of the servers you can use on a network. (Details of setting up and using clients and servers are reserved until Part IV.)

## Experienced users may want to skim Part II

**tip** If you have used a UNIX or Linux system before, you may want to skim or skip some or all of the chapters in Part II. Do *not* skip “Conventions Used in This Book” (page 26), which explains the typographic and layout conventions used in this book. Both “Getting Help” (page 118), which explains how to get help using a GUI, and “Getting Help from the Command Line” (page 135) point out both local and remote sources of Linux and Ubuntu documentation.

Part III “System Administration,” goes into more detail about administrating the system. **Chapter 9** extends the `bash` coverage from Chapter 5, explaining how to redirect error output, avoid overwriting files, and work with job control, processes, startup files, important shell builtin commands, parameters, shell variables, and aliases. **Chapter 10** discusses core concepts of system operation, including a discussion of the Upstart `init` daemon; the GRUB boot loader; general information about how to set up a server; and DHCP. **Chapter 11** explains the Linux filesystem, going into detail about types of files, including special (device) files; the use of `fsck` both to verify the integrity of filesystems and to repair them; the use of `tune2fs` to change filesystem parameters; and the XFS filesystem and related utilities. **Chapter 12** explains how to keep a system up to date by downloading software from the Internet and installing it, including examples that use APT programs such as `apt-get` and `apt-cache` to perform these tasks. It also covers the `dpkg` software packaging system and the use of some `dpkg` utilities. Finally, it explains how to use BitTorrent from the command line to download files. **Chapter 13** explains how to set up the CUPS printing system so you can print on both local and remote printers. **Chapter 14** covers additional administration tasks, including setting up user accounts, backing up files, scheduling automated tasks, tracking disk usage, and solving general problems. **Chapter 15** covers system security, including using `su` and `sudo` to run commands with `root` privileges; securing servers using TCP wrappers, `chroot` jails, and PAM; how to use cryptography and hashes to secure and verify data; creating and using an SSL certificate; and securing data in transit using GPG (GNU Privacy Guard). **Chapter 16** explains how to set up a local area network (LAN), including both hardware (including wireless) and software configuration and how to set up Cacti to monitor the network. **Chapter 17** describes VMs (virtual machines), how to set up and work with VMs, and how to work with VMs in the cloud.

Part IV goes into detail about setting up and running servers and connecting to them using clients. Where appropriate, these chapters include JumpStart sections, which get you off to a quick start in using clients and setting up servers. The chapters in Part IV cover the following clients/servers:

- **OpenSSH**—Set up an OpenSSH server and use `ssh`, `scp`, and `sftp` to communicate securely over the Internet.
- **rsync**—Use `rsync` to copy files securely from one system to another.

- **FTP**—Set up a **vsftpd** secure FTP server and use any of several FTP clients to exchange files with the server.
- **Email**—Configure **postfix** and use Webmail, POP3, or IMAP to retrieve email; use SpamAssassin to combat spam.
- **NIS and LDAP**—Set up NIS to facilitate system administration of a LAN and LDAP to maintain databases.
- **NFS**—Share filesystems between systems on a network.
- **Samba**—Share filesystems and printers between Windows and Linux systems.
- **DNS/BIND**—Set up a domain nameserver to let other systems on the Internet know the names and IP addresses of local systems they may need to contact.
- **ufw, gufw, and iptables**—Set up a firewall to protect local systems and share a single Internet connection between systems on a LAN.
- **Apache**—Set up an HTTP server that serves Web pages, which browsers can then display. This chapter includes many suggestions for increasing Apache security.

Part V Part V covers three important programming tools that are used extensively in Ubuntu system administration and general-purpose programming. **Chapter 28** continues where Chapter 9 left off, going into greater depth about shell programming using **bash**, with the discussion enhanced by extensive examples. **Chapter 29** introduces the flexible and friendly Python programming language, including coverage of lists and dictionaries, using libraries, defining functions, regular expressions, and list comprehensions. **Chapter 30** covers the widely used MariaDB/MySQL RDBMS (relational database management system), including installation, creating a database, adding a user, creating and modifying tables, joins, and adding data to the database.

Part VI Part VI includes appendixes on regular expressions, helpful Web sites, updating software using **yum**, and a map that indexes LPI's Linux Essentials certification learning goals and CompTIA's Linux+ exam objectives to the pages in this book that cover each topic. This part also includes an extensive Glossary with more than 500 entries plus the JumpStart index, the File Tree index, the Utility index, and the comprehensive Main index.

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## SUPPLEMENTS

The author's home page ([www.sobell.com](http://www.sobell.com)) contains downloadable listings of the longer programs from this book, as well as pointers to many interesting and useful Linux sites on the World Wide Web, a list of corrections to the book, answers to even-numbered exercises, and a solicitation for corrections, comments, and suggestions.

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## THANKS

First and foremost, I want to thank Mark L. Taub, Editor-in-Chief, Prentice Hall, who provided encouragement and support through the hard parts of this project. Mark is unique in my 30+ years of book writing experience: an editor who works with the tools I write about. Because Mark runs Linux on his home computer, we shared experiences as I wrote this book. Mark, your comments and direction are invaluable; this book would not exist without your help. Thank you, Mark T.

The production people at Prentice Hall are wonderful to work with: Julie Nahil, Full-Service Production Manager, worked with me day by day during production of this book providing help and keeping everything on track, while John Fuller, Managing Editor, kept the large view in focus. Thanks to Stephanie Geels, Copyeditor, and Andrea Fox, Proofreader, who made each page sparkle and found the mistakes the author left behind.

Thanks also to the folks at Prentice Hall who helped bring this book to life, especially Kim Boedigheimer, Associate Editor, who attended to the many details involved in publishing this book; Heather Fox, Publicist; Stephane Nakib, Marketing Manager; Dan Scherf, Media Developer; Sandra Schroeder, Design Manager; Chuti Prasertsith, Cover Designer; and everyone else who worked behind the scenes to make this book come into being.

I am also indebted to Denis Howe, Editor of *The Free On-Line Dictionary of Computing* (FOLDOC). Denis has graciously permitted me to use entries from his compilation. Be sure to visit [www.foldoc.org](http://www.foldoc.org) to look at this dictionary.

A big “thank you” to the folks who read through the drafts of the book and made comments that caused me to refocus parts of the book where things were not clear or were left out altogether:

Liz Joseph, Linux Systems Administrator for HP on the OpenStack project, Linux Systems Administrator, Ubuntu project Member since 2007, and member of the Board of Directors for Partimus.org, had a big impact on this book. She reviewed drafts of many chapters, providing insights, tips, important links, and corrections throughout. I was very impressed with the depth and breadth of her knowledge of Ubuntu.

Nathan Handler, Ubuntu/Debian GNU/Linux Developer; Milo Casagrande, Ubuntu Community; Ray Perlner, NIST (National Institute of Standards and Technology); Robert P. J. Day, Crash Course; Ben Whaley, professional system administrator; and Max Sobell, Carve Systems, provided invaluable input.

Thanks also to the following people who helped with my previous Linux books, which provided a foundation for this book:

Nicklos See, Instructor, Bismarck State College; Eugene Murray, Chair, School of Information Technology, ITT Technical Institute; Doug Hughes, D. E. Shaw Research, LLC; Carl Draper; Rahul Sundaram, Fedora contributor; Tony Godfrey, ITT Technical

Institute, Strongsville, Ohio; Susan Lauber, Lauber System Solutions, Inc.; Sam Sobell, Student, UCLA; Ankur Sinha, Fedora project volunteer; Professor Maria Ripoll; David A. Lane, long-time Linux user and systems architect; Pete Travis, Fedora Documentation Project; Rickard Körkkö, Orc Group; Jennifer Davis, Senior Production Engineer at Yahoo; Matthew Miller, Fedora Project at Red Hat, Inc.; Jiri Popelka, Red Hat, Inc.; Thomas Woerner, Red Hat, Inc.

Richard Woodbury, Site Reliability Engineer, Google, whose knowledge of IPv6 proved invaluable; Lennart Poettering, Red Hat, Inc.; George Vish II, Senior Education Consultant, Hewlett-Packard; Garth Snyder; Nathan Handler; Dick Seabrook, Professor Emeritus, Anne Arundel Community College; Chris Karr, Audacious Software; Scott McCrea, Instructor, ITT Technical Schools; John Dong, Ubuntu Developer, Forums Council Member; Andy Lester, author of *Land the Tech Job You Love: Why Skill and Luck Are Not Enough*; Scott James Remnant, Ubuntu Development Manager and Desktop Team Leader; David Chisnall, Swansea University; Scott Mann, Aztek Networks; Thomas Achtemichuk, Mansueto Ventures; Daniel R. Arfsten, Pro/Engineer Drafter/Designer; Chris Cooper, Senior Education Consultant, Hewlett-Packard Education Services; Sameer Verma, Associate Professor of Information Systems, San Francisco State University; Valerie Chau, Palomar College and Programmers Guild; James Kratzer; Sean McAllister; Nathan Eckenrode, New York Ubuntu Local Community Team; Christer Edwards; Nicolas Merline; Michael Price; Mike Basinger, Ubuntu Community and Forums Council Member; Joe Barker, Ubuntu Forums Staff Member; James Stockford, Systemateka, Inc.; Stephanie Troeth, Book Oven; Doug Sheppard; Bryan Helvey, IT Director, OpenGeoSolutions; and Vann Scott, Baker College of Flint.

Also, thanks to Jesse Keating, Fedora Project; Carsten Pfeiffer, Software Engineer and KDE Developer; Aaron Weber, Ximian; Cristof Falk, Software Developer at CritterDesign; Steve Elgersma, Computer Science Department, Princeton University; Scott Dier, University of Minnesota; Robert Haskins, Computer Net Works; Lars Kellogg-Stedman, Harvard University; Jim A. Lola, Principal Systems Consultant, Privateer Systems; Eric S. Raymond, Cofounder, Open Source Initiative; Scott Mann; Randall Lechlitner, Independent Computer Consultant; Jason Wertz, Computer Science Instructor, Montgomery County Community College; Justin Howell, Solano Community College; Ed Sawicki, The Accelerated Learning Center; David Mercer; Jeffrey Bianchine, Advocate, Author, Journalist; John Kennedy; and Jim Dennis, Starshine Technical Services.

Thanks also to Dustin Puryear, Puryear Information Technology; Gabor Liptak, Independent Consultant; Bart Schaefer, Chief Technical Officer, iPost; Michael J. Jordan, Web Developer, Linux Online; Steven Gibson, Owner, SuperAnt.com; John Viega, Founder and Chief Scientist, Secure Software; K. Rachael Treu, Internet Security Analyst, Global Crossing; Kara Pritchard, K & S Pritchard Enterprises; Glen Wiley, Capital One Finances; Karel Baloun, Senior Software Engineer, Looksmart; Matthew Whitworth; Dameon D. Welch-Abernathy, Nokia Systems; Josh Simon, Consultant; Stan Isaacs; and Dr. Eric H. Herrin II, Vice President, Herrin Software Development.

More thanks go to consultants Lorraine Callahan and Steve Wampler; Ronald Hiller, Graburn Technology; Charles A. Plater, Wayne State University; Bob Palowoda; Tom Bialaski, Sun Microsystems; Roger Hartmuller, TIS Labs at Network Associates; Kaowen Liu; Andy Spitzer; Rik Schneider; Jesse St. Laurent; Steve Bellenot; Ray W. Hiltbrand; Jennifer Witham; Gert-Jan Hagenaaars; and Casper Dik.

*A Practical Guide to Ubuntu Linux®*, *Fourth Edition*, is based in part on two of my previous UNIX books: *UNIX System V: A Practical Guide* and *A Practical Guide to the UNIX System*. Many people helped me with those books, and thanks here go to Pat Parseghian; Dr. Kathleen Hemenway; Brian LaRose; Byron A. Jeff, Clark Atlanta University; Charles Stross; Jeff Gitlin, Lucent Technologies; Kurt Hockenbury; Maury Bach, Intel Israel; Peter H. Salus; Rahul Dave, University of Pennsylvania; Sean Walton, Intelligent Algorithmic Solutions; Tim Segall, Computer Sciences Corporation; Behrouz Forouzan, DeAnza College; Mike Keenan, Virginia Polytechnic Institute and State University; Mike Johnson, Oregon State University; Jandelyn Plane, University of Maryland; Arnold Robbins and Sathis Menon, Georgia Institute of Technology; Cliff Shaffer, Virginia Polytechnic Institute and State University; and Steven Stepanek, California State University, Northridge, for reviewing the book.

I continue to be grateful to the many people who helped with the early editions of my UNIX books. Special thanks are due to Roger Sippl, Laura King, and Roy Harrington for introducing me to the UNIX system. My mother, Dr. Helen Sobell, provided invaluable comments on the original manuscript at several junctures. Also, thanks go to Isaac Rabinovitch, Professor Raphael Finkel, Professor Randolph Bentson, Bob Greenberg, Professor Udo Pooch, Judy Ross, Dr. Robert Veroff, Dr. Mike Denny, Joe DiMartino, Dr. John Mashey, Diane Schulz, Robert Jung, Charles Whitaker, Don Cragun, Brian Dougherty, Dr. Robert Fish, Guy Harris, Ping Liao, Gary Lindgren, Dr. Jarrett Rosenberg, Dr. Peter Smith, Bill Weber, Mike Bianchi, Scooter Morris, Clarke Echols, Oliver Grillmeyer, Dr. David Korn, Dr. Scott Weikart, and Dr. Richard Curtis.

I take responsibility for any errors and omissions in this book. If you find one or just have a comment, let me know ([mgs@sobell.com](mailto:mgs@sobell.com)) and I will fix it in the next printing. My home page ([www.sobell.com](http://www.sobell.com)) offers copies of the longer scripts from the book and pointers to interesting Linux pages on the Internet. You can follow me at [twitter.com/marksobell](https://twitter.com/marksobell).

*Mark G. Sobell*  
*San Francisco, California*

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# 5

## THE SHELL

### IN THIS CHAPTER

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### OBJECTIVES

After reading this chapter you should be able to:

- ▶ List special characters and methods of preventing the shell from interpreting these characters
- ▶ Describe a simple command
- ▶ Understand command-line syntax and run commands that include options and arguments
- ▶ Explain how the shell interprets the command line
- ▶ Redirect output of a command to a file, overwriting the file or appending to it
- ▶ Redirect input for a command so it comes from a file
- ▶ Connect commands using a pipeline
- ▶ Run commands in the background
- ▶ Use special characters as wildcards to generate filenames
- ▶ Explain the difference between a stand-alone utility and a shell builtin

The introduction to the command line on page 125 described some of the advantages of using the command line over a GUI, how to use a terminal emulator, how to correct mistakes on the command line, and how to run some command-line utilities. This chapter takes a close look at the shell and explains how to use some of its features. It discusses command-line syntax and describes how the shell processes a command line and initiates execution of a program. This chapter also explains how to redirect input to and output from a command, construct pipelines and filters on the command line, and run a command in the background. The final section covers filename expansion and explains how you can use this feature in your everyday work.

The exact wording of the shell output differs from shell to shell: What the shell you are using displays might differ slightly from what appears in this book. Refer to Chapter 9 for more information on `bash` (the default shell under Ubuntu) and to Chapter 28 for information on writing and executing `bash` shell scripts.

## LE SPECIAL CHARACTERS

*Special characters*, which have a special meaning to the shell, are discussed in “Filename Generation/Pathname Expansion” on page 173. These characters are mentioned here so you can avoid accidentally using them as regular characters until you understand how the shell interprets them. Avoid using any of the following characters in a filename (even though `emacs` and some other programs do) because they make the file harder to reference on the command line:

& ; | \* ? ' " ` [ ] ( ) \$ < > { } # / \ ! ~

- Whitespace Although not considered special characters, RETURN, SPACE, and TAB have special meanings to the shell. RETURN usually ends a command line and initiates execution of a command. The SPACE and TAB characters separate tokens (elements) on the command line and are collectively known as *whitespace* or *blanks*.
- Quoting special characters If you need to use a character that has a special meaning to the shell as a regular character, you can *quote* (or *escape*) it. When you quote a special character, you prevent the shell from giving it special meaning. The shell treats a quoted special character as a regular character. However, a slash (/) is always a separator in a pathname, even when you quote it.
- Backslash To quote a character, precede it with a backslash (\). When two or more special characters appear together, you must precede each with a backslash (e.g., you would enter `**` as `\*\*`). You can quote a backslash just as you would quote any other special character—by preceding it with a backslash (\\).
- Single quotation marks Another way of quoting special characters is to enclose them between single quotation marks: `'**'`. You can quote many special and regular characters between a pair

of single quotation marks: 'This is a special character: >'. The regular characters are interpreted as usual, and the shell also interprets the special characters as regular characters.

The only way to quote the erase character (CONTROL-H), the line kill character (CONTROL-U), and other control characters (try CONTROL-M) is by preceding each with a CONTROL-V. Single quotation marks and backslashes do not work. Try the following:

```
$ echo 'xxxxxxCONTROL-U'
$ echo xxxxxxCONTROL-V CONTROL-U
```

**optional** Although you cannot see the CONTROL-U displayed by the second of the preceding pair of commands, it is there. The following command sends the output of `echo` (page 227) through a pipeline (page 166) to `od` (octal display; see the `od` man page) to display CONTROL-U as octal 25 (025):

```
$ echo xxxxxxCONTROL-V CONTROL-U | od -c
0000000  x  x  x  x  x  x  x 025  \n
0000010
```

The `\n` is the NEWLINE character that `echo` sends at the end of its output.

## ORDINARY FILES AND DIRECTORY FILES

*Ordinary files*, or simply *files*, are files that can hold documents, pictures, programs, and other kinds of data. *Directory files*, also referred to as *directories* or *folders*, can hold ordinary files and other directory files. For more information refer to “Ordinary Files and Directory Files” on page 185.

### THE WORKING DIRECTORY

**LPI** `pwd` While you are logged in on a character-based interface to a Linux system, you are always associated with a directory. The directory you are associated with is called the *working directory* or *current directory*. Sometimes this association is referred to in a physical sense: “You are *in* (or *working in*) the **zach** directory.” The `pwd` (print working directory) builtin displays the pathname of the working directory.

```
login: max
Password:
Last login: Wed Oct 20 11:14:21 from 172.16.192.150
$ pwd
/home/max
```

### **LE** YOUR HOME DIRECTORY

When you first log in on a Linux system or start a terminal emulator window, the working directory is your *home directory*. To display the pathname of your home directory, use `pwd` just after you log in.

## LE THE COMMAND LINE

- Command** This book uses the term *command* to refer to both the characters you type on the command line and the program that action invokes.
- Command line** A *command line* comprises a simple command (below), a pipeline (page 166), or a list (page 170).

## A SIMPLE COMMAND

The shell executes a program when you enter a command in response to its prompt. For example, when you give an `ls` command, the shell executes the utility program named `ls`. You can cause the shell to execute other types of programs—such as shell scripts, application programs, and programs you have written—in the same way. The line that contains the command, including any arguments, is called a *simple command*. The following sections discuss simple commands; see page 155 for a more technical and complete description of a simple command.

## SYNTAX

Command-line syntax dictates the ordering and separation of the elements on a command line. When you press the RETURN key after entering a command, the shell scans the command line for proper syntax. The syntax for a simple command is

```
command [arg1] [arg2] ... [argn] RETURN
```

*Whitespace* (any combination of SPACES and/or TABS) must separate elements on the command line. The *command* is the name of the command, *arg1* through *argn* are arguments, and RETURN is the keystroke that terminates the command line. The brackets in the command-line syntax indicate that the arguments they enclose are optional. Not all commands require arguments: Some commands do not allow arguments; other commands allow a variable number of arguments; and still others require a specific number of arguments. Options, a special kind of argument, are usually preceded by one or two hyphens (-).

## COMMAND NAME

- Usage message** Some useful Linux command lines consist of only the name of the command without any arguments. For example, `ls` by itself lists the contents of the working directory. Commands that require arguments typically give a short error message, called a *usage message*, when you use them without arguments, with incorrect arguments, or with the wrong number of arguments.

For example, the `mkdir` (make directory) utility requires an argument that specifies the name of the directory you want it to create. Without this argument, it displays a usage message (*operand* is another term for “argument”):

```
$ mkdir
mkdir: missing operand
Try 'mkdir --help' for more information.
```

## LE ARGUMENTS

Token On the command line each sequence of nonblank characters is called a *token* or *word*. An *argument* is a token that a command acts on (e.g., a filename, a string of characters, a number). For example, the argument to a `vim` or `emacs` command is the name of the file you want to edit.

The following command line uses `cp` to copy the file named `temp` to `tempcopy`:

```
$ cp temp tempcopy
```

Arguments are numbered starting with the command itself, which is argument zero. In this example, `cp` is argument zero, `temp` is argument one, and `tempcopy` is argument two. The `cp` utility requires at least two arguments on the command line. Argument one is the name of an existing file. In this case, argument two is the name of the file that `cp` is creating or overwriting. Here the arguments are not optional; both arguments must be present for the command to work. When you do not supply the right number or kind of arguments, `cp` displays a usage message. Try typing `cp` and then pressing RETURN.

## LE OPTIONS

An *option* is an argument that modifies the effects of a command. These arguments are called options because they are usually optional. You can frequently specify more than one option, modifying the command in several ways. Options are specific to and interpreted by the program that the command line calls, not the shell.

By convention, options are separate arguments that follow the name of the command and usually precede other arguments, such as filenames. Many utilities require options to be prefixed with a single hyphen. However, this requirement is specific to the utility and not the shell. GNU long (multicharacter) program options are frequently prefixed with two hyphens. For example, `--help` generates a (sometimes extensive) usage message.

The first of the following commands shows the output of an `ls` command without any options. By default, `ls` lists the contents of the working directory in alphabetical order, vertically sorted in columns. Next the `-r` (reverse order; because this is a GNU utility, you can also specify `--reverse`) option causes the `ls` utility to display the list of files in reverse alphabetical order, still sorted in columns. The `-x` option causes `ls` to display the list of files in horizontally sorted rows.

```
$ ls
hold  mark  names  oldstuff  temp  zach
house max   office personal  test
$ ls -r
zach  temp      oldstuff  names  mark  hold
test  personal  office    max    house
$ ls -x
hold      house      mark  max  names  office
oldstuff  personal  temp  test  zach
```

**Combining options** When you need to use several options, you can usually group multiple single-letter options into one argument that starts with a single hyphen; do not put SPACES between the options. You cannot combine options that are preceded by two hyphens in this way. Specific rules for combining options depend on the program you are running. The next example shows both the `-r` and `-x` options with the `ls` utility. Together these options generate a list of filenames in horizontally sorted rows in reverse alphabetical order.

```
$ ls -rx
zach  test  temp  personal  oldstuff  office
names max  mark  house    hold
```

Most utilities allow you to list options in any order; thus `ls -xr` produces the same results as `ls -rx`. The command `ls -x -r` also generates the same list.

### The `--help` option

**tip** Many utilities display a (sometimes extensive) help message when you call them with an argument of `--help`. All utilities developed by the GNU Project (page 3) accept this option. Following is the help message displayed by the `bzip2` compression utility (page 253).

```
$ bzip2 --help
bzip2, a block-sorting file compressor.  Version 1.0.6, 6-Sept-2010.

usage: bunzip2 [flags and input files in any order]

-h --help           print this message
-d --decompress     force decompression
-z --compress       force compression
-k --keep           keep (don't delete) input files
-f --force          overwrite existing output files
...
If invoked as 'bzip2', default action is to compress.
as 'bunzip2', default action is to decompress.
as 'bzipcat', default action is to decompress to stdout.
...
```

**Option arguments** Some utilities have options that require arguments. These arguments are not optional. For example, the `gcc` utility (C compiler) has a `-o` (output) option that must be followed by the name you want to give the executable file that `gcc` generates. Typically an argument to an option is separated from its option letter by a SPACE:

```
$ gcc -o prog prog.c
```

Some utilities sometimes require an equal sign between an option and its argument. For example, you can specify the width of output from `diff` in two ways:

```
$ diff -W 60 filea fileb
```

or

```
$ diff --width=60 filea fileb
```

**Arguments that start with a hyphen** Another convention allows utilities to work with arguments, such as filenames, that start with a hyphen. If a file named `-l` is in the working directory, the following command is ambiguous:

```
$ ls -l
```

This command could be a request to display a long listing of all files in the working directory (`-l` option) or a request for a listing of the file named `-l`. The `ls` utility interprets it as the former. Avoid creating a file whose name begins with a hyphen. If you do create such a file, many utilities follow the convention that a `--` argument (two consecutive hyphens) indicates the end of the options (and the beginning of the arguments). To disambiguate the preceding command, you can type

```
$ ls -- -l
```

Using two consecutive hyphens to indicate the end of the options is a convention, not a hard-and-fast rule, and a number of utilities do not follow it (e.g., `find`). Following this convention makes it easier for users to work with a program you write.

### Displaying readable file sizes: the `-h` option

**tip** Most utilities that report on file sizes specify the size of a file in bytes. Bytes work well when you are dealing with smaller files, but the numbers can be difficult to read when you are working with file sizes that are measured in gigabytes or terabytes. Use the `-h` (or `--human-readable`) option to display file sizes in kilobytes, megabytes, gigabytes, and terabytes. Experiment with the `df -h` (disk free) and `ls -lh` commands.

For utilities that do not follow this convention, there are other ways to specify a filename that begins with a hyphen. You can use a period to refer to the working directory and a slash to indicate the following filename refers to a file in the working directory:

```
$ ls ./-l
```

You can also specify the absolute pathname of the file:

```
$ ls /home/max/-l
```

#### optional

## SIMPLE COMMANDS

This section expands on the discussion of command-line syntax that starts on page 152.

A simple command comprises zero or more variable assignments followed by a command line. It is terminated by a control operator (e.g., `&`, `;`, `|`, `NEWLINE`; page 347). A simple command has the following syntax:

```
[name=value ...] command-line
```

The shell assigns a *value* to each *name* and places it in the environment (page 1054) of the program that *command-line* calls so it is available to the called program and its children as a variable. The shell evaluates the *name=value* pairs from left to right, so if *name* appears more than once in this list, the rightmost *value* takes precedence.

Placing a variable  
in the environment  
of a child

The *command-line* might include redirection operators such as `>` and `<` (page 161). The exit status (page 1051) of a simple command is its return value.

The following commands demonstrate how you can assign a value to a name (variable) and place that name in the environment of a child program; the variable is not available to the interactive shell you are running (the parent program). The script named `echo_ee` displays the value of the variable named `ee`. The first call to `echo_ee` shows `ee` is not set in the child shell running the script. When the call to `echo_ee` is preceded by assigning a value to `ee`, the script displays the value of `ee` in the child shell. The final command shows `ee` has not been set in the interactive shell.

```
$ cat echo_ee
echo "The value of the ee variable is: $ee"

$ ./echo_ee
The value of the ee variable is:
$ ee=88 ./echo_ee
The value of the ee variable is: 88
$ echo $ee

$
```

## PROCESSING THE COMMAND LINE

As you enter a command line, the tty device driver (part of the Linux kernel) examines each character to see whether it must take immediate action. When you press `CONTROL-H` (to erase a character) or `CONTROL-U` (to kill a line), the device driver immediately adjusts the command line as required; the shell never sees the character(s) you erased or the line you killed. Often a similar adjustment occurs when you press `CONTROL-W` (to erase a word). When the character you entered does not require immediate action, the device driver stores the character in a buffer and waits for additional characters. When you press `RETURN`, the device driver passes the command line to the shell for processing.

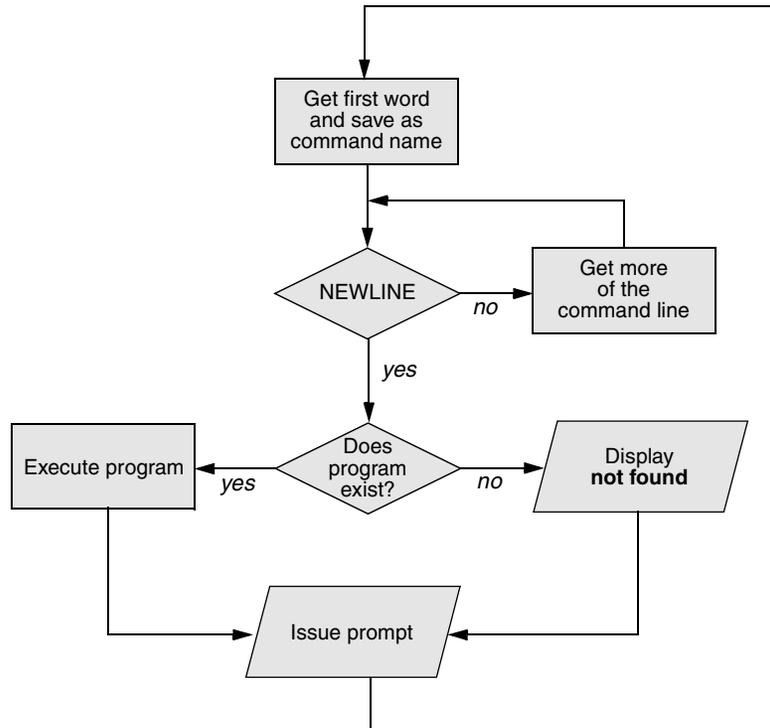
Parsing the  
command line

When the shell processes a command line, it looks at the line as a whole and *parses* (breaks) it into its component parts (Figure 5-1). Next the shell looks for the name of the command. Usually the name of the command is the first item on the command line after the prompt (argument zero). The shell takes the first characters on the command line up to the first blank (`TAB` or `SPACE`) and then looks for a command with that name. The command name (the first token) can be specified on the command line either as a simple filename or as a pathname. For example, you can call the `ls` command in either of the following ways:

```
$ ls

or

$ /bin/ls
```



**Figure 5-1** Processing the command line

**optional** The shell does not require the name of the program to appear first on the command line. Thus you can structure a command line as follows:

```
$ >bb <aa cat
```

This command runs `cat` with standard input coming from the file named `aa` and standard output going to the file named `bb`. When the shell recognizes the redirect symbols (page 161), it processes them and their arguments before finding the name of the program that the command line is calling. This is a properly structured—albeit rarely encountered and possibly confusing—command line.

**LPI** Absolute versus relative pathnames

From the command line, there are three ways you can specify the name of a file you want the shell to execute: as an absolute pathname (starts with a slash [`/`]; page 189), as a relative pathname (includes a slash but does not start with a slash; page 190), or as a simple filename (no slash). When you specify the name of a file for the shell to execute in either of the first two ways (the pathname includes a slash), the shell looks in the specified directory for a file with the specified name that you have permission to execute. When you specify a simple filename (no slash), the shell searches through a list of directories for a filename that matches the specified name and for which you

have execute permission. The shell does not look through all directories but only the ones specified by the variable named **PATH**. Refer to page 365 for more information on **PATH**. Also refer to the discussion of the `which` and `whereis` utilities on page 263.

When it cannot find the file, `bash` displays the following message:

```
$ abc
bash: abc: command not found...
```

Some systems are set up to suggest where you might be able to find the program you tried to run. One reason the shell might not be able to find the executable file is that it is not in a directory listed in the **PATH** variable. Under `bash` the following command temporarily adds the working directory (`.`) to **PATH**:

```
$ PATH=$PATH:.
```

For security reasons, it is poor practice to add the working directory to **PATH** permanently; see the following tip and the one on page 366.

When the shell finds the file but cannot execute it (i.e., because you do not have execute permission for the file), it displays a message similar to

```
$ def
bash: ./def: Permission denied
```

See “`ls -l`: Displays Permissions” on page 199 for information on displaying access permissions for a file and “`chmod`: Changes Access Permissions” on page 201 for instructions on how to change file access permissions.

### Try giving a command as `./command`

---

**tip** You can always execute an executable file in the working directory by prepending `./` to the name of the file. Because `./filename` is a relative pathname, the shell does not consult **PATH** when looking for `filename`. For example, if `myprog` is an executable file in the working directory, you can execute it using the following command (regardless of how **PATH** is set):

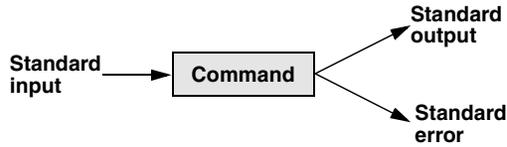
```
$ ./myprog
```

---

## EXECUTING A COMMAND

**LE** **Process** If it finds an executable file with the name specified on the command line, the shell starts a new process. A *process* is the execution of a command by Linux (page 379). The shell makes each command-line argument, including options and the name of the command, available to the called program. While the command is executing, the shell waits for the process to finish. At this point the shell is in an inactive state named *sleep*. When the program finishes execution, it passes its exit status (page 1051) to the shell. The shell then returns to an active state (wakes up), issues a prompt, and waits for another command.

The shell does not process arguments Because the shell does not process command-line arguments but merely passes them to the called program, the shell has no way of knowing whether a particular option or other argument is valid for a given program. Any error or usage messages about options or arguments come from the program itself. Some utilities ignore bad options.



**Figure 5-2** The command does not know where standard input comes from or where standard output and standard error go

## EDITING THE COMMAND LINE

You can repeat and edit previous commands and edit the current command line. See pages 131 and 384 for more information.

---

## STANDARD INPUT AND STANDARD OUTPUT

*Standard output* is a place to which a program can send information (e.g., text). The program never “knows” where the information it sends to standard output is going (Figure 5-2). The information can go to a printer, an ordinary file, or the screen. The following sections show that by default the shell directs standard output from a command to the screen<sup>1</sup> and describe how you can cause the shell to redirect this output to another file.

*Standard input* is a place a program gets information from; by default, the shell directs standard input from the keyboard. As with standard output the program never “knows” where the information comes from. The following sections explain how to redirect standard input to a command so it comes from an ordinary file instead of from the keyboard.

In addition to standard input and standard output, a running program has a place to send error messages: *standard error*. By default, the shell directs standard error to the screen. Refer to page 339 for more information on redirecting standard error.

**optional** By convention, a process expects that the program that called it (frequently the shell) has set up standard input, standard output, and standard error so the process can use them immediately. The called process does not *have* to know which files or devices are connected to standard input, standard output, or standard error.

However, a process *can* query the kernel to get information about the device that standard input, standard output, or standard error is connected to. For example, the `ls` utility displays output in multiple columns when the output goes to the screen, but generates a single column of output when the output is redirected to a file or another

---

1. This book uses the term *screen* to refer to a screen, terminal emulator window, or workstation—in other words, to the device that the shell displays its prompt and messages on.

program. The `ls` utility uses the `isatty()` system call to determine whether output is going to the screen (a `tty`). In addition, `ls` can use another system call to determine the width of the screen it is sending output to; with this information it can modify its output to fit the screen. Compare the output of `ls` by itself and when you send it through a pipeline to `less`. See page 1042 for information on how you can determine whether standard input and standard output of shell scripts is going to/coming from the terminal.

## THE SCREEN AS A FILE

**LPI** **Device file** Chapter 6 discusses ordinary files, directory files, and hard and soft links. Linux has an additional type of file: a *device file*. A device file resides in the file structure, usually in the `/dev` directory, and represents a peripheral device, such as a terminal, printer, or disk drive.

The device name the `who` utility displays following a username is the filename of the terminal that user is working on. For example, when `who` displays the device name `pts/4`, the pathname of the terminal is `/dev/pts/4`. When you work with multiple windows, each window has its own device name. You can also use the `tty` utility to display the name of the device that you give the command from. Although you would not normally have occasion to do so, you can read from and write to this file as though it were a text file. Reading from the device file that represents the terminal you are using reads what you enter on the keyboard; writing to it displays what you write on the screen.

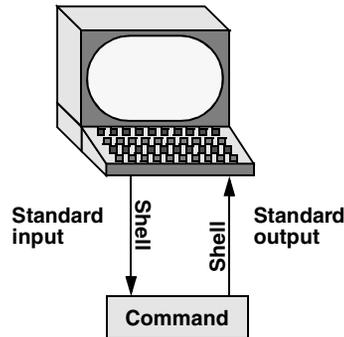
## THE KEYBOARD AND SCREEN AS STANDARD INPUT AND STANDARD OUTPUT

After you log in, the shell directs standard output of commands you enter to the device file that represents the terminal (Figure 5-3). Directing output in this manner causes it to appear on the screen. The shell also directs standard input to come from the same file, so commands receive as input anything you type on the keyboard.

**LPI** **cat** The `cat` utility provides a good example of the way the keyboard and screen function as standard input and standard output, respectively. When you run `cat`, it copies a file to standard output. Because the shell directs standard output to the screen, `cat` displays the file on the screen.

Up to this point `cat` has taken its input from the filename (argument) you specify on the command line. When you do not give `cat` an argument (i.e., when you give the command `cat` followed immediately by `RETURN`), `cat` takes its input from standard input. Thus, when called without an argument, `cat` copies standard input to standard output, one line at a time.

To see how `cat` works, type `cat` and press `RETURN` in response to the shell prompt. Nothing happens. Enter a line of text and press `RETURN`. The same line appears just under the one



**Figure 5-3** By default, standard input comes from the keyboard, and standard output goes to the screen

you entered. The `cat` utility is working. Because the shell associates `cat`'s standard input with the keyboard and `cat`'s standard output with the screen, when you type a line of text `cat` copies the text from standard input (the keyboard) to standard output (the screen). The next example shows this exchange.

```

$ cat
This is a line of text.
This is a line of text.
Cat keeps copying lines of text
Cat keeps copying lines of text
until you press CONTROL-D at the beginning
until you press CONTROL-D at the beginning
of a line.
of a line.
CONTROL-D
$
  
```

CONTROL-D signals EOF The `cat` utility keeps copying text until you enter `CONTROL-D` on a line by itself. Pressing `CONTROL-D` causes the tty device driver to send an EOF (end of file) signal to `cat`. This signal indicates to `cat` that it has reached the end of standard input and there is no more text for it to copy. The `cat` utility then finishes execution and returns control to the shell, which displays a prompt.

## **LE+** REDIRECTION

The term *redirection* encompasses the various ways you can cause the shell to alter where standard input of a command comes from and where standard output goes to. By default, the shell associates standard input and standard output of a command with the keyboard and the screen. You can cause the shell to redirect standard input or standard output of any command by associating the input or output with a command or file other than the device file representing the keyboard or the screen. This section demonstrates how to redirect input/output from/to text files and utilities.

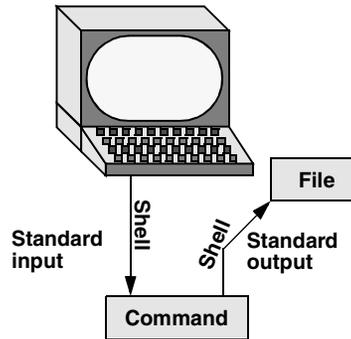


Figure 5-4 Redirecting standard output

## **LPI** REDIRECTING STANDARD OUTPUT

The *redirect output symbol* (`>`) instructs the shell to redirect the output of a command to the specified file instead of to the screen (Figure 5-4). The syntax of a command line that redirects output is

```
command [arguments] > filename
```

where *command* is any executable program (e.g., an application program or a utility), *arguments* are optional arguments, and *filename* is the name of the ordinary file the shell redirects the output to.

Using `cat` to  
create a file

The next example uses `cat` to demonstrate output redirection. This example contrasts with the example on page 161, where standard input *and* standard output are associated with the keyboard and screen. The input in the following example comes from the keyboard. The redirect output symbol on the command line causes the shell to associate `cat`'s standard output with the `sample.txt` file specified following this symbol.

```
$ cat > sample.txt
This text is being entered at the keyboard and
cat is copying it to a file.
Press CONTROL-D to indicate the
end of file.
CONTROL-D
$
```

### Redirecting output can destroy a file I

**caution** Use caution when you redirect output to a file. If the file exists, the shell will overwrite it and destroy its contents. For more information see the tip “Redirecting output can destroy a file II” on page 164.

After giving the command and typing the text shown in the previous example, the `sample.txt` file contains the text you entered. You can use `cat` with an argument of `sample.txt` to display this file. The next section shows another way to use `cat` to display the file.

The previous example shows that redirecting standard output from `cat` is a handy way to create a file without using an editor. The drawback is that once you enter a line and press RETURN, you cannot edit the text until after you finish creating the file. While you are entering a line, the erase and kill keys work to delete text on that line. This procedure is useful for creating short, simple files.

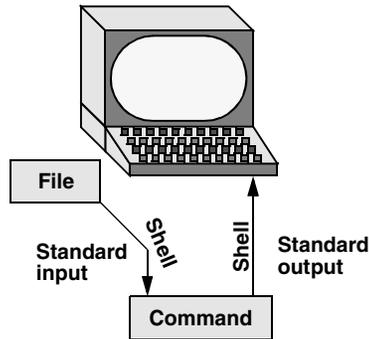


Figure 5-5 Redirecting standard input

The next example shows how to run `cat` and use the redirect output symbol to *catenate* (join one after the other—the derivation of the name of the `cat` utility) several files into one larger file. The first three commands display the contents of three files: `stationery`, `tape`, and `pens`. The next command shows `cat` with three filenames as arguments. When you call it with more than one filename, `cat` copies the files, one at a time, to standard output. This command redirects standard output to the file named `supply_orders`. The final `cat` command shows that `supply_orders` contains the contents of the three original files.

```
$ cat stationery
2,000 sheets letterhead ordered:   October 7
$ cat tape
1 box masking tape ordered:        October 14
5 boxes filament tape ordered:     October 28
$ cat pens
12 doz. black pens ordered:        October 4

$ cat stationery tape pens > supply_orders

$ cat supply_orders
2,000 sheets letterhead ordered:   October 7
1 box masking tape ordered:        October 14
5 boxes filament tape ordered:     October 28
12 doz. black pens ordered:        October 4
```

## **LPI** REDIRECTING STANDARD INPUT

Just as you can redirect standard output, so you can redirect standard input. The *redirect input symbol* (`<`) instructs the shell to redirect a command's input to come from the specified file instead of from the keyboard (Figure 5-5). The syntax of a command line that redirects input is

*command* [*arguments*] *< filename*

where *command* is any executable program (such as an application program or a utility), *arguments* are optional arguments, and *filename* is the name of the ordinary file the shell redirects the input from.

The next example shows `cat` with its input redirected from the `supply_orders` file created in the previous example and standard output going to the screen. This setup causes `cat` to display the `supply_orders` file on the screen. The system automatically supplies an EOF signal at the end of an ordinary file.

```
$ cat < supply_orders
2,000 sheets letterhead ordered:   October 7
1 box masking tape ordered:        October 14
5 boxes filament tape ordered:     October 28
12 doz. black pens ordered:        October 4
```

Utilities that take input from a file or standard input

Giving a `cat` command with input redirected from a file yields the same result as giving a `cat` command with the filename as an argument. The `cat` utility is a member of a class of utilities that function in this manner. Other members of this class of utilities include `lpr`, `sort`, `grep`, and Perl. These utilities first examine the command line that called them. If the command line includes a filename as an argument, the utility takes its input from the specified file. If no filename argument is present, the utility takes its input from standard input. It is the utility or program—not the shell or operating system—that functions in this manner.

### Redirecting output can destroy a file II

**caution** Depending on which shell you are using and how the environment is set up, a command such as the following can yield undesired results:

```
$ cat orange pear > orange
cat: orange: input file is output file
```

Although `cat` displays an error message, the shell destroys the contents of the existing `orange` file. The new `orange` file will have the same contents as `pear` because the first action the shell takes when it sees the redirection symbol (`>`) is to remove the contents of the original `orange` file. If you want to concatenate two files into one, use `cat` to put the two files into a temporary file and then use `mv` to rename the temporary file:

```
$ cat orange pear > temp
$ mv temp orange
```

What happens in the next example can be even worse. The user giving the command wants to search through files `a`, `b`, and `c` for the word `apple` and redirect the output from `grep` (page 240) to the file `a.output`. Unfortunately the user enters the filename as `a output`, omitting the period and inserting a `SPACE` in its place:

```
$ grep apple a b c > a output
grep: output: No such file or directory
```

The shell obediently removes the contents of `a` and then calls `grep`. The error message could take a moment to appear, giving you a sense that the command is running correctly. Even after you see the error message, it might take a while to realize that you have destroyed the contents of `a`.

### noclobber: PREVENTS OVERWRITING FILES

The shell provides the `noclobber` feature, which prevents you from overwriting a file using redirection. Enable this feature by setting `noclobber` using the command `set -o noclobber`. The same command with `+o` unsets `noclobber`. With `noclobber` set, if you redirect output to an existing file, the shell displays an error message and does not execute the command. The following example creates a file using `touch`,

sets **noclobber**, attempts to redirect the output from **echo** to the newly created file, unsets **noclobber**, and performs the same redirection:

```
$ touch tmp
$ set -o noclobber
$ echo "hi there" > tmp
-bash: tmp: cannot overwrite existing file
$ set +o noclobber
$ echo "hi there" > tmp
```

You can override **noclobber** by putting a pipeline symbol after the redirect symbol (**>|**). In the following example, the user creates a file by redirecting the output of **date**. Next the user sets the **noclobber** variable and redirects output to the same file again. The shell displays an error message. Then the user places a pipeline symbol after the redirect symbol, and the shell allows the user to overwrite the file.

```
$ date > tmp2
$ set -o noclobber
$ date > tmp2
-bash: tmp2: cannot overwrite existing file
$ date >| tmp2
```

## APPENDING STANDARD OUTPUT TO A FILE

### Do not trust **noclobber**

**caution** Appending output is simpler than the two-step procedure described in the preceding caution box but you must be careful to include both greater than signs. If you accidentally use only one greater than sign and the **noclobber** feature is not set, the shell will overwrite the **orange** file. Even if you have the **noclobber** feature turned on, it is a good idea to keep backup copies of the files you are manipulating in case you make a mistake.

Although it protects you from overwriting a file using redirection, **noclobber** does not stop you from overwriting a file using **cp** or **mv**. These utilities include the **-i** (interactive) option that helps protect you from this type of mistake by verifying your intentions when you try to overwrite a file. For more information see the tip “cp can destroy a file” on page 232.

The *append output symbol* (**>>**) causes the shell to add new information to the end of a file, leaving existing information intact. This symbol provides a convenient way of catenating two files into one. The following commands demonstrate the action of the append output symbol. The second command accomplishes the catenation described in the preceding caution box:

```
$ cat orange
this is orange
$ cat pear >> orange
$ cat orange
this is orange
this is pear
```

The first command displays the contents of the **orange** file. The second command appends the contents of the **pear** file to the **orange** file. The final command displays the result.

The next example shows how to create a file that contains the date and time (the output from `date`), followed by a list of who is logged in (the output from `who`). The first command in the example redirects the output from `date` to the file named `whoson`. Then `cat` displays the file. The next command appends the output from `who` to the `whoson` file. Finally `cat` displays the file containing the output of both utilities.

```
$ date > whoson
$ cat whoson
Wed Mar 27 14:31:18 PST 2013
$ who >> whoson
$ cat whoson
Wed Mar 27 14:31:18 PST 2013
sam      tty1          2013-03-27 05:00(:0)
max      pts/4         2013-03-27 12:23(:0.0)
max      pts/5         2013-03-27 12:33(:0.0)
zach     pts/7         2013-03-26 08:45 (172.16.192.1)
```

## /dev/null: MAKING DATA DISAPPEAR

The `/dev/null` device is a *data sink*, commonly referred to as a *bit bucket*. You can redirect output you do not want to keep or see to `/dev/null`, and the output will disappear without a trace:

```
$ echo "hi there" > /dev/null
$
```

Reading from `/dev/null` yields a null string. The following command truncates the file named `messages` to zero length while preserving the ownership and permissions of the file:

```
$ ls -lh messages
-rw-rw-r--. 1 sam pubs 125K 03-16 14:30 messages
$ cat /dev/null > messages
$ ls -lh messages
-rw-rw-r--. 1 sam pubs 0 03-16 14:32 messages
```

See also page 481.

## **LE+** PIPELINES

A *pipeline* consists of one or more commands separated by a pipeline symbol (`|`). The shell connects standard output (and optionally standard error) of the command preceding the pipeline symbol to standard input of the command following the pipeline symbol. A pipeline has the same effect as redirecting standard output of one command to a file and then using that file as standard input to another command. A pipeline does away with separate commands and the intermediate file. The syntax of a pipeline is

```
command_a [arguments] | command_b [arguments]
```

The preceding command line uses a pipeline to effect the same result as the following three commands:

```

command_a [arguments] > temp
command_b [arguments] < temp
rm temp

```

In the preceding sequence of commands, the first line redirects standard output from *command\_a* to an intermediate file named *temp*. The second line redirects standard input for *command\_b* to come from *temp*. The final line deletes *temp*. The pipeline syntax is not only easier to type but also is more efficient because it does not create a temporary file.

**optional** More precisely, a `bash` pipeline comprises one or more simple commands (page 155) separated by a `|` or `|&&` control operator. A pipeline has the following syntax:

```
[time] [!] command1 [| |&& command2 ... ]
```

where *time* is an optional utility that summarizes the system resources used by the pipeline, *!* logically negates the exit status returned by the pipeline, and the *commands* are simple commands (page 155) separated by `|` or `|&&`. The `|` control operator sends standard output of *command1* to standard input of *command2*. The `|&&` control operator is short for `2>&&1 |` (see “Sending errors through a pipeline” on page 341) and sends standard output and standard error of *command1* to standard input of *command2*. The exit status of a pipeline is the exit status of the last simple command unless `pipefail` (page 409) is set, in which case the exit status is the rightmost simple command that failed (returned a nonzero exit status) or zero if all simple commands completed successfully.

## EXAMPLES OF PIPELINES

**LPI** `tr` You can include in a pipeline any utility that accepts input either from a file specified on the command line or from standard input. You can also include utilities that accept input only from standard input. For example, the `tr` (translate) utility takes its input from standard input only. In its simplest usage `tr` has the following syntax:

```
tr string1 string2
```

The `tr` utility accepts input from standard input and looks for characters that match one of the characters in *string1*. Upon finding a match, it translates the matched character in *string1* to the corresponding character in *string2*. That is, the first character in *string1* translates into the first character in *string2*, and so forth. The `tr` utility sends its output to standard output. In both of the following `tr` commands, `tr` displays the contents of the `abstract` file with the letters `a`, `b`, and `c` translated into `A`, `B`, and `C`, respectively:

```

$ cat abstract
I took a cab today!

$ cat abstract | tr abc ABC
I took A CAB todAy!
$ tr abc ABC < abstract
I took A CAB todAy!

```

The `tr` utility does not change the contents of the original file; it cannot change the original file because it does not “know” the source of its input.

- `lpr` The `lpr` (line printer) utility accepts input from either a file or standard input. When you type the name of a file following `lpr` on the command line, it places that file in the print queue. When you do not specify a filename on the command line, `lpr` takes input from standard input. This feature enables you to use a pipeline to redirect input to `lpr`. The first set of the following commands shows how you can use `ls` and `lpr` with an intermediate file (**temp**) to send a list of the files in the working directory to the printer. If the **temp** file exists, the first command overwrites its contents. The second set of commands uses a pipeline to send the same list (with the exception of **temp**) to the printer.

```
$ ls > temp
$ lpr temp
$ rm temp
```

*or*

```
$ ls | lpr
```

- `sort` The commands in next example redirect the output from the `who` utility to **temp** and then display this file in sorted order. The `sort` utility (page 247) takes its input from the file specified on the command line or, when a file is not specified, from standard input; it sends its output to standard output. The `sort` command line takes its input from standard input, which is redirected (<) to come from **temp**. The output `sort` sends to the screen lists the users in sorted (alphabetical) order. Because `sort` can take its input from standard input or from a file named on the command line, omitting the < symbol from the command line yields the same result.

```
$ who > temp
$ sort < temp
max      pts/4      2013-03-24 12:23
max      pts/5      2013-03-24 12:33
sam      tty1       2013-03-24 05:00
zach     pts/7      2013-03-23 08:45
$ rm temp
```

The next example achieves the same result without creating the **temp** file. Using a pipeline, the shell redirects the output from `who` to the input of `sort`. The `sort` utility takes input from standard input because no filename follows it on the command line.

```
$ who | sort
max      pts/4      2013-03-24 12:23
max      pts/5      2013-03-24 12:33
sam      tty1       2013-03-24 05:00
zach     pts/7      2013-03-23 08:45
```

- `grep` When many people are using the system and you want information about only one of them, you can send the output from `who` to `grep` (page 240) using a pipeline. The `grep` utility displays the line containing the string you specify—**sam** in the following example:

```
$ who | grep sam
sam      tty1      2013-03-24 05:00
```

less and more Another way of handling output that is too long to fit on the screen, such as a list of files in a crowded directory, is to use a pipeline to send the output through `less` or `more` (both on page 228).

```
$ ls | less
```

The `less` utility displays text one screen at a time. To view another screen of text, press the `SPACE` bar. To view one more line, press `RETURN`. Press `h` for help and `q` to quit.

**optional** The pipeline symbol (`|`) implies continuation. Thus the following command line

```
$ who | grep 'sam'
sam      tty1      2013-03-24 05:00
```

is the same as these command lines

```
$ who |
> grep 'sam'
sam      tty1      2013-03-24 05:00
```

When the shell parses a line that ends with a pipeline symbol, it requires more input before it can execute the command line. In an interactive environment, it issues a secondary prompt (`>`; page 368) as shown above. Within a shell script, it processes the next line as a continuation of the line that ends with the pipeline symbol. See page 1085 for information about control operators and implicit command-line continuation.

## **LPI** FILTERS

A *filter* is a command that processes an input stream of data to produce an output stream of data. A command line that includes a filter uses a pipeline symbol to connect standard output of one command to standard input of the filter. Another pipeline symbol connects standard output of the filter to standard input of another command. Not all utilities can be used as filters.

In the following example, `sort` is a filter, taking standard input from standard output of `who` and using a pipeline symbol to redirect standard output to standard input of `lpr`. This command line sends the sorted output of `who` to the printer:

```
$ who | sort | lpr
```

The preceding example demonstrates the power of the shell combined with the versatility of Linux utilities. The three utilities `who`, `sort`, and `lpr` were not designed to work with one another, but they all use standard input and standard output in the conventional way. By using the shell to handle input and output, you can piece standard utilities together on the command line to achieve the results you want.

**LE+** tee The `tee` utility copies its standard input both to a file and to standard output. This utility is aptly named: It takes a single stream of input and sends the output in two directions. The next example sends the output of `who` via a pipeline to standard input of `tee`. The `tee` utility saves a copy of standard input in a file named `who.out` and also sends a copy to standard output. Standard output of `tee` goes via a pipeline to standard input of `grep`, which displays only those lines containing the string `sam`. Use `tee` with the `-a` (append) option to cause it to append to a file instead of overwriting it.

```
$ who | tee who.out | grep sam
sam      tty1          2013-03-24 05:00
$ cat who.out
sam      tty1          2013-03-24 05:00
max      pts/4         2013-03-24 12:23
max      pts/5         2013-03-24 12:33
zach     pts/7         2013-03-23 08:45
```

## optional

### **LE+** LISTS

A *list* is one or more pipelines (including simple commands), each separated from the next by one of the following control operators: `;`, `&`, `&&`, or `||`. The `&&` and `||` control operators have equal precedence; they are followed by `;` and `&`, which have equal precedence. The `;` control operator is covered on page 347 and `&` on page 348. See page 1085 for information about control operators and implicit command-line continuation.

An AND list has the following syntax:

```
pipeline1 && pipeline2
```

where *pipeline2* is executed if and only if *pipeline1* returns a *true* (zero) exit status. In the following example, the first command in the list fails (and displays an error message) so the shell does not execute the second command (`cd /newdir`; because it is not executed, it does not display an error message):

```
$ mkdir /newdir && cd /newdir
mkdir: cannot create directory '/newdir': Permission denied
```

The exit status of AND and OR lists is the exit status of the last command in the list that is executed. The exit status of the preceding list is *false* because `mkdir` was the last command executed and it failed.

An OR list has the following syntax:

```
pipeline1 || pipeline2
```

where *pipeline2* is executed if and only if *pipeline1* returns a *false* (nonzero) exit status. In the next example, the first command (`ping` tests the connection to a remote machine and sends standard output and standard error to `/dev/null`) in the list fails so the

shell executes the second command (it displays a message). If the first command had completed successfully, the shell would not have executed the second command (and would not have displayed the message). The list returns an exit status of *true*.

```
$ ping -c1 station &>/dev/null || echo "station is down"
station is down
```

For more information refer to “&& and || Boolean Control Operators” on page 349.

## **LPI** RUNNING A COMMAND IN THE BACKGROUND

**LPI** Foreground All commands up to this point have been run in the foreground. When you run a command in the *foreground*, the shell waits for it to finish before displaying another prompt and allowing you to continue. When you run a command in the *background*, you do not have to wait for the command to finish before running another command.

**Jobs** A *job* is another name for a process running a pipeline (which can be a simple command). You can have only one foreground job in a window or on a screen, but you can have many background jobs. By running more than one job at a time, you are using one of Linux’s features: multitasking. Running a command in the background can be useful when the command will run for a long time and does not need supervision. It leaves the screen free so you can use it for other work. Alternately, when you are using a GUI, you can open another window to run another job.

**Job number, PID number** To run a command in the background, type an ampersand (&; a control operator) just before the RETURN that ends the command line. The shell assigns a small number to the job and displays this *job number* between brackets. Following the job number, the shell displays the *process identification (PID) number*—a larger number assigned by the operating system. Each of these numbers identifies the command running in the background. The shell then displays another prompt, and you can enter another command. When the background job finishes, the shell displays a message giving both the job number and the command line used to run the command.

The following example runs in the background; it is a pipeline that sends the output of `ls` to `lpr`, which sends it to the printer.

```
$ ls -l | lpr &
[1] 22092
$
```

The [1] following the command line indicates that the shell has assigned job number 1 to this job. The 22092 is the PID number of the first command in the job. When this background job completes execution, you see the message

```
[1]+ Done      ls -l | lpr
```

(In place of `ls -l`, the shell might display something similar to `ls --color=auto -l`. This difference is due to the fact that `ls` is aliased [page 398] to `ls --color=auto`.)

**LPI** MOVING A JOB FROM THE FOREGROUND TO THE BACKGROUND

**CONTROL-Z** and **bg** You can suspend a foreground job (stop it from running) by pressing the suspend key, usually **CONTROL-Z**. The shell then stops the process and disconnects standard input from the keyboard. It does, however, still send standard output and standard error to the screen. You can put a suspended job in the background and restart it by using the **bg** command followed by the job number. You do not need to specify the job number when there is only one suspended job.

Redirect the output of a job you run in the background to keep it from interfering with whatever you are working on in the foreground (on the screen). Refer to “Control Operators: Separate and Group Commands” on page 347 for more detail about background tasks.

**fg** Only the foreground job can take input from the keyboard. To connect the keyboard to a program running in the background, you must bring the program to the foreground. To do so, type **fg** without any arguments when only one job is in the background. When more than one job is in the background, type **fg**, or a percent sign (%), followed by the number of the job you want to bring to the foreground. The shell displays the command you used to start the job (**promptme** in the following example), and you can enter input the program requires to continue.

```
$ fg 1
promptme
```

**LPI** kill: ABORTING A BACKGROUND JOB

The interrupt key (usually **CONTROL-C**) cannot abort a background process because the keyboard is not attached to the job; you must use **kill** (page 455) for this purpose. Follow **kill** on the command line with either the PID number of the process you want to abort or a percent sign (%) followed by the job number.

Determining the PID of a process using **ps** If you forget a PID number, you can use the **ps** (process status) utility (page 380) to display it. The following example runs a **find** command in the background, uses **ps** to display the PID number of the process, and aborts the job using **kill**:

```
$ find / -name memo55 > mem.out &
[1] 18228
$ ps | grep find
18228 pts/10  00:00:01 find
$ kill 18228
[1]+  Terminated                  find / -name memo55 > mem.out
$
```

**LPI** Determining the number of a job using **jobs** If you forget a job number, you can use the **jobs** command to display a list of jobs that includes job numbers. The next example is similar to the previous one except it uses the job number instead of the PID number to identify the job to be killed. Sometimes the message saying the job is terminated does not appear until you press **RETURN** after the **RETURN** that executes the **kill** command.

```

$ find / -name memo55 > mem.out &
[1] 18236

$ bigjob &
[2] 18237

$ jobs
[1]-  Running                  find / -name memo55 > mem.out &
[2]+  Running                  bigjob &
$ kill %1
$ RETURN
[1]-  Terminated              find / -name memo55 > mem.out
$

```

## **LE+** FILENAME GENERATION/PATHNAME EXPANSION

Wildcards, globbing When you specify an abbreviated filename that contains *special characters*, also called *metacharacters*, the shell can generate filenames that match the names of existing files. These special characters are also referred to as *wildcards* because they act much as the jokers do in a deck of cards. When one of these characters appears in an argument on the command line, the shell expands that argument in sorted order into a list of filenames and passes the list to the program called by the command line. Filenames that contain these special characters are called *ambiguous file references* because they do not refer to one specific file. The process the shell performs on these filenames is called *pathname expansion* or *globbing*.

Ambiguous file references can quickly refer to a group of files with similar names, saving the effort of typing the names individually. They can also help find a file whose name you do not remember in its entirety. If no filename matches the ambiguous file reference, the shell generally passes the unexpanded reference—special characters and all—to the command. See “Brace Expansion” on page 411 for a technique that generates strings that do not necessarily match filenames.

### THE ? SPECIAL CHARACTER

The question mark (?) is a special character that causes the shell to generate filenames. It matches any single character in the name of an existing file. The following command uses this special character in an argument to the `lpr` utility:

```
$ lpr memo?
```

The shell expands the `memo?` argument and generates a list of files in the working directory that have names composed of `memo` followed by any single character. The shell then passes this list to `lpr`. The `lpr` utility never “knows” the shell generated the filenames it was called with. If no filename matches the ambiguous file reference, the shell passes the string itself (`memo?`) to `lpr` or, if it is set up to do so, passes a null string (see `nullglob` on page 408).

The following example uses `ls` first to display the names of all files in the working directory and then to display the filenames that `memo?` matches:

```
$ ls
mem  memo12  memo9  memomax  newmemo5
memo  memo5  memoa  memos

$ ls memo?
memo5  memo9  memoa  memos
```

The `memo?` ambiguous file reference does not match `mem`, `memo`, `memo12`, `memomax`, or `newmemo5`. You can also use a question mark in the middle of an ambiguous file reference:

```
$ ls
7may4report  may4report  mayqreport  may_report
may14report  may4report.79  mayreport  may.report

$ ls may?report
may4report  mayqreport  may_report  may.report
```

`echo` You can use `echo` and `ls` to practice generating filenames. The `echo` builtin displays the arguments the shell passes to it:

```
$ echo may?report
may4report mayqreport may_report may.report
```

The shell first expands the ambiguous file reference into a list of files in the working directory that match the string `may?report`. It then passes this list to `echo`, as though you had entered the list of filenames as arguments to `echo`. The `echo` utility displays the list of filenames.

A question mark does not match a leading period (one that indicates a hidden filename; page 188). When you want to match filenames that begin with a period, you must explicitly include the period in the ambiguous file reference.

## THE \* SPECIAL CHARACTER

The asterisk (`*`) performs a function similar to that of the question mark but matches any number of characters, *including zero characters*, in a filename. The following example first shows all files in the working directory and then shows commands that display all the filenames that begin with the string `memo`, end with the string `mo`, and contain the string `alx`:

```
$ ls
amemo  memalx  memo.0612  memoalx.0620  memorandum  sallymemo
mem    memo    memoa      memoalx.keep  memosally    user.memo

$ echo memo*
memo memo.0612 memoa memoalx.0620 memoalx.keep memorandum memosally

$ echo *mo
amemo memo sallymemo user.memo

$ echo *alx*
memalx memoalx.0620 memoalx.keep
```

The ambiguous file reference **memo\*** does not match **amemo**, **mem**, **sallymemo**, or **user.memo**. Like the question mark, an asterisk does *not* match a leading period in a filename.

The **-a** option causes **ls** to display hidden filenames (page 188). The command **echo \*** does not display **.** (the working directory), **..** (the parent of the working directory), **.aaa**, or **.profile**. In contrast, the command **echo .\*** displays only those four names:

```
$ ls
aaa memo.0612 memo.sally report sally.0612 saturday thurs

$ ls -a
.  aaa memo.0612 .profile sally.0612 thurs
.. .aaa memo.sally report  saturday

$ echo *
aaa memo.0612 memo.sally report sally.0612 saturday thurs

$ echo .*
. .. .aaa .profile
```

In the following example, **.p\*** does not match **memo.0612**, **private**, **reminder**, or **report**. The **ls .\*** command causes **ls** to list **.private** and **.profile** in addition to the contents of the **.** directory (the working directory) and the **..** directory (the parent of the working directory). When called with the same argument, **echo** displays the names of files (including directories) in the working directory that begin with a dot (**.**) but not the contents of directories.

```
$ ls -a
. .. memo.0612 private .private .profile reminder report

$ echo .p*
.private .profile

$ ls .*
.private .profile
.:
memo.0612 private  reminder  report
...

$ echo .*
. .. .private .profile
```

You can plan to take advantage of ambiguous file references when you establish conventions for naming files. For example, when you end the names of all text files with **.txt**, you can reference that group of files with **\*.txt**. The next command uses this convention to send all text files in the working directory to the printer. The ampersand causes **lpr** to run in the background.

```
$ lpr *.txt &
```

## The shell expands ambiguous file references

**tip** *The shell does the expansion* when it processes an ambiguous file reference, not the program that the shell runs. In the examples in this section, *the utilities* (`ls`, `cat`, `echo`, `lpr`) *never see the ambiguous file references*. The shell expands the ambiguous file references and passes a list of ordinary filenames to the utility. In the previous examples, `echo` demonstrates this fact because it simply displays its arguments; it never displays the ambiguous file reference.

## THE [ ] SPECIAL CHARACTERS

A pair of brackets surrounding one or more characters causes the shell to match filenames containing the individual characters within the brackets. Whereas `memo?` matches `memo` followed by any character, `memo[17a]` is more restrictive: It matches only `memo1`, `memo7`, and `memoa`. The brackets define a *character class* that includes all the characters within the brackets. (GNU calls this a *character list*; a *GNU character class* is something different.) The shell expands an argument that includes a character-class definition by substituting each member of the character class, *one at a time*, in place of the brackets and their contents. The shell then passes the list of matching filenames to the program it is calling.

Each character-class definition can replace only a single character within a filename. The brackets and their contents are like a question mark that substitutes only the members of the character class.

The first of the following commands lists the names of all files in the working directory that begin with `a`, `e`, `i`, `o`, or `u`. The second command displays the contents of the files named `page2.txt`, `page4.txt`, `page6.txt`, and `page8.txt`.

```
$ echo [aeiou]*
...

$ less page[2468].txt
...
```

A hyphen within brackets defines a range of characters within a character-class definition. For example, `[6-9]` represents `[6789]`, `[a-z]` represents all lowercase letters in English, and `[a-zA-Z]` represents all letters, both uppercase and lowercase, in English.

The following command lines show three ways to print the files named `part0`, `part1`, `part2`, `part3`, and `part5`. Each of these command lines causes the shell to call `lpr` with five filenames:

```
$ lpr part0 part1 part2 part3 part5

$ lpr part[01235]

$ lpr part[0-35]
```

The first command line explicitly specifies the five filenames. The second and third command lines use ambiguous file references, incorporating character-class definitions. The shell expands the argument on the second command line to include all files that have names beginning with `part` and ending with any of the characters in the character class. The character class is explicitly defined as `0`, `1`, `2`, `3`, and `5`. The third

command line also uses a character-class definition but defines the character class to be all characters in the range 0–3 plus 5.

The following command line prints 39 files, `part0` through `part38`:

```
$ lspr part[0-9] part[12][0-9] part3[0-8]
```

The first of the following commands lists the files in the working directory whose names start with `a` through `m`. The second lists files whose names end with `x`, `y`, or `z`.

```
$ echo [a-m]*
```

```
...
```

```
$ echo *[x-z]
```

```
...
```

**optional** When an exclamation point (!) or a caret (^) immediately follows the opening bracket ([) that starts a character-class definition, the character class matches any character *not* between the brackets. Thus `[^tsq]*` matches any filename that does *not* begin with `t`, `s`, or `q`.

The following examples show that `*[^ab]` matches filenames that do not end with the letter `a` or `b` and that `[^b-d]*` matches filenames that do not begin with `b`, `c`, or `d`.

```
$ ls
aa ab ac ad ba bb bc bd cc dd

$ ls *[^ab]
ac ad bc bd cc dd

$ ls [^b-d]*
aa ab ac ad
```

You can cause a character class to match a hyphen (–) or a closing bracket (]) by placing it immediately before the final (closing) bracket.

The next example demonstrates that the `ls` utility cannot interpret ambiguous file references. First `ls` is called with an argument of `?old`. The shell expands `?old` into a matching filename, `hold`, and passes that name to `ls`. The second command is the same as the first, except the `?` is quoted (by preceding it with a backslash [N]; refer to “Special Characters” on page 150). Because the `?` is quoted, the shell does not recognize it as a special character and passes it to `ls`. The `ls` utility generates an error message saying that it cannot find a file named `?old` (because there is no file named `?old`).

```
$ ls ?old
hold

$ ls \?old
ls: ?old: No such file or directory
```

Like most utilities and programs, `ls` cannot interpret ambiguous file references; that work is left to the shell.

---

## BUILTINS

A *builtin* is a utility (also called a *command*) that is built into a shell. Each of the shells has its own set of builtins. When it runs a builtin, the shell does not fork a new process. Consequently builtins run more quickly and can affect the environment of the current shell. Because builtins are used in the same way as utilities, you will not typically be aware of whether a utility is built into the shell or is a stand-alone utility.

For example, `echo` is a shell builtin. It is also a stand-alone utility. The shell always executes a shell builtin before trying to find a command or utility with the same name. See page 1062 for an in-depth discussion of builtin commands and page 1077 for a list of `bash` builtins.

**Listing `bash` builtins** To display a list of `bash` builtins, give the command **`info bash shell builtin`**. To display a page with information on each builtin, move the cursor to the **Bash Builtins** line and press RETURN. Alternately, you can view the **`builtins`** man page.

**Getting help with `bash` builtins** You can use the `bash help` command to display information about `bash` builtins. See page 141 for more information.

---

## CHAPTER SUMMARY

The shell is the Linux command interpreter. It scans the command line for proper syntax, picking out the command name and arguments. The name of the command is argument zero. The first argument is argument one, the second is argument two, and so on. Many programs use options to modify the effects of a command. Most Linux utilities identify an option by its leading one or two hyphens.

When you give it a command, the shell tries to find an executable program with the same name as the command. When it does, the shell executes the program. When it does not, the shell tells you it cannot find or execute the program. If the command is a simple filename, the shell searches the directories listed in the `PATH` variable to locate the command.

When it executes a command, the shell assigns one file or device to the command's standard input and another file to its standard output. By default, the shell causes a command's standard input to come from the keyboard and its standard output to go to the screen. You can instruct the shell to redirect a command's standard input from or standard output to any file or device. You can also connect standard output of one command to standard input of another command to form a pipeline. A filter is a command that reads its standard input from standard output of one command and writes its standard output to standard input of another command.

When a command runs in the foreground, the shell waits for the command to finish before it displays a prompt and allows you to continue. When you put an ampersand (`&`) at the end of a command line, the shell executes the command in the background

and displays another prompt immediately. Run slow commands in the background when you want to enter other commands at the shell prompt. The `jobs` builtin displays a list of suspended jobs and jobs running in the background and includes the job number of each.

The shell interprets special characters on a command line to generate filenames. A reference that uses special characters (wildcards) to abbreviate a list of one or more filenames is called an ambiguous file reference. A question mark represents any single character, and an asterisk represents zero or more characters. A single character might also be represented by a character class: a list of characters within brackets.

A builtin is a utility that is built into a shell. Each shell has its own set of builtins. When it runs a builtin, the shell does not fork a new process. Consequently builtins run more quickly and can affect the environment of the current shell.

## UTILITIES AND BUILTINS INTRODUCED IN THIS CHAPTER

Table 5-1 lists the utilities introduced in this chapter.

**Table 5-1** New utilities

Utility	Function
<code>tr</code>	Maps one string of characters to another (page 167)
<code>tee</code>	Sends standard input to both a file and standard output (page 170)
<code>bg</code>	Moves a process to the background (page 172)
<code>fg</code>	Moves a process to the foreground (page 172)
<code>jobs</code>	Displays a list of suspended jobs and jobs running in the background (page 172)

## EXERCISES

1. What does the shell ordinarily do while a command is executing? What should you do if you do not want to wait for a command to finish before running another command?
2. Using `sort` as a filter, rewrite the following sequence of commands:

```
$ sort list > temp
$ lpr temp
$ rm temp
```

3. What is a PID number? Why are these numbers useful when you run processes in the background? Which utility displays the PID numbers of the commands you are running?

4. Assume the following files are in the working directory:

```
$ ls
intro      notesb    ref2      section1  section3  section4b
notesa     ref1      ref3      section2  section4a  sentrev
```

Give commands for each of the following, using wildcards to express file-names with as few characters as possible.

- a. List all files that begin with **section**.
  - b. List the **section1**, **section2**, and **section3** files only.
  - c. List the **intro** file only.
  - d. List the **section1**, **section3**, **ref1**, and **ref3** files.
5. Refer to the **info** or **man** pages to determine which command will
- a. Display the number of lines in its standard input that contain the *word a* or **A**.
  - b. Display only the names of the files in the working directory that contain the pattern **\$()**.
  - c. List the files in the working directory in reverse alphabetical order.
  - d. Send a list of files in the working directory to the printer, sorted by size.
6. Give a command to
- a. Redirect standard output from a **sort** command to a file named **phone\_list**. Assume the input file is named **numbers**.
  - b. Translate all occurrences of the characters **[** and **{** to the character **(**, and all occurrences of the characters **]** and **}** to the character **)**, in the file **permdemos.c**. (*Hint*: Refer to the **tr** **man** page.)
  - c. Create a file named **book** that contains the contents of two other files: **part1** and **part2**.
7. The **lpr** and **sort** utilities accept input either from a file named on the command line or from standard input.
- a. Name two other utilities that function in a similar manner.
  - b. Name a utility that accepts its input only from standard input.
8. Give an example of a command that uses **grep**
- a. With both input and output redirected.
  - b. With only input redirected.
  - c. With only output redirected.
  - d. Within a pipeline.

In which of the preceding cases is **grep** used as a filter?

9. Explain the following error message. Which filenames would a subsequent `ls` command display?

```
$ ls
abc abd abe abf abg abh
$ rm abc ab*
rm: cannot remove 'abc': No such file or directory
```

---

## ADVANCED EXERCISES

10. When you use the redirect output symbol (`>`) on a command line, the shell creates the output file immediately, before the command is executed. Demonstrate that this is true.
11. In experimenting with variables, Max accidentally deletes his `PATH` variable. He decides he does not need the `PATH` variable. Discuss some of the problems he could soon encounter and explain the reasons for these problems. How could he *easily* return `PATH` to its original value?
12. Assume permissions on a file allow you to write to the file but not to delete it.
  - a. Give a command to empty the file without invoking an editor.
  - b. Explain how you might have permission to modify a file that you cannot delete.
13. If you accidentally create a filename that contains a nonprinting character, such as a `CONTROL` character, how can you remove the file?
14. Why does the `noclobber` variable *not* protect you from overwriting an existing file with `cp` or `mv`?
15. Why do command names and filenames usually not have embedded `SPACES`? How would you create a filename containing a `SPACE`? How would you remove it? (This is a thought exercise, not recommended practice. If you want to experiment, create a file and work in a directory that contains only your experimental file.)
16. Create a file named `answer` and give the following command:

```
$ > answers.0102 < answer cat
```

Explain what the command does and why. What is a more conventional way of expressing this command?

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# MAIN INDEX

An italic page number such as *123* indicates a definition. A light page number such as *456* indicates a brief mention. Page numbers followed by the letter *t* refer to tables. Only variables that must always appear with a leading dollar sign are indexed with a leading dollar sign. Other variables are indexed without a leading dollar sign.

---

## SYMBOLS

- argument 155, 1011
- ^ in regular expressions 1164
- ^ quick substitution character 390
- , (comma) operator 1083
- ; control operator 170, 347, 1085
- :: control operator 1028, 1085
- : (null) builtin 1059, 1071
- :- substitutes default values for a variable 1059
- :::1 (IP address) 485
- ?: sends to standard error an error message for a variable 1060
- := assigns default values for a variable 1059
- ! (NOT) Boolean operator 1085
- ! event reference 387
- !! reexecutes the previous event 388
- ? in extended regular expressions 1168
- ? special character 173
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- . directory 194, 493
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- [...] *see* test utility
- [[...]] builtin 1080
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- \ in regular expressions 1166
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- & control operator 170, 171, 348, 381, 1085
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