

DB2 Developer's Guide

A Solutions-Oriented Approach to Learning the
Foundation and Capabilities of DB2 for z/OS

Sixth Edition

Craig S. Mullins

FREE SAMPLE CHAPTER



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Common DB2 SQLCODE Values

SQLCODE	SQLSTATE	Description
+000	00000	The SQL statement finished successfully.
+100	02000	No rows found to satisfy the SQL statement.
+117	01525	Number of values being inserted does not equal number of columns in the table.
-101	54001	SQL statement is too complex.
-104	42601	Illegal symbol encountered in SQL statement. Usually, this means you have a syntax error somewhere in your SQL statement.
-122	42803	Column function used illegally; all columns not applied to the column function must be in the GROUP BY.
-150	42807	Invalid view UPDATE requested; or an invalid INSERT, UPDATE, or DELETE was requested on a transition table during a triggered action.
-305	22002	A null was returned but no indicator variable is available to assign null to the host variable.
-501	24501	Must open a cursor before attempting to fetch from it or close it.
-502	24502	Cannot open a cursor twice without first closing it.
-510	42828	The table specified by the cursor of the UPDATE or DELETE statement cannot be modified as requested.
-530	23503	Invalid foreign key value supplied for the specified constraint name.
-532	23504	Deletion violates the named referential constraint.
-545	23513	INSERT or UPDATE caused a check constraint violation.
-552	42502	User is attempting to perform an operation for which he or she is not authorized.
-803	23505	Insert violates uniqueness constraint.
-805	51002	The DBRM or package name was not found in the plan.
-811	21000	Must use a cursor when more than one row is returned as the result of an embedded SELECT statement.
-818	51003	Plan/Package vs. load module timestamp mismatch. The DBRM in the executing plan or package was not created from the same precompilation as the load module.
-904	57011	The specified resource is unavailable. Determine why, and retry the request.
-911	40001	The current unit of work has been rolled back.
-913	57033	Unsuccessful execution caused by deadlock or timeout.
-922	42505	The user is not authorized to perform the task

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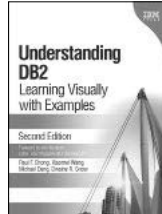
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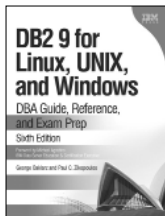
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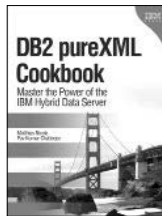
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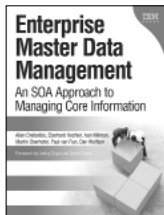
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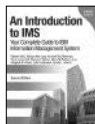
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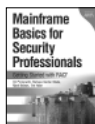
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**A Solutions-Oriented Approach to
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Craig S. Mullins

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*This book is dedicated to my mom, Donna Mullins,
and to the memory of my father, Giles R. Mullins.*

*Without the constant support and guidance my parents provided,
I would not have the success I enjoy today.*

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Preface: A Short History of DB2 for z/OS

Let's go back in time...almost three decades ago...back to the wild and woolly 1980s! And watch as our favorite DBMS, DB2, grows up over time.

Version 1 Release 1 was announced on June 7, 1983. And it became generally available on Tuesday, April 2, 1985. I wonder if it was ready on April 1st but not released because of April Fool's Day? Initial DB2 development focused on the basics of making a relational DBMS work. Early releases of DB2 were viewed by many as an "information center" DBMS, not for production work like IMS.

Version 1 Release 2 was announced on February 4, 1986 and was released for general availability a month later on March 7, 1986. Wow! Can you imagine waiting only a month for a new release of DB2 these days? But that is how it happened back then. Same thing for Version 1 Release 3, which was announced on May 19, 1987 and became GA on June 26, 1987. DB2 V1R3 saw the introduction of date data types.

You might notice that IBM delivered "releases" of DB2 in the 1980s, whereas today (and ever since V3) there have been only versions. Versions are major, whereas releases are not quite as significant as a version.

Version 2 of DB2 became a reality in 1988. Version 2 Release 1 was announced in April 1988 and delivered in September 1988. Here we start to see the gap widening again between announcement and delivery. V2R1 was a significant release in the history of DB2. Some mark it as the bellwether for when DB2 began to be viewed as a DBMS capable of supporting mission critical, transaction processing workloads. Not only did V2R1 provide many performance enhancements, but it also signaled the introduction of declarative Referential Integrity (RI) constraints. RI was important for the acceptance of DB2 because it helps to assure data integrity within the DBMS.

No sooner than V2R1 became GA than IBM announced Version 2 Release 2 on October 4, 1988. But it was not until a year later that it became generally available on September 23, 1988. DB2 V2R2 again bolstered performance in many ways. It also saw the introduction of distributed database support (private protocol) across MVS systems.

Version 2 Release 3 was announced on September 5, 1990 and became generally available on October 25, 1991. Two significant features were added in V2R3: segmented table spaces and packages. Segmented table spaces quickly became the de facto standard for most DB2 data, and packages made DB2 application programs easier to support. DB2 V2R3 is also the version that beefed up distributed support with Distributed Relational Database Architecture (DRDA). Remote unit of work distribution was not available in the initial GA version, but IBM came out with RUOW support for DB2 V2R3 in March 1992.

DB2 Version 3 was announced in November 1993 and GA in December 1993. Now it may look like things sped up again here, but not really. This is when the QPP program for early support of DB2 started. QPP was announced in March 1993 and delivered to

customers in June 1993. Still though, this is a fairly rapid version turnaround by today's standards.

V3 greatly expanded the number of buffer pool options available (from 5 pools to 80). There were many advances made in DB2 V3 to take better advantage of the System 390 environment: V3 introduced support for hardware-assisted compression and hiperpools. It was also V3 that introduced I/O parallelism for the first time.

Version 4 was a significant milestone in the history of DB2. It was highlighted by the introduction of Type 2 indexes, which removed the need to lock index pages (or subpages, which are now obsolete). Prior to V4, index locking was a particularly thorny performance problem that vexed many shops.

And, of course, I'd be remiss if I did not discuss data sharing, which made its debut in V4. With data sharing, DB2 achieved new heights of scalability and availability unmatched within the realm of DBMS; it afforded users the ability to upgrade without an outage and to add new subsystems to a group on-the-fly. The new capabilities did not stop there; V4 also introduced stored procedures, CP parallelism, performance improvements, and more. DB2 V4 was, indeed, a major milestone in the history of mainframe DB2.

In June 1997, DB2 Version 5 became generally available. It was the first DB2 version to be referred to as DB2 for OS/390 (previously it was DB2 for MVS). Not as significant as V4, we see the trend of even-numbered releases being bigger and more significant than odd-numbered releases. (Of course, this is just my opinion.) V5 was touted by IBM as the e-business and BI version. It included Sysplex parallelism, prepared statement caching, reoptimization, online REORG, and conformance to the SQL-92 standard.

Version 6 brings us to 1999 and the introduction of the Universal Database term to the DB2 moniker. The "official" name of the product is now DB2 Universal Database for OS/390. And the Release Guide swelled to more than 600 pages! Six categories of improvements were introduced with V6 spanning:

- Object-relational extensions and active data
- Network computing
- Performance and availability
- Capacity improvements
- Data sharing enhancements
- User productivity

The biggest of the new features were SQLJ, inline statistics, triggers, large objects (LOBs), user-defined functions, and distinct types.

Version 6 is also somewhat unique in that there was this "thing" typically referred to as the V6 refresh. It added functionality to DB2 without there being a new release or version. The new functionality in the refresh included SAVEPOINTS, identity columns, declared temporary tables, and performance enhancements (including star join). I wonder why IBM did not just issue a point release like in the past?

March 2001 brings us to DB2 Version 7, another "smaller" version of DB2. Developed and released around the time of the Year 2000 hubbub, it offered much improved utilities

and some nice new SQL functionality, including scrollable cursors, limited FETCH, and row expressions. Unicode support was also introduced in DB2 V7. For a more detailed overview of V7 (and the V6 refresh) consult my web site at

<http://www.craigsmullins.com/dbaz-DB2v7.htm>.

DB2 Version 8 followed, but not immediately. IBM took advantage of Y2K and the general desire of shops to avoid change during this period to take its time and deliver the most significant and feature-laden version of DB2 ever. V8 had more new lines of code than DB2 V1R1 had total lines of code.

I don't want to get bogged down in recent history here, outlining the features and functionality of DB2 releases that should be fresh in our memory (V8 and V9). If you want some details on those, I refer you to the web again and the following links:

V8: http://www.craigsmullins.com/zjdp_001.htm

V9: http://www.craigsmullins.com/zjdp_025.htm

Which brings us to today. Most shops should be either running Version 10 in production or planning their migration to V10 from either V8 or V9.

Let this book be your guide to DB2 V10!

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If you have any questions or comments about this text, you can contact me at craig@craigsmullins.com or via my web site at <http://www.CraigSMullins.com>. You can also write to me in care of the publisher.

About the Author

Craig S. Mullins is a data management strategist, researcher, and consultant. He is president and principal consultant of Mullins Consulting, Inc. and the publisher and editor of *The Database Site* (<http://www.TheDatabaseSite.com>). Craig has also been appointed as an Information Champion by IBM.

Craig has extensive experience in all facets of database systems development, including systems analysis and design, database and system administration, data analysis, and developing and teaching DB2 and database development classes. He has worked with DB2 since Version 1 and has experience in multiple roles, including programmer, DBA, instructor, and analyst. His experience spans industries, having worked for companies in the following fields: manufacturing (USX Corporation), banking (Mellon Bank), utilities (Duquesne Light Company), commercial software development (BMC Software, NEON Enterprise Software, and PLATINUM Technology, Inc.), consulting (ASSET, Inc. and Mullins Consulting, Inc.), and computer industry analysis (Gartner Group). In addition, Craig authored many of the popular “Platinum Monthly DB2 Tips” and worked on Platinum’s DB2 system catalog and access path posters.

Craig is a regular lecturer at industry conferences. You may have seen him present at such events as the International DB2 Users Group (IDUG), the IBM Information on Demand (IOD) Conference, the IBM DB2 Technical Conference, SHARE, DAMA, CMG, or at one of many regional user groups throughout the world. Craig is a member of the IDUG Volunteers Hall of Fame.

Craig is also the author of *Database Administration: The Complete Guide to Practices and Procedures* (ISBN 0-201-74129-6). This book offers the industry’s only comprehensive guide to heterogeneous database administration.

Craig is a frequent contributor to computer industry publications, with hundreds of articles published over the past couple decades. His articles have been published in *Byte*, *DB2 Update*, *Database Programming & Design*, *DBMS*, *Data Management Review*, *zJournal*, and many others. Craig writes four regular columns, including “The DBA Corner” for *Database Trends and Applications*, “The Database Report” for *The Data Administration Newsletter*, “z/Data Perspectives” for *zJournal*, and “The Buffer Pool” for *IDUG Solutions Journal*. He also writes a blog focusing on DB2 topics at <http://db2portal1.blogspot.com>. Complete information on Craig’s published articles and books can be found on his website at <http://www.craigsmullins.com>.

Craig graduated *cum laude* from the University of Pittsburgh with a B.S. degree and a dual major in computer science and economics.

Follow Craig on Twitter at <http://www.twitter.com/craigsmullins>.

IN THIS CHAPTER

- What Is XML?
- pureXML
- XML-DB2 Guidelines

CHAPTER 10

pureXML: Using XML in DB2 for z/OS

XML is gaining popularity for persisting complex data and is frequently used in web-enabled applications and as a means of data transmission. This chapter introduces you to the basics of XML and provides an overview of pureXML, IBM's implementation of XML support embedded in DB2.

A comprehensive treatment of DB2 pureXML would require a book length treatment, and it is not the intent of this book, or this chapter, to provide an exhaustive treatment of DB2's support for XML. If you are looking for an introduction, this chapter is a good starting place. References for additional pureXML research are provided at the end of the chapter.

What Is XML?

XML stands for Extensible Markup Language. You may be familiar with HTML, the markup language used to create web pages. Like HTML, XML is based upon Standard Generalized Markup Language (SGML). SGML is a language for defining markup languages that was developed and standardized by the International Organization for Standardization (ISO).

Whereas HTML uses tags to describe *how* data appears on a web page, XML is designed to transport and store data. In other words, XML uses tags to describe the *what*—that is, the data itself. XML retains the key SGML advantage of self-description, while avoiding the complexity of full-blown SGML. XML allows tags to be defined by users that describe the data stored in the document. This capability gives users a means for describing the structure and nature of the data in the document. In essence, the document becomes self-describing.

The simple syntax of XML makes it easy to process by machine while remaining understandable to people. Again, use HTML as a metaphor to help you understand XML. HTML uses tags to describe the appearance of data on a page. For example the tag, “text”, would specify that the “text” data should appear in bold face. XML uses tags to describe the data itself, instead of its appearance. For example, consider the following XML describing a customer address:

```
<CUSTOMER>
  <first_name>Craig</first_name>
  <middle_initial>S.</middle_initial>
  <last_name>Mullins</last_name>
  <company_name>Mullins Consulting, Inc.</company_name>
  <street_address>15 Coventry Ct.</street_address>
  <city>Sugar Land</city>
  <state>TX</state>
  <zip_code>77479</zip_code>
  <country>USA</country>
</CUSTOMER>
```

XML is actually a meta-language—that is, a language for defining other markup languages. These languages are collected in dictionaries called Document Type Definitions (DTDs). The DTD stores definitions of tags for specific industries or fields of knowledge. So, the meaning of a tag must be defined in a “document type declaration” (DTD), such as the following:

```
<!DOCTYPE CUSTOMER [
  <!ELEMENT CUSTOMER (first_name, middle_initial, last_name,
    street_address, city, state, zip_code, country)>
  <!ELEMENT first_name (#PCDATA)>
  <!ELEMENT middle_initial (#PCDATA)>
  <!ELEMENT last_name (#PCDATA)>
  <!ELEMENT street_address (#PCDATA)>
  <!ELEMENT city (#PCDATA)>
  <!ELEMENT state (#PCDATA)>
  <!ELEMENT zip_code (#PCDATA)>
  <!ELEMENT country (#PCDATA)>
]
```

The DTD for an XML document can either be part of the document or stored in an external file. The XML code samples shown are meant to be examples only. By examining them, you can quickly see how the document describes its contents.

For data management professionals, this is a plus because it eliminates the trouble to track down the meaning of data elements. One of the biggest problems associated with database management and processing is finding and maintaining the meaning of stored data. If the data can be stored in documents using XML, the documents themselves will describe their data content. Of course, the DTD is a rudimentary vehicle for defining data semantics.

More recently, the XML Schema has been introduced to describe the structure of an XML document. An XML Schema has better support for applications, document structure,

attributes, and data-typing. For example, here is the previous DTD transformed into an XML Schema:

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema">

  <xs:element name="customer">
    <xs:complexType>
      <xs:sequence>
        <xs:element name="first_name" type="xs:string"/>
        <xs:element name="middle_initial" type="xs:string"/>
        <xs:element name="last_name" type="xs:string"/>
      </xs:sequence>
    </xs:complexType>

    <xs:complexType name="USAddress">
      <xs:sequence>
        <xs:element name="street" type="xs:string"/>
        <xs:element name="city" type="xs:string"/>
        <xs:element name="state" type="xs:string"/>
        <xs:element name="zip" type="xs:decimal"/>
      </xs:sequence>
      <xs:attribute name="country" type="xs:NMTOKEN"
        fixed="US"/>
    </xs:complexType>

  </xs:element>

</xs:schema>
```

Given the benefits of XML Schema over DTDs, more XML documents are adopting them for use in modern XML applications.

NOTE

You can use Extensible Stylesheet Language (XSL) with XML to format XML data for display.

The important thing to remember about XML is that it solves a different problem than HTML. HTML is a markup language, but XML is a meta-language. In other words, XML is a language that generates other kinds of languages. The idea is to use XML to generate a language specifically tailored to each requirement you encounter. In short, XML enables designers to create their own customized tags, thereby enabling the definition, transmission, validation, and interpretation of data between applications and between organizations. So, the most important reason to learn XML is that it is quickly becoming the de facto standard for application interfaces.

There are, however, some issues with XML, the most troubling of which is market hype. There is plenty of confusion surrounding XML. Some believe that XML provides metadata where none currently exists, or that XML replaces SQL as a data access method for relational data. Neither of these assertions is true.

There is no way that any technology, XML included, can conjure up information that does not exist. People must create the metadata tags in XML for the data to be described. XML enables self-describing documents; it doesn't describe your data for you.

Moreover, XML doesn't perform the same functions as SQL. As a result, XML can't replace it. As the standard access method for relational data, SQL DML is used to "telling" a relational DBMS what data is to be retrieved. XML, on the other hand, is a document description language that describes the basic contents of data. An XML schema can be associated with an XML document to type XML data. XML might be useful for defining databases but not for accessing them.

DB2, as well as most of the other popular DBMS products, now provides built-in support for native XML. By integrating XML into DB2 databases, you can more directly and quickly access the XML documents, as well as search and store entire XML documents using SQL. Integration can involve simply storing XML in a large VARCHAR or CLOB column, breaking down the XML into multiple columns in one or more DB2 tables, or more commonly to store XML data natively within a column of a DB2 table. As of DB2 V9, you can store XML data natively in a DB2 using pureXML, which allows you to define columns with a data type of XML.

Storing Data Relationally Versus as XML

Before delving into a discussion of how to store and access XML data natively in DB2 for z/OS, first take a moment to contrast the traditional row and column data of DB2 (and other relational database systems) versus XML data.

The self-describing data format of XML enables complex data to be stored in a single document without giving up the ability to query, search, or aggregate the data. And the XML definition (DTD or XML schema) can be modified without requiring any changes to the database schema. Of course, this may be viewed as a pro by some and a con by others. Developers will likely enjoy the added flexibility, whereas DBAs will likely lament the lack of control.

The flexible nature of XML can require more resources (CPU and I/O) to examine and interpret the XML data as opposed to accessing the same data in a traditional row and column format. But the complexity of the schema must be taken into consideration.

XML is often more suitable for applications with complex and variable data structures, and for combining structured and unstructured information. Relational row and column data is most suitable for stable data structures. A complex hierarchy stored in XML, for example, may be queried more efficiently than a similar hierarchy stored in traditional DB2 form. Relational data, though, can offer more query flexibility and optimization choices.

Keeping an XML document intact in an XML column has the advantage of maximum flexibility but can negatively impact performance.

Fully shredding an XML document into a relational format has the advantage of making high volume transactional processes run faster. But shredding has several disadvantages, as well: Converting the XML documents to the equivalent relational model can consume a lot of resources; converting relational data back into an XML document is expensive; and it can be difficult to keep up with changing requirements.

A hybrid approach in which some portions of the document are maintained as XML and other portions are shredded into relational can be a best practice approach both for performance and maintainability.

Objects having sparse attributes are another area in which XML offers an advantage over a traditional relational data format. When a large number of attributes are possible but most are not used by every instance, XML may be a better choice because every attribute would need to be defined and stored with traditional relational data. In relational data, columns might be NULL, but in XML those data items are just not present.

Consider, for example, a product catalog where each product may have a different number of attributes: size, color, weight, length, height, material, style, watts, voltage, resolution, and so on, depending upon the product. A relational approach with one column per attribute would require a large number of columns requiring NULL, which is not ideal. Alternative approaches, such as a table per product or a three-column table that stores name/value pairs for each product ID are equally unappealing. With an XML solution, elements and attributes can be optional, so they would be omitted when they do not apply for a specific product.

Often there are XML schemas already defined by some industry standard organization (for example, ISO). Using those schemas can greatly reduce the time and effort for design and data modeling. Also these schemata are often used for defining the data received or sent by an application. It is often desirable to store XML data redundantly (which is against good relational design) in a relational column or even a subset of the document in an XML column. If schema validation, a relatively costly process, can be done once, then portions of the XML document can be used in other tables without revalidating.

Parsing and serializing XML data also can be a costly process. If XML data is required to be passed as output, it can be beneficial to store those portions of the document redundantly as XML documents. Using some hybrid design approach combining relational and XML can be useful: Highly referenced fields are best in relational columns, whereas sparsely populated or seldom-referenced fields may be better left in XML columns.

At any rate, XML data has its place, and DB2 users are lucky that they can store XML data natively within DB2 databases.

pureXML

V9 As of DB2 V9, XML data can be stored natively in DB2 databases. This implementation is known as pureXML. With pureXML, you can treat XML as another data type that can be managed by DB2. This means that you can CREATE tables with XML columns, ALTER existing tables to add XML columns, INSERT XML data (optionally validated against XML schemas), CREATE indexes on XML data, search XML data, and generally manipulate XML as part of your DB2 databases.

DB2's support for XML with pureXML is novel in that the XML data is integrated into the DB2 database system enabling access and management of the XML using DB2 functions and capabilities.

Creating a Table with an XML Column

Similar to LOB data, XML data is physically stored in separate table spaces from the base tables that contain the XML columns. But unlike with LOBs, the storage structures are transparent to the user. You do not need to define and manage the storage mechanisms used by DB2 for XML data.

For example, the following SQL creates a new table with an XML column, which can be used to store the customer XML example shown previously:

```
CREATE TABLE CUST
(CUSTNO    INTEGER NOT NULL,
 STATUS    CHAR(1),
 XMLCUST   XML)
IN DB.TS;
```

When a table is defined with an XML column, DB2 generates a hidden column in the base table called a `DOCID`, which contains a unique identifier for the XML column. There is a single `DOCID` column even if the table contains more than one XML column. The `DOCID` column is purely internal; it does not show up in a `SELECT *`, for example. In addition, DB2 automatically creates a document ID index for the XML column, too.

The XML data is not stored directly in the XML column. Instead, a separate internal table in a separate table space is created for each XML column in the base table. A single XML document may be physically split across multiple rows in the XML table, but it logically still belongs to a single row in the base table, which is the table created with the XML column definition. The internal XML table is composed of three columns:

- `DOCID` (`BIGINT`)
- `MIN_NODEID` (`VARCHAR`)
- `XMLDATA` (`VARBINARY`)

The `DOCID` column is used as a pointer between the base table and the XML table. In any given row, the `MIN_NODEID` column stores the lowest node stored in the `XMLDATA` column of the row. This information optimizes DB2's capability to process XML documents. The `XMLDATA` column contains a region of an XML document formatted as a parsed tree. The internal table is clustered by `DOCID` and `MIN_NODEID`.

NOTE

An XML node is the smallest unit of a valid, complete structure in a document. For example, a node can represent an element, an attribute, or a text string.

An XML node ID is an identifier for XML nodes and facilitates navigation among multiple XML data rows in the same document.

The internal XML table always has a 16-KB page size. This is so regardless of the page size of the base table containing the XML column. The table space used by the internal XML table is a Universal table space. If the base table resides in a partitioned table space, the XML table space will be range-partitioned; if not, the XML table space will be partition-by-growth.

NOTE

The internal XML table space inherits the `COMPRESS` parameter specification from the base table space. Of course, the `COMPRESS` attribute for an XML internal table space can be altered if wanted.

The TBSBPXML DSNZPARM system parameter is available to specify the default buffer pool for XML table spaces. The default is BP16K0; however, because the DB2 Catalog uses this buffer pool, it is a good idea to use different 16-K buffer pools for your XML table spaces.

CAUTION

Be sure to GRANT USE authority to the buffer pool to be used for XML table spaces to any DBA (or user) who must CREATE tables with XML columns.

XML Document Trees

DB2's pureXML implementation follows the XPath 2.0 and the XQuery 1.0 data model, which provides an abstract representation of XML documents. By using the data model, all permissible values of expressions in XPath can be defined, including values used during intermediate calculations. The pureXML data model is described in terms of sequences and items, atomic values, and nodes.

The primary difference between XPath and XQuery is that XPath is a subset of XQuery for addressing parts of a document. XPath cannot be used to construct new XML documents, nor does it support complex join, grouping, and ordering.

XML is hierarchic in nature and, as such, every XML document can be represented as a node tree. When XML data is queried or modified, the hierarchical structure of the document must be traversed. To assure that XML data is accessed as efficiently as possible, DB2 physically stores XML documents in hierarchical format, as trees of nodes with parent-child relationships between the nodes.

To better understand this concept of hierarchic trees, work through an example. To start, examine the following sample XML:

```
<customer>
  <custname>
    <first_name>Craig</first_name>
    <last_name>Mullins</last_name>
  </custname>
  <addr country="US">
    <street>100 Easy St</street>
    <city>Pittsburgh</city>
    <state>PA</state>
    <zip_code>15215</zip_code>
  </addr>
  <phone type="work">412-555-1000</phone>
  <phone type="mobile">972-555-8174</phone>
</customer>
```

This basic XML document contains customer data. At the root of the tree is the root element, `customer`. There are various direct children elements as well: `first_name`, `last_name`, `addr`, and two occurrences of `phone`.

The element `addr` is composed of multiple elements as well: `street`, `city`, `state`, and `zip_code`. And `addr` also has an attribute for the country. The element `phone` has an attribute, `type`, associated with it, as well.

Figure 10.1 illustrates a representation of this XML document as a hierarchical tree. You can build these trees by parsing an XML document using an XML parser.

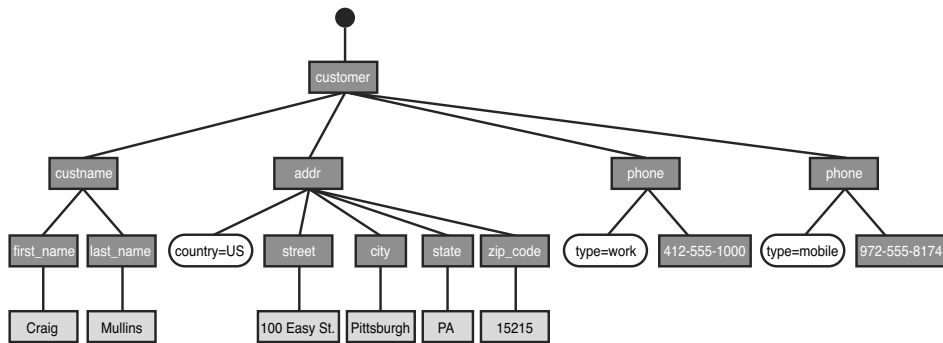


FIGURE 10.1 An XML document tree.

XML data is stored in DB2 as an XML document tree. When XML is inserted or loaded into an XML column, DB2 parses the XML document to produce the hierarchical format, and that is what is stored in the XML table space. If one or more columns are of type XML, and you select the entire XML column, DB2 retrieves the XML document tree and converts it back into the text XML document. This process is called *serialization*.

When the XML data is larger than a page, the document tree is divided into groups of nodes; each group is usually a subtree of nodes. The divided data is stored in the XMLDATA column of the internal XML table. Nodes are grouped bottom up, up to the largest row size of a page. One document can be physically stored across many rows and pages.

DB2 stores XML data as an XML document tree to optimize performance when accessing XML data. The document tree is further optimized by replacing tag names with 4-byte identifiers. So the internal storage does not look exactly like the tree shown in Figure 10.1. Tag names are mapped to stringIDs and stored in the DB2 Catalog in the table SYSIBM.SYSXMLSTRINGS. DB2 caches the mapping table to optimize performance. Furthermore, XML query evaluation and traversal of XML documents can operate on integers, which is typically much faster than operating on strings. There will be only one 4-byte identifier for a particular string, even if that string (that is, "name") is used in many different XML columns.

The exact shape of the document tree can vary from document to document; it depends upon the content of each XML document. The document tree is not built or predefined based on the XML Schema. The actual XML data is stored as VARBINARY data, composed of the XML document tree (or a sequence of subtrees) with context path.

Serializing and Parsing XML Data

An XML value can be transformed into a textual XML value that represents the XML document by using the XMLSERIALIZE function or by retrieving the value into an application variable of an XML, string, or binary data type.

The inverse can also be achieved. The textual XML document can be turned into the XML value using the `XMLPARSE` function or by storing a value from a string, binary, or XML data type to an XML column.

Altering a Table to Contain XML Data

Modifying a table to add an XML column is also a simple endeavor. All that is necessary is a simple `ALTER`, such as the following:

```
ALTER TABLE DSN81010.EMP  
  ADD JOBHISTORY XML;
```

This statement adds the `JOBHISTORY` column as XML to the `EMP` table. Of course, when an XML column is added to an existing table, DB2 creates the same internal table and index to support the XML data as it would for a new table.

Schema Validation

As you have seen, XML is flexible. XML has no rigorously enforced schema applied when data is added, such as with a DBMS such as DB2. But you can validate XML against a schema with a process known as XML schema validation. XML schema validation can determine whether the structure, content, and data types of an XML document are valid according to an XML schema. In addition, XML schema validation removes whitespace that can be ignored from the XML document being validated.

You can validate an XML document in DB2 in two ways:

- Automatically, by specifying an XML type modifier in the XML column definition of the `CREATE` or `ALTER TABLE` statement. When a column has an XML type modifier, DB2 implicitly validates documents that are inserted into the column or documents in the column that are updated.
- Manually, by executing the `DSN_XMLVALIDATE` built-in function when you `INSERT` a document into an XML column or `UPDATE` a document in an XML column.

If you perform XML schema validation using DB2, you need to set up an XML Schema Repository. A DB2 for z/OS XML schema repository (XSR) is a set of DB2 tables where you can store XML schemas. XSR requires additional software: WLM, z/OS XML System Services, Java 2 Technology Edition 31 bit (V5 or later), and IBM Data Server Driver for JDBC and SQLJ.

Refer to the IBM manual, *pureXML Guide* (SC19-2981) for additional information on using an XML Schema Repository, as well as additional information on using type modifiers.

NOTE

An XML schema is not required when using DB2 and pureXML. XML columns can store any well-formed documents. You can also validate XML documents against many schemas, if needed.

XML Namespaces

XML namespaces are a W3C XML standard for providing uniquely named elements and attributes in an XML document. XML documents may contain elements and attributes that have the same name but belong to different domains. A namespace can be used to remove the ambiguity when referencing such elements and attributes.

Namespaces are supported by all DB2 pureXML features including SQL/XML, XML indexes, and XML Schema validation.

A namespace must be declared with a prefix assigned to a Universal Resource Identifier (URI) before it can be used. Now augment your example XML with a namespace:

```
<c:customer xmlns:c="http://ddgsample.org">
  <c:custname>
    <c:first_name>Craig</c:first_name>
    <c:last_name>Mullins</c:last_name>
  </c:custname>
  <c:addr country="US">
    <c:street>100 Easy St</c:street>
    <c:city>Pittsburgh</c:city>
    <c:state>PA</c:state>
    <c:zip_code>15215</c:zip_code>
  </c:addr>
  <c:phone type="work">412-555-1000</c:phone>
  <c:phone type="mobile">972-555-8174</c:phone>
</c:customer>
```

The attribute `xmlns:c` declares that `c` is a namespace prefix bound to the URI `http://ddgsample.org`. The prefix `c` can be used for the `customer` element and all other elements or attributes in the document that are descendants of `customer`.

CAUTION

Be careful when specifying namespace URIs. There is no requirement that a namespace be a valid URI. For example, URIs with blanks are not valid, but they do not affect whether an XML document is well-formed. You can insert XML documents with spaces in their namespace URIs, but URIs with spaces cannot be declared in a query. So take care to specify valid namespace URIs.

Not every node in a document must belong to the same namespace. Furthermore, an XML document can contain multiple namespaces. Specify namespace declarations as needed within your XML documents according to your needs for accessing elements of the XML document.

If all elements in a document belong to the same namespace, you can declare a default namespace and avoid the use of prefixes:

```
<customer xmlns="http://ddgsample.org">
  <custname>
    <first_name>Craig</first_name>
    <last_name>Mullins</last_name>
  </custname>
  <addr country="US">
    <street>100 Easy St</street>
```

```

    <city>Pittsburgh</city>
    <state>PA</state>
    <zip_code>15215</zip_code>
  </addr>
  <phone type="work">412-555-1000</phone>
  <phone type="mobile">972-555-8174</phone>
</customer>

```

In this case, all elements belong to the declared `http://ddgsample.org` namespace.

Indexing XML Data

You can build indexes on data stored in XML columns to improve the efficiency of queries against XML documents. Indexes on XML data differ from DB2 indexes on relational data. A typical DB2 index lists a column, or series of columns, and the index is built upon those columns. An XML index is based upon a part of the data in the XML column, not the entire column.

A typical DB2 index has one entry for each row in the table, even if the value is NULL, whereas an XML index does not have an entry for a row if the XML document in that row does not contain that element.

An XML index uses an XML pattern expression to index paths and values in XML documents stored within a single XML column. The index entries in XML indexes provide access to nodes within the XML document. Because multiple parts of a XML document can satisfy an XML pattern, DB2 might generate multiple index keys when it inserts values for a single document into the index.

XML indexes are built using the `CREATE INDEX` statement (and dropped using `DROP INDEX`). Instead of listing columns, the `GENERATE KEY USING XMLPATTERN` clause is used to indicate what portion of the XML document you want to index:

```

CREATE INDEX CSTLNMX1
  ON CUST(XMLCUST)
GENERATE KEY USING XMLPATTERN '/customerinfo/custname/last_name'
AS SQL VARCHAR(20)

```

The `GENERATE KEY USING XMLPATTERN` clause provides information about what you want to index. This clause is called an XML index specification. The XML index specification contains an XML pattern clause. The XML pattern clause in this example indicates that you want to index the values of the `last_name` attribute of each `customer` element. The index entries are to be stored as `VARCHAR(20)`.

Every XML pattern expression specified in index `CREATE` statement must be associated with a data type. The only supported data types are `VARCHAR`, `DECFLOAT`, `DATE`, and `TIMESTAMP`.

NOTE

DATE and TIMESTAMP support was added for DB2 V10.

V10

Only one index specification clause is allowed in each `CREATE INDEX` statement, but it is permissible to create multiple XML indexes on each XML column.

To identify the portion of the XML to be indexed, you specify an XML pattern to identify a set of nodes in the XML document. This pattern expression is similar to an XPath expression. (But only a subset of the XPath language is supported.)

CAUTION

If you validate your XML documents against an XML schema, be sure to verify that the data type specifications in the XML schema match the data types used in your indexes.

Namespace Declarations in XML Indexes

In the XMLPATTERN clause of the CREATE INDEX statement, you can specify an optional namespace declaration that maps a URI to a namespace prefix. Then you can use the namespace prefix when you refer to element and attribute names in the XML pattern expression.

For example, consider the following index creation statement:

```
CREATE INDEX CSTPHNX2
ON CUST(XMLCUST)
GENERATE KEY USING XMLPATTERN 'declare namespace s="http://ddgsample.org/";
/s:customer/s:phone/@s:type' AS SQL VARCHAR(12)
```

The namespace declaration maps the namespace URI `http://ddgsample.org` to the character `s`. It can then be used to qualify all elements and attributes with that namespace prefix.

XML Indexes and UNIQUE

Specifying UNIQUE in an XML index definition is a little bit different than in traditional, relational indexes. For a traditional index, the UNIQUE keyword enforces uniqueness across all rows in the table. For XML indexes, the UNIQUE keyword enforces uniqueness across all documents in an XML column. This means the index can ensure uniqueness, not only across all rows in the table, but also within a single document within a row.

For an XML index, DB2 enforces uniqueness for the following:

- Data type of the index.
- XML path to a node.
- Value of the node after the XML value has been cast to the SQL data type specified for the index.

CAUTION

Because rounding can occur during conversion of an index key value to the specified data type for the index, multiple values that appear to be unique in the XML document might result in duplicate key errors.

Querying XML Data

Of course, after you create tables with XML data in them, you want to access that data in your DB2 applications. Doing so requires a basic understanding of XPath.

XPath

XPath is a programming language designed by the World Wide Web Consortium (W3C) for querying and modifying XML data. DB2 supports a subset of the language constructs in the XPath 2.0 specification. In XPath, there are seven kinds of nodes: element, attribute, text, namespace, processing-instruction, comment, and document nodes.

XML documents are treated as trees of nodes. The top element of the tree is called the root element. Now look at some sample XML again:

```
<customer>
  <custname>
    <first_name>Craig</first_name>
    <last_name>Mullins</last_name>
  </custname>
  <addr country="US">
    <street>100 Easy St</street>
    <city>Pittsburgh</city>
    <state>PA</state>
    <zip_code>15215</zip_code>
  </addr>
  <phone type="work">412-555-1000</phone>
  <phone type="mobile">972-555-8174</phone>
</customer>
```

Examples of nodes in this XML document include `<customer>`, which is the root element node, `<city>Pittsburgh</city>`, which is an element node, and `Type="work"`, which is an attribute node.

There is a relationship among the nodes that you need to understand for XML processing. Each element and attribute has one parent. In the example, XML the `addr` element is the parent of `street`, `city`, `state`, and `zip_code`. Element nodes can have zero, one, or more children. Nodes that have the same parent are siblings. The `street`, `city`, `state`, and `zip_code` nodes are siblings of each other, as well as children of `addr`.

Descendants are any children of a node as well as the children's children, and so on. So in your example, the descendants of `customer` include `custname`, `first_name`, `last_name`, `addr`, `street`, `city`, `state`, `zip_code`, and `phone`.

XPath uses path expressions to select nodes or node-sets in an XML document. The node is selected by following a path or steps. If you are familiar with Windows® or UNIX® file structure, an XPath specification will look familiar to you. Table 10.1 outlines some useful path expressions.

TABLE 10.1 XPath Expressions

Expression	Description
Nodename	Selects all child nodes of the named node
/	Selects from the root node
//	Selects nodes in the document from the current node that match the selection no matter where they are
.	Selects the current node
..	Selects the parent of the current node
@	Selects attributes

To select the `last_name` element of the customer XML document using XPath you would specify the following:

```
customer/addr/last_name,
```

Or to select all attributes that are named `phone` using XPath, you can specify the following:

```
//@phone
```

Use DB2 XPath in the following contexts:

- As an argument to the `XMLQUERY` built-in function, which extracts data from an XML column.
- As an argument to the `XMLEXISTS` predicate, which is used for evaluation of data in an XML column.
- In an XML index, to determine the nodes in an XML document to index. (Only a subset of XPath, called an XML pattern, is valid in this context.)

The XMLQUERY Function

You can use the `XMLQUERY` function to execute an XPath expression from within SQL. You can pass variables to the XPath expression specified in `XMLQUERY`. `XMLQUERY` returns an XML value, which is an XML sequence. This sequence can be empty or can contain one or more items.

When you execute XPath expressions from within an `XMLQUERY` function, you can allow XML data to participate in SQL queries. Furthermore, you can retrieve parts of XML documents, instead of the entire XML document. This gives you the ability to operate on both relational and XML data in the same SQL statement. And you can apply additional SQL to the returned XML values after using `XMLCAST` to cast the results to a non-XML type.

CAUTION

XPath is case-sensitive. The case of any variables you specify in an `XMLQUERY` function must match the XPath expression.

Consider the following query, which returns the phone number information extracted from the XML for each customer in the CUST table:

```
SELECT CUSTNO,
       XMLQUERY ('declare default element namespace "http://ddgsample.org";
                 /customer/phone' passing XMLCUST)
       AS "PHONE FROM XMLCUST"
FROM   CUST
```

You can use the `XMLEXISTS` predicate to restrict the result set based on values in XML columns. To do so, an XPath expression is specified to the `XMLEXISTS` predicate. If the XPath expression returns an empty sequence, the value of the `XMLEXISTS` predicate is false. Otherwise, `XMLEXISTS` returns true and the row is returned.

For example, consider this SQL statement:

```
SELECT CUSTNO, STATUS, XMLCUST
FROM   CUST
WHERE  XMLEXISTS ('declare default element namespace "http://ddgsample.org";
                 //addr[city="Pittsburgh"]' passing XMLCUST)
AND XMLEXISTS ('declare default element namespace "http://ddgsample.org";
                 /customer[last_name="Mullins"]' passing XMLCUST)
```

This matches your sample XML document in which customer city is Pittsburgh and last_name is Mullins, so that row will be returned (as would any other where both of these conditions are true).

The `XMLTABLE()` Function

The `XMLTABLE()` function though can be used to produce XML query results comparable to XQuery. The following example uses the `XMLTABLE()` function to query your sample table and XML document, returning the city, street, state, and zip_code as columns in a result table:

```
SELECT X.*
FROM   CUST,
       XMLTABLE (XMLNAMESPACES(DEFAULT 'http://ddgsample.org'),
                 '$x/customer/addr[@zip_code=15215]'
                 PASSING XMLCUST as "x"
                 COLUMNS
                   ZIP_CODE INT          PATH '@zip_code',
                   STREET  VARCHAR(50)  PATH 'street',
                   CITY    VARCHAR(30)  PATH 'city',
                   STATE   VARCHAR(2)   PATH 'state') AS X
```

Recall that the XML column in the CUST table is named XMLCUST, therefore you code `PASSING XMLCUST`.

To enable this query to use an XML index, can add a predicate using `XMLEXISTS`, for example:

```
WHERE XMLEXISTS('$x/customer/addr[@zip=15215]' PASSING XMLCUST AS "x")
```

The `XMLTABLE()` function can be used when converting XML data into a relational result set. It can be beneficial to use `XMLTABLE()` in views where the SQL referencing the view does not have to code XPath expressions. The optimizer can still use XML indexes when view is referenced.

Access Methods

DB2 supports several access methods for XML data. The basic access method is the DocScan, which traverses XML data and evaluates XPath expressions using an IBM-patented technique called QuickXScan (see Note). There is no access type indicator for DocScan in the `PLAN_TABLE` because it is part of a scan if there is a predicate on an XML column involved.

NOTE

QuickXScan, an industrial strength streaming XPath algorithm implemented for native XML support in DB2 for z/OS. QuickXScan evaluates XPath expressions with predicates by one sequential scan of XML data with high efficiency. Because QuickXScan does not rely on relational techniques, it is well-suited for Internet applications that favor an efficient streaming algorithm.

XML indexes are used only for the `XMLEXISTS` predicate and `XMLTABLE` function evaluation. There are three access types for XML index-based access. Similar to RID list access, `ANDing`, and `ORing`, they include the following:

- DocID list access (DX)
- DocID list `ANDing` (DI for DocID list Intersection)
- DocID list `ORing` (DU for DocID list Union)

Inserting XML Data

Inserting XML data is as simple as inserting any other type of data. For example, assume that you want to use the `CUSTOMER` table described earlier in this chapter to `INSERT` an XML document. The following SQL achieves this goal:

```
INSERT INTO CUSTOMER
(CUSTNO, STATUS, XMLCUST)
VALUES (1000, 'Y',
'<customer>
  <custname>
    <first_name>Craig</first_name>
    <last_name>Mullins</last_name>
  </custname>
  <addr country="US">
    <street>100 Easy St</street>
    <city>Pittsburgh</city>
    <state>PA</state>
    <zip_code>15215</zip_code>
  </addr>
  <phone type="work">412-555-1000</phone>
```

```

    <phone type="mobile">972-555-8174</phone>
  </customer>'
);

```

This SQL statement inserts a new customer into the CUSTOMER table, giving it a CUSTNO of 1000 and a STATUS of Y. The XML data is inserted into the XML column defined as XMLCUST.

Deleting XML Data

You can use the SQL DELETE statement to delete rows that contain XML documents. Nothing special is required, just code the DELETE as normal including any WHERE clause that you want. When the row is deleted, the XML data for that row is deleted, too.

Of course, you might want to DELETE based upon values in the XML document. You can do this using XPath expressions within XMLEXISTS, for example, to DELETE rows from CUST table for which the value of the city element is Pittsburgh (in the XMLCUST column):

```

DELETE FROM CUST
WHERE XMLEXISTS ('declare default element namespace "http://ddgsample.org";
//addr[city="Pittsburgh"]' passing XMLCUST)

```

Updating XML Data

You can also update XML data, either the entire XML document, or a portion of the XML document.

Updating an Entire XML Document

To update an entire XML document in an XML column, supply the XML data to the UPDATE statement, being sure to specify a WHERE clause for the rows to be updated. The input to the XML column must be a well-formed XML document (as defined in the XML 1.0 specification).

NOTE

When you update an XML column, you might also want to validate the input XML document against a registered XML schema.

Updating a Portion of an XML Document

V10 You can also use the UPDATE statement with the XMLMODIFY function to update a portion of an XML document in an XML column. The XMLMODIFY function specifies a basic updating expression that you can use to insert nodes, delete nodes, replace nodes, or replace the values of a node in XML documents stored in XML columns.

CAUTION

Before you can use XMLMODIFY to UPDATE part of an XML document, the column containing the XML document must support XML versions.

The following UPDATE statement modifies the document for CUSTNO 100 changing the value of the city element to “Houston”:

```
UPDATE CUST
SET XMLCUST=XMLMODIFY('replace value of node /customer/addr/city with "Houston" ' )
WHERE CUSTNO = 100
```

The following update expressions are supported in the XMLMODIFY function:

- **delete expressions:** To remove elements or attributes from a document
- **insert expressions:** To add elements or attributes to a document
- **replace value of node expressions:** To change the value of an element or attribute
- **replace node expressions:** To replace an existing element or attribute with a different one

XML-DB2 Guidelines

Consider the following guidelines as you embark on using XML with your DB2 databases.

Learn All You Can About XML Before you begin to mix XML and DB2, be sure that you have a solid grasp of XML. The short introduction in this chapter is merely the tip of the iceberg. You must understand that XML is hierarchical and, as such, cannot match up exactly with your relational, DB2 way of thinking and processing data.

For in-depth coverage of pureXML support in DB2 for z/OS, refer to the *IBM DB2 for z/OS pureXML Guide* (SC19-2981) and the IBM RedBook *Extremely pureXML in DB2 10 for z/OS* (SG24-7915).

Consider augmenting the information in the pureXML manual with additional sources. The DB2 for z/OS pureXML section of IBM developerWorks® website at <http://www.ibm.com/developerworks/wikis/display/db2xml/DB2+for+zOS+pureXML> contains many useful examples and articles. Another useful reference is the IBM Press book titled *DB2 pureXML Cookbook*, which covers pureXML for both DB2 for LUW and DB2 for z/OS. You can find additional information on XML at the following websites:

<http://www.oasis-open.org>

<http://www.w3schools.com>

<http://www.xml.org>

Find XMLEXISTS Predicates for Indexing Because XML indexes are used only on the XMLEXISTS predicate, it is a good idea to find the predicates within XMLEXISTS clauses before doing any XML indexing. Look for predicates such as [*@id* = xxx] or [*price* > 100.00].

Favor Creating Lean XML Indexes Assume your queries often search for customer documents by last_name. In that case, an index on the last_name element can improve the performance of such queries, for example:

```
CREATE INDEX CUSTLNK1
ON CUST(XMLCUST)
generate key using xmlpattern '/customer/custname/last_name' as sql varchar(20);
```

Use Caution Before Indexing Everything As a general rule of thumb, avoid indexing everything (also known as a heavy index) because it is costly to maintain during INSERT, UPDATE, and DELETE processing. An additional concern is that a heavy index requires a lot of storage, which might be better used for more targeted indexes. For example, consider the following heavy index:

```
CREATE INDEX HEAVYIX
ON CUST(XMLCUST)
generate key using xmlpattern '//*' as sql varchar(100);
```

When using `xmlpattern "//*"` to create an XML index, the generated index key value could contain entries from every text node in every XML document in the XML column. Due to the creation and maintenance overhead, avoid such heavy indexes.

An exception to avoiding heavy indexes might be made for applications with low write activity and an unpredictable query workload making specific indexes hard to anticipate and define.

Favor XPath Expressions with Fully Specified Paths Avoid using `*` and `//` in your path expressions; instead, use fully specified paths whenever possible. For example, assume that you need to retrieve customers' ZIP codes. There are multiple path expressions you could code to get to the appropriate data. Both `/customer/addr/zip_code` and `/customer/*/zip_code` return the ZIP code. But for optimal performance, the fully specified path should be preferred over using `*` or `//` because it enables DB2 to navigate directly to the wanted elements, skipping over non-relevant parts of the document.

CAUTION

Sometimes using `*` and `//` can lead to unwanted query results. For example, if some of the customer documents also contained spouse information, the path `/customer/*/zip_code` could return the ZIP code of both the customer and their spouse. This may, or may not, be the intent of the query, so be careful when using `*` and `//`.

Use RUNSTATS to Gather Statistics on XML Data and Indexes The RUNSTATS utility has been extended to collect statistics on XML data and XML indexes. The DB2 Optimizer uses these statistics to generate efficient execution plans for SQL/XML queries. Thus, continue to use RUNSTATS as you would for relational data. Simply stated, DB2 generates better access plans if XML statistics are available.

NOTE

RUNSTATS TABLESPACE does not collect histogram statistics for XML table spaces; RUNSTATS INDEX does not collect histogram statistics for XML node ID indexes or XML indexes.

Use CHECK DATA Consider running the CHECK DATA utility periodically to check the consistency between the XML document data and its associated XML schema and its XML index data.

Use REPORT TABLESPACE SET Use the REPORT TABLESPACE SET utility to identify the underlying XML objects that are automatically created.

V10 Consider Deferring the Creation of XML Table Spaces As of DB2 V10 you can defer the actual physical creation of XML table spaces and their associated indexes to optimize your space management requirements.

By specifying DEFINE(N0), the underlying VSAM data sets are not created until the first INSERT or LOAD operation. The undefined XML table spaces and dependent index spaces are registered in the DB2 Catalog but are considered empty when access is attempted before data is inserted or loaded.

DSNZPARMS: XMLVALA and XMLVALS The XMLVALA subsystem parameter specifies an upper limit for the amount of storage that each user is to have available for storing XML values. The default is 200 MB. DB2 performs streaming, so you might be able to insert and select XML documents larger than the limit. However, it is a good idea to check the value and set an appropriate value based on your expected XML processing needs.

If you construct XML documents, set XMLVALA to at least twice the maximum length of documents generated. If you query XML data, set XMLVALA at least four times the maximum document size.

XMLVALS is the virtual storage limit allowed for XML processing for the DB2 subsystem. The default value is 10 GB.

Summary

This chapter is not intended to be a comprehensive treatment of DB2's XML support. After reading this chapter you should understand the basics of XML, how it differs from traditional relational data, and how DB2 for z/OS supports integrated XML data with pureXML.

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