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# Chapter 3

# Skin in the "Dawn" Demo

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# 3.1 Introduction

"Dawn" is a demonstration that was created by NVIDIA to introduce the GeForce FX product line and illustrate how programmable shading could be used to create a realistic human character, as shown in Figure 3-1. The vertex shaders (described in Chapter 4, "Animation in the 'Dawn' Demo") deform a high-resolution mesh through indexed skinning and blend shapes, and they provide setup for the lighting model used in the fragment shaders. The skin and wing fragment shaders produce both range and detail that could not have been achieved before the introduction of programmable graphics hardware.\(^1\)

This chapter discusses how programmable graphics hardware was used to light and accelerate the skin shader on the Dawn character in the demo.

# 3.2 Skin Shading

Skin is a difficult surface to simulate in computer graphics for a variety of reasons. Even the high-end production graphics used in movies are unable to simulate a photorealistic human character that can withstand close examination. Humans gain a great deal of

<sup>1.</sup> Concept and implementation with the help of Gary King and Alex Sakhartchouk of NVIDIA.

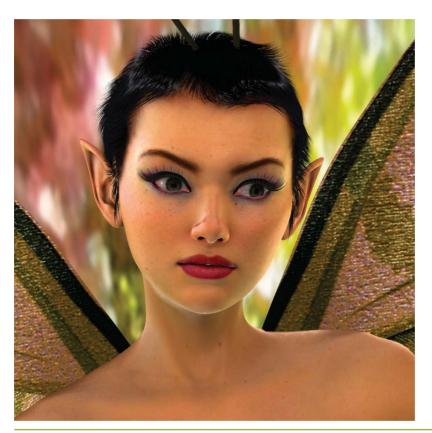


Figure 3-1. Dawn

information with nonverbal cues: the shifting of weight, the particular way of walking, facial expressions, and even the blush of someone's skin. Researchers have shown that babies recognize and gaze at facial expressions within moments of birth. We humans are therefore very perceptive when it comes to detecting irregularities in the way characters move and emote, and in the subtle coloring of their skin. Although few people may understand terms such as *subsurface scattering* and *rim lighting*, almost anybody can tell you when your rendering got them wrong.

In addition to shading issues, people may be quick to say that a skin shader looks like plastic because of the way the skin moves, so it is important to address the problems of character setup. Chapter 4 describes those techniques used in the "Dawn" demo for driving the body using accumulated indexed skinning, and for adding emotion to Dawn's face through blend targets. These techniques provide a passable approximation for Dawn and for the actions she needs to perform, but they might fail to fully describe

the way skin slides, flexes, and sags over a real skeleton for other purposes. When creating a character, it's important to model both the rigging and the shading appropriately for the range of views that the observer will actually see.

# 3.3 Lighting the Scene

Once the skin is moving in as realistic a manner as possible, you can focus on the shading. The most straightforward way to break down the shading of a surface is to examine the lighting environment of the scene and then look at the skin's response to that light.

### 3.3.1 A High-Dynamic-Range Environment

We wanted to create our character and place her in a setting that defied the sort of flat, simple-looking shading that typifies traditional real-time graphics techniques. Older graphics architectures were built around the concepts of Gouraud and Phong shading, which describe all incoming light as radiating from infinitely small point, directional, and spot lights. The diffuse and specular responses of material surfaces were usually described using textures and equations that failed to describe a suitable range of light response.

One undesirable result of the previous approach is that scenes tended to lack the brightness range seen in the real world. A white piece of paper on the floor would be as bright as a white light source above; characters in complex environments often looked out of place because they were lit by discrete points instead of by their surroundings.

Paul Debevec has done a great deal of research in the area of high-dynamic-range image processing and manipulation to address exactly this problem. His work can be found in a variety of SIGGRAPH publications and is made more tangible through examples in his rendered films.<sup>2</sup> We sought to take advantage of the new programmable real-time graphics architectures to apply these concepts to a real-time character. In particular, we wished to have Dawn lit by the environment and to allow the bright spots to cause light to bleed and soften her silhouette edges.

Dawn being a fairy, we found a suitable woodsy area and took a number of calibrated pictures. We used an iPIX kit that included a tripod that locks at opposite angles, a digital camera, and a 183-degree fish-eye lens. In this case, the two hemispherical pictures were taken across several shutter speeds using one of the camera's built-in macros.

<sup>2.</sup> Much of Paul Debevec's work can be found at www.debevec.org.

This collection of images provides better information about the light intensities at each pixel, as opposed to the single pixel color produced at any individual shutter speed.

We used the iPIX software to stitch the corresponding hemispheres into a single panorama, and then we used Debevec's HDRShop software to create a high-dynamic-range panorama that encodes the light color and intensity of the environment at every given angle. See Figure 3-2. HDRShop can then create a diffuse lookup map that performs a convolution to create a diffuse or specular map for a surface with a given surface roughness (which in the past would have been modeled using a Phong exponent).

The diffuse map is a cube map, as shown in Figure 3-3, indexed using the surface normal of the mesh, and it stores a cosine-weighted average of the incoming light for that direction. Ignoring for now the fact that parts of the hemisphere may be occluded at a given point, this weighted average gives the appropriate value for computing diffuse reflection for points on the surface.

The specular map is also a cube map, as shown in Figure 3-4, and is indexed using the reflection vector (the way "usual" cube maps are used for reflections). This specular map is blurred based on the roughness factor to simulate the changing surface normal at any given point on the surface.

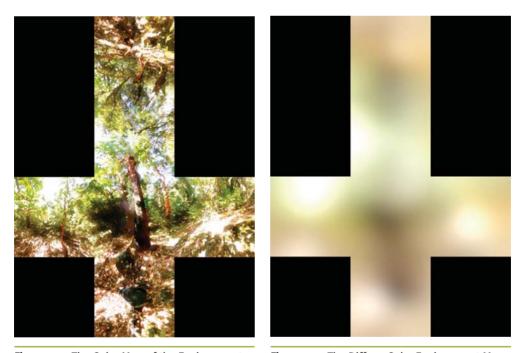


Figure 3-2. The Cube Map of the Environment

Figure 3-3. The Diffuse Cube Environment Map

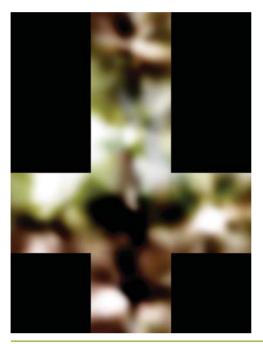


Figure 3-4. The Specular Cube Environment Map

### 3.3.2 The Occlusion Term

One problem with using environment maps to describe an environment or a lighting solution is that the mapped samples are really accurate for only a single point in space. The first side effect of this is that if the fairy walks around, the background appears to be "infinitely" far away. This was a suitable approximation for us, because the fairy is small and the background should look far away in this instance.<sup>3</sup>

The second side effect is that the diffuse and specular maps describe the incident light from the environment that was photographed, but they do nothing to describe the shadows introduced by simulated elements such as Dawn herself. Thus, it was necessary to develop a technique to incorporate this information.

Many real-time shadowing techniques produce hard shadows, and we wanted a particularly soft look. Thus, we generated an occlusion term that approximated what percentage of the hemisphere above each vertex was obstructed by other objects in the scene.<sup>4</sup>

<sup>3.</sup> One could interpolate between panoramas taken at different points or skew panoramas to simulate travel akin to *plenoptic modeling* (McMillan and Bishop 1995).

<sup>4.</sup> A similar technique is outlined in Chapter 17 of this book, "Ambient Occlusion."

This was done using a custom software tool that casts rays stochastically over that vertex's visible hemisphere and found collisions with other geometry. We used this technique in the "Dawn" demo and in the "Ogre" demo (content courtesy of Spellcraft Studio), though the results were then used differently. For Dawn, the occlusion term was kept constant for the vertices of her figure; her face used a blend of occlusion terms from the various morph targets.

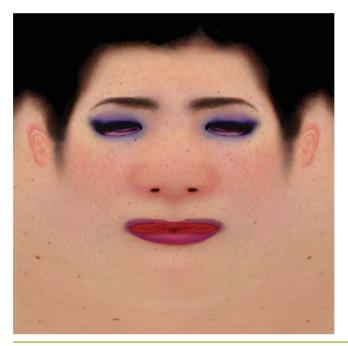
Having reached the limits of what we could do on a prerelease GeForce FX 5800 (Dawn was created to be a launch demo for that product), we stopped shy of animating the occlusion term for every vertex on every frame, as we did for the Ogre character in Spellcraft Studio's "Yeah! The Movie" demo.

# 3.4 How Skin Responds to Light

Skin is unlike most surfaces that we model in computer graphics because it is composed of layers of semitranslucent epidermis, dermis, and subcutaneous tissue. *Subsurface scattering* is the term we give to the phenomenon whereby light enters the surface, scatters through the medium, and exits at another point. This effect can commonly be seen as an orange glow of light passing through the skin when you hold your hand up in front of the Sun. This scattering under the skin is important to skin's appearance at all angles and helps give it a soft, highly distinctive character. Unfortunately, this reality defies a common assumption in graphics APIs and architectures: namely, light at one point on an object doesn't affect reflection at other points.

In the past, some groups have tried to emulate skin's complexity using multiple, layered texture maps. In general this approach has proven to be difficult to manage and difficult for the texture artists to work with as they previsualize the final blended color. Instead, we used a single color map, with color variations added through the shading, as shown in Figure 3-5.

Furthermore, skin has extremely fine variations that affect its reflective properties. These have subtle effects on skin's appearance, particularly when the light is directly opposite the camera position—that is, edge and rim lighting. Real skin has tiny features such as vellus hairs and pores that catch the light. These details were too small for us to model explicitly, but we wanted a surface that still gave us an appropriate overall look. Adding a bump map provided some additional detail when seen in close-up—particularly for small wrinkles—but we wanted a soft appearance, not shiny, stippled plastic,



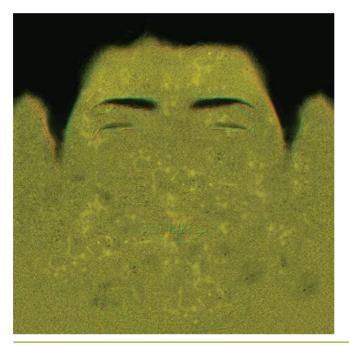
**Figure 3-5.** The Diffuse Color Map for the Front Half of Dawn's Head *Texture resolution is*  $1024 \times 1024$ .

and we wanted the effects to be visible regardless of the size on screen (bump maps are usually visible only when seen up close).

We approximated both of these shading attributes by recognizing that we could model them as simple formulas based on the surface normal and the lighting or viewing vectors. In particular, along silhouette edges we sampled the lighting from *behind* Dawn, as indexed by the view vector—mixing the light coming "through" Dawn with her base skin tones to create the illusion of subsurface and edge-effect lighting, particularly for very bright areas in the background map. See Figure 3-6.

### 3.5 Implementation

The processes of lighting are split between the vertex and the fragment shaders. This is a *one-pass* lighting solution: no additional render passes or alpha blending is required to create the skin surface.



**Figure 3-6.** The Tangent-Space Normal Map (Bump Map) for the Front of Dawn's Head *With contrast enhanced. Texture resolution is* 1024×1024.

### 3.5.1 The Vertex Shader

The primary functions of the vertex shader are to transform the coordinate into projection space and to perform those mathematical operations that are prohibitively expensive to compute in the fragment shader. As mentioned in Section 3.3.2, the vertex shader in the "Dawn" demo first applied morph targets (if any), and then skinned the mesh of over 180,000 vertices with a skeleton of 98 bones. See Chapter 4 for more.

For each vertex, we receive from the CPU application the data shown in Listing 3-1.5

The factors that are computed in the vertex shader and passed as interpolated values in the fragment shader include the world-space eye direction vector (worldEyeDirection), describing the direction from the viewer's eye to any given vertex; the  $3 \times 3$  tangent to world-space matrix (tangentToWorld)<sup>6</sup>; and a variety of terms collectively called *blood transmission terms* (bloodTransmission). Listing 3-2 shows the data structure of the output vertices.

<sup>5.</sup> Much of the data and code in the vertex shader pertains to the skinning and morph targets described in Chapter 4 of this book, "Animation in the 'Dawn' Demo."

<sup>6.</sup> Only a 3×3 matrix is needed because surface vectors (such as the normal, binormal, and tangent) should not be translated in the way points are.

#### Listing 3-1. The Per-Vertex Data Received from the CPU Application

```
// Here is the PER-VERTEX data - we use 16 vectors.
// the maximum permitted by our graphics API
struct a2vConnector {
  float4 coord:
                             // 3D location
  float4 normal:
  float4 tangent;
  float3 coordMorph0;
                             // 3D offset to target 0
  float4 normalMorph0;
                            // matching offset
  float3 coordMorph1;
                             // 3D offset to target 1
  float4 normalMorph1;
                             // matching offset
                             // 3D offset to target 2
  float3 coordMorph2:
  float4 normalMorph2;
                             // matching offset
  float3 coordMorph3:
                             // 3D offset to target 3
  float4 normalMorph3;
                            // matching offset
  float3 coordMorph4:
                             // 3D offset to target 4
 float4 normalMorph4;
                            // matching offset
  float4 boneWeight0 3;
                            // skull and neck bone
  float4 boneIndex0_3;
                            // indices and weights
  float4 skinColor frontSpec: // UV indices
};
```

#### Listing 3-2. The Data Structure of the Output Vertices

Because we are bump mapping, our fragment shader will have to find the world-space bumped normal, so we must provide it a way to get from the tangent-space bumped normal (provided by a texture map) into world space. The common way of doing bump mapping is to have the vertex shader pass the world-space normal, binormal, and tangent, and then to use these three vectors as a  $3 \times 3$  matrix to rotate vectors from

world space into tangent space for computation. In this case, the fragment shader will have to look into the lighting solution using the world-space vectors, so we map the transpose of this matrix (the transpose is the inverse for a rotation matrix), resulting in nine MOV instructions in the vertex shader to load the WorldTanMatrixX, World-TanMatrixX, and WorldTanMatrixZ terms.

Finally, the vertex shader blood transmission or "skin silhouette" terms are a float4 vector, composed of the occlusion term; different variations on the expression  $(N \cdot V)$  (that is, the dot product of the surface normal and the view vector); and a rotation of the normal against the coordinate system of the cube map lighting. See Figure 3-7.

These steps provided just a few ways of parameterizing how near a silhouette the pixel was, and they gave us a toy box of values to play with while developing the fragment shader. Mathematically astute readers may notice that the  $(N \cdot V)$  terms may not interpolate correctly on large triangles via Gouraud (linear) shading. Fortunately for this specific case, Dawn is finely tessellated, and the camera needs to be *very* near her face before any error is apparent. This is a good example of using a shader that is highly specific to a particular model, to be seen in a range of predictable screen sizes. The  $(N \cdot V)$  could have been done in the fragment shader, but that would have made for a significantly more expensive (and hence slower) shader.

Note that all of the vertex shaders in the "Dawn" demo were procedurally generated. We assign fragment shaders in Maya, and we have a vertex shader generator that looks at the character setup (skeletons, morph targets, and so on) and the inputs requested by the fragment shader; it then generates the optimal vertex shader from a rules file using an A\* search.<sup>7</sup>

Listing 3-3 is a sample annotated vertex shader, as used on Dawn's face area (incorporating both matrix skinning and shape blends, along with values used for the color calculations in the fragment shader).

<sup>7.</sup> A\* is a variant brute-force search that uses an aggregate-cost metric to guide the search to converge on the optimal result.



Figure 3-7. Dot Products Stored in SkinSilhouetteVec On the left,  $(1 - (N \cdot V))$ ; on the right,  $(1 - (N \cdot V))^2$ .

#### Listing 3-3. A Sample Vertex Shader for Dawn's Face

```
// Helper function:
// vecMul(matrix, float3) multiplies like a vector
// instead of like a point (no translate)
float3 vecMul(const float4x4 matrix, const float3 vec)
  return(float3(dot(vec, matrix._11_12_13),
                dot (vec, matrix._21_22_23),
                dot(vec, matrix._31_32_33)));
}
// The Vertex Shader for Dawn's Face
v2fConnector faceVertexShader(a2vConnector IN,
  const uniform float MorphWeight0,
  const uniform float MorphWeight1,
  const uniform float MorphWeight2,
  const uniform float MorphWeight3,
  const uniform float MorphWeight4,
  const uniform float4x4 BoneXf[8],
  const uniform float4 GlobalCamPos,
```

#### Listing 3-3 (continued). A Sample Vertex Shader for Dawn's Face

```
const uniform float4x4 ViewXf
 const uniform float4x4 G DappleXf.
 const uniform float4x4 ProjXf)
{
 v2fConnector OUT:
 // The following large block is entirely
 // concerned with shape skinning.
 // First, do shape blending between the five
 // blend shapes ("morph targets")
 float4 objectCoord = IN.coord;
 objectCoord.xyz += (MorphWeight0 * IN.coordMorph0);
 objectCoord.xvz += (MorphWeight1 * IN.coordMorph1);
 objectCoord.xvz += (MorphWeight2 * IN.coordMorph2);
 objectCoord.xyz += (MorphWeight3 * IN.coordMorph3);
 objectCoord.xvz += (MorphWeight4 * IN.coordMorph4);
 // Now transform the entire head by the neck bone
 float4 worldCoord = IN.boneWeight0 3.x *
                       mul(BoneXf[IN.boneIndex0_3.x], objectCoord);
 worldCoord += (IN.boneWeight0 3.v *
                 mul(BoneXf[IN.boneIndex0_3.y], objectCoord));
 worldCoord += (IN.boneWeight0 3.z *
                 mul(BoneXf[IN.boneIndex0_3.z], objectCoord));
 worldCoord += (IN.boneWeight0_3.w *
                 mul(BoneXf[IN.boneIndex0_3.w], objectCoord));
 // Repeat the previous skinning ops
 // on the surface normal
 float4 objectNormal = IN.normal;
 objectNormal += (MorphWeight0 * IN.normalMorph0);
 objectNormal += (MorphWeight1 * IN.normalMorph1);
 objectNormal += (MorphWeight2 * IN.normalMorph2);
 objectNormal += (MorphWeight3 * IN.normalMorph3);
 objectNormal += (MorphWeight4 * IN.normalMorph4);
 objectNormal.xyz = normalize(objectNormal.xyz);
 float3 worldNormal = IN.boneWeight0_3.x *
                        vecMul(BoneXf[IN.boneIndex0_3.x],
                                objectNormal.xyz));
```

#### Listing 3-3 (continued). A Sample Vertex Shader for Dawn's Face

```
worldNormal += (IN.boneWeight0 3.v *
                vecMul(BoneXf[TN.boneTndex() 3.vl.
                       objectNormal.xvz));
worldNormal += (IN.boneWeight0 3.z *
                vecMul(BoneXf[IN.boneIndex0 3.z],
                       objectNormal.xvz));
worldNormal += (IN.boneWeight0 3.w *
                vecMul(BoneXf[IN.boneIndex0 3.w],
                       objectNormal.xvz));
worldNormal = normalize(worldNormal);
// Repeat the previous skinning ops
// on the orthonormalized surface tangent vector
float4 objectTangent = IN.tangent;
objectTangent.xvz = normalize(objectTangent.xvz -
                              dot (objectTangent.xvz,
                                  objectNormal.xvz) *
                              objectNormal.xyz);
float4 worldTangent:
worldTangent.xyz = IN.boneWeight0_3.x *
                     vecMul(BoneXf[IN.boneIndex0 3.x],
                            objectTangent.xyz);
worldTangent.xvz += (IN.boneWeight0 3.v *
                     vecMul(BoneXf[IN.boneIndex0_3.y],
                            objectTangent.xyz));
worldTangent.xyz += (IN.boneWeight0_3.z *
                     vecMul(BoneXf[IN.boneIndex0_3.z],
                            objectTangent.xyz));
worldTangent.xyz += (IN.boneWeight0_3.w *
                     vecMul(BoneXf[IN.boneIndex0 3.w],
                            objectTangent.xyz));
worldTangent.xyz = normalize(worldTangent.xyz);
worldTangent.w = objectTangent.w;
// Now our deformations are done.
// Create a binormal vector as the cross product
// of the normal and tangent vectors
float3 worldBinormal = worldTangent.w *
                         normalize (cross (worldNormal,
                                          worldTangent.xyz));
```

#### Listing 3-3 (continued). A Sample Vertex Shader for Dawn's Face

```
// Reorder these values for output as a 3 x 3 matrix
  // for bump mapping in the fragment shader
  OUT.WorldTanMatrixX = float3(worldTangent.x,
                               worldBinormal.x, worldNormal.x);
  OUT.WorldTanMatrixY = float3(worldTangent.v,
                               worldBinormal.v, worldNormal.v);
 OUT.WorldTanMatrixZ = float3(worldTangent.z.
                               worldBinormal.z, worldNormal.z):
  // The vectors are complete. Now use them
  // to calculate some lighting values
  float4 worldEvePos = GlobalCamPos:
  OUT.WorldEveDir = normalize(worldCoord.xvz - worldEvePos.xvz):
  float4 evespaceEvePos = {0.0f, 0.0f, 0.0f, 1.0f};
  float4 evespaceCoord = mul(ViewXf, worldCoord);
  float3 evespaceEveVec = normalize(evespaceEvePos.xvz -
                                    evespaceCoord.xvz);
  float3 eyespaceNormal = vecMul(ViewXf, worldNormal);
  float VdotN = abs(dot(eyespaceEyeVec, eyespaceNormal));
  float oneMinusVdotN = 1.0 - VdotN;
  OUT.SkinUVST = IN.skinColor frontSpec;
  OUT.SkinSilhouetteVec = float4(objectNormal.w.
                                 oneMinusVdotN * oneMinusVdotN,
                                 oneMinusVdotN.
                                 vecMul(G_DappleXf, worldNormal.xyz).z);
  float4 hpos = mul(ProjXf, eyespaceCoord);
 OUT.HPOS = hpos;
  return OUT:
}
```

### 3.5.2 The Fragment Shader

Given the outputs of the vertex shader (and everywhere on Dawn's body, the vertex shaders output a consistent data structure), we can generate the actual textured colors.

Listing 3-4 shows the complete fragment shader as used by the face.

#### Listing 3-4. The Fragment Shader for Dawn's Face

```
float4 faceFragmentShader(v2fConnector IN.
 uniform sampler2D SkinColorFrontSpecMap.
 uniform sampler2D SkinNormSideSpecMap, // xvz normal map
 uniform sampler2D SpecularColorShiftMap, // and spec map in "w"
 uniform samplerCUBE DiffuseCubeMap.
 uniform samplerCUBE SpecularCubeMap.
 uniform samplerCUBE HilightCubeMap) : COLOR
 half4 normSideSpec tex2D(SkinNormSideSpecMap.
                           IN.SkinUVST.xv);
 half3 worldNormal:
 worldNormal.x = dot(normSideSpec.xvz, IN.WorldTanMatrixX);
 worldNormal.v = dot(normSideSpec.xvz, IN.WorldTanMatrixY);
 worldNormal.z = dot(normSideSpec.xvz, IN.WorldTanMatrixZ);
  fixed nDotV = dot(IN.WorldEveDir, worldNormal);
 half4 skinColor = tex2D(SkinColorFrontSpecMap, IN.SkinUVST.xv);
  fixed3 diffuse = skinColor * texCUBE(DiffuseCubeMap, worldNormal);
 diffuse = diffuse * IN.SkinSilhouetteVec.x;
  fixed4 sideSpec = normSideSpec.w * texCUBE(SpecularCubeMap,
                                             worldNormal):
  fixed3 result = diffuse * IN.SkinSilhouetteVec.v + sideSpec:
  fixed3 hilite = 0.7 * IN.SkinSilhouetteVec.x *
                    IN.SkinSilhouetteVec.v *
                      texCUBE (HilightCubeMap, IN.WorldEyeDir);
  fixed reflVect = IN.WorldEyeDir * nDotV - (worldNormal * 2.0x);
  fixed4 reflColor = IN.SkinSilhouetteVec.w *
                       texCUBE(SpecularCubeMap, reflVect);
 result += (reflColor.xvz * 0.02);
  fixed hiLightAttenuator = tex2D(SpecularColorShiftMap,
                                  IN.SkinUVST.xv).x:
 result += (hilite * hiLightAttenuator);
  fixed haze = reflColor.w * hiLightAttenuator;
  return float4(result.xyz, haze);
```

First, we get bump-mapped surface normal. The texture stored in SkinNormSide-SpecMap contains tangent-space normals in its RGB components, and the specular map—a grayscale representing highlight intensities—is piggybacking in the alpha channel (we'll refer to the component RGB as xyz here for code clarity). By rotating the

tangent-space xyz values against the WorldTanMatrix, we recast them in world coordinates—exactly what we need to perform our world-space lighting algorithm.

We then compare the newly calculated surface normal to the view direction. We use this pDot V value later.

Diffuse color is the skin texture map, multiplied by the preconvolved diffuse-lighting cube map. We modulate this a bit by the hemispherical occlusion term passed in SkinSilhouetteVec.

```
half4 skinColor = tex2D(SkinColorFrontSpecMap, IN.SkinUVST.xy);
fixed3 diffuse = skinColor * texCUBE(DiffuseCubeMap, worldNormal);
diffuse = diffuse * IN.SkinSilhouetteVec.x;
```

Edge specular color comes from our specular cube map, modulated by the specular intensity map that we got with the normal map (that is, in the alpha channel of Skin-NormSideSpecMap). We start building a cumulative result.

Next, we retrieve the color of the environment *behind* Dawn, by indexing on WorldEyeDir, and we get the traditional reflection cube-map color. Add these, along with some artistic "fudge factoring," to our result.

Finally, we add a little extra silhouette information into the alpha channel of the final output, so that the "bloom" along Dawn's silhouette edges looks more natural when alpha blending.

```
fixed haze = reflColor.w * hiLightAttenuator;
return float4(result.xyz, haze);
```

### 3.6 Conclusion

Although many of the implementation details in the Dawn skin shaders may be too restrictive for many game engines, most of the concepts can be achieved using other means. The fundamentals of high dynamic range, subsurface scattering, rim lighting, and the like can also be computed from synthetic light sources or other scene information.

In many ways, it was difficult to work with Dawn being lit by the environment. More complex and more realistic lighting solutions often come at the expense of artistic control. In this instance, we wanted her goose bumps to be more visible, but the environment was diffuse enough that we had to unrealistically exaggerate her surface bump to compensate.

If we were to implement Dawn a second time, we would probably use a more hybrid approach to lighting, in which we would look up into the diffuse and specular map (given the smooth normal) and then use a primary "light direction" to compute the contribution of the bump map. This would give us more direct control over the look of the bump map and eliminate the need for the expensive matrix transpose performed in the vertex shader.

### 3.7 References

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